

ESMP mandatorily annexed to the Financing Agreement (FA)



THE REPUBLIC OF UGANDA
MINISTRY OF WATER AND ENVIRONMENT

**[MULTI-PURPOSE WATER FOR CLIMATE RESILIENT
IRRIGATION DEVELOPMENT AND LIVELIHOOD
TRANSFORMATION PROJECT (MWaCRID-P) - P-UG A00-005]**

Environmental & Social Management Plan (ESMP)

General considerations

1. *Ministry of Water and Environment (MWE)* is planning to implement the *Multi-Purpose Water for Climate Resilience and Irrigation Development for Livelihood Transformation (MWACRID - Project)* (the **Project**). The Bank has agreed to provide financing, implementation support and monitoring for the Project.
2. *Ministry of Water and Environment (MWE)* will implement measures and actions of this Environmental and Social Management Plan¹ (**ESMP**) so that the Project meets all the requirements of the Bank Environmental and Social Operational Safeguards (**OS**) and the National policy and legal requirements.
3. Where the ESMP refers to specific plans, whether they have already been prepared or are to be developed, the ESMP requires compliance with all mandatory provisions of such plans.
4. The table below summarizes the material measures and actions that are required, the basis of the requirement, the timing of the measure or action, and the criteria to be used for determining whether the required measure or action has been successfully achieved. *Ministry of Water and Environment (MWE)* is responsible for compliance with all requirements of the ESMP even when implementation of specific measures and actions is conducted by an entity different from the Project Implementation Unit (PIU).
5. Implementation of the material measures and actions set out in this ESMP will be monitored and reported to the Bank by *Ministry of Water and Environment (MWE)* as required by the ESMP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the measures and actions throughout implementation of the Project.
6. As agreed by the Bank and *Ministry of Water and Environment (MWE)*, this ESMP may be revised from time to time during Project implementation, to reflect adaptive risk management of project changes and unforeseen circumstances or in response to assessment of project performance conducted under the ESMP itself. In such circumstances, *Ministry of Water and Environment (MWE)* will propose and agree changes with the Bank, and then update the ESMP to reflect such changes.

<i>Material Actions² to Manage the Project's E&S Risks and Impacts</i>	<i>Basis for Requirement</i>	<i>Key Performance Indicator</i>	<i>Indicative Timing/Deadline</i>
Periodic E&S implementation report to the Bank	Bank's ESP and OS1	Reports submitted in time, in good standard	Two weeks after the due period

¹ The ESMP refers to all the E&S risks/impacts and measures, as approved in all the disclosed E&S documents and agreed between the Bank and Borrower. For projects involving multiple subprojects, that are identified, prepared and implemented during the course of the project, the Borrower will need to demonstrate to the Bank, before the project appraisal, through the preparation of E&S documentation of a sample of subprojects, that it has the capacity to carry out appropriate environmental and social assessment of subprojects, and prepare and implement such subprojects in accordance with the national laws and the OSs. (*Section III.2.3 of Bank's ESP and section D of OSI*)

² Please add any relevant key actions and/or indicate "Not applicable" in the third column ("Basis for requirement") for actions that are not applicable to the project.

<i>Material Actions² to Manage the Project's E&S Risks and Impacts</i>		<i>Basis for Requirement</i>	<i>Key Performance Indicator</i>	<i>Indicative Timing/Deadline</i>
1	Recruitment of E and S specialists as part of the Project implementation unit (Environment and Social).	Disclosed ESIA, OS1	Seasoned E&S specialists in the PIU	By project effectiveness date
2	Establishment of the Project Grievance Redress Mechanism (GRM) and disclosure to Public	OS1, OS10 and National requirements	A well-established and operational GRM, that satisfactorily meets both the client and Bank requirements.	Monthly update in the monthly E&S Implementation Report.
3	Payment of compensation and reinstallation of affected people	OS10	Signed RAP Payment Forms by PAPs	At least Four (4) months after the disclosure of the approved compensation rates
4	Incorporation of site-specific E&S measures in the request for proposals	OS1 & national requirements	Incorporation of E & S measures in Project proposals	Prior to issuing the RFP documents
5	Submission of high-risk activity's Contractor ESMP (C-ESMP) to Bank clearance	Bank's ESP and OS1	The Contractor submits the C-ESMP to the Bank for clearance before commencement of works	Prior to commencement of the activity
6	Establishment of the Contractor's Grievance Mechanism (GM) and information of workers	OS1, OS2, SO10 and Bank's Disclosure and Access to Information Policy	As applicable, an established grievance mechanism provided and accessible for all project / subproject workers to raise workplace concerns.	As applicable, prior to commencement of works / subproject works.
7	Obtaining nationally required licences prior commencement of subjected activities (tree-cutting, working at height, working in confined spaces, etc.)	OS1, OS2 and national labor laws	As applicable, nationally required relevant licenses obtained	As applicable, prior to commencement of the relevant activities.
8	Preparation, approval, and disclosure of specific E&S documents during Project implementation, including prior review of Category 1 terms of reference by the Bank	Bank's ESP, OS1 and national requirements	The site-specific E&S documents prepared, approved, and disclosed during project implementation	Before implementation of the corresponding sub-projects/activities.

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9	Engagement with concerned stakeholders of each relevant specific E&S activity	OS1, OS10, Bank's Disclosure and Access to Information Policy	<ul style="list-style-type: none"> Stakeholder engagement and disclosure documented. Minutes of stakeholder meetings conducted and progress reports. 	<ul style="list-style-type: none"> By start of works and continuous during project implementation. Periodic reports
10	Establishment of Emergency Preparedness and Response mechanism	OS1 & OS4, national legislation on contingencies et	<ul style="list-style-type: none"> Emergency Preparedness and Response Plan prepared. Structures and roles of responsible persons' in place for Emergency Preparedness and available resources on site (automated water shut down system, first aid facilities, first aid kit, Health and Safety officer, Ambulance, Etc.) Incident Register / logs in place and progress reports. 	<ul style="list-style-type: none"> Before active works begin Continuous during both construction and operation
11	Appropriate and timely handling of complaints/grievances	Bank's ESP and OS1	<ul style="list-style-type: none"> Timely response to grievances (e.g., number of grievances resolved in stipulated time indicated in the GRM) An effective 	<ul style="list-style-type: none"> Monthly, as required by the GRM, throughout the project's implementation.

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			grievance redress committee, Grievance log and reports	
12	Notification to riparian or alert to downstream exposed peoples	Bank's ESP and OS1, applicable International Treaty/Convention ratified	Timely notification to riparian and downstream states	Notification issued to riparian or downstream states before the commencement of the works
13	Capacity building of key project implementers	OS1	<ul style="list-style-type: none"> Number of trainings delivered to key project implementers (local authorities and communities) Training reports 	Continuous
14	Implementation of ESMS/ESAP ³	OS1 and OS9, national requirements	NA	NA
14.1	<i>Approval of any required E&S management procedure</i>	Ditto	NA	NA
14.2	<i>Establishment of the E&S unit</i>	Ditto	<ul style="list-style-type: none"> Institutional capacity, demonstrated by a clear E&S unit/function in the Ministry's organogram that includes: (i) skilled and appropriate staffing proportional to the E&S challenges; and (ii) adequate sustainable financing (a 	By Project effectiveness date

³ Applies to non-sovereign operations and public sector projects implemented by permanent autonomous Agencies/Institutions.

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			permanent budget line) for the operation of the E&S unit/function, acceptable by the Bank	
14.3	<i>Capacity Building of the E&S Unit</i>	Ditto	<ul style="list-style-type: none"> Percentage or number of E&S staff who have completed relevant training programs within a year. Number of E&S training sessions conducted for the E&S unit 	As per the schedule in E&S unit training programme or action plan.
14.4	<i>Processing the Value Chain E&S due diligence</i>	Ditto		
15	Suspending works in the event of EOHS risk or incident, immediately notify the Bank, and resume works only upon no-objection of the Bank.	Bank's ESP	<ul style="list-style-type: none"> Number of suspensions due to EOHS risk Incident register and incident notification procedure established. Serious incidents reported 	Immediately and no later than 72 hours after the occurrence
16	Prepare the root-cause analysis (RCA) of any fatal EOHS incident and implement the Corrective Action Plan (CAP).	Bank's ESP and OS1	<ul style="list-style-type: none"> Number of root-cause analysis (RCA) of any fatal EOHS incident(s), conducted, and a Corrective Action Plan (CAP) implemented 	As applicable, within 2 weeks of the occurrence of the incident

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17	Disclosure of Project's E&S reports to the public	OS1, OS10, Bank's Disclosure and Access to Information Policy	<ul style="list-style-type: none"> Number of project E&S reports issued to public 	After approval of the E&S reports by the designated National agencies.