



REPUBLIC OF UGANDA
MINISTRY OF WATER AND ENVIRONMENT
INTEGRATED WATER MANAGEMENT AND
DEVELOPMENT PROJECT
PROJECT ID NO: P163782

EMPLOYER:	MINISTRY OF WATER AND ENVIRONMENT
PROJECT:	INTEGRATED WATER MANAGEMENT AND DEVELOPMENT PROJECT (IWMDP)
CONTRACT TITLE:	CIVIL WORKS FOR CONSTRUCTION OF SOLAR POWERED PIPED WATER SUPPLY AND SANITATION SYSTEMS IN THREE (3) LOTS LOT 1: 2 NO. RURAL GROWTH CENTRES OF GWERE(LEFORI) & LAROPI IN MOYO REFUGEE HOSTING DISTRICT LOT 2: 2 NO. RURAL GROWTH CENTRES OF LOMUNGA & LOBE IN YUMBE REFUGEE HOSTING DISTRICT. LOT 3: 1NO. RURAL GROWTH CENTRE OF GOBORO IN YUMBE REFUGEE HOSTING DISTRICT
COUNTRY:	UGANDA
PROJECT ID NO.	P163782
RFB NO.	LOT 1: MWE/WRKS/23-24/00008/1 LOT 2: MWE/WRKS/23-24/00008/2 LOT 3: MWE/WRKS/23-24/00008/3

INTERNATIONAL COMPETITIVE BIDDING

VOLUME 1 – BIDDING DOCUMENT

THE BIDDING DOCUMENTS HAVE BEEN PREPARED IN FIVE VOLUMES:

LOT No	VOLUMES
LOT 1	<p><i>Volume 1: Bidding Procedures</i></p> <p><i>Volume 2-1: Bills of Quantities (BoQ)- Gwere & Laropi</i></p> <p><i>Volume 3-1: Technical Specifications</i></p> <p><i>Volume 4-1(a): Book of Drawings for Gwere</i></p> <p><i>Volume 4-1(b): Book of Drawings for Laropi</i></p> <p><i>Volume 5-1(a): ESIA for Gwere</i></p> <p><i>Volume 5-1(b): ESIA for Laropi</i></p>
LOT 2	<p><i>Volume 1: Bidding Procedures</i></p> <p><i>Volume 2-2: Bills of Quantities (BoQ)- Lobe & Lomunga</i></p> <p><i>Volume 3-2(a) Lobe- Technical Specifications</i></p> <p><i>Volume 3-2(b) Lomunga- Technical Specifications</i></p> <p><i>Volume 4-2(a): Book of Drawings for Lomunga</i></p> <p><i>Volume 4-2(b): Book of Drawings for Lobe</i></p> <p><i>Volume 5-2(a): ESIA for Lombe</i></p> <p><i>Volume 5-2(b): ESIA for Lomunga</i></p>
LOT 3	<p><i>Volume 1: Bidding Procedures</i></p> <p><i>Volume 2-3: Bills of Quantities (BoQ)</i></p> <p><i>Volume 3-3: Technical Specifications</i></p> <p><i>Volume 4-3: Book of Drawings for Goboro</i></p> <p><i>Volume 5-3: ESIA for Goboro</i></p>

Standard Procurement Document

Table of Contents

PART 1 – Bidding Procedures.....2

- Section I - Instructions to Bidders6
- Section II - Bid Data Sheet (BDS).....37
- Section III - Evaluation and Qualification Criteria.....49
- Section IV - Bidding Forms87
- Section V - Eligible Countries146
- Section VI - Fraud and Corruption147

PART 2 –Works’ Requirements.....149

- Section VII - Works’ Requirements151

PART 3 – Conditions of Contract and Contract Forms205

- Section VIII - General Conditions (GC).....206
- Section IX - Particular Conditions207
- Section X - Contract Forms263

PART 1 – Bidding Procedures

Section I - Instructions to Bidders

Contents

A.	General.....	6
1.	Scope of Bid.....	6
2.	Source of Funds	7
3.	Fraud and Corruption.....	7
4.	Eligible Bidders	7
5.	Eligible Materials, Equipment, and Services.....	10
B.	Contents of Bidding Document.....	10
6.	Sections of Bidding Document	10
7.	Clarification of Bidding Document, Site Visit, Pre-Bid Meeting.....	11
8.	Amendment of Bidding Document.....	13
C.	Preparation of Bids.....	13
9.	Cost of Bidding	13
10.	Language of Bid.....	13
11.	Documents Comprising the Bid.....	13
12.	Letters of Bid and Schedules	15
13.	Alternative Bids	15
14.	Bid Prices and Discounts	15
15.	Currencies of Bid and Payment	17
16.	Documents Comprising the Technical Proposal.....	17
17.	Documents Establishing the Eligibility and Qualifications of the Bidder	17
18.	Period of Validity of Bids	18
19.	Bid Security	19
20.	Format and Signing of Bid.....	21
D.	Submission of Bids	21
21.	Sealing and Marking of Bids	21
22.	Deadline for Submission of Bids	22
23.	Late Bids	23
24.	Withdrawal, Substitution, and Modification of Bids	23

E.	Public Opening of Technical Parts of Bids	23
25.	Public Opening of Technical Parts of Bids.....	23
F.	Evaluation of Bids- General Provisions	25
26.	Confidentiality	25
27.	Clarification of Bids.....	25
28.	Deviations, Reservations, and Omissions.....	26
29.	Nonmaterial Nonconformities	26
G.	Evaluation of Technical Part of Bids	26
30.	Determination of Responsiveness of Technical Part	26
31.	Eligibility and Qualifications of the Bidder.....	27
32.	Detailed Evaluation of Technical Part	27
H.	Notification of Evaluation of Technical Parts and Public Opening of Financial Parts	28
33.	Notification of Evaluation of Technical Parts and Public Opening of Financial Parts.....	28
I.	Evaluation of Financial Part of Bids	30
34.	Adjustments for Non-material Nonconformities	30
35.	Correction of Arithmetic Errors.....	30
36.	Conversion to Single Currency and Margin of Preference.....	30
37.	Evaluation Process, Financial Parts	31
38.	Abnormally Low Bids.....	31
39.	Unbalanced or Front Loaded Bids	32
J.	Evaluation of Combined Technical and Financial Parts, Most Advantageous Bid and Notification of Intention to Award	32
40.	Evaluation of combined Technical and Financial Parts.....	32
41.	Most Advantageous Bid.....	32
42.	Employer’s Right to Accept Any Bid, and to Reject Any or All Bids	33
43.	Standstill Period	33
44.	Notification of Intention to Award	33
K.	Award of Contract	33
45.	Award Criteria	33
46.	Notification of Award	33
47.	Debriefing by the Employer.....	34

48.	Signing of Contract	35
49.	Performance Security	35
50.	Procurement Related Complaint	36

Section I - Instructions to Bidders

A. General

- 1. Scope of Bid**
- 1.1 In connection with the Specific Procurement Notice – Request for Bids (RFB), specified in the **Bid Data Sheet (BDS)**, the Employer, as specified **in the BDS**, issues this Bidding document for the provision of Works as specified in Section VII, Works’ Requirements. The name, identification, and number of lots (contracts) of this RFB are specified **in the BDS**.
- 1.2 Throughout this bidding document:
- the term **“in writing”** means communicated in written form (e.g., by mail, e-mail, fax, including, if specified **in the BDS**, distributed or received through electronic-procurement system used by the Employer) with proof of receipt;
- if the context so requires, **“singular”** means **“plural”** and vice versa;
- “Day”** means calendar day, unless otherwise specified as a **“Business Day.”** A **“Business Day”** is any day that is a working day of the Borrower. It excludes the Borrower’s official public holidays;
- “ES”** means environmental and social (including Sexual Exploitation and Abuse (SEA), and Sexual Harassment (SH));
- “Sexual Exploitation and Abuse” “(SEA)”** means the following:
- Sexual Exploitation** is defined as any actual or attempted abuse of position of vulnerability, differential power or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of another.
- Sexual Abuse** is defined as the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions;
- “Sexual Harassment” “(SH)”** is defined as unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature by the Contractor’s Personnel with other Contractor’s or Employer’s Personnel;
- “Contractor’s Personnel”** is as defined in Sub-Clause 1.1.17 of the General Conditions; and

“**Employer’s Personnel**” is as defined in Sub-Clause 1.1.33 of the General Conditions.

A non-exhaustive list of (i) behaviors which constitute SEA and (ii) behaviors which constitute SH is attached to the Code of Conduct form in Section IV.

- 2. Source of Funds**

 - 2.1 The Borrower or Recipient (hereinafter called “Borrower”) specified **in the BDS** has received or has applied for financing (hereinafter called “funds”) from the International Bank for Reconstruction and Development or the International Development Association (hereinafter called “the Bank”) in an amount specified **in the BDS**, toward the project named **in the BDS**. The Borrower intends to apply a portion of the funds to eligible payments under the contract(s) for which this Bidding document is issued.
 - 2.2 Payment by the Bank will be made only at the request of the Borrower and upon approval by the Bank, and will be subject, in all respects, to the terms and conditions of the Loan (or other financing) Agreement. The Loan (or other financing) Agreement prohibits a withdrawal from the loan account for the purpose of any payment to persons or entities, or for any import of goods, equipment, plant, or materials, if such payment or import is prohibited by a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations. No party other than the Borrower shall derive any rights from the Loan (or other financing) Agreement or have any claim to the proceeds of the Loan (or other financing).
- 3. Fraud and Corruption**

 - 3.1 The Bank requires compliance with the Bank’s Anti-Corruption Guidelines and its prevailing sanctions policies and procedures as set forth in the WBG’s Sanctions Framework, as set forth in Section VI.
 - 3.2 In further pursuance of this policy, Bidders shall permit and shall cause their agents (where declared or not), subcontractors, subconsultants, service providers, suppliers, and personnel, to permit the Bank to inspect all accounts, records and other documents relating to any initial selection process, prequalification process, bid submission, proposal submission, and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank.
- 4. Eligible Bidders**

 - 4.1 A Bidder may be a firm that is a private entity, a state-owned enterprise or institution subject to ITB 4.6 or any combination of such entities in the form of a joint venture (JV) under an existing agreement or with the intent to enter into such an

agreement supported by a letter of intent. In the case of a joint venture, all members shall be jointly and severally liable for the execution of the entire Contract in accordance with the Contract terms. The JV shall nominate a Representative who shall have the authority to conduct all business for and on behalf of any and all the members of the JV during the Bidding process and, in the event the JV is awarded the Contract, during contract execution. Unless specified **in the BDS**, there is no limit on the number of members in a JV.

- 4.2 A Bidder shall not have a conflict of interest. Any Bidder found to have a conflict of interest shall be disqualified. A Bidder may be considered to have a conflict of interest for the purpose of this Bidding process, if the Bidder:
- (a) directly or indirectly controls, is controlled by or is under common control with another Bidder; or
 - (b) receives or has received any direct or indirect subsidy from another Bidder; or
 - (c) has the same legal representative as another Bidder; or
 - (d) has a relationship with another Bidder, directly or through common third parties, which puts it in a position to influence the Bid of another Bidder, or influence the decisions of the Employer regarding this Bidding process; or
 - (e) or any of its affiliates participated as a consultant in the preparation of the design or technical specifications of the works that are the subject of the Bid; or
 - (f) or any of its affiliates has been hired (or is proposed to be hired) by the Employer or Borrower as Engineer for the Contract implementation; or
 - (g) would be providing goods, works, or non-consulting services resulting from or directly related to consulting services for the preparation or implementation of the project specified **in the BDS** ITB 2.1 that it provided or were provided by any affiliate that directly or indirectly controls, is controlled by, or is under common control with that firm; or
 - (h) has a close business or family relationship with a professional staff of the Borrower (or of the project implementing agency, or of a recipient of a part of the loan) who: (i) are directly or indirectly involved in the preparation of the Bidding document or specifications of the Contract, and/or the Bid evaluation process of such

Contract; or (ii) would be involved in the implementation or supervision of such Contract unless the conflict stemming from such relationship has been resolved in a manner acceptable to the Bank throughout the Bidding process and execution of the Contract.

- 4.3 A firm that is a Bidder (either individually or as a JV member) shall not participate in more than one Bid, except for permitted alternative Bids. This includes participation as a subcontractor in other Bids. Such participation shall result in the disqualification of all Bids in which the firm is involved. A firm that is not a Bidder or a JV member may participate as a subcontractor in more than one Bid.
- 4.4 A Bidder may have the nationality of any country, subject to the restrictions pursuant to ITB 4.8. A Bidder shall be deemed to have the nationality of a country if the Bidder is constituted, incorporated or registered in and operates in conformity with the provisions of the laws of that country, as evidenced by its articles of incorporation (or equivalent documents of constitution or association) and its registration documents, as the case may be. This criterion also shall apply to the determination of the nationality of proposed subcontractors or subconsultants for any part of the Contract including related Services.
- 4.5 A Bidder that has been sanctioned by the Bank, pursuant to the Bank's Anti-Corruption Guidelines, and in accordance with its prevailing sanctions policies and procedures as set forth in the World Bank Group's Sanctions Framework, as described in Section VI paragraph 2.2 d. shall be ineligible to be prequalified for, initially selected for, bid for, propose for, or be awarded a Bank-financed contract or benefit from a Bank-financed contract, financially or otherwise, during such period of time as the Bank shall have determined. The list of debarred firms and individuals is available at the electronic address specified **in the BDS**.
- 4.6 Bidders that are state-owned enterprises or institutions in the Employer's Country may be eligible to compete and be awarded a Contract(s) only if they can establish, in a manner acceptable to the Bank, that they (i) are legally and financially autonomous (ii) operate under commercial law, and (iii) are not under supervision of the Employer.
- 4.7 A Bidder shall not be under suspension from bidding by the Employer as the result of the operation of a Bid-Securing or Proposal-Securing Declaration.

- 4.8 Firms and individuals may be ineligible if so indicated in Section V and (a) as a matter of law or official regulations, the Borrower's country prohibits commercial relations with that country, provided that the Bank is satisfied that such exclusion does not preclude effective competition for the supply of goods or the contracting of works or services required; or (b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Borrower's country prohibits any import of goods or contracting of works or services from that country, or any payments to any country, person, or entity in that country. When the Works are implemented across jurisdictional boundaries (and more than one country is a Borrower, and is involved in the procurement), then exclusion of a firm or individual on the basis of ITB 4.8 (a) above by any country may be applied to that procurement across other countries involved, if the Bank and the Borrowers involved in the procurement agree.
- 4.9 A Bidder shall provide such documentary evidence of eligibility satisfactory to the Employer, as the Employer shall reasonably request.
- 4.10 A firm that is under a sanction of debarment by the Borrower from being awarded a contract is eligible to participate in this procurement, unless the Bank, at the Borrower's request, is satisfied that the debarment; (a) relates to fraud or corruption, and (b) followed a judicial or administrative proceeding that afforded the firm adequate due process.
- 4.11 This bidding is open only to prequalified Bidders unless specified **in the BDS**.

5. Eligible Materials, Equipment, and Services

- 5.1 The materials, equipment, and services to be supplied under the Contract and financed by the Bank may have their origin in any country subject to the restrictions specified in Section V, Eligible Countries, and all expenditures under the Contract will not contravene such restrictions. At the Employer's request, Bidders may be required to provide evidence of the origin of materials, equipment, and services.

B. Contents of Bidding Document

6. Sections of Bidding Document

- 6.1 The Bidding document consists of Parts 1, 2, and 3, which includes all the sections specified below, and which should be read in conjunction with any Addenda issued in accordance with ITB 8.

PART 1 Bidding Procedures

- Section I - Instructions to Bidders (ITB)
- Section II - Bid Data Sheet (BDS)
- Section III - Evaluation and Qualification Criteria
- Section IV - Bidding Forms
- Section V - Eligible Countries
- Section VI - Fraud and Corruption

PART 2 Works Requirements

- Section VII - Works' Requirements

PART 3 Conditions of Contract and Contract Forms

- Section VIII - General Conditions (GC)
- Section IX - Particular Conditions (PC)
- Section X - Contract Forms

- 6.2 The Specific Procurement Notice - Request for Bids (RFB) issued by the Employer or the Notice of Request for Bids (RFB) issued by the Employer to the prequalified Bidders are not part of the Bidding document.
- 6.3 Unless obtained directly from the Employer, the Employer is not responsible for the completeness of the Bidding document, responses to requests for clarification, the minutes of the pre-Bid meeting (if any), or Addenda to the Bidding document in accordance with ITB 8. In case of any contradiction, documents obtained directly from the Employer shall prevail.
- 6.4 The Bidder is expected to examine all instructions, forms, terms, and specifications in the Bidding document and to furnish with its Bid all information and documentation as is required by the Bidding document.

7. Clarification of Bidding Document, Site Visit, Pre-Bid Meeting

- 7.1 A Bidder requiring any clarification of the Bidding document shall contact the Employer in writing at the Employer's address specified **in the BDS** or raise its enquiries during the pre-Bid meeting if provided for in accordance with ITB 7.4. The Employer will respond in writing to any request for clarification, provided that such request is received no later than fourteen (14) days prior to the deadline for submission of Bids. The Employer shall forward copies of its response to all Bidders who have acquired the Bidding document in accordance with ITB 6.3, including a description of the inquiry but without identifying its source. If so specified **in the BDS**, the Employer shall also promptly publish its response at the web page

identified **in the BDS**. Should the clarification result in changes to the essential elements of the Bidding document, the Employer shall amend the Bidding document following the procedure under ITB 8 and ITB 22.2.

- 7.2 The Bidder is advised to visit and examine the Site of Works and its surroundings and obtain for itself on its own responsibility all information that may be necessary for preparing the Bid and entering into a contract for construction of the Works. The costs of visiting the Site shall be at the Bidder's own expense.
- 7.3 The Bidder and any of its personnel or agents will be granted permission by the Employer to enter upon its premises and lands for the purpose of such visit, but only upon the express condition that the Bidder, its personnel, and agents will release and indemnify the Employer and its personnel and agents from and against all liability in respect thereof, and will be responsible for death or personal injury, loss of or damage to property, and any other loss, damage, costs, and expenses incurred as a result of the inspection.
- 7.4 If so specified **in the BDS**, the Bidder's designated representative is invited to attend a pre-Bid meeting and/or a Site of Works visit. The purpose of the meeting will be to clarify issues and to answer questions on any matter that may be raised at that stage.
- 7.5 The Bidder is requested to submit any questions in writing, to reach the Employer not later than one week before the meeting.
- 7.6 Minutes of the pre-Bid meeting, if applicable, including the text of the questions asked by Bidders, without identifying the source, and the responses given, together with any responses prepared after the meeting, will be transmitted promptly to all Bidders who have acquired the Bidding document in accordance with ITB 6.3. If so specified **in the BDS**, the Employer shall also promptly publish the Minutes of the pre-Bid meeting at the web page identified **in the BDS**. Any modification to the Bidding document that may become necessary as a result of the pre-Bid meeting shall be made by the Employer exclusively through the issue of an Addendum pursuant to ITB 8 and not through the minutes of the pre-Bid meeting. Nonattendance at the pre-Bid meeting will not be a cause for disqualification of a Bidder.

- 8. Amendment of Bidding Document**
- 8.1 At any time prior to the deadline for submission of Bids, the Employer may amend the Bidding document by issuing addenda.
- 8.2 Any addendum issued shall be part of the Bidding document and shall be communicated in writing to all who have obtained the Bidding document from the Employer in accordance with ITB 6.3. The Employer shall also promptly publish the addendum on the Employer’s web page in accordance with ITB 7.1.
- 8.3 To give Bidders reasonable time in which to take an addendum into account in preparing their Bids, the Employer should extend the deadline for the submission of Bids, pursuant to ITB 22.2.

C. Preparation of Bids

- 9. Cost of Bidding**
- 9.1 The Bidder shall bear all costs associated with the preparation and submission of its Bid, and the Employer shall not be responsible or liable for those costs, regardless of the conduct or outcome of the Bidding process.
- 10. Language of Bid**
- 10.1 The Bid, as well as all correspondence and documents relating to the Bid exchanged by the Bidder and the Employer, shall be written in the language specified **in the BDS**. Supporting documents and printed literature that are part of the Bid may be in another language provided they are accompanied by an accurate translation of the relevant passages in the language specified **in the BDS**, in which case, for purposes of interpretation of the Bid, such translation shall govern.
- 11. Documents Comprising the Bid**
- 11.1 The Bid shall comprise two Parts, namely the Technical Part and the Financial Part. These two Parts shall be submitted simultaneously in two separate sealed envelopes (two-envelope Bidding process). One envelope shall contain only information relating to the Technical Part and the other, only information relating to the Financial Part. These two envelopes shall be enclosed in a separate sealed outer envelope marked “ORIGINAL BID.”
- 11.2 The Technical Part shall contain the following:
- (a) Letter of Bid – Technical Part, prepared in accordance with ITB 12;
 - (b) Bid Security or Bid-Securing Declaration, in accordance with ITB 19.1;

- (c) Alternative Bid - Technical Part: if permissible in accordance with ITB 13, the Technical Part of any Alternative Bid;
- (d) Authorization: written confirmation authorizing the signatory of the Bid to commit the Bidder, in accordance with ITB 20.3;
- (e) Qualifications: documentary evidence in accordance with ITB 17 establishing the Bidder's eligibility and qualifications;
- (f) Conformity: a technical proposal in accordance with ITB 16;
- (g) Sexual Exploitation and Abuse (SEA), and/or Sexual Harassment (SH) Declaration using the form included in Section IV, Bidding Forms; and
- (h) any other document required **in the BDS**.

11.3 The Financial Part shall contain the following:

- (a) Letter of Bid – Financial Part: prepared in accordance with ITB 12 and ITB 14;
- (b) Schedules including priced Bill of Quantities, completed in accordance with ITB 12 and ITB 14;
- (c) Alternative Bid - Financial Part: if permissible in accordance with ITB 13, the Financial Part of any Alternative Bid; and
- (d) any other document required **in the BDS**.

11.4 The Technical Part shall not include any information related to the Bid price. Where material financial information related to the Bid price is contained in the Technical Part the Bid shall be declared non-responsive.

11.5 The Bidder shall furnish in the Letter of Bid-Technical Part the names of three potential DAAB members and attach their curriculum vitae. The list of potential DAAB members proposed by the Employer (Contract Data 21.1) and by the Bidder (Letter of Bid) shall be subject to Bank's No-objection.

11.6 In addition to the requirements under ITB 11.2, Bids submitted by a JV shall include in the Technical Part a copy of the Joint Venture Agreement entered into by all members. Alternatively, a letter of intent to execute a Joint Venture Agreement in the event of a successful Bid shall be signed by all members and submitted with the Bid, together with a copy of the proposed Agreement.

- 11.7 The Bidder shall furnish in the Letter of Bid- Financial Part information on commissions and gratuities, if any, paid or to be paid to agents or any other party relating to this Bid.
- 12. Letters of Bid and Schedules**
- 12.1 The Letter of Bid- Technical Part, Letter of Bid- Financial Part and Schedules, including the Bill of Quantities, shall be prepared using the relevant forms furnished in Section IV, Bidding Forms. The forms must be completed without any alterations to the text, and no substitutes shall be accepted except as provided under ITB 20.3. All blank spaces shall be filled in with the information requested.
- 13. Alternative Bids**
- 13.1 Unless otherwise specified **in the BDS**, alternative Bids shall not be considered.
- 13.2 When alternative times for completion are explicitly invited, a statement to that effect will be included **in the BDS**, and the method of evaluating different alternative times for completion will be described in Section III, Evaluation and Qualification Criteria.
- 13.3 Except as provided under ITB 13.4 below, Bidders wishing to offer technical alternatives to the requirements of the Bidding document must first price the Employer’s design as described in the Bidding document and shall further provide all information necessary for a complete evaluation of the alternative by the Employer, including drawings, design calculations, technical specifications, breakdown of prices, and proposed construction methodology and other relevant details. Only the technical alternatives, if any, of the Bidder with the Most Advantageous Bid conforming to the basic technical requirements shall be considered by the Employer.
- 13.4 When specified **in the BDS**, Bidders are permitted to submit alternative technical solutions for specified parts of the Works, and such parts will be identified **in the BDS**, as will the method for their evaluating, and described in Section VII, Works’ Requirements.
- 14. Bid Prices and Discounts**
- 14.1 The prices and discounts (including any price reduction) quoted by the Bidder in the Letter of Bid- Financial Part and in the Bill of Quantities shall conform to the requirements specified below.
- 14.2 The Bidder shall fill in rates and prices for all items of the Works described in the Bill of Quantities. Items against which no rate or price is entered by the Bidder shall be deemed covered by the rates for other items in the Bill of Quantities and

will not be paid for separately by the Employer. An item not listed in the priced Bill of Quantities shall be assumed to be not included in the Bid, and provided that the Bid is determined substantially responsive notwithstanding this omission, the average price of the item quoted by substantially responsive Bidders will be added to the Bid price and the equivalent total cost of the Bid so determined will be used for price comparison.

- 14.3 The price to be quoted in the Letter of Bid- Financial Part, in accordance with ITB 12.1, shall be the total price of the Bid, excluding any discounts offered.
- 14.4 The Bidder shall quote any discounts and the methodology for their application in the Letter of Bid- Financial Part, in accordance with ITB 12.1.
- 14.5 Unless otherwise specified **in the BDS** and the Conditions of Contract, the rates and prices quoted by the Bidder are subject to adjustment during the performance of the Contract in accordance with the provisions of the Conditions of Contract. In such a case, the Bidder shall furnish the indices and weightings for the price adjustment formulae in the Table of Adjustment Data and the Employer may require the Bidder to justify its proposed indices and weightings.
- 14.6 If so specified in ITB 1.1, Bids are being invited for individual lots (contracts) or for any combination of lots (packages). Bidders wishing to offer discounts for the award of more than one Contract shall specify in their Bid the price reductions applicable to each package, or alternatively, to individual Contracts within the package. Discounts shall be submitted in accordance with ITB 14.4, provided the Bids for all lots (contracts) are opened at the same time. **However, discounts on condition of award of more than one contract will not be used for Bid evaluation purpose.**
- 14.7 All duties, taxes, and other levies payable by the Contractor under the Contract, or for any other cause, as of the date 28 days prior to the deadline for submission of Bids, shall be included in the rates and prices and the total Bid Price submitted by the Bidder.

- 15. Currencies of Bid and Payment**
- 15.1 The currency (ies) of the Bid and the currency (ies) of payments shall be the same and shall be as specified **in the BDS**.
- 15.2 Bidders may be required by the Employer to justify, to the Employer’s satisfaction, their local and foreign currency requirements, and to substantiate that the amounts included in the unit rates and prices and shown in the Table of Adjustment Data in the Appendix to Bid are reasonable, in which case a detailed breakdown of the foreign currency requirements shall be provided by Bidders.
- 16. Documents Comprising the Technical Proposal**
- 16.1 The Bidder shall furnish a technical proposal in the Technical Part of the Bid including a statement of work methods, equipment, personnel, schedule, and any other information as stipulated in Section IV, Bidding Forms, in sufficient detail to demonstrate the adequacy of the Bidder’s proposal to meet the work’s requirements and the completion time.
- 17. Documents Establishing the Eligibility and Qualifications of the Bidder**
- 17.1 To establish Bidder’s eligibility in accordance with ITB 4, Bidders shall complete the Letter of Bid- Technical Part, included in Section IV, Bidding Forms.
- 17.2 To establish its qualifications to perform the Contract in accordance with Section III, Evaluation and Qualification Criteria, the Bidder shall provide the information requested in the corresponding information sheets included in Section IV, Bidding Forms.
- 17.3 If a margin of preference applies as specified in accordance with ITB 36.2, domestic Bidders, individually or in joint ventures, applying for eligibility for domestic preference shall supply all information required to satisfy the criteria for eligibility specified in accordance with ITB 36.2.
- 17.4 Any change in the structure or formation of a Bidder after being prequalified and invited to Bid, if applicable, (including, in the case of a JV, any change in the structure or formation of any member and also including any change in any specialized subcontractor whose qualifications were considered to prequalify the Applicant) shall be subject to the written approval of the Employer prior to the deadline for submission of Bids. Such approval shall be denied if (i) a Bidder proposes to associate with a disqualified Bidder or in case of a disqualified joint venture, any of its members; (ii) as a consequence of the change, the Bidder no longer substantially meets the qualification criteria; or (iii) in the opinion of the Employer, the change may result in a substantial reduction in competition. Any such change should be submitted to the Employer not later than

fourteen (14) days after the date of the notice for RFB sent to the prequalified Bidders.

Subcontractors

- 17.5 Unless otherwise stated **in the BDS**, the Employer does not intend to execute any specific elements of the Works by subcontractors selected in advance by the Employer.
- 17.6 Bidders may propose subcontracting up to the percentage of total value of contracts or the volume of works as specified **in the BDS**. Subcontractors proposed by the Bidder shall be fully qualified for their parts of the Works.
- 17.7 In case prequalification has not been carried, for the purpose of assessment of qualifications of the Bidder, subcontractor's qualifications shall not be used by the Bidder to qualify for the Works unless their specialized parts of the Works are designated by the Employer **in the BDS** as can be met by subcontractors referred to hereafter as Specialized Subcontractors, in which case, the specific experience of the Specialized Subcontractors proposed by the Bidder, as specified in Section III-A, Qualification, may be considered in the assessment of the qualifications of the Bidder. The general experience and financial resources of the Specialized Subcontractors shall not be added to those of the Bidder for purposes of qualification of the Bidder.
- 17.8 In case prequalification has been carried out, subject to ITB 17.4, the Bidder's Bid shall name the same specialized subcontractor as submitted in the prequalification application and approved by the Employer.
- 18. Period of Validity of Bids**
- 18.1 Bids shall remain valid until the date specified **in the BDS** or any extended date if amended by the Employer in accordance with ITB 8. A Bid that is not valid until the date specified **in the BDS**, or any extended date if amended by the Employer in accordance with ITB 8, shall be rejected by the Employer as nonresponsive.
- 18.2 In exceptional circumstances, prior to the date of expiration of the Bid validity, the Employer may request Bidders to extend the period of validity of their Bids. The request and the responses shall be made in writing. If a Bid Security is requested in accordance with ITB 19, it shall also be extended for twenty-eight (28) days beyond the extended date for bid validity. A Bidder may refuse the request without forfeiting its Bid security. A Bidder granting the request shall not be required or permitted to modify its Bid, except as provided in ITB 18.3.

18.3 If the award is delayed by a period exceeding fifty-six (56) days beyond the date of expiry of the Bid validity specified in accordance with ITB 18.1, the Contract price shall be determined as follows:

- (a) in the case of **fixed price** contracts, the Contract price shall be the Bid price adjusted by the factor specified **in the BDS**;
- (b) in the case of **adjustable price** contracts, no adjustment shall be made; or
- (c) in any case, Bid evaluation shall be based on the Bid price without taking into consideration the applicable correction from those indicated above.

19. Bid Security

19.1 The Bidder shall furnish as part of the Technical Part of its Bid, either a Bid-Securing Declaration or a Bid Security as specified **in the BDS**, in original form and, in the case of a Bid Security, in the amount and currency specified **in the BDS**.

19.2 A Bid-Securing Declaration shall use the form included in Section IV, Bidding Forms.

19.3 If a Bid Security is specified pursuant to ITB 19.1, the Bid Security shall be a demand guarantee in any of the following forms at the Bidder's option:

- (a) an unconditional guarantee issued by a bank or non-bank financial institution (such as an insurance, bonding, or surety company);
- (b) an irrevocable letter of credit;
- (c) a cashier's or certified check; or
- (d) another security specified **in the BDS**,

from a reputable source from an eligible country. If an unconditional guarantee is issued by a non-bank financial institution located outside the Employer's Country, the issuing non-bank financial institution shall have a correspondent financial institution located in the Employer's Country to make it enforceable unless the Employer has agreed in writing, prior to Bid submission, that a correspondent financial institution is not required. In the case of a bank guarantee, the Bid Security shall be submitted either using the Bid Security Form included in Section IV, Bidding Forms, or in another substantially similar format approved by the Employer prior to Bid submission. The Bid Security shall be valid for twenty-eight

(28) days beyond the original date of expiry of the Bid validity, or beyond any extended date if requested under ITB 18.2.

19.4 If a Bid Security or Bid-Securing Declaration is specified pursuant to ITB 19.1, any Bid not accompanied by a substantially responsive Bid Security or Bid-Securing Declaration shall be rejected by the Employer as non-responsive.

19.5 If a Bid Security is specified pursuant to ITB 19.1, the Bid Security of unsuccessful Bidders shall be returned as promptly as possible upon the successful Bidder's signing the Contract and furnishing the Performance Security and if required **in the BDS**, the Environmental and Social (ES) Performance Security pursuant to ITB 49.

19.6 The Bid Security of the successful Bidder shall be returned as promptly as possible once the successful Bidder has signed the Contract and furnished the required Performance Security, and if required **in the BDS**, the Environmental and Social (ES) Performance Security.

19.7 The Bid Security may be forfeited:

- (a) if a Bidder withdraws its Bid prior to the expiry date of the Bid validity specified by the Bidder on the Letter of Bid, or any extended date provided by the Bidder; or
- (b) if the successful Bidder fails to:
 - (i) sign the Contract in accordance with ITB 48; or
 - (ii) furnish a Performance Security and if required **in the BDS**, the Environmental and Social (ES) Performance Security in accordance with ITB 49.

19.8 The Bid Security or the Bid-Securing Declaration of a JV shall be in the name of the JV that submits the Bid. If the JV has not been legally constituted into a legally enforceable JV at the time of Bidding, the Bid Security or the Bid-Securing Declaration shall be in the names of all future members as named in the letter of intent referred to in ITB 4.1 and ITB 11.6.

19.9 If a Bid Security is not required **in the BDS**, pursuant to ITB 19.1, and:

- (a) if a Bidder withdraws its Bid prior to the expiry date of the Bid validity specified by the Bidder on the Letter of Bid or any extended date provided by the Bidder; or
- (b) if the successful Bidder fails to:

- (i) sign the Contract in accordance with ITB 48; or
- (ii) furnish a Performance Security and, if required **in the BDS**, the Environmental and Social (ES) Performance Security in accordance with ITB 49,

the Borrower may, if provided for **in the BDS**, declare the Bidder ineligible to be awarded a contract by the Employer for a period of time stated **in the BDS**.

20. Format and Signing of Bid

20.1 The Bidder shall prepare the Bid, in accordance with this Instruction, ITB 11 and ITB 21.

20.2 Bidders shall mark as “CONFIDENTIAL” all information in their Bids which is confidential to their business. This may include proprietary information, trade secrets, or commercial or financially sensitive information.

20.3 The original and all copies of the Bid shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Bidder. This authorization shall consist of a written confirmation as specified **in the BDS** and shall be attached to the Bid. The name and position held by each person signing the authorization must be typed or printed below the signature. All pages of the Bid where entries or amendments have been made shall be signed or initialed by the person signing the Bid.

20.4 In case the Bidder is a JV, the Bid shall be signed by an authorized representative of the JV on behalf of the JV, and so as to be legally binding on all the members as evidenced by a power of attorney signed by their legally authorized representatives.

20.5 Any inter-lineation, erasures, or overwriting shall be valid only if they are signed or initialed by the person signing the Bid.

D. Submission of Bids

21. Sealing and Marking of Bids

21.1 The Bidder shall deliver the Bid in two separate, sealed envelopes (the Technical Part and the Financial Part.) These two envelopes shall be enclosed in a separate sealed outer envelope marked “Original BID.” In addition, the Bidder shall submit copies of the Bid in the number specified **in the BDS**. Copies of the Technical Part shall be placed in a separate sealed envelope marked “COPIES: TECHNICAL PART.” Copies of the Financial Part shall be placed in a separate sealed envelope marked “COPIES: FINANCIAL PART.” The Bidder shall place

both of these envelopes in a separate, sealed outer envelope marked “BID COPIES.” In the event of any discrepancy between the original and the copies, the original shall prevail.

21.2 If alternative Bids are permitted in accordance with ITB 13, the alternative Bids shall be submitted as follows: the original of the alternative Bid Technical Part shall be placed in a sealed envelope marked “ALTERNATIVE BID – TECHNICAL PART” and the Financial Part shall be placed in a sealed envelope marked “ALTERNATIVE BID – FINANCIAL PART” and these two separate sealed envelopes then enclosed within a sealed outer envelope marked “ALTERNATIVE BID – ORIGINAL”, the copies of the alternative Bid will be placed in separate sealed envelopes marked “ALTERNATIVE BID – COPIES OF TECHNICAL PART”, and “ALTERNATIVE BID – COPIES OF FINANCIAL PART” and enclosed in a separate sealed outer envelope marked “ALTERNATIVE BID - COPIES”.

21.3 The envelopes marked “ORIGINAL BID” and “BID COPIES” (and, if appropriate, a third envelope marked “ALTERNATIVE BID”) shall be enclosed in a separate sealed outer envelope for submission to the Employer.

21.4 All inner and outer envelopes, shall:

- (a) bear the name and address of the Bidder;
- (b) be addressed to the Employer in accordance with ITB 22.1;
- (c) bear the specific identification of this Bidding process indicated in ITB 1.1; and
- (d) bear a warning not to open before the time and date for Bid opening.

21.5 If all envelopes are not sealed and marked as required, the Employer will assume no responsibility for the misplacement or premature opening of the Bid.

22. Deadline for Submission of Bids

22.1 Bids must be received by the Employer at the address and no later than the date and time specified **in the BDS**. When so specified **in the BDS**, Bidders shall have the option of submitting their Bids electronically. Bidders submitting Bids electronically shall follow the electronic Bid submission procedures specified **in the BDS**.

22.2 The Employer may, at its discretion, extend the deadline for the submission of Bids by amending the Bidding document in accordance with ITB 8, in which case all rights and obligations

of the Employer and Bidders previously subject to the deadline shall thereafter be subject to the deadline as extended.

23. Late Bids

23.1 The Employer shall not consider any Bid that arrives after the deadline for submission of Bids, in accordance with ITB 22. Any Bid received by the Employer after the deadline for submission of Bids shall be declared late, rejected, and returned unopened to the Bidder.

24. Withdrawal, Substitution, and Modification of Bids

24.1 A Bidder may withdraw, substitute, or modify its Bid after it has been submitted by sending a written notice, duly signed by an authorized representative, and shall include a copy of the authorization in accordance with ITB 20.3, (except that withdrawal notices do not require copies). The corresponding substitution or modification of the Bid must accompany the respective written notice. All notices must be:

(a) prepared and submitted in accordance with ITB 20 and ITB 21 (except that withdrawals notices do not require copies), and in addition, the respective envelopes shall be clearly marked “WITHDRAWAL,” “SUBSTITUTION,” “MODIFICATION;” and

(b) received by the Employer prior to the deadline prescribed for submission of Bids, in accordance with ITB 22.

24.2 Bids requested to be withdrawn in accordance with ITB 24.1 shall be returned unopened to the Bidders.

24.3 No Bid may be withdrawn, substituted, or modified in the interval between the deadline for submission of Bids and the date of expiry of Bid validity specified by the Bidder on the Letter of Bid or any extended date thereof.

E. Public Opening of Technical Parts of Bids

25. Public Opening of Technical Parts of Bids

25.1 Except in the cases specified in ITB 23 and ITB 24.2, the Employer shall publicly open and read out all Bids received by the deadline, at the date, time and place specified **in the BDS**, in the presence of Bidders` designated representatives and anyone who chooses to attend. Any specific electronic Bid opening procedures required if electronic Bidding is permitted in accordance with ITB 22.1, shall be as specified **in the BDS**.

25.2 First, envelopes marked “WITHDRAWAL” shall be opened and read out and the envelope with the corresponding Bid shall not be opened but returned to the Bidder. No Bid withdrawal shall be permitted unless the corresponding withdrawal notice

contains a valid authorization to request the withdrawal and is read out at Bid opening.

- 25.3 Next, envelopes marked “SUBSTITUTION” shall be opened and read out and exchanged with the corresponding Bid being substituted, and the substituted Bid shall not be opened, but returned to the Bidder. No Bid substitution shall be permitted unless the corresponding substitution notice contains a valid authorization to request the substitution and is read out at Bid opening.
- 25.4 Next, envelopes marked “MODIFICATION” shall be opened and read out with the corresponding Bid. No Bid modification shall be permitted unless the corresponding modification notice contains a valid authorization to request the modification and is read out at Bid opening.
- 25.5 Next, all other envelopes marked “TECHNICAL PART” shall be opened one at a time. All envelopes marked “SECOND ENVELOPE: Financial PART” shall remain sealed and kept by the Employer in safe custody until they are opened at a later public opening, following the evaluation of the Technical Part parts of the Bids. On opening the envelopes marked “TECHNICAL PART” the Employer shall read out: the name of the Bidder, the presence or the absence of a Bid Security, or Bid-Securing Declaration, if required, and whether there is a modification; and Alternative Bid - Technical Part; and any other details as the Employer may consider appropriate.
- 25.6 Only Technical Parts of Bids and Alternative Bid - Technical Parts that are read out at Bid opening shall be considered further for evaluation. The Letter of Bid- Technical Part and the separate sealed envelope marked “SECOND ENVELOPE: FINANCIAL PART” are to be initialed by representatives of the Employer attending Bid opening in the manner specified **in the BDS**.
- 25.7 The Employer shall neither discuss the merits of any Bid nor reject any Bid (except for late Bids, in accordance with ITB 23.1).
- 25.8 The Employer shall prepare a record of the Technical Parts of Bid opening that shall include, as a minimum:
- (a) the name of the Bidder and whether there is a withdrawal, substitution, or modification;
 - (b) the receipt of envelopes marked “SECOND ENVELOPE: FINANCIAL PART;”

(c) if applicable, any alternative Bid- Technical Part;

(d) the presence or absence of a Bid Security if one was required.

25.9 The Bidders' representatives who are present shall be requested to sign the record. The omission of a Bidder's signature on the record shall not invalidate the contents and effect of the record. A copy of the record shall be distributed to all Bidders.

F. Evaluation of Bids- General Provisions

26. Confidentiality

26.1 Information relating to the evaluation of the Technical Part shall not be disclosed to Bidders or any other persons not officially concerned with the Bidding process until the notification of evaluation of the Technical Part in accordance with ITB 33. Information relating to the evaluation of Financial Part, the evaluation of combined Technical Part and Financial Part, and recommendation of contract award shall not be disclosed to Bidders or any other persons not officially concerned with the RFB process until the Notification of Intention to Award the Contract is transmitted to Bidders in accordance with ITB 44.

26.2 Any effort by a Bidder to influence the Employer in the evaluation of the Bids or Contract award decisions may result in the rejection of its Bid.

26.3 Notwithstanding ITB 26.2, from the time of Bid opening to the time of Contract award, if a Bidder wishes to contact the Employer on any matter related to the Bidding process, it shall do so in writing.

27. Clarification of Bids

27.1 To assist in the examination, evaluation, and comparison of the Bids, and qualification of the Bidders, the Employer may, at its discretion, ask any Bidder for a clarification of its Bid, given a reasonable time for a response. Any clarification submitted by a Bidder that is not in response to a request by the Employer shall not be considered. The Employer's request for clarification and the response shall be in writing. No change, including any voluntary increase or decrease, in the prices or substance of the Bid shall be sought, offered, or permitted, except to confirm the correction of arithmetic errors discovered by the Employer in the evaluation of the Bids, in accordance with ITB 35.

27.2 If a Bidder does not provide clarifications of its Bid by the date and time set in the Employer’s request for clarification, its Bid may be rejected.

28. Deviations, Reservations, and Omissions

28.1 During the evaluation of Bids, the following definitions apply:

- (a) “Deviation” is a departure from the requirements specified in the Bidding document;
- (b) “Reservation” is the setting of limiting conditions or withholding from complete acceptance of the requirements specified in the Bidding document; and
- (c) “Omission” is the failure to submit part or all of the information or documentation required in the Bidding document.

29. Nonmaterial Nonconformities

29.1 Provided that a Bid is substantially responsive, the Employer may waive any nonmaterial nonconformities in the Bid.

29.2 Provided that a Bid is substantially responsive, the Employer may request that the Bidder submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial nonconformities in the Bid related to documentation requirements. Requesting information or documentation on such nonconformities shall not be related to any aspect of the price of the Bid. Failure of the Bidder to comply with the request may result in the rejection of its Bid.

G. Evaluation of Technical Part of Bids

30. Determination of Responsiveness of Technical Part

30.1 Preliminary examination of the Technical Part shall be carried out to identify proposals that are incomplete, invalid or substantially nonresponsive to the requirements of the Bidding documents. A substantially responsive Bid is one that materially confirms to the requirements of the Bidding document without material deviation, reservation, or omission. A material deviation, reservation, or omission is one that,

30.2 Preliminary examination of the Technical Part shall be carried out to identify proposals that are incomplete, invalid or substantially nonresponsive to the requirements of the Bidding documents. A substantially responsive Bid is one that materially confirms to the of the Bidding document without material deviation, reservation, or omission. A material deviation, reservation, or omission is one that,

- (a) if accepted, would:

- (i) affect in any substantial way the scope, quality, or performance of the Works specified in the Contract; or
 - (ii) limit in any substantial way, inconsistent with the Bidding document, the Employer's rights or the Bidder's obligations under the proposed Contract; or
- (b) if rectified, would unfairly affect the competitive position of other Bidders presenting substantially responsive Bids.

30.3 If the Technical Part is not substantially responsive to the requirements of the Bidding document, it shall be rejected by the Employer and may not subsequently be made responsive by correction of the material deviation, reservation, or omission.

31. Eligibility and Qualifications of the Bidder

31.1 The Employer shall determine to its satisfaction whether the Bidders that have been assessed to have submitted substantially responsive Bids are eligible, and either continue to meet (if prequalification applies) or meet (if prequalification has not been carried out), the qualifying criteria specified in Section III, Evaluation and Qualification Criteria.

31.2 The determination shall be based upon an examination of the documentary evidence of the Bidder's eligibility and qualifications submitted by the Bidder, pursuant to ITB 17. The determination shall not take into consideration the qualifications of other firms such as the Bidder's subsidiaries, parent entities, affiliates, subcontractors (other than Specialized Subcontractors if permitted in the bidding document), or any other firm.

31.3 Prior to Contract award, the Employer will verify that the successful Bidder (including each member of a JV) is not disqualified by the Bank due to noncompliance with contractual SEA/SH prevention and response obligations. The Employer will conduct the same verification for each subcontractor proposed by the successful Bidder. If any proposed subcontractor does not meet the requirement, the Employer will require the Bidder to propose a replacement subcontractor

31.4 Only substantially responsive bids submitted by eligible and qualified bidders shall proceed to the detailed technical evaluation specified in ITB 32.

32. Detailed Evaluation of Technical Part

32.1 The Employer's evaluation of Technical Part will be carried out as specified in Section III, Evaluation and Qualification Criteria.

32.2 The scores to be given to technical factors and sub factors are specified **in the BDS**.

H. Notification of Evaluation of Technical Parts and Public Opening of Financial Parts

33. Notification of Evaluation of Technical Parts and Public Opening of Financial Parts

33.1 Following the completion of the evaluation of the Technical Parts of the Bids, the Employer shall notify in writing those Bidders whose Bids were considered substantially non-responsive to the bidding document or failed to meet the eligibility and qualification requirements, advising them of the following information:

- (a) the grounds on which their Technical Part of Bid failed to meet the requirements of the bidding document;
- (b) their envelopes marked “SECOND ENVELOPE: FINANCIAL PART” will be returned to them unopened after the completion of the selection process and the signing of the Contract; and
- (c) notify them of the date, time and location of the public opening of the envelopes marked “SECOND ENVELOPE: FINANCIAL PART.”

33.2 The Employer shall, simultaneously, notify in writing those Bidders whose Technical Part have been evaluated as substantially responsive to the bidding document and met the eligibility and qualification requirements, advising them of the following information:

- (a) their Bid has been evaluated as substantially responsive to the bidding document and met the eligibility and qualification requirements;
- (b) their envelope marked “SECOND ENVELOPE: FINANCIAL PART” will be opened at the public opening of the Financial Parts; and
- (c) notify them of the date, time and location of the second public opening of the envelopes marked “SECOND ENVELOPE: FINANCIAL PART” as specified **in the BDS**.

33.3 The opening date shall be not less than ten (10) Business Days from the date of notification of the results of the technical evaluation, specified in ITB 33.1 and 33.2. However, if the Employer receives a complaint on the results of the technical evaluation within the ten (10) Business Days, the opening date shall be subject to ITB 50.1. The Financial Part of the

Bid shall be opened publicly in the presence of Bidders' designated representatives and anyone who chooses to attend.

- 33.4 At this public opening, the Financial Parts will be opened by the Employer in the presence of Bidders, or their designated representatives and anyone else who chooses to attend. Bidders who met the eligibility and qualification requirements and whose bids were evaluated as substantially responsive will have their envelopes marked "SECOND ENVELOPE: FINANCIAL PART" opened at the second public opening. Each of these envelopes marked "SECOND ENVELOPE: FINANCIAL PART" shall be inspected to confirm that they have remained sealed and unopened. These envelopes shall then be opened by the Employer. The Employer shall read out the names of each Bidder, the technical score and the total Bid prices, per lot (contract) if applicable, including any discounts and Alternative Bid - Financial Part, and any other details as the Employer may consider appropriate.
- 33.5 Only envelopes of Financial Part of Bids, Financial Parts of Alternative Bids and discounts that are opened and read out at Bid opening shall be considered further for evaluation. The Letter of Bid – Financial Part and the Priced Activity Schedules are to be initialed by a representative of the Employer attending the Bid opening in the manner specified **in the BDS**.
- 33.6 The Employer shall neither discuss the merits of any Bid nor reject any envelopes marked "SECOND ENVELOPE: FINANCIAL PART" at this public opening.
- 33.7 The Employer shall prepare a record of the Financial Part of the Bid opening that shall include, as a minimum:
- (a) the name of the Bidder whose Financial Part was opened;
 - (b) the Bid price, per lot (contract) if applicable, including any discounts; and
 - (c) if applicable, any Alternative Bid – Financial Part.
- 33.8 The Bidders whose envelopes marked "SECOND ENVELOPE: FINANCIAL PART" have been opened or their representatives who are present shall be requested to sign the record. The omission of a Bidder's signature on the record shall not invalidate the contents and effect of the record. A copy of the record shall be distributed to all Bidders.

I. Evaluation of Financial Part of Bids

34. Adjustments for Non-material Nonconformities

34.1 Provided that a Bid is substantially responsive, the Employer shall rectify quantifiable nonmaterial nonconformities related to the Bid Price. To this effect, the Bid Price shall be adjusted, for comparison purposes only, to reflect the price of a missing or non-conforming item or component by adding the average price of the item or component quoted by substantially responsive Bidders. If the price of the item or component cannot be derived from the price of other substantially responsive Bidders, the Employer shall use its best estimate.

35. Correction of Arithmetic Errors

35.1 In evaluating the Financial Part of each Bid, the Employer shall correct arithmetical errors on the following basis:

- (a) if there is a discrepancy between the unit price and the total price that is obtained by multiplying the unit price and quantity, the unit price shall prevail and the total price shall be corrected, unless in the opinion of the Employer there is an obvious misplacement of the decimal point in the unit price, in which case the total price as quoted shall govern and the unit price shall be corrected;
- (b) if there is an error in a total corresponding to the addition or subtraction of subtotals, the subtotals shall prevail, and the total shall be corrected; and
- (c) if there is a discrepancy between words and figures, the amount in words shall prevail, unless the amount expressed in words is related to an arithmetic error, in which case the amount in figures shall prevail subject to (a) and (b) above.

35.2 Bidders shall be requested to accept correction of arithmetical errors. Failure to accept the correction in accordance with ITB 35.1, shall result in the rejection of the Bid.

36. Conversion to Single Currency and Margin of Preference

36.1 For evaluation and comparison purposes, the currency(ies) of the Bid shall be converted into a single currency **as specified in the BDS**.

36.2 Unless otherwise stated **in the BDS**, a margin of preference Bidders¹ shall not apply

¹ An individual firm is considered a domestic Bidder for purposes of the margin of preference if it is registered in the country of the Employer, has more than 50 percent ownership by nationals of the country of the Employer, and if it does not subcontract

37. Evaluation Process, Financial Parts

37.1 To evaluate the Financial Part, the Employer shall consider the following:

- (a) the Bid price, excluding Provisional Sums and the provision, if any, for contingencies in the Summary Bill of Quantities, but including Daywork² items, where priced competitively;
- (b) price adjustment for correction of arithmetic errors in accordance with ITB 35;
- (c) price adjustment due to discounts offered in accordance with ITB 14.4;
- (d) converting the amount resulting from applying (a) to (c) above, if relevant, to a single currency in accordance with ITB 36.1;
- (e) price adjustment due to quantifiable nonmaterial nonconformities in accordance with ITB 34;
- (f) and the additional evaluation factors are specified in Section III, Evaluation and Qualification Criteria.

37.2 If price adjustment is allowed in accordance with ITB 14.5, the estimated effect of the price adjustment provisions of the Conditions of Contract, applied over the period of execution of the Contract, shall not be taken into account in Bid evaluation.

37.3 If this bidding document allows Bidders to quote separate prices for different lots (contracts), each lot will be evaluated separately to determine the Most Advantageous Bid using the methodology specified in Section III, Evaluation and Qualification Criteria. **Discounts that are conditional on the award of more than one lot or slice shall not be considered for Bid evaluation.**

38. Abnormally Low Bids

38.1 An Abnormally Low Bid is one where the Bid price, in combination with other elements of the Bid, appears so low that it raises material concerns as to the capability of the Bidder in regard to the Bidder's ability to perform the Contract for the offered Bid Price.

more than 10 percent of the contract price, excluding provisional sums, to foreign contractors. JVs are considered as domestic Bidders and eligible for domestic preference only if the individual member firms are registered in the country of the Employer or have more than 50 percent ownership by nationals of the country of the Employer, and the JV shall be registered in the country of the Borrower. The JV shall not subcontract more than 10 percent of the contract price, excluding provisional sums, to foreign firms. JVs between foreign and national firms will not be eligible for domestic preference.

² Daywork is work carried out following instructions of the Engineer and paid for on the basis of time spent by workers, and the use of materials and the Contractor's equipment, at the rates quoted in the Bid. For Daywork to be priced competitively for Bid evaluation purposes, the Employer must list tentative quantities for individual items to be costed against Daywork (e.g., a specific number of tractor driver staff-days, or a specific tonnage of Portland cement), to be multiplied by the Bidders' quoted rates and included in the total Bid price.

38.2 In the event of identification of a potentially Abnormally Low Bid, the Employer shall seek written clarifications from the Bidder, including detailed price analyses of its Bid price in relation to the subject matter of the contract, scope, proposed methodology, schedule, allocation of risks and responsibilities and any other requirements of the Bidding document.

38.3 After evaluation of the price analyses, in the event that the Employer determines that the Bidder has failed to demonstrate its capability to perform the Contract for the offered Bid Price, the Employer shall reject the Bid.

39. Unbalanced or Front Loaded Bids

39.1 If the Bid that is evaluated as the lowest evaluated cost is, in the Employer’s opinion, seriously unbalanced or front loaded, the Employer may require the Bidder to provide written clarifications. Clarifications may include detailed price analyses to demonstrate the consistency of the Bid prices with the scope of works, proposed methodology, schedule and any other requirements of the Bidding document.

39.2 After the evaluation of the information and detailed price analyses presented by the Bidder, the Employer may as appropriate:

(a) accept the Bid; or

(b) require that the total amount of the Performance Security be increased at the expense of the Bidder to a level not exceeding 20% of the Contract Price; or

(c) reject the Bid.

J. Evaluation of Combined Technical and Financial Parts, Most Advantageous Bid and Notification of Intention to Award

40. Evaluation of combined Technical and Financial Parts

40.1 The Employer’s evaluation of responsive Bids will take into account technical factors, in addition to cost factors in accordance with Section III Evaluation and Qualification Criteria. The weight to be assigned for the Technical factors and cost is specified **in the BDS**. The Employer will rank the Bids based on the evaluated Bid score (B).

41. Most Advantageous Bid

41.1 The Employer shall determine the Most Advantageous Bid. The Most Advantageous Bid is the Bid of the Bidder that meets the Qualification Criteria and whose Bid has been determined to be substantially responsive to the Bidding document and is the Bid with the highest combined technical and financial score.

- 42. Employer’s Right to Accept Any Bid, and to Reject Any or All Bids** 42.1 The Employer reserves the right to accept or reject any Bid and to annul the Bidding process and reject all Bids at any time prior to Contract Award, without thereby incurring any liability to Bidders. In case of annulment, all Bids submitted and specifically, Bid securities, shall be promptly returned to the Bidders.
- 43. Standstill Period** 43.1 The Contract shall not be awarded earlier than the expiry of the Standstill Period. The Standstill Period shall be ten (10) Business Days unless extended in accordance with ITB 47. The Standstill Period commences the day after the date the Employer has transmitted to each Bidder the Notification of Intention to Award the Contract. Where only one Bid is submitted, or if this contract is in response to an emergency situation recognized by the Bank, the Standstill Period shall not apply.
- 44. Notification of Intention to Award** 44.1 The Employer shall send to each Bidder the Notification of Intention to Award the Contract to the successful Bidder. The Notification of Intention to Award shall contain, at a minimum, the following information:
- (a) the name and address of the Bidder submitting the successful Bid;
 - (b) the Contract price of the successful Bid;
 - (c) the total combined score of the successful Bid;
 - (d) the names of all Bidders who submitted Bids, and their Bid prices as readout, and as evaluated and technical scores;
 - (e) a statement of the reason(s) the Bid (of the unsuccessful Bidder to whom the notification is addressed) was unsuccessful;
 - (f) the expiry date of the Standstill Period; and
 - (g) instructions on how to request a debriefing and/or submit a complaint during the standstill period.

K. Award of Contract

- 45. Award Criteria** 45.1 Subject to ITB 42.1, the Employer shall award the Contract to the successful Bidder. This is the Bidder whose Bid has been determined to be the Most Advantageous Bid.
- 46. Notification of Award** 46.1 Prior to the date of expiry of the bid validity, and upon expiry of the Standstill Period specified in ITB 43.1 or any extension

thereof, and, upon satisfactorily addressing any complaint that has been filed within the Standstill Period, the Employer shall notify the successful Bidder, in writing, that its Bid has been accepted. The notification of award (hereinafter and in the Conditions of Contract and Contract Forms called the “Letter of Acceptance”) shall specify the sum that the Employer will pay the Contractor in consideration of the execution of the Contract (hereinafter and in the Conditions of Contract and Contract Forms called “the Contract Price”).

46.2 Within ten (10) Business Days after the date of transmission of the Letter of Acceptance, the Employer shall publish the Contract Award Notice which shall contain, at a minimum, the following information:

- (a) name and address of the Employer;
- (b) name and reference number of the contract being awarded, and the selection method used;
- (c) names of all Bidders that submitted Bids, and their Bid prices as read out at Bid opening, and as evaluated;
- (d) names of all Bidders whose Bids were rejected, with the reasons therefor;
- (e) the name of the successful Bidder, the final total contract price, the contract duration and a summary of its scope; and
- (f) successful Bidder’s Beneficial Ownership Disclosure Form.

46.3 The Contract Award Notice shall be published on the Employer’s website with free access if available, or in at least one newspaper of national circulation in the Employer’s Country, or in the official gazette. The Employer shall also publish the contract award notice in UNDB online.

46.4 Until a formal Contract is prepared and executed, the Letter of Acceptance shall constitute a binding Contract.

47. Debriefing by the Employer

47.1 On receipt of the Employer’s Notification of Intention to Award referred to in ITB 44.1, an unsuccessful Bidder has three (3) Business Days to make a written request to the Employer for a debriefing. The Employer shall provide a debriefing to all unsuccessful Bidders whose request is received within this deadline.

47.2 Where a request for debriefing is received within the deadline, the Employer shall provide a debriefing within five (5) Business Days, unless the Employer decides, for justifiable

reasons, to provide the debriefing outside this timeframe. In that case, the standstill period shall automatically be extended until five (5) Business Days after such debriefing is provided. If more than one debriefing is so delayed, the standstill period shall not end earlier than five (5) Business Days after the last debriefing takes place. The Employer shall promptly inform, by the quickest means available, all Bidders of the extended standstill period.

47.3 Where a request for debriefing is received by the Employer later than the three (3) Business Day deadline, the Employer should provide the debriefing as soon as practicable, and normally no later than fifteen (15) Business Days from the date of publication of Public Notice of Award of contract. Requests for debriefing received outside the three (3)-day deadline shall not lead to extension of the standstill period.

47.4 Debriefings of unsuccessful Bidders may be done in writing or verbally. The Bidder shall bear its own costs of attending such a debriefing meeting.

48. Signing of Contract

48.1 The Employer shall send to the successful Bidder the Letter of Acceptance including the Contract Agreement, and a request to submit the Beneficial Ownership Disclosure Form providing additional information on its beneficial ownership. The Beneficial Ownership Disclosure Form shall be submitted within eight (8) Business Days of receiving this request.

48.2 The successful Bidder shall sign, date and return to the Employer, the Contract Agreement within twenty-eight (28) days of its receipt.

49. Performance Security

49.1 Within twenty-eight (28) days of the receipt of the Letter of Acceptance from the Employer, the successful Bidder shall furnish the Performance Security and, if required **in the BDS**, the Environmental and Social (ES) Performance Security in accordance with the Conditions of Contract, subject to ITB 39.2 (b), using for that purpose the Performance Security and ES Performance Security Forms included in Section X, Contract Forms, or another form acceptable to the Employer. If the Performance Security furnished by the successful Bidder is in the form of a bond, it shall be issued by a bonding or insurance company that has been determined by the successful Bidder to be acceptable to the Employer. A foreign institution providing a bond shall have a correspondent financial institution located in the Employer's Country, unless the Employer has agreed in writing that a correspondent financial institution is not required.

49.2 Failure of the successful Bidder to submit the above-mentioned Performance Security and, if required **in the BDS**, the Environmental and Social (ES) Performance Security, or sign the Contract shall constitute sufficient grounds for the annulment of the award and forfeiture of the Bid Security. In that event the Employer may award the Contract to the Bidder offering the next Most Advantageous Bid.

**50. Procurement
Related Complaint**

50.1 The procedures for making a Procurement-related Complaint are as specified **in the BDS**.

Section II - Bid Data Sheet (BDS)

The following specific data for the Works to be procured shall complement, supplement, or amend the provisions in the Instructions to Bidders (ITB). Whenever there is a conflict, the provisions herein shall prevail over those in ITB.

A. General

ITB 1.1	<p>The reference number of the Request for Bids (RFB) is: LOT 1: MWE/WRKS/23-24/00008/1 LOT 2: MWE/WRKS/23-24/00008/2 LOT 3: MWE/WRKS/23-24/00008/3</p> <p>The Employer is: Ministry of Water and Environment</p> <p>The name of the RFB is: Civil Works for Construction of Solar Powered Piped Water Supply and Sanitation Systems in three (3);</p> <p>The works in the RGCs in each lot will be executed concurrently.</p> <p>The number and identification of lots (contracts) comprising this RFB is: Two</p> <p>NOTE: Bidders submitting bids for more than one (1) Lot MUST demonstrate capacity to undertake/execute more than one contract concurrently in terms of Personnel, Equipment and Financial Resources</p> <p>LOT 1: 2No. Rural Growth Centres of Lefori & Laropi in Moyo Refugee Hosting District LOT 2: 2No. Rural Growth Centres of Lomunga & Lobe in Yumbe Refugee Hosting District. LOT 3: 1No. Rural Growth Centre of Goboro in Yumbe Refugee Hosting District</p> <p>The scope of construction work is summarized below and described in detail in section VII</p> <p><u>LOT 1: Construction of 2No. Rural Growth Centres of Gwere (Lefori) & Laropi in Moyo Refugee Hosting District.</u></p> <p>1. <u>GWERE (LEFORI) RGC</u></p> <p>Installation and Commissioning of Solar powered and utility grid connected submersible pump at 2No. pumping <u>station, 46.8km of transmission and distribution network, 11.8Km of Intensification network, 1No. steel reservoir, 1No. Water treatment plant, 1No. Office, 453No. public Stand posts/ Consumer connections, 5No. VIP latrines.</u></p> <p>2. <u>LAROPI RGC</u></p> <p>Installation and Commissioning of Solar powered and utility grid connected submersible pump at 1No. pumping <u>station, 20.2km of transmission and distribution network, 13Km of Intensification network, 1No. steel reservoir, 1No. Water treatment plant, 1No. Office,</u></p>
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	<p>624No. public Stand posts/ Consumer connections, 4No. VIP latrines and Supply of tools and equipment for operation and maintenance of the water supply systems in Gwere and Laropi Rural Growth Centres.</p> <p><u>LOT 2: Construction of 2No. Rural Growth Centres of Lomunga & Lobe in Yumbe Refugee Hosting District.</u></p> <p><u>1. LOMUNGA RGC</u></p> <p>Installation and Commissioning of Solar powered and utility grid connected submersible pump at 1No. pumping station, <u>Construction of 1No. Staff house, 19.5km of transmission and distribution network, 3Km of Intensification network, 1No. steel reservoir, 1No. Water treatment plant, 1No. Office, 250No. public Stand posts/ Consumer connections and 8No. WBT and Supply of tools and equipment for operation and maintenance of the water supply systems in Lomunga Rural Growth Centre .</u></p> <p><u>2. LOBE RGC</u></p> <p>Installation and Commissioning of Solar powered and utility grid connected submersible pump at 1No. pumping station, <u>25.0km of transmission and distribution network, 3Km of Intensification network, 1No. steel reservoir, 1No. Water treatment plant, 70No. public Stand posts/ Consumer connections and Supply the tools and equipment for operation and maintenance of the water supply systems in Lobe Rural Growth Centre (RGC).</u></p> <p><u>LOT 3: Construction of 1No. Rural Growth Centres of Goboro in Yumbe Refugee Hosting District.</u></p> <p><u>1. GOBORO RGC</u></p> <p>Installation and Commissioning of Solar powered and utility grid connected submersible pump at 2No. pumping station, <u>construction of 2No.staff houses,10.6km of transmission and distribution network, 2Km of Intensification network, 1No. steel reservoir, 1No. Water treatment plant, 1No. Office, 100No. public Stand posts/ Consumer connections and 4No. VIP latrines and Supply of tools and equipment for operation and maintenance of the water supply systems in Goboro Rural Growth Centre</u></p>
ITB 2.1	<p>The Borrower is: Government of Uganda, represented by Ministry of Water and Environment</p> <p>Loan or Financing Agreement amount: US\$ 280 million</p> <p>The name of the Project is: Integrated Water Management and Development Project (IWMDP)</p>

ITB 4.1	Maximum number of members in the JV shall be: Two (2)																	
ITB 4.5	A list of debarred firms and individuals is available on the Bank’s external website: http://www.worldbank.org/debarr																	
B. Contents of Bidding Document																		
ITB 7.1	<p>For Clarification of Bid purposes only, the Employer’s address is: Attention: Head, Procurement and Disposal Unit Address: Ministry of Water and Environment Headquarters Plot 3-7, Kabalega Crescent, Luzira Kampala</p> <p>Floor/ Room number: Ground Floor</p> <p>City: Kampala</p> <p>Country: Uganda</p> <p>Electronic mail address okurutv@gmail.com with copies to mikhail.duncan@gmailmail.com, odekeivan15@gmail.com</p>																	
ITB 7.4	<p>Site Visit</p> <p>A site visit conducted by the Employer shall take place at the following date, time and place:</p> <table border="1" data-bbox="511 1035 1477 1493"> <thead> <tr> <th data-bbox="511 1035 699 1161">LOT</th> <th data-bbox="699 1035 959 1161">Scheme/ Supply Area</th> <th data-bbox="959 1035 1166 1161">Site Visitation Date</th> <th data-bbox="1166 1035 1477 1161">Meeting place and Time</th> </tr> </thead> <tbody> <tr> <td data-bbox="511 1161 699 1297" rowspan="2">LOT 1</td> <td data-bbox="699 1161 959 1234">Gwere</td> <td data-bbox="959 1161 1166 1297" rowspan="2">01/07/2024</td> <td data-bbox="1166 1161 1477 1297" rowspan="2">Gwere S/county headquarters; 10.00am</td> </tr> <tr> <td data-bbox="699 1234 959 1297">Laropi</td> </tr> <tr> <td data-bbox="511 1297 699 1493" rowspan="3">LOT 2 and LOT 3</td> <td data-bbox="699 1297 959 1371">Lobe</td> <td data-bbox="959 1297 1166 1493" rowspan="3">02/07/2024</td> <td data-bbox="1166 1297 1477 1493" rowspan="3">Lobe S/C Headquarters; 09.00a.m</td> </tr> <tr> <td data-bbox="699 1371 959 1423">Lomunga</td> </tr> <tr> <td data-bbox="699 1423 959 1493">Goboro</td> </tr> </tbody> </table> <p>Contact Person: Eng. Stanley Watenga-0772500976 and Eng. David Kabugo-0700779970</p> <p>Sites to be visited:</p> <ol style="list-style-type: none"> 1. Reservoir sites 2. Borehole sites 3. Water treatment plant sites 4. Selected sections of the Transmission, distribution and ancillary water supply network routes <p><i>The Bidder is encouraged to send experienced and competent personnel for the site visit for the purposes of assessing the risks, contingencies and</i></p>			LOT	Scheme/ Supply Area	Site Visitation Date	Meeting place and Time	LOT 1	Gwere	01/07/2024	Gwere S/county headquarters; 10.00am	Laropi	LOT 2 and LOT 3	Lobe	02/07/2024	Lobe S/C Headquarters; 09.00a.m	Lomunga	Goboro
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LOT 2 and LOT 3	Lobe	02/07/2024	Lobe S/C Headquarters; 09.00a.m															
	Lomunga																	
	Goboro																	

	<p><i>other circumstances which may influence or affect the bid or the works including, but not limited to; available services and infrastructure, ground conditions, applicable methods of construction for the various component of the works, sources of materials and delivery of resources to the site. The bidder is advised to plan adequately for the field visit round trip estimated at a total of 1,500km.</i></p> <p>A Pre-Bid meeting shall take place at the following date, time and place: Date: 4th July, 2024 Starting Time: 11:00 am (Ugandan Time) Place: Ministry Auditorium, Second Floor Ministry of Water and Environment Headquarters Plot 3-7, Kabalega Crescent, Luzira Kampala, Uganda.</p> <p>Email: watengastanley@gmail.com; okurutv@gmail.com</p> <p>The Employer will circulate the minutes of the Pre-Bid Meeting to all the Bidders (or their representatives) in attendance and to bidders that purchased the bidding documents. The minutes of the Pre-Bid meeting will address all questions (clarifications) that arise during the site visits and meeting discussions.</p>
ITB 7.6	Web page: N/A
C. Preparation of Bids	
ITB 10.1	<p>The language of the Bid is: English.</p> <p>All correspondence exchange shall be in English language.</p> <p>Language for translation of supporting documents and printed literature is English.</p>
ITB 11.2 (h)	<p>The Bidder shall submit the following additional documents in its Bid:</p> <p>(i) Forced Labor Declaration using the form included in Section IV, Bidding Forms</p> <p>(ii) For contracts cited as specific experience, the Bidder shall include in its bid the contact information including telephone number, fax number, email address and physical location of previous employers as well as the address of the location of the works. All the contact details provided must be reachable. Failure to reach any previous employer will result in disqualification of the bid.</p> <p>Code of Conduct for Contractor’s Personnel (ES)</p>

	<p>The Bidder shall submit its Code of Conduct that will apply to Contractor’s Personnel (as defined in Sub-Clause 1.1.17 of the General Conditions of Contract), to ensure compliance with the Contractor’s Environmental and Social (ES) obligations under the Contract. The Bidder shall use for this purpose the Code of Conduct form provided in Section IV. No substantial modifications shall be made to this form, except that the Bidder may introduce additional requirements, including as necessary to take into account specific Contract issues/risks.</p> <p>Management Strategies and Implementation Plans (MSIP) to manage the (ES) risks</p> <p>The Bidder shall submit Management Strategies and Implementation Plans (MSIPs) to manage the following key Environmental and Social (ES) risks:</p> <ul style="list-style-type: none"> i. Traffic Management Plan to ensure safety of local communities from construction traffic. ii. Water Resource Protection Plan to prevent contamination of drinking water. iii. Management plan to prevent unauthorized access to the sites. iv. Management plan for deep excavations, e.g. warning tape. v. Strategy for obtaining Consents/Permits prior to the start of relevant works such as opening a quarry or borrow pit. vi. Gender based violence and sexual exploitation and abuse (GBV/SEA) prevention and response action plan. <p>The Contractor shall be required to submit for approval, and subsequently implement, the Contractor’s Environment and Social Management Plan (C-ESMP), in accordance with the Particular Conditions of Contract Sub-Clause 4.1, that includes the agreed Management Strategies and Implementation Plans described here.</p>
ITB 13.1	Alternative Bids shall not be considered.
ITB 13.2	Alternative times for completion shall not be permitted.
ITB 13.4	Alternative technical solutions shall be permitted for the following parts of the Works: N/A
ITB 14.5	The prices quoted by the Bidder shall NOT be subject to adjustment during the performance of the Contract
ITB 15.1	<p>The currency(ies) of the Bid and the payment currency(ies) shall be in accordance with Alternative A as described below:</p> <p>Alternative A (Bidders to quote entirely in local currency):</p> <p>(a) The unit rates and the prices shall be quoted by the Bidder in the Bill of Quantities, entirely in Uganda Shillings, and further referred to as “the local currency”. A Bidder expecting to incur expenditures in other currencies for inputs to the Works supplied from outside the</p>

	<p>Employer’s Country (referred to as “the foreign currency requirements”) shall indicate in the Appendix to Bid - Table C, the percentage(s) of the Bid Price (excluding Provisional Sums), needed by the Bidder for the payment of such foreign currency requirements, limited to no more than three foreign currencies.</p> <p>(b) The rates of exchange to be used by the Bidder in arriving at the local currency equivalent and the percentage(s) mentioned in (a) above shall be specified by the Bidder in the Appendix to Bid - Table C, and shall apply for all payments under the Contract so that no exchange risk will be borne by the successful Bidder.</p>
ITB 18.1	The Bid validity period shall be 180 days. The Bid should be valid until 28th January, 2025
ITB 18.3 (a)	The Bid price shall be adjusted by the following factor(s): N/A
ITB 19.1	<p>Bid Security shall be required.</p> <p>The amount and currency of the Bid Security shall be:</p> <p>LOT 1: UGX 300,000,000 (Uganda Shillings: Three Hundred Million) or its equivalent in freely convertible currency</p> <p>LOT 2: UGX 347,000,000 (Uganda Shillings: Three Hundred and Forty-Seven Million) or its equivalent in freely convertible currency</p> <p>LOT 3: UGX 133,000,000 (Uganda Shillings: One Hundred Thirty-three Million) or its equivalent in freely convertible currency</p> <p>The Bid Security shall be valid up to 4 weeks after expiry of the bid validity i.e. up to 25th February, 2025</p> <p>The bid security shall be in the form of an Unconditional Bank Guarantee from a recognized financial institution. Should the issuing financial institution be outside Uganda, it should have a correspondent Bank in the Republic of Uganda to make it enforceable.</p>
ITB 19.3 (d)	Other types of acceptable securities: None
ITB 19.9	N/A
ITB 20.3	<p>The written confirmation of authorization to sign on behalf of the Bidder shall consist of:</p> <p>(a) Registered or notarized Power of Attorney with a sample signature of the person signing the Bid.</p> <p>(b) In the case of Bids submitted by an existing or intended JV an undertaking signed by all parties; (i) stating that all parties shall be jointly</p>

	<p>and severally liable, and (ii) if so required in accordance with ITB 4.1, nominating a Representative who shall have the authority to conduct all business for and on behalf of any and all the parties of the JV during the bidding process and, in the event the JV is awarded the Contract, during contract execution.</p> <p>Non-submission of such evidence duly authorizing the signatory of the bid to commit the proposer shall render the bid non-responsive</p>
D. Submission of Bids	
ITB 21.1	<p>In addition to the original of the Bid, the number of copies is: Three (3) and 1(one) soft copy. A soft copy of the bidder’s priced BOQ (MS Excel) must be part of the submission enclosed together with the original hardcopy of the priced BoQs.</p> <p>The envelop marked “SECOND ENVELOPE: FINANCIAL PART” shall be kept in safe custody.</p> <p>In case of a discrepancy between the information in the soft copy and the hard copy, the hard copy will supersede.</p> <p><u>NB.</u> A soft copy of the bidder’s priced BOQ (MS Excel) should be saved on a flash not CD and. Most CDs get broken during Bid submission.</p>
ITB 22.1	<p>For <u>Bid submission purposes</u> only, the Employer’s address is: Attention: Head, Procurement and Disposal Unit Address: Ministry of Water and Environment Headquarters Plot 3-7, Kabalega Crescent, Luzira Luzira, Kampala</p> <p>Floor/ Room number: Ground Floor/PDU Office City: Kampala Country: Uganda</p> <p>The deadline for Bid submission is: Date: 1st August, 2024 Time: 11:00 am (Ugandan Time)</p>
E. Public Opening of Technical Parts of Bids	
ITB 25.1	<p>The Bid opening shall take place at: Address: Ministry of Water and Environment Headquarters Plot 3-7, Kabalega Crescent, Luzira. Luzira, Kampala.</p> <p>Floor/ Room number: Ground Floor/PDU Office City: Kampala</p>

	<p>Country: Uganda Date: 1st August, 2024 Time: 11:15 am (Ugandan Time)</p>								
ITB 25.6	<p>The Letter of Bid – Technical Part and the sealed envelope marked “SECOND ENVELOPE: FINANCIAL PART shall be initialed by at least three representatives of the Employer conducting Bid opening <i>i.e member of the Contracts Committee, User department representative and a member of Procurement and Disposal unit.</i></p> <p><i>Bidders shall be required to initial their respective unopened envelop marked “SECOND ENVELOPE: FINANCIAL PART, during Technical Proposal Opening and shall be numbered.</i></p>								
G. Evaluation of Technical Part of Bids									
ITB 32.2	<p>The technical factors (sub-factors) and the corresponding weight in % are:</p> <table border="1" data-bbox="548 793 1433 1795"> <thead> <tr> <th data-bbox="548 793 1076 871" style="text-align: center;">Technical Factor</th> <th data-bbox="1076 793 1433 871" style="text-align: center;">weight in percentage (insert weight in %)</th> </tr> </thead> <tbody> <tr> <td data-bbox="548 871 1076 1182"> <p>1. Method Statement for construction of key activities:</p> <ul style="list-style-type: none"> • Work method for each major system component – 15% • Resourcing for each major component – 10% • Risk management and Stakeholder engagement – 5% </td> <td data-bbox="1076 871 1433 1182" style="text-align: center; vertical-align: middle;">30</td> </tr> <tr> <td data-bbox="548 1182 1076 1440"> <p>2. Site Organization structure:</p> <ul style="list-style-type: none"> • Site management structure (personnel and its hierarchy) -5% • Site Layout plan (showing provisions for Offices, Material storage, workshop etc) - 4% </td> <td data-bbox="1076 1182 1433 1440" style="text-align: center; vertical-align: middle;">9</td> </tr> <tr> <td data-bbox="548 1440 1076 1795"> <p>3. Construction Schedule strategy:</p> <ul style="list-style-type: none"> • Mobilization (timelines and compliance with appropriate clauses) – 15% • Sequencing of activities and key milestones (10%) • Production rate and feasibility of completion timelines for key works components (15%) </td> <td data-bbox="1076 1440 1433 1795" style="text-align: center; vertical-align: middle;">40</td> </tr> </tbody> </table>	Technical Factor	weight in percentage (insert weight in %)	<p>1. Method Statement for construction of key activities:</p> <ul style="list-style-type: none"> • Work method for each major system component – 15% • Resourcing for each major component – 10% • Risk management and Stakeholder engagement – 5% 	30	<p>2. Site Organization structure:</p> <ul style="list-style-type: none"> • Site management structure (personnel and its hierarchy) -5% • Site Layout plan (showing provisions for Offices, Material storage, workshop etc) - 4% 	9	<p>3. Construction Schedule strategy:</p> <ul style="list-style-type: none"> • Mobilization (timelines and compliance with appropriate clauses) – 15% • Sequencing of activities and key milestones (10%) • Production rate and feasibility of completion timelines for key works components (15%) 	40
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	<p>4. Management strategies and implementation plans (MSIPs) for ES: (1% for each of the 6 MSIPs below)</p> <ul style="list-style-type: none"> i. Traffic Management Plan to ensure safety of local communities from construction traffic ii. Water Resource Protection Plan to prevent contamination of drinking water. iii. Management plan to prevent unauthorized access to the sites iv. Management plan for deep excavations, e.g. warning tape. v. Strategy for obtaining Consents/Permits prior to the start of relevant works such as opening a quarry or borrow pit. vi. Gender based violence and sexual exploitation and abuse (GBV/SEA) prevention and response action plan 	6	
	<p>5. Contractor representative and key personnel</p> <ul style="list-style-type: none"> • Contractor’s Representative (2) • Project Manager -Site Engineer (2) • Quantity Surveyor (2) • Environmental Safeguards Officer (2) • Social Expert(2) 	10	
	<p>6. Provision of the required Key equipment</p> <ul style="list-style-type: none"> • 1% for each of the 5 Key equipment 	5	
	Total	100	
<p>The weight to be given for Technical is: 0.5</p>			

H. Notification of Evaluation of Technical Parts and Public Opening of Financial Parts	
ITB 33.5	The Letter of Bid – Financial Part and Schedules shall be initialed by at least two (2) representatives of the Employer conducting Bid opening. <i>Example: Each Financial Part of Bid shall be initialed by all representatives and shall be numbered, any modification to the unit or total price shall be initialed by the Representative of the Employer. Only the financial parts for bidders whose technical parts have met the technical requirements shall be opened and evaluated.</i>
I. Evaluation of Financial Part of Bids	
ITB 36.1	<p>The currency that shall be used for Bid evaluation and comparison purposes to convert at the selling exchange rate all Bid prices expressed in various currencies into a single currency is: Uganda Shillings</p> <p>The source of exchange rate shall be: Bank of Uganda</p> <p>The date for the exchange rate shall be: <i>date of 28 days prior to the deadline for submission of the Bids</i></p> <p>The currency(ies) of the Bid shall be converted into a single currency in accordance with the procedure under Alternative A that follows:</p> <p>Alternative A: Bidders quote entirely in local currency</p> <p>For comparison of Bids, the Bid Price, corrected pursuant to ITB 31, shall first be broken down into the respective amounts payable in various currencies by using the selling exchange rates specified by the Bidder in accordance with ITB 15.1.</p> <p>In the second step, the Employer will convert the amounts in various currencies in which the Bid Price is payable (excluding Provisional Sums but including Daywork where priced competitively) to the single currency identified above at the selling rates established for similar transactions by the authority specified and on the date stipulated above.</p> <p>The weight to be given for cost is: 0.5</p>
ITB 36.2	A margin of domestic preference Shall apply
J. Evaluation of Combined Technical and Financial Parts and Most Advantageous Bid	
ITB 40.1	<p>The weight to be given for Technical is: 0.5</p> <p>The weight to be given for cost is: 0.5</p>

K. Award of Contract	
ITB 49.1 and 49.2	<p>The successful Bidder shall be required to submit a Performance Security and an Environmental, Social, Health and Safety (ESHS) Performance Security.</p> <p>The amount of the Performance Security shall be 9% of the contract price while the Environmental, Social, Health and Safety Performance Security shall be 1% of the contract price.</p> <p>The Performance Security and the Environmental, Social, Health and Safety Performance Security shall be valid up to 28 days after the date of the end of the Defects Liability Period.</p> <p>The Performance Security and the Environmental, Social, Health and Safety Performance Security shall be in the form of an Unconditional Bank Guarantee from a recognized financial institution. Should the issuing financial institution be outside Uganda, it should have a correspondent Bank in the Republic of Uganda to make it enforceable.</p>
ITB 50.1	<p>The procedures for making a Procurement-related Complaint are detailed in the “Procurement Regulations for IPF Borrowers (Annex III).” If a Bidder wishes to make a Procurement-related Complaint, the Bidder shall submit its complaint following these procedures, In Writing (by the quickest means available, such as by email or fax), to:</p> <p style="padding-left: 40px;">For the attention: The Permanent Secretary, Ministry of Water and Environment</p> <p style="padding-left: 40px;">Title/position: Accounting Officer</p> <p style="padding-left: 40px;">Employer: Ministry of Water & Environment</p> <p style="padding-left: 40px;">Email address: ps@mwe.go.ug</p> <p>In summary, a Procurement-related Complaint may challenge any of the following:</p> <ol style="list-style-type: none"> 1. the terms of the Bidding Documents; 2. the Employer decision to exclude a bidder from the procurement process prior to award of contract and 3. the Employer’s decision to award the contract.

Section III - Evaluation and Qualification Criteria

This section contains all the criteria that the Employer shall use to evaluate Bids and qualify Bidders. No other factors, methods or criteria shall be used other than specified in this Bidding document. The Bidder shall provide all the information requested in the forms included in Section IV, Bidding Forms.

Wherever a Bidder is required to state a monetary amount, Bidders should indicate the USD equivalent using the rate of exchange determined as follows:

- For construction turnover or financial data required for each year - Exchange rate prevailing on the last day of the respective calendar year (in which the amounts for that year are to be converted) was originally established.
- Value of single contract - Exchange rate prevailing on the date of the contract.

Exchange rates shall be taken from the publicly available source identified in the ITB 36.1. Any error in determining the exchange rates in the Bid may be corrected by the Employer.

Evaluation of Bids

1. Qualification

1.1 Update of Information

The Bidder's qualification shall be assessed in accordance with the Qualification table included in this section as follows:

1.2 Technical part Responsiveness

i. Preliminary Examination

Preliminary examination of the Technical Part shall be carried out to identify proposals that are incomplete, invalid or substantially nonresponsive to the requirements of the Bidding documents.

ii. Technical Part- Applying Rated Criteria

The technical requirements that shall be considered for technical evaluation by applying the scored technical factors/sub factors in accordance with BDS ITB 32.2 are:

- a. Method Statement for construction of major components (Water Treatment plants, Pipe Networks, Storage and Solar powered/Pumping systems).
- b. Site Organization
- c. Construction schedule (including mobilization)
- d. Management Strategies and Implementation Plans (MSIP) to manage the (ES) risks
- e. Key personnel and
- f. Equipment

1.3 Detailed Evaluation of Technical Part

The evaluation of Technical Part will be carried out as specified in Section III - Table (Eligibility and Qualification Criteria). The Bidder shall provide all the information requested in the forms included in Section IV, Bidding Forms.

1.4 Contractor's Representative and Key Personnel

The Bidder must demonstrate that it will have a suitably qualified Contractor's Representative and suitably qualified (and in adequate numbers) Key Personnel, as described in the Specification.

The Bidder shall provide details of the Contractor's Representative and Key Personnel and such other Key Personnel that the Bidder considers appropriate to perform the Contract, together with their academic qualifications and work experience. The Bidder shall complete the relevant Forms in Section IV, Bidding Forms.

For Evaluation purposes, the number of points to be assigned to each of the positions referred to in BDS- ITB 32.2 shall be determined considering the following three sub-criteria and relevant percentage weights for all the lots:

- 1) General qualifications (general education, training, and experience): 20 %
- 2) Adequacy for the Assignment (relevant education, training, experience in the sector/similar assignments): 70%
- 3) Relevant experience in the region (knowledge about administrative system and government organization and operation): 10%

Bidders submitting bids for more than one (1) Lot MUST demonstrate capacity to undertake/execute more than one contract concurrently in terms of Personnel, Equipment and Financial Resources

- a. The Contractor must accordingly provide sufficient numbers of the personnel and workers on each of the sites to enable timely completion.
- b. The Bidder must demonstrate that it will have a suitably qualified Contractor's Representative and suitably qualified (and in adequate numbers) Key Personnel, as described in the table below.
- c. The Bidder shall provide details of the Contractor's Representative and Key Personnel and such other Key Personnel that the Bidder considers appropriate to perform the Contract, together with their academic qualifications and work experience. The Bidder shall complete the relevant Forms in Section IV, Bidding Forms.
- d. The Contractor shall require the Employer's consent to substitute or replace the Contractor's Representative (reference General Conditions of Contract Sub Clause 4.3) and any of the Key Personnel (reference the Particular Conditions of Contract Sub Clause 1.1.2.7).
- e. A bidder submitting bids for more than one Lot **MUST** submit different personnel for each Lot, in case of a Joint Venture, the JV proportion **MUST** be reflected in the percentage of staff belonging to each of the JV members.
- f. For any position, equivalent academic/education qualifications in a related field/discipline will be acceptable.
- g. All the key-personnel listed below shall demonstrate to be fluent in English language, both written and spoken. Personnel who are not able to speak or write English will not be allowed on site.

- h. A bidder submitting bids for more than one Lot **MUST** demonstrate capacity to work concurrently on both lots in terms of personnel, equipment and financial capacity.

Lot 1: 2 No. Rural Growth Centers of Gwere and Laropi in Moyo District

No.	Position/ specialization	Relevant academic qualifications	Relevant work experience	Minimum years of relevant work experience	No of personnel required per site	
					Gwere	Laropi
1	Contractor's Representative	Bachelor's Degree in Civil Engineering	Experience as a Contractor's Representative on large infrastructure projects such as dams, water supply, sewerage and/or irrigation of a value of at least USD 1 million.	10		1
2	Project Manager (Site Engineer)	Bachelor's Degree in Civil Engineering	Experience as a Project Manager/Site Engineer on water supply projects involving construction of borehole water sources, steel reservoirs and pipelines.	10		1
3	Superintendent – Pipe laying	Higher Diploma in Civil Engineering	Experience as a Pipe laying Superintendent on town water supply projects involving laying of PVC, HDPE and Steel pipes with sizes up to 300mm in diameter.	7	1	1
4	Superintendent – Civil Works	Higher Diploma in Civil Engineering	Experience as a Civil Works Superintendent on infrastructure projects involving earth works, construction of foundations, reinforced concrete structures and buildings.	7	1	1

5	Superintendent – Electrical and Mechanical Works	Higher diploma in Electro-Mechanical Engineering	Experience as an Electrical and Mechanical Superintendent on water supply projects involving construction of offshore water intake works, treatment plants and steel structures.	7	1
6	Quantity Surveyor	Bachelor's Degree in Quantity Surveying	Experience as a Quantity Surveyor on infrastructure projects such as dams, water supply, irrigation and highways.	7	1
7	Environmental Safeguards Officer	Bachelor's Degree in Environmental Sciences or equivalent	Experience as an Environmental Safeguards Officer on infrastructure projects such as dams and water supply projects with river or lake water sources.	5	1
8	Social Expert	Bachelor's Degree in Social Sciences or Social Works and Social Administration or equivalent	Experience as a Social Expert or Mobilizer on infrastructure projects such as highways and water supply projects within communities.	5	1
9	Health & Safety Officer	Bachelor's Degree in Health & Safety or Social Sciences with a Certificate in Health & Safety or equivalent	Experience as a Health and Safety Officer on dams, surface water supply, civil or building construction projects.	5	1
10	Sexual Exploitation, Abuse and Harassment Expert	A minimum of a Bachelor's Degree in any of Anthropology, Sociology, Social Work and Social Administration, Public Health or Development Studies	Experience in handling issues of Sexual Exploitation, Abuse and Harassment	5	1

Lot 2: 2No. Rural Growth Centers of Lomunga and Lobe in Yumbe District

No.	Position/specialization	Relevant academic qualifications	Relevant work experience	Minimum years of relevant work experience	No of personnel required per site	
					Lomunga	Lobe
1	Contractor's Representative	Bachelor's Degree in Civil Engineering	Experience as a Contractor's Representative on large infrastructure projects such as dams, water supply, sewerage and/or irrigation of a value of at least USD 1 million.	10		1
2	Project Manager (Site Engineer)	Bachelor's Degree in Civil Engineering	Experience as a Project Manager/Site Engineer on water supply projects involving construction of borehole water sources, steel reservoirs and pipelines.	10		1
3	Superintendent – Pipe laying	Higher Diploma in Civil Engineering	Experience as a Pipe laying Superintendent on town water supply projects involving laying of PVC, HDPE and Steel pipes with sizes up to 300mm in diameter.	7	1	1
4	Superintendent – Civil Works	Higher Diploma in Civil Engineering	Experience as a Civil Works Superintendent on infrastructure	7	1	1

			projects involving earth works, construction of foundations, reinforced concrete structures and buildings.			
5	Superintendent – Electrical and Mechanical Works	Higher diploma in Electro-Mechanical Engineering	Experience as an Electrical and Mechanical Superintendent on water supply projects involving construction of offshore water intake works, treatment plants and steel structures.	7	1	1
6	Quantity Surveyor	Bachelor's Degree in Quantity Surveying	Experience as a Quantity Surveyor on infrastructure projects such as dams, water supply, irrigation and highways.	7	1	1
7	Environmental Safeguards Officer	Bachelor's Degree in Environmental Sciences or equivalent	Experience as an Environmental Safeguards Officer on infrastructure projects such as dams and water supply projects with river or lake water sources.	5	1	1
8	Social Expert	Bachelor's Degree in Social Sciences or Social Works and Social Administration or equivalent	Experience as a Social Expert or Mobilizer on infrastructure projects such as highways and water supply projects within communities.	5	1	1

9	Health & Safety Officer	Bachelor's Degree in Health & Safety or Social Sciences with a Certificate in Health & Safety or equivalent	Experience as a Health and Safety Officer on dams, surface water supply, civil or building construction projects.	5	1	1
10	Sexual Exploitation, Abuse and Harassment Expert	A minimum of a Bachelor's Degree in any of Anthropology, Sociology, Social Work and Social Administration, Public Health or Development Studies	Experience in handling issues of Sexual Exploitation, Abuse and Harassment	5	1	1

Lot 3: 1 No. Rural Growth Centers of Goboro in YUMBE District

No.	Position/specialization	Relevant academic qualifications	Relevant work experience	Minimum years of relevant work experience	No of personnel required per site
1	Contractor's Representative	Bachelor's Degree in Civil Engineering	Experience as a Contractor's Representative on large infrastructure projects such as dams, water supply, sewerage and/or irrigation of a value of at least USD 1 million.	10	1

2	Project Manager (Site Engineer)	Bachelor's Degree in Civil Engineering	Experience as a Project Manager/Site Engineer on water supply projects involving construction of borehole water sources, steel reservoirs and pipelines.	10	1
3	Superintendent – Pipe laying	Higher Diploma in Civil Engineering	Experience as a Pipe laying Superintendent on town water supply projects involving laying of PVC, HDPE and Steel pipes with sizes up to 300mm in diameter.	7	1
4	Superintendent – Civil Works	Higher Diploma in Civil Engineering	Experience as a Civil Works Superintendent on infrastructure projects involving earth works, construction of foundations, reinforced concrete structures and buildings.	7	1
5	Superintendent – Electrical and Mechanical Works	Higher diploma in Electro-Mechanical Engineering	Experience as an Electrical and Mechanical Superintendent on water supply projects involving construction of offshore water intake works, treatment plants and steel structures.	7	1
6	Quantity Surveyor	Bachelor's Degree in Quantity Surveying	Experience as a Quantity Surveyor on infrastructure projects such as dams, water supply, irrigation and highways.	7	1
7	Environmental Safeguards Officer	Bachelor's Degree in Environmental Sciences or equivalent	Experience as an Environmental Safeguards Officer on infrastructure projects such as dams and water supply projects with	5	1

			river or lake water sources.		
8	Social Expert	Bachelor's Degree in Social Sciences or Social Works and Social Administration or equivalent	Experience as a Social Expert or Mobilizer on infrastructure projects such as highways and water supply projects within communities.	5	1
9	Health & Safety Officer	Bachelor's Degree in Health & Safety or Social Sciences with a Certificate in Health & Safety or equivalent	Experience as a Health and Safety Officer on dams, surface water supply, civil or building construction projects.	5	1
10	Sexual Exploitation, Abuse and Harassment Expert	A minimum of a Bachelor's Degree in any of Anthropology, Sociology, Social Work and Social Administration, Public Health or Development Studies	Experience in handling issues of Sexual Exploitation, Abuse and Harassment	5	1

Curriculum Vitae (CV) signed by the personnel designated to execute the works MUST be attached. Personnel who are not able to speak or write English will not be allowed on site and the contractor will then replace them with personnel who can communicate in English.

1.5 Equipment

The Bidder must demonstrate that it has access to the key equipment listed hereafter:

Bidders submitting bids for more than one (1) Lot MUST demonstrate capacity to undertake/execute more than one contract concurrently in terms of Personnel, Equipment and Financial Resources.

For evaluation purposes, the number of points to be assigned to each of the equipment below shall be determined considering the following two sub-criteria and relevant percentage weights for all the lots:

- a) Characteristics and type (minimum specifications and type): 80 %
- b) Provision of equipment (Submission of all the required equipment's @ 4% for each submitted and qualifying equipment): 20%

1) A bidder submitting bids for more than one lot **MUST** submit different equipment for each Lot.

2) The Bidder must demonstrate that it has the key equipment listed hereafter for each Lot:

No.	Equipment Type and Minimum Characteristics	Minimum Number required		
		Lot 1 (Gwere - Laropi)	Lot 2 (Lomunga-Lobe)	Lot 3 (Gorobo)
1	Mobile crane (10 tonnes lifting capacity)	1	1	1
2	Motor grader with ripper (140 horsepower)	1	1	1
3	Tipper truck (20 tonne load capacity)	2	2	1
4	Vibrating steel drum roller (7 tonne capacity)	2	2	1
5	Air Compressor with two breakers & asphalt cutters (120 litre/min)	1	1	1

The Bidder shall provide further details of proposed items of equipment using Form EQU in Section IV, Bidding Forms.

- **Owned Equipment: Documentary evidence of ownership such as copies of registration books and information on current locations of the equipment.**
- **Leased/Hired Equipment: Lease/hire agreements and information on current locations of the equipment.**

The Bidder shall provide further details of proposed items of equipment using the relevant Form in Section IV, Bidding Forms.

2. Evaluation of Technical Proposal

2.1: Weight of Technical part

The technical factors (sub-factors) shall be **0.5**

Assessment of adequacy of Technical Proposal with Requirements in accordance with ITB 32.1:

.....

The technical requirements that have to be met by the technical proposals prior to being considered for technical evaluation by applying the scored technical factors/sub factors in accordance with BDS ITB 32.2 are:

- 1 Method Statement for construction of major components (Water Treatment plants, Pipe Networks, Storage and Solar powered/Pumping systems).
- 2 Site Organization
- 3 Construction schedule
- 4 Management Strategies and Implementation Plans (MSIP) to manage the (ES) risks
- 5 Key personnel and
- 6 Equipment

The technical factors, and sub factors if any, to be evaluated and the scores to be given to each technical factor and sub factors are specified in the BDS ITB 32.2.

The technical factors (sub-factors) and the corresponding weight in % are:	
Technical Factor	weight in percentage (insert weight in %)
1. Method Statement for construction of key activities: <ul style="list-style-type: none"> • Work method for each major system component – 15% • Resourcing for each major component – 10% • Risk management and Stakeholder engagement – 5% 	30
2 Site Organization structure: <ul style="list-style-type: none"> • Site management structure (personnel and its hierarchy) -5% • Site Layout plan (showing provisions for Offices, Material storage, workshop etc) - 4% 	9
3. Construction Schedule strategy: <ul style="list-style-type: none"> • Mobilization (timelines and compliance with appropriate clauses) – 15% • Sequencing of activities and key milestones (10%) • Production rate and feasibility of completion timelines for key works components (15%) 	40
4. Management strategies and implementation plans (MSIPs) for ES: (1% for each of the 6 MSIPs below) <ol style="list-style-type: none"> i. Traffic Management Plan to ensure safety of local communities from construction traffic ii. Water Resource Protection Plan to prevent contamination of drinking water. iii. Management plan to prevent unauthorized access to the sites iv. Management plan for deep excavations, e.g. warning tape. v. Strategy for obtaining Consents/Permits prior to the start of relevant works such as opening a quarry or borrow pit. 	6

vi. Gender based violence and sexual exploitation and abuse (GBV/SEA) prevention and response action plan	
5. Contractor representative and key personnel <ul style="list-style-type: none"> • Contractor’s Representative (2) • Project Manager -Site Engineer (2) • Quantity Surveyor (2) • Environmental Safeguards Officer (2) • Social Expert(2) 	10
6. Provision of the required Key equipment <ul style="list-style-type: none"> • 1% for each of the 5 Key equipment 	5
Total	100

Technical Proposal Scoring Methodology

Score in % (of the total score for the factor/sub-factor as applicable)	Description	Remarks
0	Required feature is absent; no relevant information to demonstrate how the requirement is met	
25%	Required feature present with deficiencies such as insufficient or information that lacks clarity	
50%	Sufficient information to demonstrate how the requirement will be met	
75%	Sufficient information to demonstrate that the requirement will be marginally exceeded	
100%	Sufficient information that significantly exceed the requirement/proposal contributes to significant value addition	

The score for each sub- factor (i) within a factor (j) will be combined with the scores of sub-factors in the same factor as a weighted sum to form the Factor Technical Score using the following formula:

$$S_j \equiv \sum_{i=1}^k t_{ji} * w_{ji}$$

where:

t_{ji} = the technical score for sub- factor “i” in factor “j”,

w_{ji} = the weight of sub- factor “i” in factor “j”,

k = the number of scored sub-factors in factor “j”, and

$$\sum_{i=1}^k w_{ji} = 1$$

The Factor Technical Scores will be combined in a weighted sum to form the total Technical Proposal Score using the following formula:

$$T \equiv \sum_{j=1}^n S_j * W_j$$

where:

S_j = the Factor Technical Score of factor “j”,

W_j = the weight of factor “j” as specified **in the BDS**,

n = the number of Factors, and

$$\sum_{j=1}^n W_j = 1$$

Alternative Technical Solutions for specified parts of the Works – N/A

If permitted under ITB 13.4, will be evaluated as follows:

.....

3. Financial Evaluation

3.1: Cost weight

The weight of the cost part shall be **0.5**

3.2 Margin of Preference

If the BDS so specifies, the Employer will grant a margin of preference of 7.5% (seven and one-half percent) to domestic contractors, in accordance with, and subject to, the following provisions:

- (i) Contractors applying for such preference shall be asked to provide, as part of the data for qualification, such information, including details of ownership, as shall be

required to determine whether, according to the classification established by the Borrower and accepted by the Bank, a particular contractor or group of contractors qualifies for a domestic preference. The Bidding document shall clearly indicate the preference and the method that will be followed in the evaluation and comparison of Bids to give effect to such preference.

- (ii) After Bids have been received and reviewed by the Employer, responsive Bids shall be classified into the following groups:
 - (a) Group A: Bids offered by domestic contractors eligible for the preference.
 - (b) Group B: Bids offered by other contractors.

All evaluated Bids in each group shall, as a first evaluation step, be compared to determine the Most Advantageous Bid, and the Most Advantageous Bid in each group shall be further compared with each other. If a result of this comparison, a Bid from Group A is the Most Advantageous Bid, it shall be selected for the award, if the Bidder is qualified. If a Bid from Group B is the Most Advantageous Bid, as a second evaluation step, all Bids from Group B shall then be further compared with the Most Advantageous Bid from Group A. For the purpose of this further comparison only, an amount equal to 7.5% (seven and one-half percent) of the respective Bid price corrected for arithmetical errors, including unconditional discounts but excluding provisional sums and the cost of day works, if any, shall be added to the evaluated cost offered in each Bid from Group B. If the Bid from Group A is the Most Advantageous Bid, it shall be selected for award. If not, the most advantageous Bid from Group B based on the first evaluation step shall be selected.

Criteria for Financial Evaluation

In addition to the criteria listed in ITB 37.1 (a) – (e) the following criteria shall apply:

Time Schedule – Not Applicable

Life Cycle Costs – Not Applicable

Sustainable Procurement – Not Applicable

4. Combined Evaluation

The Employer will evaluate and compare the Bids that have been determined to be substantially responsive.

An Evaluated Bid Score (B) will be calculated for each responsive Bid using the following formula, which permits a comprehensive assessment of the evaluated cost and the technical merits of each Bid:



Where:

- C = Evaluated Bid Cost
- C_{low} = the lowest of all Evaluated Bid Costs among responsive Bids
- T = the total Technical Score awarded to the Bid
- T_{high} = the Technical Score achieved by the Bid that was scored best among all responsive Bids
- X = weight for Cost as specified **in the BDS**

The Bid with the best evaluated Bid Score (B) among responsive Bids shall be the Most Advantageous Bid provided the Bidder is qualified to perform the Contract.

5. Multiple Contracts

If permitted under ITB 37.3, will be evaluated as follows:

(i) Award Criteria for Multiple Contracts [ITB 37.3]:

If in accordance with ITB 1.1 Bids are invited for more than one lot or package, the contract will be awarded to the Bidder or Bidders with the Most Advantageous Bid for the individual lots.

However, if a Bidder, with a Bid that is substantially responsive and with the highest evaluated score for individual lots, is not qualified for the combination of the lots, then the award will be made based on the highest total score for the combination of lots for which the Bidders are qualified.

Cross discounts for award of multiple lots will not be considered.

(ii) Qualification Criteria for Multiple Contracts

The criterion for qualification is aggregate minimum requirement for respective lots as specified under items 3.1, 3.2, 4.2(a) and 4.2(b). However, with respect to the specific experience under item 4.2 (a) of Section III, the Employer will select any one or more of the options as identified below:

N is the minimum number of contracts

V is the minimum value of a single contract

(a) For one Contract:

Lot 1:

- i. Two (2) contracts (N1), each of minimum value of USD 2,890,000; or
- ii. One (1) Contract of minimum Value of USD 5,780,000

Lot 2:

- i. Two (2) contracts (N2), each of minimum value of USD 3,340,000 or
- ii. One (1) Contract of minimum Value of USD 6,680,000

Lot 3:

- i. Two (2) contracts (N1), each of minimum value of USD 1,300,000; or
- ii. One (1) Contract of minimum Value of USD 2,600,000

(b) For multiple Contracts

- i)** Minimum requirements for combined contract(s) shall be the aggregate requirements for each contract for which the Bidder has submitted Bids as follows, and N1, N2, etc. shall be different contracts:

Lot 1: 2 contracts (N1), each of minimum value of USD 2,890,000 (V1);

Lot 2: 2 contracts (N2), each of minimum value of USD 3,340,000 (V2);

Lot 3: 2 contracts (N2), each of minimum value of USD 1,300,000 (V3);

OR

Lot 1: One (1) Contract of minimum Value of USD 5,780,000

Lot 2: One (1) Contract of minimum Value of USD 6,680,000

Lot 3: One (1) Contract of minimum Value of USD 2,600,000

6. Qualification

Eligibility and Qualification Criteria				Compliance Requirements			Documentation
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
1. Eligibility							
1.1	Nationality	Nationality in accordance with ITB 4.4	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Forms ELI – 1.1 and 1.2, with attachments
1.2	Conflict of Interest	No conflicts of interest in accordance with ITB 4.2	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Letter of Bid
1.3	Bank Eligibility	Not having been declared ineligible by the Bank, as described in ITB 4.5.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Letter of Bid
1.4	State- owned Enterprise or Institution of the Borrower country	Meets conditions of ITB 4.6	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Forms ELI – 1.1 and 1.2, with attachments
1.5	United Nations resolution or Borrower's country law	Not having been excluded as a result of prohibition in the Borrower's country laws or official regulations against commercial relations with the Bidder's country, or by an act of compliance with UN Security Council resolution, both in accordance with ITB 4.8 and Section V.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Forms ELI – 1.1 and 1.2, with attachments

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
2. Historical Contract Non-Performance							
2.1	History of Non-Performing Contracts	Non-performance of a contract ¹ did not occur as a result of contractor default since 1 st January 2016.	Must meet requirement	Must meet requirements	Must meet requirement ²	N/A	Form CON-2
2.2	Suspension Based on Execution of Bid/Proposal Securing Declaration by the Employer	Not under suspension based on-execution of a Bid/Proposal Securing Declaration pursuant to ITB 4.7 and ITB 19.9	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Letter of Bid
2.3	Pending Litigation	Bidder's financial position and prospective long-term profitability still sound according to criteria established in 3.1 below and assuming that all pending litigation will be resolved against the Bidder	Must meet requirement	N/A	Must meet requirement	N/A	Form CON – 2

¹ Nonperformance, as decided by the Employer, shall include all contracts where (a) nonperformance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Nonperformance shall not include contracts where Employers decision was overruled by the dispute resolution mechanism. Nonperformance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the Bidder have been exhausted.

² This requirement also applies to contracts executed by the Bidder as JV member.

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
2.4	Litigation History	No consistent history of court/arbitral award decisions against the Bidder ³ since 1 st January 2016	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Form CON – 2
2.5	Declaration: Environmental and Social (ES) past performance	Declare any civil work contracts that have been suspended or terminated and/or performance security called by an employer for reasons of breach of environmental, or social (including Sexual Exploitation and Assault) contractual obligations in the past five years. ⁴	Must make the declaration. Where there are Specialized Subcontractor/s, the Specialized Subcontractor/s must also make the declaration.	N/A	Each must make the declaration. Where there are Specialized Subcontractor/s, the Specialized Subcontractor/s must also make the declaration.	N/A	Form CON-3 ES Performance Declaration
2.6	Bank's SEA and/or SH Disqualification	At the time of Contract Award, not subject to disqualification by the Bank for non-compliance with SEA/ SH obligations	Must meet requirement (including each subcontractor proposed by the Bidder)	N/A	Must meet requirement (including each subcontractor proposed by the Bidder)	N/A	Letter of Bid, Form CON-4

³ The Bidder shall provide accurate information on the related Bid Form about any litigation or arbitration resulting from contracts completed or ongoing under its execution over the last five years. A consistent history of awards against the Bidder or any member of a joint venture may result in failure of the Bid.

⁴ The Employer may use this information to seek further information or clarifications in carrying out its due diligence.

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
		If the Bidder had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations, the Bidder shall either (i) provide evidence of an arbitral award on the disqualification made in its favour; or (ii) demonstrate that it has adequate capacity and commitment to comply with SEA/SH prevention and response obligations; or (iii) provide evidence that it has already demonstrated such capacity and commitment on another Bank financed works contract.	Must meet requirement (including each subcontractor proposed by the Bidder)	N/A	Must meet requirement (including each subcontractor proposed by the Bidder)	N/A	Letter of Bid, Form CON-4
2.7	Forced Labor past performance declaration	The bidder (including for JV each member of the JV), Subcontractors, suppliers and/or manufacturers proposed by the bidder shall declare any contracts that have been suspended or terminated, and/or other contractual remedies applied including calling of performance security by an employer, for reasons of breach of forced labor	Must make the declaration including JV (each member of the JV) and Sub contractors.	N/A	Must meet requirement (including each subcontractor proposed by the Bidder and each member of the JV)	N/A	Bidding FORM FOR -1: Forced Labor Performance Declaration

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
		obligations in the past five years					
3.1							
3.1	Financial Capabilities	<p>(i) The Bidder shall demonstrate that it has access to, or has available, liquid assets, unencumbered real assets, lines of credit, and other financial means (independent of any contractual advance payment) sufficient to meet the construction cash flow requirements estimated as:</p> <p>(a) Lot 1: 2No. Rural Growth Centers of Gwere and Laropi in Moyo District: US Dollars Nine Hundred Sixty-Two Thousand (US\$ 962,000) for a period of every three (3) months for the subject contract net of the Bidders other commitments.</p> <p>(b) Lot 2: 2No. Rural Growth Centers of Lomunga and Lobe in Yumbe District: US Dollars One Million, One Hundred- Thirteen</p>	<p>Must meet requirement</p> <p>Must meet requirement</p>	<p>Must meet requirement</p> <p>Must meet requirement</p>	<p>Must meet 60%, (sixty percent) of the requirement</p> <p>Must meet 60%, (sixty percent) of the requirement</p>	<p>Must meet 80%, (eighty percent) of the requirement</p> <p>Must meet 80%, (eighty percent) of the requirement</p>	<p>Form FIN – 3.1, with attachments</p> <p>Form FIN – 3.1, with attachments</p>

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
		<p>Thousand (US\$ 1,113,000) for a period of every three (3) months for the subject contract net of the Bidders other commitments.</p> <p>(c) Lot 3: 1No. Rural Growth Centers of Goboro in Yumbe District: US Dollars Four Hundred Twenty- Five Thousand (US\$ 425,000) for a period of every three (3) months for the subject contract net of the Bidders other commitments.</p> <p>(ii) The Bidders shall also demonstrate, to the satisfaction of the Employer, that it has adequate sources of finance to meet the cash flow requirements on works currently in progress and for future contract commitments.</p> <p>(iii) The audited balance sheets or, if not required by the laws of the Bidder's country, other</p>	<p>Must meet requirement</p> <p>Must meet requirement</p>	<p>Must meet requirement</p> <p>Must meet requirement</p>	<p>Must meet 60%, (sixty percent) of the requirement</p> <p>Must meet requirement</p>	<p>Must meet 80%, (sixty percent) of the requirement</p> <p>Must meet requirement</p>	<p>Form FIN – 3.1, with attachments</p> <p>Form FIN – 3.1, with attachments</p>

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
		financial statements acceptable to the Employer, for the last 5 years shall be submitted and must demonstrate the current soundness of the Bidder’s financial position and indicate its prospective long-term profitability.	Must meet requirement	N/A	Must meet requirement	Must meet requirement	Form FIN – 3.1, with attachments
3.2	Average Annual Construction Turnover	<p>Minimum average annual construction turnover of:</p> <p>Lot 1: 2No. Rural Growth Centers of Gwere and Laropi in Moyo District:</p> <p>US Dollars: Six Million One hundred and Sixty Thousand (US\$ 6,160,000) calculated as total certified payments received for contracts in progress and/or completed within the last five (5) years, divided by five (5) years</p> <p>Lot 2: 2No. Rural Growth Centers of Lomunga and Lobe in Yumbe District:</p> <p>US Dollars: Seven Million, One Hundred and Twenty</p>	Must meet requirement	Must meet requirement	Must meet Must meet 60%, (Sixty percent) of the requirement	Must meet Must meet 80%, (eighty percent) of the requirement	Form FIN – 3.2

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
		<p>Thousand (US\$ 7,120,000) calculated as total certified payments received for contracts in progress and/or completed within the last five (5) years, divided by five (5) years</p> <p>Lot 3: 1No. Rural Growth Center of Goboro in Yumbe District:</p> <p>US Dollars: Six Million One hundred and Sixty Thousand (US\$ 6,160,000) calculated as total certified payments received for contracts in progress and/or completed within the last five (5) years, divided by five (5) years</p>	<p>Must meet requirement</p> <p>Must meet requirement</p>	<p>Must meet requirement</p> <p>Must meet requirement</p>	<p>Must meet Must meet 60%, (Sixty percent) of the requirement</p> <p>Must meet Must meet 80%, (eighty percent) of the requirement</p> <p>Must meet Must meet 60%, (Sixty percent) of the requirement</p> <p>Must meet Must meet 80%, (eighty percent) of the requirement</p>	<p>Form FIN – 3.2</p> <p>Form FIN – 3.2</p>	
4. Experience							
4.1	General Construction Experience	Experience under construction contracts in the role of prime contractor, JV member, sub-contractor, or management contractor for at least the last ten years.	Must meet requirement	N/A	Must meet requirement	Must meet requirement	Form EXP – 4.1

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
4.2 (a)	Specific Construction & Contract Management Experience	<p>(i) A minimum number of similar contracts specified below that have been satisfactorily and substantially⁵ completed as a prime contractor, joint venture member⁶, management contractor or Subcontractor in the last Five(5) years:</p> <p>Lot 1: 2No. Rural Growth Centers of Gwere and Laropi in Moyo District</p> <p>Option 1</p>					

⁵ Substantial completion shall be based on 80% or more works completed under the contract.

⁶ For contracts under which the Bidder participated as a joint venture member or Subcontractor, only the Bidder's share, by value, shall be considered to meet this requirement

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
		Two (2) contracts, each of minimum value - US Dollars Two Million, Eight Hundred and Ninety Thousand Only (US\$ 2,890,000) OR Option 2 One (1) contracts, of minimum value US Dollars: Five Million, Seven hundred and Eighty Thousand Only (US\$ 5,780,000) Similarity includes scope of works, engineering complexity, construction methods or technology based on the following: 1. Construction of Pumped Water supply system with a	Must meet requirement	Must meet requirement 7	Must meet requirement of at least one similar contract	Must meet requirement of at least one similar contract	Form EXP 4.2(a)
			Must meet requirement	Must meet requirement 8	Must meet requirement of at least one similar contract	Must meet requirement of at least one similar contract	Form EXP 4.2(a)

⁷ In the case of JV, the value of contracts completed by its members shall not be aggregated to determine whether the requirement of the minimum value of a single contract has been met. Instead, each contract performed by each member shall satisfy the minimum value of a single contract as required for single entity. In determining whether the JV meets the requirement of total number of contracts, only the number of contracts completed by all members each of value equal or more than the minimum value required shall be aggregated.

⁸ In the case of JV, the value of contracts completed by its members shall not be aggregated to determine whether the requirement of the minimum value of a single contract has been met. Instead, each contract performed by each member shall satisfy the minimum value of a single contract as required for single entity. In determining whether the JV meets the requirement of total number of contracts, only the number of contracts completed by all members each of value equal or more than the minimum value required shall be aggregated.

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
		<p>pump capacity of at least 50m³/hr & at least 40m head and associated electrical accessories</p> <p>2. Supply, laying, testing and commissioning of at least 50Km of uPVC or HDPE, of nominal diameters of at least 50mm</p> <p>Lot 2: 2No. Rural Growth Centers of Lomunga, and Lobe in Yumbe District:</p> <p>Option 1 Two (2) contracts, each of minimum value - US Dollars Three Million, Three Hundred and Forty Thousand Only (US\$ 3,340,000)</p> <p>OR</p> <p>Option 2 One (1) contract, of minimum value US Dollars Six Million, Six</p>	Must meet requirement	Must meet requirement	Must meet requirement of at least one similar contract	Must meet requirement of at least one similar contract	Form EXP 4.2(a)

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
		<p>Hundred Eighty Thousand Only (US\$ 6,680,000)</p> <p>Similarity includes scope of works, engineering complexity, construction methods or technology based on the following:</p> <ol style="list-style-type: none"> 1. Construction of Pumped Water supply system with pump capacity of at least 80m³/hr & at least 50m head and associated electrical accessories 2. Supply, laying, testing and commissioning of at least 35Km of uPVC or HDPE, of nominal diameters of at least 50mm 	Must meet requirement	Must meet requirement	Must meet requirement of at least one similar contract	Must meet requirement of at least one similar contract	Form EXP 4.2(a)

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
		<p>Lot 3: 1No. Rural Growth Center of Gorobo in Yumbe District:</p> <p>Option 1 Two (2) contracts, each of minimum value - US Dollars One Million, Three Hundred Thousand Only (US\$ 1,300,000)</p> <p>OR</p> <p>Option 2 One (1) contract, of minimum value US Dollars Two Million, Six Hundred Thousand Only (US\$ 2,600,000)</p> <p>Similarity includes scope of works, engineering complexity, construction methods or technology based on the following:</p> <p>1. Construction of Pumped Water supply system with pump capacity of at least</p>	<p>Must meet requirement</p>	<p>Must meet requirement</p>	<p>Must meet requirement of at least one similar contract</p>	<p>Must meet requirement of at least one similar contract</p>	<p>Form EXP 4.2(a)</p>
			<p>Must meet requirement</p>	<p>Must meet requirement</p>	<p>Must meet requirement of at least one similar contract</p>	<p>Must meet requirement of at least one similar contract</p>	<p>Form EXP 4.2(a)</p>

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
		<p>13m³/hr & at least 150m head and associated electrical accessories</p> <p>2. Supply, laying, testing and commissioning of at least 10km of uPVC or HDPE, of nominal diameters of at least 50mm</p>					
4.2 (b)	Specific Construction experience in key activities	<p>For the above and any other contracts [substantially completed and under implementation] as prime contractor, joint venture member, or sub-contractor between and Application submission deadline, a minimum construction experience in the following key activities successfully completed:</p> <p>Lot 1: 2No. Rural Growth Centers of Gwere and Laropi in Moyo District</p> <p>1. Constructed a Water Treatment Plant (in reinforced concrete) of</p>	Must meet requirements	Must meet requirements	Must meet 50% , of requirement.	Must meet 80% , of requirement	Form EXP – 4.2 (b)

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
		<p>capacity of at least 700m³/day. 1No. in a year</p> <p>2. Supply and installation of motorized solar powered water pump of at least 50m³/hr & 40m head and associated electrical accessories: 1No per year</p> <p>3. Constructed steel reservoirs of at least 200m³ capacity elevated on at least 2m high concrete dwarf walls. 2No. in a year.</p> <p>4. Supply, laying, testing and commissioning of at least 60Km of uPVC or HDPE, of nominal diameters of at least 50mm in a year</p>	<p>Must meet requirements</p> <p>Must meet requirements</p> <p>Must meet requirements</p>	<p>Must meet requirements</p> <p>Must meet requirements</p> <p>Must meet requirements</p>	<p><i>i.e Construction of water treatment plant of 350 m³/day capacity</i></p> <p>Must meet 50%, of requirement. <i>i.e 25m³/hr and at least 20m head pump</i></p> <p>Must meet 50%, of Requirement <i>i.e Constructed steel reservoirs of at least 100m³ capacity elevated on 2m dwarf wall.</i></p> <p>Must meet 50%, of Requirement <i>i.e Supply, laying, testing and</i></p>	<p><i>i.e Construction of water treatment plant of 560 m³/day capacity</i></p> <p>Must meet 80%, of requirement <i>i.e 40m³/hr and at least 32m head pump</i></p> <p>Must meet 80%, of requirement <i>Constructed steel reservoirs of at least 160m³ capacity elevated on 2m dwarf wall</i></p> <p>Must meet 80%, of requirement <i>i.e Supply, laying, testing and</i></p>	<p>Form EXP – 4.2 (b)</p> <p>Form EXP – 4.2 (b)</p> <p>Form EXP – 4.2 (b)</p>

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
		<p>Lot 2: 2No. Rural Growth Centers of Lomunga and Lobe in Yumbe District</p> <p>1. Carried out construction of a water treatment plant of capacity 600m³/d 1No. in a year</p> <p>2. Supply and installation of motorized solar powered water pump of at least 80m³/hr & 50m head and associated electrical accessories: 2No. per year</p>	<p>Must meet requirements</p> <p>Must meet requirements</p>	<p>Must meet requirements</p> <p>Must meet requirements</p>	<p><i>commissioning of at least 30Km of uPVC or HDPE, of nominal diameters of at least 50mm</i></p> <p>Must meet 50%, of Requirement <i>i.e Construction of water treatment plant of 300 m³/d capacity</i></p> <p>Must meet 50%, of Requirement <i>. i.e 1No. 40m³/hr and at least 25m head pump</i></p>	<p><i>commissioning of at least 48Km of uPVC or HDPE, of nominal diameters of at least 50mm</i></p> <p>Must meet 80%, of requirement <i>i.e Construction of water treatment plant of 480 m³/d capacity</i></p> <p>Must meet 80%, of requirement <i>. i.e 1No.64m³/hr and at least 40m head pump</i></p>	<p>Form EXP – 4.2 (b)</p> <p>Form EXP – 4.2 (b)</p>

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
		<p>3. Constructed steel reservoirs of at least 200m³ capacity elevated to a height of at least 12m.2No in a year</p>	Must meet requirements	Must meet requirements	Must meet 50% , of Requirement <i>i.e Constructed steel reservoirs of at least 100m³ capacity elevated to a height of at least 12m.</i>	Must meet 80% , of requirement <i>i.e Constructed steel reservoirs of at least 160m³ capacity elevated to a height of at least 12m.</i>	Form EXP – 4.2 (b)
		<p>4. Supply, laying, testing and commissioning of at least 40Km of uPVC or HDPE, of nominal diameters of at least 50mm in a year</p>	Must meet requirements	Must meet requirements	Must meet 50% , of Requirement <i>i.e Supply, laying, testing and commissioning of at least 20Km of uPVC or HDPE, of nominal diameters of at least 50mm</i>	Must meet 80% , of Requirement <i>i.e Supply, laying, testing and commissioning of at least 32Km of uPVC or HDPE, of nominal diameters of at least 50mm</i>	Form EXP – 4.2 (b)

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
		<p>Lot 3: 1No. Rural Growth Centers of Gorobo in Yumbe District</p> <p>1. Carried out construction of a water treatment plant of capacity 300m³/d 1No. in a year</p> <p>2. Supply and installation of motorized solar powered water pump of at least 13m³/hr & 150m head and associated electrical accessories: 1No. per year</p> <p>3. Constructed steel reservoirs of at least 100m³ capacity elevated to a height of at least 9m. 1No in a year</p>	<p>Must meet requirements</p> <p>Must meet requirements</p> <p>Must meet requirements</p>	<p>Must meet requirements</p> <p>Must meet requirements</p> <p>Must meet requirements</p>	<p>Must meet 50%, of Requirement <i>i.e Construction of water treatment plant of 150 m³/d capacity</i></p> <p>Must meet 50%, of Requirement <i>. i.e 1No. 7m³/hr and at least 75m head pump</i></p> <p>Must meet 50%, of Requirement <i>i.e Constructed steel reservoirs of at least 50m³ capacity elevated to a height of at least 9m.</i></p>	<p>Must meet 80%, of Requirement <i>i.e Construction of water treatment plant of 240 m³/d capacity</i></p> <p>Must meet 80%, of Requirement <i>. i.e 1No. 10m³/hr and at least 120m head pump</i></p> <p>Must meet 80%, of Requirement <i>i.e Constructed steel reservoirs of at least 80m³ capacity elevated to a height of at least 9m.</i></p>	<p>Form EXP – 4.2 (b)</p> <p>Form EXP – 4.2 (b)</p> <p>Form EXP – 4.2 (b)</p>

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
		<p>4. Supply, laying, testing and commissioning of at least 10Km of uPVC or HDPE, of nominal diameters of at least 50mm in a year</p>	<p>Must meet requirements</p>	<p>Must meet requirements</p>	<p>Must meet 50%, of Requirement <i>i.e Supply, laying, testing and commissioning of at least 50Km of uPVC or HDPE, of nominal diameters of at least 50mm</i></p>	<p>Must meet 80%, of Requirement <i>i.e Supply, laying, testing and commissioning of at least 8Km of uPVC or HDPE, of nominal diameters of at least 50mm</i></p>	<p>Form EXP – 4.2 (b)</p>

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
4.2 (c)	Specific Experience in managing Environmental and Social (ES) aspects	For the contracts in 4.2 (a) above and/or any other contracts [substantially completed and under implementation] as prime contractor, joint venture member, or Subcontractor between and Application submission deadline, experience in managing ES risks and impacts in the following aspects: <ol style="list-style-type: none"> 1. Borehole water source works 2. Air, Sound and soil pollution 3. Gender Based Violence 4. HIV/AIDS 5. Child labour 6. Occupational health and safety 7. Waste management 	Must meet requirements	Must meet requirement	Must meet requirement	Must meet requirement	Form EXP – 4.2 (c)

Section IV - Bidding Forms

Table of Forms

Letter of Bid- Technical Part	89
Appendix to Technical Part of Bid	92
Technical Proposal	93
Site Organization	94
Method Statement	95
Sustainable Procurement Proposal – N/A.....	96
Mobilization Schedule	97
Construction Schedule	98
ES Management Strategies and Implementation Plans (ES-MSIP)	99
Code of Conduct for Contractor’s Personnel (ES) Form.....	100
Form EQU: Contractor’s Equipment	104
Subcontractors.....	105
Form PER -1: Contractor’s Representative and Key Personnel Schedule	107
Form PER-2: Resume and Declaration Contractor’s Representative and Key Personnel.....	109
Bidder’s Qualification without prequalification	111
Form ELI -1.1: Bidder Information Form.....	112
Form ELI -1.2: Bidder's JV Information Form (to be completed for each member of Bidder’s JV).....	113
Form CON – 2: Historical Contract Non-Performance, Pending Litigation and Litigation History.....	114
Form CON – 3: Environmental and Social Performance Declaration.....	116
Form CON – 4: Sexual Exploitation and Abuse (SEA) and/or Sexual Harassment Performance Declaration	118
FORM FOR -1	120
FORM FOR -2	122
Form FIN – 3.1: Financial Situation and Performance.....	124
Form FIN – 3.2: Average Annual Construction Turnover	126

Form FIN – 3.3: Financial Resources	127
Form FIN – 3.4: Current Contract Commitments / Works in Progress	128
Form EXP - 4.1: General Construction Experience.....	129
Form EXP - 4.2(a): Specific Construction and Contract Management Experience ..	130
Form EXP - 4.2(a) (cont.): Specific Construction and Contract Management Experience (cont.)	131
Form EXP - 4.2(b): Construction Experience in Key Activities	132
Form EXP - 4.2(c): Specific Experience in Managing ES aspects.....	134
Form of Bid Security - Demand Guarantee	135
Form of Bid-Securing Declaration	137
Form of Sexual Exploitation and Abuse (SEA), and/or Sexual Harassment (SH) Declaration.....	138
Letter of Bid - Financial Part.....	139
Appendix to Financial Part.....	141
Table C-1. Summary of Payment Currencies- Lot 1	142
Table C-2. Summary of Payment Currencies -Lot 2	143
Table C-3. Summary of Payment Currencies- Lot 3	144
Bill of Quantities.....	145
. 145	

Letter of Bid- Technical Part

INSTRUCTIONS TO BIDDERS: DELETE THIS BOX ONCE YOU HAVE COMPLETED THE DOCUMENT

The Bidder must prepare this Letter of Bid on stationery with its letterhead clearly showing the Bidder's complete name and business address.

Note: All italicized text is to help Bidders in preparing this form.

Date of this Bid submission: *[insert date (as day, month and year) of Bid submission]*

Request for Bid No.: *[insert identification]*

Alternative No.: *[insert identification No if this is a Bid for an alternative]*

To: *[insert complete name of Employer]*

We, the undersigned, hereby submit our Bid, in two parts, namely:

- (a) the Technical Part, and
- (b) the Financial Part

In submitting our Bid, we make the following declarations:

- (a) **No reservations:** We have examined and have no reservations to the bidding document, including Addenda issued in accordance with Instructions to Bidders (ITB 8);
- (b) **Eligibility:** We meet the eligibility requirements and have no conflict of interest in accordance with ITB 4;
- (c) **Bid-Securing Declaration:** We have not been suspended nor declared ineligible by the Employer based on execution of a Bid-Securing Declaration or Proposal-Securing Declaration in the Employer's country in accordance with ITB 4.7;
- (d) **Sexual Exploitation and Abuse (SEA) and/or Sexual Harassment (SH):** *[select the appropriate option from (i) to (v) below and delete the others].*

We *[where JV, insert: "including any of our JV members"]*, and any of our subcontractors:

- (i) *[have not been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations.]*
- (ii) *[are subject to disqualification by the Bank for non-compliance with SEA/ SH obligations.]*

- (iii) [had been subject to disqualification by the Bank for non-compliance with SEA/SH obligations. An arbitral award on the disqualification case has been made in our favor.]
 - (iv) [had been subject to disqualification by the Bank for non-compliance with SEA/SH obligations for a period of two years. We have subsequently provided and demonstrated that we have adequate capacity and commitment to comply with SEA and SH prevention and response obligations.]
 - (v) [had been subject to disqualification by the Bank for non-compliance with SEA/SH obligations for a period of two years. We have attached documents demonstrating that we have adequate capacity and commitment to comply with SEA and SH prevention and response obligations.]
- (e) **Conformity:** We offer to execute in conformity with the bidding document the following Works: *[insert a brief description of the Works]*_____;
- (f) **Bid Validity:** Our Bid shall be valid until *[insert day, month and year in accordance with ITB 18.1]*, and it shall remain binding upon us and may be accepted at any time on or before this date;
- (g) **Performance Security:** If our Bid is accepted, we commit to obtain a Performance Security *[and an Environmental and Social (ES) Performance Security, Delete if not applicable]* in accordance with the bidding document;
- (h) **One Bid Per Bidder:** We are not submitting any other Bid(s) as an individual Bidder, and we are not participating in any other Bid(s) as a Joint Venture member or as a subcontractor, and meet the requirements of ITB 4.3, other than alternative Bids submitted in accordance with ITB 13;
- (i) **Suspension and Debarment:** We, along with any of our subcontractors, suppliers, consultants, manufacturers, or service providers for any part of the contract, are not subject to, and not controlled by any entity or individual that is subject to, a temporary suspension or a debarment imposed by the World Bank Group, or a debarment imposed by the World Bank Group in accordance with the Agreement for Mutual Enforcement of Debarment Decisions between the World Bank and other development banks. Further, we are not ineligible under the Employer's country laws or official regulations or pursuant to a decision of the United Nations Security Council;
- (j) **State-owned enterprise or institution:** *[select the appropriate option and delete the other]* *[We are not a state-owned enterprise or institution]* / *[We are a state-owned enterprise or institution but meet the requirements of ITB 4.6]*;
- (k) **Binding Contract:** We understand that this Bid, together with your written acceptance thereof included in your Letter of Acceptance, shall constitute a binding contract between us, until a formal contract is prepared and executed;
- (l) **Not Bound to Accept:** We understand that you are not bound to accept the lowest evaluated cost Bid, the Most Advantageous Bid or any other Bid that you may receive; and

- (m) **Fraud and Corruption:** We hereby certify that we have taken steps to ensure that no person acting for us or on our behalf engages in any type of Fraud and Corruption;
- (n) **Potential DAAB Members:** We hereby propose the following three persons, whose curriculum vitae are attached, as potential DAAB members:

Name	Address
1.	
2.	
3.	

Name of the Bidder: *[insert complete name of the Bidder]

Name of the person duly authorized to sign the Bid on behalf of the Bidder: ** [insert complete name of person duly authorized to sign the Bid]

Title of the person signing the Bid: [insert complete title of the person signing the Bid]

Signature of the person named above: [insert signature of person whose name and capacity are shown above]

Date signed [insert date of signing] day of [insert month], [insert year]

*: In the case of the Bid submitted by joint venture specify the name of the Joint Venture as Bidder

** : Person signing the Bid shall have the power of attorney given by the Bidder to be attached with the Bid

Appendix to Technical Part of Bid

Technical Proposal

- **Site Organization**
- **Method Statement**
- **Sustainable Procurement Proposal**
- **Mobilization Schedule**
- **Construction Schedule**
- **ES Management Strategies and Implementation Plans**
- **Code of Conduct for Contractor's Personnel (ES)**
- **Equipment**
- **Key Personnel Schedule**
- **Others**

Site Organization

Provide a detailed description/illustration of the proposed site organization in terms of:

- *Personnel*
- *Offices*
- *Materials storage*
- *Workshops etc.*

Each bidder shall submit its proposed organization of site which ensures a healthy and safe construction site throughout the construction period

Method Statement

Provide a detailed description of the proposed method statement to execute the works including:

- a) Work method and step-by-step instructions for construction and installation of each major system component, including activity interactions and sequencing. The key system components including pumping system, water treatment, pipework, energy packages and sanitation.
- b) Resource requirements for each component (Time, equipment and human resources)
- c) Risk management and stakeholder engagement provisions to ensure safety and quality of installations.

Sustainable Procurement Proposal – N/A

*[Note to Bidder: In addition to submitting the **required** ES Management Strategies and Implementation Plans, the Bidder shall provide its proposal to demonstrate how additional sustainable procurement requirements, if any, specified in Section VII- Works' Requirements would be addressed. The Bidder shall also provide its proposal, if any, for exceeding the sustainable procurement requirements.]*

Mobilization Schedule

Provide a detailed description/illustration of the proposed mobilization schedule for materials, equipment, labour etc. including sources.

In accordance with the Particular Conditions, Sub-Clause 4.1, the Contractor shall not carry out mobilization to Site unless the Engineer gives consent that appropriate measures are in place to address environmental and social risks and impacts, which as a minimum shall include applying the Management Strategies and Implementation Plans (MSIPs) and Code of Conduct for Contractor's Personnel, submitted as part of the Bid and agreed as part of the Contract.

Construction Schedule

Provide a detailed description/illustration of the proposed construction schedule including the different sub-tasks and, clear timelines for mobilization and each major work scope:

The construction schedule shall include all required key milestones including but not limited to:

- a) Special provisions under Particular Conditions – Sub-Clause 4.1 (No-objection to the Contractor’s MSIPs),
- b) Constitution of the DAAB
- c) SEA and SH orientation conference

The construction schedule provided should be consistent with the method statement presented.

ES Management Strategies and Implementation Plans (ES-MSIP)

The Bidder shall submit comprehensive and concise Environmental and Social Management Strategies and Implementation Plans (ES-MSIP) as required by ITB 11.2 (h) of the Bid Data Sheet. These strategies and plans shall describe in detail the actions, materials, equipment, management processes etc. that will be implemented by the Contractor, and its subcontractors.

In developing these strategies and plans, the Bidder shall have regard to the ES provisions of the contract including those as may be more fully described in the Works Requirements in Section VII.

Code of Conduct for Contractor's Personnel (ES) Form

Note to the Employer:

The following minimum requirements shall not be modified. The Employer may add additional requirements to address identified issues, informed by relevant environmental and social assessment.

The types of issues identified could include risks associated with: labour influx, spread of communicable diseases, Sexual Exploitation and Abuse (SEA), Sexual Harassment (SH) etc.

Delete this Box prior to issuance of the bidding documents.

Note to the Bidder:

The minimum content of the Code of Conduct form as set out by the Employer shall not be substantially modified. However, the Bidder may add requirements as appropriate, including to take into account Contract-specific issues/risks.

The Bidder shall initial and submit the Code of Conduct form as part of its bid.

CODE OF CONDUCT FOR CONTRACTOR'S PERSONNEL

We are the Contractor, [enter name of Contractor]. We have signed a contract with [enter name of Employer] for [enter description of the Works]. These Works will be carried out at [enter the Site and other locations where the Works will be carried out]. Our contract requires us to implement measures to address environmental and social risks related to the Works, including the risks of sexual exploitation, sexual abuse and sexual harassment.

This Code of Conduct is part of our measures to deal with environmental and social risks related to the Works. It applies to all our staff, labourers and other employees at the Works Site or other places where the Works are being carried out. It also applies to the personnel of each subcontractor and any other personnel assisting us in the execution of the Works. All such persons are referred to as “**Contractor's Personnel**” and are subject to this Code of Conduct.

This Code of Conduct identifies the behavior that we require from all Contractor's Personnel.

Our workplace is an environment where unsafe, offensive, abusive or violent behavior will not be tolerated and where all persons should feel comfortable raising issues or concerns without fear of retaliation.

REQUIRED CONDUCT

Contractor's Personnel shall:

1. carry out his/her duties competently and diligently;

2. comply with this Code of Conduct and all applicable laws, regulations and other requirements, including requirements to protect the health, safety and well-being of other Contractor's Personnel and any other person;
3. maintain a safe working environment including by:
 - a. ensuring that workplaces, machinery, equipment and processes under each person's control are safe and without risk to health;
 - b. wearing required personal protective equipment;
 - c. using appropriate measures relating to chemical, physical and biological substances and agents; and
 - d. following applicable emergency operating procedures.
4. report work situations that he/she believes are not safe or healthy and remove himself/herself from a work situation which he/she reasonably believes presents an imminent and serious danger to his/her life or health;
5. treat other people with respect, and not discriminate against specific groups such as women, people with disabilities, migrant workers or children;
6. not engage in Sexual Harassment, which means unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature with other Contractor's or Employer's Personnel;
7. not engage in Sexual Exploitation, which means any actual or attempted abuse of position of vulnerability, differential power or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of another;
8. not engage in Sexual Abuse, which means the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions;
9. not engage in any form of sexual activity with individuals under the age of 18, except in case of pre-existing marriage;
10. complete relevant training courses that will be provided related to the environmental and social aspects of the Contract, including on health and safety matters, Sexual Exploitation and Abuse (SEA), and Sexual Harassment (SH);
11. report violations of this Code of Conduct; and
12. not retaliate against any person who reports violations of this Code of Conduct, whether to us or the Employer, or who makes use of the grievance mechanism for Contractor's Personnel or the project's Grievance Redress Mechanism.

RAISING CONCERNS

If any person observes behavior that he/she believes may represent a violation of this Code of Conduct, or that otherwise concerns him/her, he/she should raise the issue promptly. This can be done in either of the following ways:

1. Contact [*enter name of the Contractor's Social Expert with relevant experience in handling sexual exploitation, sexual abuse and sexual harassment cases, or if such person is not*

required under the Contract, another individual designated by the Contractor to handle these matters] in writing at this address [] or by telephone at [] or in person at []; or

2. Call [] to reach the Contractor's hotline (*if any*) and leave a message.

The person's identity will be kept confidential, unless reporting of allegations is mandated by the country law. Anonymous complaints or allegations may also be submitted and will be given all due and appropriate consideration. We take seriously all reports of possible misconduct and will investigate and take appropriate action. We will provide warm referrals to service providers that may help support the person who experienced the alleged incident, as appropriate.

There will be no retaliation against any person who raises a concern in good faith about any behavior prohibited by this Code of Conduct. Such retaliation would be a violation of this Code of Conduct.

CONSEQUENCES OF VIOLATING THE CODE OF CONDUCT

Any violation of this Code of Conduct by Contractor's Personnel may result in serious consequences, up to and including termination and possible referral to legal authorities.

FOR CONTRACTOR'S PERSONNEL:

I have received a copy of this Code of Conduct written in a language that I comprehend. I understand that if I have any questions about this Code of Conduct, I can contact [*enter name of Contractor's contact person(s) with relevant experience*] requesting an explanation.

Name of Contractor's Personnel: [insert name]

Signature: _____

Date: (day month year): _____

Countersignature of authorized representative of the Contractor:

Signature: _____

Date: (day month year): _____

ATTACHMENT 1: Behaviors constituting Sexual Exploitation and Abuse (SEA) and behaviors and behaviors constituting Sexual Harassment (SH)

ATTACHMENT 1 TO THE CODE OF CONDUCT FORM**BEHAVIORS CONSTITUTING SEXUAL EXPLOITATION AND ABUSE (SEA) AND
BEHAVIORS CONSTITUTING SEXUAL HARASSMENT (SH)**

The following non-exhaustive list is intended to illustrate types of prohibited behaviors.

(1) **Examples of sexual exploitation and abuse** include, but are not limited to:

- A Contractor's Personnel tells a member of the community that he/she can get them jobs related to the work site (e.g., cooking and cleaning) in exchange for sex.
- A Contractor's Personnel that is connecting electricity input to households says that he can connect women headed households to the grid in exchange for sex.
- A Contractor's Personnel rapes, or otherwise sexually assaults a member of the community.
- A Contractor's Personnel denies a person access to the Site unless he/she performs a sexual favor.
- A Contractor's Personnel tells a person applying for employment under the Contract that he/she will only hire him/her if he/she has sex with him/her.

(2) **Examples of sexual harassment in a work context**

- Contractor's Personnel comment on the appearance of another Contractor's Personnel (either positive or negative) and sexual desirability.
- When a Contractor's Personnel complains about comments made by another Contractor's Personnel on his/her appearance, the other Contractor's Personnel comment that he/she is "asking for it" because of how he/she dresses.
- Unwelcome touching of a Contractor's or Employer's Personnel by another Contractor's Personnel.
- A Contractor's Personnel tells another Contractor's Personnel that he/she will get him/her a salary raise, or promotion if he/she sends him/her naked photographs of himself/herself.

Form EQU: Contractor's Equipment

The Bidder shall provide adequate information to demonstrate clearly that it has the capability to meet the requirements for the key equipment listed in Section III, Evaluation and Qualification Criteria. A separate Form shall be prepared for each item of equipment listed, or for alternative equipment proposed by the Bidder.

Item of equipment		
Equipment information	Name of manufacturer	Model and power rating
	Capacity	Year of manufacture
Current status	Current location	
	Details of current commitments	
Source	Indicate source of the equipment <input type="checkbox"/> Owned <input type="checkbox"/> Rented <input type="checkbox"/> Leased	

Omit the following information for equipment owned by the Bidder.

Owner	Name of owner	
	Address of owner	
	Telephone	Contact name and title
	Fax	Telex
Agreements	Details of rental / lease / manufacture agreements specific to the project	

Subcontractors

[Note to Bidder: As applicable, select either Option 1 if prequalification process has not been carried out or Option 2: if prequalification process has been carried out, and delete the option that is not applicable]

Option 1- Without Prequalification

(a) Specialized Subcontractors

The following Specialized Subcontractors are proposed for parts of the Works permitted by the Employer in accordance with BDS ITB 17.7 [state “Not Applicable,” if not permitted]

<i>No.</i>	<i>Part of the Works to be subcontracted</i>	<i>Specialized Subcontractor’s name and address</i>	<i>Nationality</i>	<i>Specific Experience</i>

The following [add: “other,” if Specialized Subcontractors are included above. Bidders are free to propose more than one subcontractor for each part of the Works.] Subcontractors are proposed.

<i>No.</i>	<i>Part of the Works to be subcontracted</i>	<i>Subcontractor’s name and address</i>	<i>Nationality</i>	<i>Specific Experience</i>

Option 2- After Prequalification

(a) Specialized Subcontractors

[Insert the following if Specialized Subcontractors were accepted by the Employer as part of the prequalification process and/or through any change approved by the Employer prior to the deadline for Bid submission; otherwise, state: N/A.]

“ The same specialized subcontractor/s accepted by the Employer as part of the prequalification process and/or through any change approved by the Employer prior to the deadline for Bid submission are proposed.”

(b) The following [add: “other,” if Specialized Subcontractors are included above] Subcontractors are proposed. Bidders are free to propose more than one subcontractor for the same part of the Works.]

<i>No.</i>	<i>Part of the Works to be subcontracted</i>	<i>Subcontractor's name and address</i>	<i>Nationality</i>	<i>Specific Experience</i>

Form PER -1: Contractor's Representative and Key Personnel Schedule

Bidders should provide the names and details of the suitably qualified Contractor's Representative and Key Personnel to perform the Contract. The data on their experience should be supplied using the Form PER-2 below for each candidate.

Contractor' Representative and Key Personnel

1.	Title of position: Contractor's Representative	
	Name of candidate:	
	Duration of appointment:	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	Time commitment for this position:	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	Expected time schedule for this position:	<i>[insert the expected time schedule for this position (e.g., attach high level Gantt chart)]</i>
2.	Title of position: [Environmental Specialist]	
	Name of candidate:	
	Duration of appointment:	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	Time commitment for this position:	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	Expected time schedule for this position:	<i>[insert the expected time schedule for this position (e.g., attach high level Gantt chart)]</i>
3.	Title of position: [Health and Safety Specialist]	
	Name of candidate:	
	Duration of appointment:	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	Time commitment for this position:	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	Expected time schedule for this position:	<i>[insert the expected time schedule for this position (e.g., attach high level Gantt chart)]</i>
4.	Title of position: [Social Specialist]	
	Name of candidate:	
	Duration of appointment:	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	Time commitment for this position:	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	Expected time schedule for this position:	<i>[insert the expected time schedule for this position (e.g., attach high level Gantt chart)]</i>

5.	Title of position: Sexual Exploitation, Abuse and Harassment Expert <i>[Where a Project SEA risks are assessed to be substantial or high, Key Personnel shall include an expert with relevant experience in addressing sexual exploitation, sexual abuse and sexual harassment cases]</i>	
	Name of candidate	
	Duration of appointment:	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	Time commitment for this position:	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	Expected time schedule for this position:	<i>[insert the expected time schedule for this position (e.g., attach high level Gantt chart)]</i>
6.	Title of position: [Cyber security Expert/s] <i>[Include as required]</i>	
	Name of candidate	
	Duration of appointment:	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	Time commitment for this position:	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	Expected time schedule for this position:	<i>[insert the expected time schedule for this position (e.g., attach high level Gantt chart)]</i>

Form PER-2: Resume and Declaration Contractor's Representative and Key Personnel

Name of Bidder

Position [#1]: <i>[title of position from Form PER-1]</i>
--

Personnel information	Name:	Date of birth:
	Address:	E-mail:
	Professional qualifications:	
	Academic qualifications:	
	Language proficiency: <i>[language and levels of speaking, reading and writing skills]</i>	
Details	Address of employer:	
	Telephone:	Contact (manager / personnel officer):
	Fax:	
	Job title:	Years with present employer:

Summarize professional experience in reverse chronological order. Indicate particular technical and managerial experience relevant to the project.

Project	Role	Duration of involvement	Relevant experience
<i>[main project details]</i>	<i>[role and responsibilities on the project]</i>	<i>[time in role]</i>	<i>[describe the experience relevant to this position]</i>

Declaration

I, the undersigned [*insert either "Contractor's Representative" or "Key Personnel" as applicable*], certify that to the best of my knowledge and belief, the information contained in this Form PER-2 correctly describes myself, my qualifications and my experience.

I confirm that I am available as certified in the following table and throughout the expected time schedule for this position as provided in the Bid:

Commitment	Details
Commitment to duration of contract:	<i>[insert period (start and end dates) for which this Contractor's Representative or Key Personnel is available to work on this contract]</i>
Time commitment:	<i>[insert period (start and end dates) for which this Contractor's Representative or Key Personnel is available to work on this contract]</i>

I understand that any misrepresentation or omission in this Form may:

1. be taken into consideration during Bid evaluation;
2. result in my disqualification from participating in the Bid
3. result in my dismissal from the contract.

Name of Contractor's Representative or Key Personnel: [*insert name*]

Signature: _____

Date: (day month year): _____

Countersignature of authorized representative of the Bidder:

Signature: _____

Date: (day month year): _____

Bidder's Qualification without prequalification

To establish its qualifications to perform the contract in accordance with Section III, Evaluation and Qualification Criteria the Bidder shall provide the information requested in the corresponding Information Sheets included hereunder.

Form ELI -1.1: Bidder Information Form

Date: _____
 RFB No. and title: _____
 Page _____ of _____ pages

Bidder's name
In case of Joint Venture (JV), name of each member:
Bidder's actual or intended country of registration: <i>[indicate country of Constitution]</i>
Bidder's actual or intended year of incorporation:
Bidder's legal address [in country of registration]:
Bidder's authorized representative information Name: _____ Address: _____ Telephone/Fax numbers: _____ E-mail address: _____
1. Attached are copies of original documents of <input type="checkbox"/> Articles of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITB 4.4 <input type="checkbox"/> In case of JV, letter of intent to form JV or JV agreement, in accordance with ITB 4.1 <input type="checkbox"/> In case of state-owned enterprise or institution, in accordance with ITB 4.6, documents establishing: <ul style="list-style-type: none"> • Legal and financial autonomy • Operation under commercial law • Establishing that the Bidder is not under the supervision of the Employer
2. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership. The successful Bidder shall provide additional information on beneficial ownership, using the Beneficial Ownership Disclosure Form.

Form ELI -1.2: Bidder's JV Information Form
(to be completed for each member of Bidder's JV)

Date: _____
RFB No. and title: _____
Page _____ of _____ pages

Bidder's JV name:
JV member's name:
JV member's country of registration:
JV member's year of constitution:
JV member's legal address in country of constitution:
JV member's authorized representative information Name: _____ Address: _____ Telephone/Fax numbers: _____ E-mail address: _____
<p>1. Attached are copies of original documents of</p> <p><input type="checkbox"/> Articles of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above, in accordance with ITB 4.4.</p> <p><input type="checkbox"/> In case of a state-owned enterprise or institution, documents establishing legal and financial autonomy, operation in accordance with commercial law, and that they are not under the supervision of the Employer, in accordance with ITB 4.6.</p> <p>2. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership. The successful Bidder shall provide additional information on beneficial ownership for each JV member using the Beneficial Ownership Disclosure Form.</p>

Form CON – 2: Historical Contract Non-Performance, Pending Litigation and Litigation History

Bidder's Name: _____

Date: _____

JV Member's Name _____

RFB No. and title: _____

Page _____ of _____ pages

Non-Performed Contracts in accordance with Section III, Evaluation and Qualification Criteria			
<input type="checkbox"/> Contract non-performance did not occur since 1 st January <i>[insert year]</i> <input type="checkbox"/> Contract(s) not performed since 1 st January <i>[insert year]</i>			
Year	Non-performed portion of contract	Contract Identification	Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)
<i>[insert year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for nonperformance: <i>[indicate main reason(s)]</i>	<i>[insert amount]</i>
Pending Litigation, in accordance with Section III, Evaluation and Qualification Criteria			
<input type="checkbox"/> No pending litigation <input type="checkbox"/> Pending litigation			

Year of dispute	Amount in dispute (currency)	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)
		Contract Identification: _____ Name of Employer: _____ Address of Employer: _____ Matter in dispute: _____ Party who initiated the dispute: _____ Status of dispute: _____	
		Contract Identification: Name of Employer: Address of Employer: Matter in dispute: Party who initiated the dispute: Status of dispute:	
Litigation History in accordance with Section III, Evaluation and Qualification Criteria			
<input type="checkbox"/> No Litigation History <input type="checkbox"/> Litigation History			
Year of award	Outcome as percentage of Net Worth	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)
<i>[insert year]</i>	<i>[insert percentage]</i>	Contract Identification: <i>[indicate complete contract name, number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Employer" or "Contractor"]</i> Reason(s) for Litigation and award decision <i>[indicate main reason(s)]</i>	<i>[insert amount]</i>

Form CON – 3: Environmental and Social Performance Declaration

[The following table shall be filled in for the Bidder, each member of a Joint Venture and each Specialized Subcontractor]

Bidder’s Name: *[insert full name]*
 Date: *[insert day, month, year]*
 Joint Venture Member’s or Specialized Subcontractor’s Name: *[insert full name]*
 RFB No. and title: *[insert RFB number and title]*
 Page *[insert page number]* of *[insert total number]* pages

Environmental and Social Performance Declaration in accordance with Section III, Qualification Criteria, and Requirements			
<input type="checkbox"/> No suspension or termination of contract: An employer has not suspended or terminated a contract and/or called the performance security for a contract for reasons related to Environmental or Social (ES) performance since the date specified in Section III, Qualification Criteria, and Requirements, Sub-Factor 2.5.			
<input type="checkbox"/> Declaration of suspension or termination of contract: The following contract(s) has/have been suspended or terminated and/or Performance Security called by an employer(s) for reasons related to Environmental or Social (ES) performance since the date specified in Section III, Qualification Criteria, and Requirements, Sub-Factor 2.5. Details are described below:			
Year	Suspended or terminated portion of contract	Contract Identification	Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)
<i>[insert year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for suspension or termination: <i>[indicate main reason(s) e.g., gender-based violence; sexual exploitation or sexual abuse breaches]</i>	<i>[insert amount]</i>
<i>[insert year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for suspension or termination: <i>[indicate main reason(s)]</i>	<i>[insert amount]</i>
...	...	<i>[list all applicable contracts]</i>	...

Performance Security called by an employer(s) for reasons related to ES performance		
Year	Contract Identification	Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)
<i>[insert year]</i>	Contract Identification: <i>[indicate complete contract name/ number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for calling of performance security: <i>[indicate main reason(s) e.g., for gender-based violence; sexual exploitation or sexual abuse breaches]</i>	<i>[insert amount]</i>

Form CON – 4: Sexual Exploitation and Abuse (SEA) and/or Sexual Harassment Performance Declaration

[The following table shall be filled in by the Bidder, each member of a Joint Venture and each subcontractor proposed by the Bidder]

Bidder's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member's or Subcontractor's Name: *[insert full name]*

RFB No. and title: *[insert RFB number and title]*

Page *[insert page number]* of *[insert total number]* pages

SEA and/or SH Declaration in accordance with Section III, Qualification Criteria, and Requirements
<p>We:</p> <p><input type="checkbox"/> (a) have not been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations</p> <p><input type="checkbox"/> (b) are subject to disqualification by the Bank for non-compliance with SEA/ SH obligations</p> <p><input type="checkbox"/> (c) had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations. An arbitral award on the disqualification case has been made in our favor.</p> <p><input type="checkbox"/> (d) had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations for a period of two years. We have subsequently demonstrated that we have adequate capacity and commitment to comply with SEA/ SH obligations.</p> <p><input type="checkbox"/> (e) had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations for a period of two years. We have attached evidence demonstrating that we have adequate capacity and commitment to comply with SEA/ SH obligations.</p>
<i>[If (c) above is applicable, attach evidence of an arbitral award reversing the findings on the issues underlying the disqualification.]</i>
<i>[If (d) or (e) above are applicable, provide the following information:]</i>
Period of disqualification: From: _____ To: _____
If previously provided on another Bank financed works contract, details of evidence that demonstrated adequate capacity and commitment to comply with SEA/ SH obligations (as per (d) above) <p style="margin-left: 20px;">Name of Employer: _____</p> <p style="margin-left: 20px;">Name of Project: _____</p> <p style="margin-left: 20px;">Contract description: _____</p> <p style="margin-left: 20px;">Brief summary of evidence provided: _____</p>

Contact Information: (Tel, email, name of contact person): _____

As an alternative to the evidence under (d), other evidence demonstrating adequate capacity and commitment to comply with SEA/ SH obligations (**as per (e) above**) [*attach details as appropriate*].

FORM FOR -1

Forced Labor Performance Declaration¹

[The following table shall be filled in by the Bidder, each member of a Joint Venture, each Subcontractor/ supplier/ manufacturer providing solar panels and/or solar panel components proposed by the Bidder]

Bidder's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member's or Subcontractor's/supplier's/manufacturer's Name: *[insert full name]*

RFB No. and title: *[insert RFB number and title]*

Page *[insert page number]* **of** *[insert total number]* **pages**

Forced Labor Performance Declaration in accordance with Section III, Evaluation and Qualification Criteria											
<p>We:</p> <p><input type="checkbox"/> (a) have not been suspended or terminated, and/or other contractual remedies applied including calling of performance security by an employer, for reasons of breach of forced labor obligations in the past five years. <i>[if (a) is declared, state N/A for (b) below]</i></p> <p><input type="checkbox"/> (b) have been suspended or terminated, and/or other contractual remedies applied including calling of performance security by an employer, for reasons of breach of forced labor obligations in the past five years. Details are provided below:</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; width: 10%;">Year</th> <th style="text-align: left; width: 20%;">Contract identification</th> <th style="text-align: left; width: 20%;">Name of Employer</th> <th style="text-align: left; width: 50%;">Reasons for suspension or, termination, and/or other contractual remedies applied including calling performance security</th> </tr> </thead> <tbody> <tr> <td style="height: 40px;"> </td> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table> <p><input type="checkbox"/> (c) <i>[If (b) above is applicable, attach evidence demonstrating that adequate capacity and commitment to comply with Forced Labor obligations.]</i></p>				Year	Contract identification	Name of Employer	Reasons for suspension or, termination, and/or other contractual remedies applied including calling performance security				
Year	Contract identification	Name of Employer	Reasons for suspension or, termination, and/or other contractual remedies applied including calling performance security								

We declare that all the information and statements made in this Form are true, and we accept that any misrepresentation contained in this Form may lead to our disqualification by the Employer and/or sanctions by the Bank.

Name of the Bidder/ JV member/ Subcontractor/ supplier/ manufacturer _____

Name of the person duly authorized to sign on behalf of the Bidder/ JV member/ Subcontractor/ supplier/manufacturer _____

Title of the person signing on behalf of the Bidder/ JV member/ Subcontractor/ supplier/ manufacturer _____

Signature of the person named above _____

Date signed _____ day of _____, _____

Countersignature of authorized representative of the Bidder (for forms submitted by a JV member, Subcontractor/ supplier/ manufacturer):

¹ Annex II uses terms such as "RFB" and "bidder". The terms should be adjusted depending on the applicable procurement process terms such as "RFP" "proposer" and "applicant".

Signature: _____

Date signed _____ day of _____, _____

FORM FOR -2

Forced Labor Declaration²

Date: _____

RFB No.: _____

Alternative No.: _____

Contract Title: _____

To:

We, the undersigned, declare that, if awarded the Contract, we, including our Subcontractors and suppliers/ manufacturers, are required to comply with the contractual Forced Labor obligations. In this regard, we:

- (a) accept that there will be no Forced Labor among the staff, employees, workers and any other persons employed or engaged by us;
- (b) accept that staff, employees, workers and any other persons employed or engaged, will be hired under employment conditions that meet the contractual obligations set out in the Contract;
- (c) will include in our contracts with Subcontractors/ suppliers/ manufacturers of *[solar panels] [solar panel components]* obligations to prevent Forced Labor among the staff, employees, workers and any other person employed or engaged by the Subcontractor/ supplier/ manufacturer;
- (d) will include in our contracts with Subcontractors/ suppliers/ manufacturers of *[solar panels] [solar panel components]*, that the Subcontractors/ suppliers/ manufacturers include an obligation to prevent Forced Labor in all contracts that they execute with their suppliers/ manufacturers of *[solar panel][solar panel components]*;
- (e) will monitor our Subcontractors/ suppliers/ manufacturers of *[solar panels][solar panel components]* on implementation of obligations to prevent Forced Labor among the staff, employees, workers and any other person employed or engaged by them;
- (f) will require our Subcontractors to monitor their suppliers/ manufacturers of *[solar panels][solar panel components]* on implementation of obligations to prevent Forced Labor among the staff, employees, workers and any other person employed or engaged by them;
- (g) will require our Subcontractors/ suppliers/ manufacturers to immediately notify us of any incidents of Forced Labor;
- (h) will immediately notify the Employer any incident of Forced labor on the site, or premises of Subcontractors/ suppliers/ manufacturers of *[solar panels] [solar panel components]*;
- (i) will include in periodic progress reports submitted in accordance with the contract sufficient details on our, including our Subcontractors/ suppliers/ manufacturers , compliance with Forced Labor obligations; and we
- (j) confirm that the Subcontractors/ suppliers/ manufacturers for *[solar panels][solar panel components]* for this contract are (or likely to be):

[Provide each firm's name, address, primary contact, e-mail address, and the link to the firm's website]

² Annex III uses terms such as "bid" and "bidder". The terms should be adjusted depending on the applicable procurement process terms such as "proposal" "proposer"

OR

confirm that you have not yet finalized the Subcontractors/ suppliers/ manufacturers of solar panels/components, but when known the firm/s name(s), address(es), primary contact(s), e-mail address(es) and web site link(s) will be provided to the Employer, prior to signing the contract, with documentation demonstrating compliance with forced labor obligations to the Employer for approval].

THEN

If (c) above is applicable, attach evidence of how these contract obligations are/will be made.

If (d) above is applicable, attach evidence of how these contract obligations are/will be made.

If (e) above is applicable, please attach evidence of how this monitoring/due diligence is/will be undertaken (such as your inspection protocols, use of inspection agents, frequency of inspections, examples of previous factory/labor inspection reports etc.).

If (f) above is applicable, please attach evidence of how this monitoring/due diligence is/will be undertaken by Subcontractors (such as their inspection protocols, use of inspection agents, frequency of inspections, examples of previous factory/labor inspection reports etc.).

We declare all the information and statements made in this Form are true, and we accept that any misrepresentation contained in this Form may lead to our disqualification by the Employer and/or sanctions by the Bank.

Name of the Bidder* _____

Name of the person duly authorized to sign the Bid on behalf of the Bidder** _____

Title of the person signing the Bid _____

Signature of the person named above _____

Date signed _____ day of _____, _____

*: In the case of the Bid submitted by joint venture specify the name of the Joint Venture as Bidder

**.: Person signing the Bid shall have the power of attorney given by the Bidder attached to the Bid

[Note: In case of a Joint Venture, the Forced Labor Declaration must be in the name of all members to the Joint Venture that submits the Bid.]

Form FIN – 3.1: Financial Situation and Performance

Bidder's Name: _____
 Date: _____
 JV Member's Name _____
 RFB No. and title: _____
 Page _____ of _____ pages

1. Financial data

Type of Financial information in (currency)	Historic information for previous _____ years, _____ (amount in currency, currency, exchange rate*, USD equivalent)				
	Year 1	Year 2	Year 3	Year4	Year 5
Statement of Financial Position (Information from Balance Sheet)					
Total Assets (TA)					
Total Liabilities (TL)					
Total Equity/Net Worth (NW)					
Current Assets (CA)					
Current Liabilities (CL)					
Working Capital (WC)					
Information from Income Statement					
Total Revenue (TR)					
Profits Before Taxes (PBT)					
Cash Flow Information					
Cash Flow from Operating Activities					

*Refer to ITB 36.1 for the exchange rate

2. Sources of Finance

Specify sources of finance to meet the cash flow requirements on works currently in progress and for future contract commitments.

No.	Source of finance	Amount (US\$ equivalent)
1		
2		
3		

2. Financial documents

The Bidder and its parties shall provide copies of financial statements for _____ years pursuant Section III, Evaluation and Qualifications Criteria, Sub-factor 3.1. The financial statements shall:

- (a) reflect the financial situation of the Bidder or in case of JV member, and not an affiliated entity (such as parent company or group member).
- (b) be independently audited or certified in accordance with local legislation.
- (c) be complete, including all notes to the financial statements.
- (d) correspond to accounting periods already completed and audited.

Attached are copies of financial statements³ for the _____ years required above; and complying with the requirements

³ If the most recent set of financial statements is for a period earlier than 12 months from the date of Bid, the reason for this should be justified.

Form FIN – 3.2: Average Annual Construction Turnover

Bidder's Name: _____

Date: _____

JV Member's Name _____

RFB No. and title: _____

Page _____ of _____ pages

Annual turnover data (construction only)			
Year	Amount Currency	Exchange rate	USD equivalent
<i>[indicate year]</i>	<i>[insert amount and indicate currency]</i>		
Average Annual Construction Turnover *			

* See Section III, Evaluation and Qualification Criteria, Sub-Factor 3.2.

Form FIN – 3.3: Financial Resources

Specify proposed sources of financing, such as liquid assets, unencumbered real assets, lines of credit, and other financial means, net of current commitments, available to meet the total construction cash flow demands of the subject contract or contracts as specified in Section III, Evaluation and Qualification Criteria

Financial Resources		
No.	Source of financing	Amount (US\$ equivalent)
1		
2		
3		

Form FIN – 3.4: Current Contract Commitments / Works in Progress

Bidders and each member to a JV should provide information on their current commitments on all contracts that have been awarded, or for which a letter of intent or acceptance has been received, or for contracts approaching completion, but for which an unqualified, full completion certificate has yet to be issued.

Current Contract Commitments					
No.	Name of Contract	Employer's Contact Address, Tel, Fax	Value of Outstanding Work [Current US\$ Equivalent]	Estimated Completion Date	Average Monthly Invoicing Over Last Six Months [US\$/month]
1					
2					
3					
4					
5					

Form EXP - 4.1: General Construction Experience

Bidder's Name: _____
 Date: _____
 JV Member's Name _____
 RFB No. and title: _____
 Page _____ of _____ pages

Starting Year	Ending Year	Contract Identification	Role of Bidder
		Contract name: _____ Brief Description of the Works performed by the Bidder: _____ Amount of contract: _____ Name of Employer: _____ Address: _____	
		Contract name: _____ Brief Description of the Works performed by the Bidder: _____ Amount of contract: _____ Name of Employer: _____ Address: _____	
		Contract name: _____ Brief Description of the Works performed by the Bidder: _____ Amount of contract: _____ Name of Employer: _____ Address: _____	

Form EXP - 4.2(a): Specific Construction and Contract Management Experience

Bidder's Name: _____

Date: _____

JV Member's Name _____

RFB No. and title: _____

Page _____ of _____ pages

Similar Contract No.	Information			
Contract Identification				
Award date				
Completion date				
Role in Contract	Prime Contractor <input type="checkbox"/>	Member in JV <input type="checkbox"/>	Management Contractor <input type="checkbox"/>	Subcontractor <input type="checkbox"/>
Total Contract Amount				US\$
If member in a JV or Subcontractor, specify participation in total Contract amount				
Employer's Name:				
Address: Telephone/fax number E-mail:				

Form EXP - 4.2(a) (cont.): Specific Construction and Contract Management Experience (cont.)

Similar Contract No.	Information
Description of the similarity in accordance with Sub-Factor 4.2(a) of Section III:	
1. Amount	
2. Physical size of required works items	
3. Complexity	
4. Methods/Technology	
5. Construction rate for key activities	
6. Other Characteristics	

Form EXP - 4.2(b): Construction Experience in Key Activities

Bidder's Name: _____

Date: _____

Bidder's JV Member Name: _____

Subcontractor's Name⁴ (as per ITB 17): _____

RFB No. and title: _____

Page _____ of _____ pages

All Subcontractors for key activities must complete the information in this form as per ITB 17 and Section III, Evaluation and Qualification Criteria, Sub-Factor 4.2.

1. Key Activity No One: _____

Information				
Contract Identification				
Award date				
Completion date				
Role in Contract	Prime Contractor <input type="checkbox"/>	Member in JV <input type="checkbox"/>	Management Contractor <input type="checkbox"/>	Subcontractor <input type="checkbox"/>
Total Contract Amount				US\$
Quantity (Volume, number or rate of production, as applicable) performed under the contract per year or part of the year	Total quantity in the contract (i)	Percentage participation (ii)	Actual Quantity Performed (i) x (ii)	
Year 1				
Year 2				
Year 3				
Year 4				
Employer's Name:				

⁴ If applicable

	Information
Address: Telephone/fax number E-mail:	

	Information
Description of the key activities in accordance with Sub-Factor 4.2(b) of Section III:	

2. Activity No. Two

3.

Form EXP - 4.2(c): Specific Experience in Managing ES aspects

[The following table shall be filled in for contracts performed by the Bidder, and each member of a Joint Venture]

Bidder's Name: _____
 Date: _____
 Bidder's JV Member Name: _____
 RFB No. and title: _____
 Page _____ of _____ pages

1. Key Requirement no 1 in accordance with 4.2 (c): _____

Contract Identification				
Award date				
Completion date				
Role in Contract	Prime Contractor <input type="checkbox"/>	Member in JV <input type="checkbox"/>	Management Contractor <input type="checkbox"/>	Subcontractor <input type="checkbox"/>
Total Contract Amount			US\$	
Details of relevant experience				

2. Key Requirement no 2 in accordance with 4.2 (c): _____

3. Key Requirement no 3 in accordance with 4.2 (c): _____

4. ...

Form of Bid Security - Demand Guarantee

Beneficiary: _____

Request for Bids No: _____

Date: _____

BID GUARANTEE No.: _____

Guarantor: _____

We have been informed that _____ (hereinafter called "the Applicant") has submitted or will submit to the Beneficiary its Bid (hereinafter called "the Bid") for the execution of _____ under Request for Bids No. _____ ("the RFB").

Furthermore, we understand that, according to the Beneficiary's conditions, Bids must be supported by a Bid guarantee.

At the request of the Applicant, we, as Guarantor, hereby irrevocably undertake to pay the Beneficiary any sum or sums not exceeding in total an amount of _____ (_____) upon receipt by us of the Beneficiary's complying demand, supported by the Beneficiary's statement, whether in the demand itself or a separate signed document accompanying or identifying the demand, stating that either the Applicant:

- (a) has withdrawn its Bid prior to the Bid validity expiry date set forth in the Applicant's Letter of Bid, or any extended date provided by the Applicant; or
- (b) having been notified of the acceptance of its Bid by the Beneficiary prior to the expiry date of the Bid validity or any extension thereto provided by the Applicant, (i) has failed to execute the contract agreement, or (ii) has failed to furnish the Performance Security and, if required, the Environmental and Social (ES) Performance Security, in accordance with the Instructions to Bidders ("ITB") of the Beneficiary's Bidding document.

This guarantee will expire: (a) if the Applicant is the successful Bidder, upon our receipt of copies of the contract agreement signed by the Applicant and the Performance Security and, if required, the Environmental and Social (ES) Performance Security, issued to the Beneficiary in relation to such contract agreement; or (b) if the Applicant is not the successful Bidder, upon the earlier of (i) our receipt of a copy of the Beneficiary's notification to the Applicant of the results of the Bidding process; or (ii) twenty-eight days after the expiry date of the Bid validity.

Consequently, any demand for payment under this guarantee must be received by us at the office indicated above on or before that date.

This guarantee is subject to the Uniform Rules for Demand Guarantees (URDG) 2010 Revision, ICC Publication No. 758.

[signature(s)]

Form of Bid-Securing Declaration

Date: _____

RFB No.: _____

Alternative No.: _____

To:

We, the undersigned, declare that:

We understand that, according to your conditions, Bids must be supported by a Bid-Securing Declaration.

We accept that we will automatically be suspended from being eligible for Bidding, or submitting Proposals in any contract with the Employer for the period of time specified in Section II – Bid Data Sheet, if we are in breach of our obligation(s) under the Bid conditions, because we:

- (a) have withdrawn our Bid prior to the expiry date of the Bid validity specified in the Letter of Bid or any extended date provided by us; or
- (b) having been notified of the acceptance of our Bid by the Employer prior to the expiry date of the Bid validity in the Letter of Bid or any extended date provided by us, (i) fail or refuse to execute the Contract, if required, or (ii) fail or refuse to furnish the Performance Security and, if required, the Environmental and Social (ES) Performance Security, in accordance with the ITB 49.

We understand this Bid-Securing Declaration shall expire if we are not the successful Bidder, upon the earlier of (i) our receipt of your notification to us of the name of the successful Bidder; or (ii) twenty-eight days after the expiry date of the Bid validity.

Name of the Bidder* _____

Name of the person duly authorized to sign the Bid on behalf of the Bidder** _____

Title of the person signing the Bid _____

Signature of the person named above _____

Date signed _____ day of _____, _____

*: In the case of the Bid submitted by joint venture specify the name of the Joint Venture as Bidder

** : Person signing the Bid shall have the power of attorney given by the Bidder attached to the Bid

[Note: In case of a Joint Venture, the Bid-Securing Declaration must be in the name of all members to the Joint Venture that submits the Bid.]

Form of Sexual Exploitation and Abuse (SEA), and/or Sexual Harassment (SH) Declaration

Date: _____

RFB No.: _____

Alternative No.: _____

Contract Title: _____

To:

We, the undersigned, declare that:

We understand that Bids must be supported by a SEA and/or SH Declaration.

We accept that, if awarded the Contract, we, including our Subcontractors, are required to comply with the SEA/SH Prevention and Response Obligations under the Contract, and we further accept that the Bank may disqualify us from being awarded a Bank-financed contract for a period of two years, if it is determined by Dispute Avoidance/Adjudication Board (DAAB) decision that we:

- (a) have failed to correct non-compliance with identified SAE/SH Prevention and Response Obligation; and/or
- (b) were non-compliant with such obligations at the time of an alleged incident,

And, in the event of recourse to the Emergency Arbitration provisions under the International Chamber of Commerce Arbitration Rules, an order to reverse the DAAB Decision is not issued by the Emergency Arbitrator under the Rules.

Name of the Bidder* _____

Name of the person duly authorized to sign the Bid on behalf of the Bidder** _____

Title of the person signing the Bid _____

Signature of the person named above _____

Date signed _____ day of _____, _____

*: In the case of the Bid submitted by joint venture specify the name of the Joint Venture as Bidder

**: Person signing the Bid shall have the power of attorney given by the Bidder attached to the Bid

[Note: In case of a Joint Venture, the SEA and/or SH Declaration must be in the name of all members to the Joint Venture that submits the Bid.]

Letter of Bid - Financial Part

INSTRUCTIONS TO BIDDERS: DELETE THIS BOX ONCE YOU HAVE COMPLETED THE DOCUMENT

The Bidder must prepare this Letter of Bid on stationery with its letterhead clearly showing the Bidder's complete name and business address.

Note: All italicized text is to help Bidders in preparing this form.

Date of this Bid submission: *[insert date (as day, month and year) of Bid submission]*

Request for Bid No.: *[insert identification]*

Alternative No.: *[insert identification No if this is a Bid for an alternative]*

To: *[insert complete name of Employer]*

We, the undersigned, hereby submit the second part of our Bid, the Bid Price and Bill of Quantities. This accompanies the Letter of Bid- Technical Part.

In submitting our Bid, we make the following additional declarations:

- (a) **Bid Validity:** Our Bid shall be valid until *[insert day, month and year in accordance with ITB 18.1]*, and it shall remain binding upon us and may be accepted at any time on or before this date;
- (b) **Total Price:** The total price of our Bid, excluding any discounts offered in item (f) below is: *[Insert one of the options below as appropriate]*

[Option 1, in case of one lot:] Total price is: [insert the total price of the Bid in words and figures, indicating the various amounts and the respective currencies];

Or

[Option 2, in case of multiple lots:] (a) Total price of each lot [insert the total price of each lot in words and figures, indicating the various amounts and the respective currencies]; and (b) Total price of all lots (sum of all lots) [insert the total price of all lots in words and figures, indicating the various amounts and the respective currencies];

- (c) **Discounts:** The discounts offered and the methodology for their application are:

(i) The discounts offered are: *[Specify in detail each discount offered]*

- (ii) The exact method of calculations to determine the net price after application of discounts is shown below: *[Specify in detail the method that shall be used to apply the discounts]*;
- (d) **Commissions, gratuities and fees:** We have paid, or will pay the following commissions, gratuities, or fees with respect to the Bidding process or execution of the Contract: *[insert complete name of each Recipient, its full address, the reason for which each commission or gratuity was paid and the amount and currency of each such commission or gratuity]*.

Name of Recipient	Address	Reason	Amount

(If none has been paid or is to be paid, indicate “none.”)

Name of the Bidder: **[insert complete name of the Bidder]*

Name of the person duly authorized to sign the Bid on behalf of the Bidder: *** [insert complete name of person duly authorized to sign the Bid]*

Title of the person signing the Bid: *[insert complete title of the person signing the Bid]*

Signature of the person named above: *[insert signature of person whose name and capacity are shown above]*

Date signed *[insert date of signing]* **day of** *[insert month]*, *[insert year]*

*: In the case of the Bid submitted by a Joint Venture specify the name of the Joint Venture as Bidder.

** : Person signing the Bid shall have the power of attorney given by the Bidder. The power of attorney shall be attached with the Bid Schedules

Appendix to Financial Part

Table C-1. Summary of Payment Currencies- Lot 1

Bidders to demonstrate requirement for payment in various currencies.

Lot-1: 2No. Rural Growth Centres in Gwere and Laropi in Moyo District

Name of payment currency	Section of the Works	A Amount of currency	B Rate of exchange (local currency per unit of foreign)	C Local currency equivalent $C = A \times B$	D Percentage of Total Bid Price (TBP) $\frac{100 \times C}{TBP}$
Local currency _____			1.00		
Foreign currency #1 _____					
Foreign currency #2 _____					
Total Bid Price					100.00
Provisional sums expressed in local currency (UGX)	LOT 1	309,012,000	1	309,012,000	309,012,000
TOTAL BID PRICE (including provisional sum)					

Table C-2. Summary of Payment Currencies -Lot 2

Bidders to demonstrate requirement for payment in various currencies.

Lot-2: 2No. Rural Growth Centres of Lomunga and Lobe in Yumbe District

Name of payment currency	Section of the Works	A Amount of currency	B Rate of exchange (local currency per unit of foreign)	C Local currency equivalent C = A x B	D Percentage of Total Bid Price (TBP) $\frac{100 \times C}{TBP}$
Local currency _____			1.00		
Foreign currency #1 _____					
Foreign currency #2 _____					
Total Bid Price					100.00
Provisional sums expressed in local currency (UGX)	LOT 2	551,362,900	1	551,362,900	551,362,900
TOTAL BID PRICE (including provisional sum)					

Table C-3. Summary of Payment Currencies- Lot 3

Bidders to demonstrate requirement for payment in various currencies.

Lot-1: 1No. Rural Growth Centre of Goboro in Yumbe District

Name of payment currency	Section of the Works	A Amount of currency	B Rate of exchange (local currency per unit of foreign)	C Local currency equivalent C = A x B	D Percentage of Total Bid Price (TBP) $\frac{100 \times C}{TBP}$
Local currency _____			1.00		
Foreign currency #1 _____					
Foreign currency #2 _____					
Total Bid Price					100.00
Provisional sums expressed in local currency (UGX)	LOT 3	562,100,000	1	562,100,000	562,100,000
TOTAL BID PRICE (including provisional sum)					

Bill of Quantities

The Bills of Quantities are bound separately and named as;

LOT 1: Volume 2-1

LOT 2: Volume 2-2

LOT 3: Volume 2-3

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Section V - Eligible Countries

Eligibility for the Provision of Goods, Works and Non Consulting Services in Bank-Financed Procurement

In reference to ITB 4.8 and 5.1, for the information of the Bidders, at the present time firms, goods and services from the following countries are excluded from this Bidding process:

Under ITB 4.8 (a) and 5.1: *None*

Under ITB 4.8 (b) and 5.1: *None*

Section VI - Fraud and Corruption

1. Purpose

1.1 The Bank's Anti-Corruption Guidelines and this annex apply with respect to procurement under Bank Investment Project Financing operations.

2. Requirements

2.1 The Bank requires that Borrowers (including beneficiaries of Bank financing); bidders (applicants/proposers), consultants, contractors and suppliers; any sub-contractors, sub-consultants, service providers or suppliers; any agents (whether declared or not); and any of their personnel, observe the highest standard of ethics during the procurement process, selection and contract execution of Bank-financed contracts, and refrain from Fraud and Corruption.

2.2 To this end, the Bank:

- a. Defines, for the purposes of this provision, the terms set forth below as follows:
 - i. "corrupt practice" is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;
 - ii. "fraudulent practice" is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation;
 - iii. "collusive practice" is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;
 - iv. "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
 - v. "obstructive practice" is:
 - (a) deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or
 - (b) acts intended to materially impede the exercise of the Bank's inspection and audit rights provided for under paragraph 2.2 e. below.
- b. Rejects a proposal for award if the Bank determines that the firm or individual recommended for award, any of its personnel, or its agents, or its sub-consultants, sub-contractors, service providers, suppliers and/ or their employees, has, directly or indirectly,

engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;

- c. In addition to the legal remedies set out in the relevant Legal Agreement, may take other appropriate actions, including declaring misprocurement, if the Bank determines at any time that representatives of the Borrower or of a recipient of any part of the proceeds of the loan engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices during the procurement process, selection and/or execution of the contract in question, without the Borrower having taken timely and appropriate action satisfactory to the Bank to address such practices when they occur, including by failing to inform the Bank in a timely manner at the time they knew of the practices;
- d. Pursuant to the Banks Anti-Corruption Guidelines and in accordance with the Bank's prevailing sanctions policies and procedures, may sanction a firm or individual, either indefinitely or for a stated period of time, including by publicly declaring such firm or individual ineligible (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner;¹ (ii) to be a nominated² sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project;
- e. Requires that a clause be included in bidding/request for proposals documents and in contracts financed by a Bank loan, requiring (i) bidders (applicants/proposers), consultants, contractors, and suppliers: and their sub-contractors, sub-consultants, service providers, suppliers, agents, personnel, permit the Bank to inspect³ all accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have them audited by auditors appointed by the Bank.

¹ For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

² A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the particular bidding document) is one which has been: (i) included by the bidder in its pre-qualification application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

³ Inspections in this context usually are investigative (i.e., forensic) in nature. They involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verification of information.

PART 2 –Works' Requirements

Section VII - Works' Requirements

Contents

Scope of Works.....	152
LOT 1: 2NO. RURAL GROWTH CENTERS OF GWERE AND LAROPI IN MOYO DISTRICT.....	152
LOT 2: 2NO. RURAL GROWTH CENTERS OF LOMUNGA AND LOBE IN YUMBER DISTRICT.....	160
LOT 3: 1NO. RURAL GROWTH CENTER OF GOBORO IN YUMBER DISTRICT.....	166
Specification.....	170
Environmental and Social (ES) requirements	171
Drawings	199
Environmental and Social Impact Assessment (ESIA) Reports	200
Supplementary Information.....	201
Supplementary Information.....	203

Scope of Works

The Ministry of Water and Environment will undertake Works for Construction of Solar Powered Piped Water Supply and Sanitation Systems in:

LOT 1: 2No. Rural Growth Centers of Gwere and Laropi in Moyo District

LOT 2: 2No. Rural Growth Centers of Lomunga and Lobe in Yumbe District

LOT 3: 1No. Rural Growth Center of Goboro in Yumbe District

The works in the three lots will be executed concurrently. The Contractor thus has to prepare a construction methodology and construction schedule that clearly indicates the concurrent works at all the different sites in their respective lots. The works shall be completed in 12 months.

The civil works will entail the scope of works described below at each of the three lots:

LOT 1: 2NO. RURAL GROWTH CENTERS OF GWERE AND LAROPI IN MOYO DISTRICT

1. GWERE (LAFORI) RGC

Two boreholes in Gwere RGC, namely, DWD 89709 located in Cinyi village and BH-2 located in Nyainga village were drilled with established sustainable yields of 80 m³/h and 6 m³/h respectively.

a) Pumping Station

Installation and Commissioning of Solar powered and utility grid connected submersible pumps at 2 no. pumping stations from Borehole No DWD 89709 located in Cinyi village and BH-2 located in Nyainga village. Borehole No DWD 89709 and the water treatment plant located adjacent to it will be fitted with a submersible pump with flows of 60m³/hr. at 47m head and 2No. surface clear water and backwash pumps with flow of 60m³/hr. at a head of 91m and 12m³/hr. at a head of 20m respectively. Borehole BH-2 will be fitted with a submersible pump with flows of 6m³/hr. at 124m head. The installations at Borehole No DWD 89709 shall be housed in a guard and control house complete with associated site works (earthworks, drainage, fencing, access roads and landscaping).

b) Transmission system

The Transmission mains from the Water Treatment Plant to the storage tank in Gwere to cover;

- i. Supply, laying, testing and commissioning of 2920m of treated water pumping main in DN 150 DI PN 10 and associated fittings,

c) Distribution Network to cover parishes and villages in the table below;

Parish	Villages
Gwere	Gwere West, Gwere East, Cinyi, Meria
Ebwea	Maringu West, Maringu East, Ebwea, Kibira, Abiricaku, Lojili
Coloa	Coloa West, Coloa East, Nyainga
Masalao	Diri, Lea, Masalao West, Masalao East

Scope

- (i) Supply, laying, testing and commissioning of, 5,520m of OD250 uPVC PN10 main

distribution lines and associated fittings

- (ii) Supply, laying, testing and commissioning of 2,661m of OD160 uPVC PN10 main distribution lines and associated fittings,
- (iii) Supply, laying, testing and commissioning of 1,795m of OD110 uPVC PN10 main distribution lines and associated fittings,
- (iv) Supply, laying, testing and commissioning of 2,215m of OD90 HDPE PN10 main distribution lines and associated fittings
- (v) Supply, laying, testing and commissioning of 7,717m of OD63 HDPE PN10 main distribution lines and associated fittings
- (vi) Supply, laying, testing and commissioning of 22,063m of OD50 HDPE PN10 main distribution lines and associated fittings

d) Intensification Network

The intensification network shall be guided by the resulting demand for consumer connections after sensitization and awareness rising campaigns targeting the communities in the target parishes and villages listed above

- a. Supply, laying, testing and commissioning of 500m of 3-inch GI Pipes PN10 main intensification lines and associated fittings,
- b. Supply, laying, testing and commissioning of 300m of 4-inch GI Pipes PN10 main intensification lines and associated fittings,
- c. Supply, laying, testing and commissioning of 3,000m of OD40 HDPE PN10 main intensification lines and associated fittings,
- d. Supply, laying, testing and commissioning of 3,000m of OD32 HDPE PN10 main intensification lines and associated fittings,
- e. Supply, laying, testing and commissioning of 5,000m of OD25 HDPE PN10 main intensification lines and associated fittings,
- f. Supply and installation of 4 No. bulk flow meters,
- g. Construction of 53 No. Public Stand Posts
- h. Construction of 408 No. Consumer Connections
- i. Search and replacement of existing pipelines (about 8km of distribution mains) with new pipes and the rehabilitation of about 50No. of the existing consumer connections within the existing Lefori system.

e) Civil Works for Water Office

Construction of water office block with floor area of 113m² including external paving works, access road and chain link fencing.

(a) Civil Works for Water Treatment Plant

Construction of a new 960m³/day capacity Water Treatment plant in Gwere with works consisting of but not limited to:

- An aerator structure
- Sedimentation Tank with volume capacity of 210m³.
- 2No. Rapid Gravity filters each with a flow of 30m³/hr.
- Construction of a new 62.6m³ Steel Reservoir Backwash Tank on an 8m high steel tower.
- Construction of a Clear Water Tank and Pumping Station with MCC panels.
- Supply and install 2 surface water pumps (1No. duty and 1No. standby) with a flow of 60m³/hr. at 91m head in a pumping station adjacent.
- Supply and install 2No surface backwash water pump with a flow of 12m³/hr. at a 20m head in a pumping station adjacent to the clear water tank
- External paving and landscaping works, access road and chain link fencing

f) Civil Works for Water Supply Reservoir

Construction of a new 222m³ Steel Reservoir in Gwere elevated on 2m high concrete dwarf walls.

g) Sanitation

Construction of Waterborne Public Toilet Blocks and VIP latrines as per schedule below;

Location	Type of Facility	Number of	
		Facilities	Stances
Munu HC III	VIP	1	6
Lefori Market	WBT	1	6
Gwere P/S	VIP	2	6
Gwere P/S	VIP	1	5

2. LAROPI RGC

Two boreholes in Laropi RGC, namely, DWD 89698 located in Pakoma and DWD 22770 located in the same village were drilled with established sustainable yields of 91 m³/h and 7.5 m³/h respectively.

a) Pumping Station

Installation and Commissioning of Solar powered and utility grid connected submersible pumps at 1 no. pumping stations from Borehole No DWD 89698 located in Paloma village. Borehole No DWD 89698 and the water treatment plant located adjacent to it will be fitted with a submersible pump with flows of 70m³/hr. at 21m head and 2No. surface clear water and backwash pumps with flow of 79.58m³/hr. at a head of 141m and 26.59m³/hr. at a head of 15m respectively. Borehole No DWD 22770 will be fitted with a submersible pump with flows of 7.5m³/hr. at 37m head. The installations at Borehole No DWD 89698 shall be housed in a guard and control house complete with associated site works (earthworks, drainage, fencing, access roads and landscaping) while Borehole No DWD 22770 will just pump directly into the treatment plant.

b) Transmission system

The Transmission mains from the Water Treatment Plant to the storage tank in Pakoma to cover;

- i. Supply, laying, testing and commissioning of 770m of treated water pumping main in DN 150 DI PN 16 and associated fittings,

c) Distribution Network to cover parishes and villages in the table below;

Parish	Villages
Laropi	Logubu North, Logubu South, Kidhi, Ubbi South, Ubbi North
Gbalala	Pakoma
Idrimari	Pachoro, Pajaru, Olia, Edre, Patere, Adhi

Scope

- (i) Supply, laying, testing and commissioning of, 484m of OD250 DI PN10 main distribution lines and associated fittings
- (ii) Supply, laying, testing and commissioning of, 1,433m of OD225 uPVC PN16 main distribution lines and associated fittings
- (iii) Supply, laying, testing and commissioning of 353m of OD160 uPVC PN16 main distribution lines and associated fittings,
- (iv) Supply, laying, testing and commissioning of 1,540m of OD110 uPVC PN16 main distribution lines and associated fittings,
- (v) Supply, laying, testing and commissioning of 3,029m of OD90 HDPE PN16 main distribution lines and associated fittings,
- (vi) Supply, laying, testing and commissioning of 1,230m of OD75 HDPE PN16 main distribution lines and associated fittings,
- (vii) Supply, laying, testing and commissioning of 4,261m of OD63 HDPE PN16 main distribution lines and associated fittings,
- (viii) Supply, laying, testing and commissioning of 5,008m of OD50 HDPE PN16 main distribution lines and associated fittings,

- (ix) Supply, laying, testing and commissioning of 1,647m of OD50 HDPE PN10 main distribution lines and associated fittings,

d) Intensification Network

The intensification network shall be guided by the resulting demand for consumer connections after sensitization and awareness rising campaigns targeting the communities in the target parishes and villages listed above

- a. Supply, laying, testing and commissioning of 1000m of 3-inch GI Pipes PN10 main intensification lines and associated fittings,
- b. Supply, laying, testing and commissioning of 750m of 4-inch GI Pipes PN10 main intensification lines and associated fittings,
- c. Supply, laying, testing and commissioning of 4,000m of OD40 HDPE PN16 main intensification lines and associated fittings,
- d. Supply, laying, testing and commissioning of 3,000m of OD32 HDPE PN16 main intensification lines and associated fittings,
- e. Supply, laying, testing and commissioning of 4,000m of OD25 HDPE PN16 main intensification lines and associated fittings,
- f. Supply, laying, testing and commissioning of 100m of OD90 HDPE PN10 main intensification lines and associated fittings,
- g. Supply, laying, testing and commissioning of 100m of OD75 HDPE PN10 main intensification lines and associated fittings,
- h. Supply, laying, testing and commissioning of 100m of OD63 HDPE PN10 main intensification lines and associated fittings,
- i. Supply and installation of 4 No. bulk flow meters,
- j. Construction of 25 No. Public Stand Posts
- k. Construction of 599 No. Consumer Connections
- l. Search and replacement of existing pipelines (about 10km of distribution mains) with new pipes and the rehabilitation of about 100No. of the existing consumer connections within the existing Laropi system.

e) Civil Works for Water Office

Construction of water office block with floor area of 113m² including external paving works, access road and chain link fencing.

f. Civil Works for Water Treatment Plant

Construction of a new 962.5m³/day capacity Water Treatment plant in Pakoma village with works consisting of but not limited to construction of:

- An aerator structure
- Sedimentation Tank with volume capacity of 271.3m³.
- 2No. Rapid Gravity filters each with a flow of 38.8m³/hr.
- Construction of a new 62m³ Steel Reservoir Backwash Tank on an a 10m high steel tower.
- Construction of a Clear Water Tank and Pumping Station with MCC panels.
- Supply and install 2 High Lift clear water pumps (1No. duty and 1No. standby) with a flow of 79.58m³/hr. at 141m head in a pumping station adjacent.
- Supply and install 2No surface backwash water pump with a flow of 26.59m³/hr. at a 15m head in a pumping station adjacent to the clear water tank
- External paving and landscaping works, access road and chain link fencing

g. Civil Works for Water Supply Reservoir

Construction of a new 296m³ Steel Reservoir in Pakoma village on 2m dwarf walls

h. Sanitation

Construction of Waterborne Public Toilet Blocks and VIP latrines as per schedule below;

Location	Type of Facility	Number of	
		Facilities	Stances
Laropi P/s	VIP	2	6
Laropi landing site	WBT	1	8
Laropi P/s TC	VIP	1	5

3. Tools and Equipment for Water Supply

Tools and Equipment for operation and maintenance of the water supply systems in Lot 1 (Moyo)

The Contractor will Supply the following tools and equipment for operation and maintenance of the water supply system including:

ITEM NO.	ITEM DESCRIPTION	UNIT	QUANTITY
	PLUMBING TOOLS AND EQUIPMENT		
PE 1.1	Blowlamp: pump action, pressure type, approx. 0.5 litre capacity, complete with wind proof nozzle and one set of repement parts	No.	2
PE 1.2	Brushes, wire type for cleaning parts, 300 mm long, 3 rows of approximately 15 tufts with 25 mm long steel bristles	No.	4
PE 1.3	File, flat machinist's, length 250 mm, second cut	No.	2
PE 1.4	File, flat machinist's, length 250 mm, smooth cut	No.	2
PE 1.5	File, flat machinist's, length 250 mm, bastard cut	No.	2
PE 1.6	File, half-round machinist's, length 250 mm, smooth cut	No.	2
PE 1.7	File, half-round machinist's, length 250 mm, but bastard cut	No.	2
PE 1.8	File handles, 100 x 27 mm	No.	4
PE 1.9	Hammer, mechanic's, 200 & tempered forged steel head, ash or white hickory handle, polished face	No.	2
PE 1.10	Mattocks	No.	4
PE 1.11	Pliers, arc joint, minimum 5 adjustments, 240 mm long	No.	4
PE 1.12	Saw, Plumbers, 400 mm, fine tooth edge for cutting metal and coarse teeth for cutting wood, complete with 5No. blades	No.	4
PE 1.13	Screw drivers, assorted, hammer-proof, non-inflammable plastic handle	Set	4
PE 1.14	Shovels	No.	4
PE 1.15	Tape measure, Stanley type steel, metric units, 5 m	No.	4
PE 1.16	Tapping machine, furnished with ratchet crank for manual operation, for making tappings from DN 15 (1/2") to DN 50 (2") on mains of DN 25 (1") to DN 300 (12")	No.	2
PE 1.17	Die set with stock, for threading from DN 15 to DN 150	No.	2
PE 1.18	Vice with parallel jaw 100 mm as Peddinghaus, Matador 10203, portable type, with tripod stand	No.	2
	WATER QUALITY TESTING KIT		
QE 2.1	Portable analysis kit such as Potalab 1 (Wag-WE10010) of M/s Wagtech International or similar with reagents to carry out 300 tests for microbiological and physico-chemical testing	Set	2
	MISCELLANEOUS TOOLS		
MT 3.1	Contractor's pick axe with point and chisel steel end, length 800 mm	No.	4
MT 3.2	Steel hoe, blade width 200 mm, 1.5 kg complete with good wooden handle	No.	4
MT 3.3	Seamless pressed tray wheelbarrow, steel frame, with pneumatic wheels, 80 litres	No.	4
MT 3.4	33CC 2-Cycle Petrol-Powered engine Straight Shaft Attachment Capable String Lawn Trimmer with 17-inch cutting path (including 10No. repement trimmer lines)	No.	2
MT 3.5	Steel rakes	No.	6
	CHEMICAL EQUIPMENT AND CHEMICALS		
CE 4.1	Stainless steel graduated (metric) buckets (10 litres)	No.	4
CE 4.2	Stainless steel scoops for scooping chemicals - 1 kg capacity	No.	4
CE 4.3	Chlorine in 50Kg Sacks	No.	8
	OFFICE EQUIPMENT		

ITEM NO.	ITEM DESCRIPTION	UNIT	QUANTITY
OE 5.1	Writing desk 1,560 x 780 x 750 mm (L x W x H) with closed front view and 6 lockable drawers	No.	6
OE 5.2	Executive swivel, high back tilting steel office chair with arm rests and padded seat and back in black rexine	No.	6
OE 5.3	Executive steel office chairs with padded seat and back in black rexine	No.	6
OE 5.4	Steel cabinet 1,950 x 950 x 420 mm (L x W x H) with double lockable doors, 4 shelves; colour: grey	No.	2
OE 5.5	Lockable steel filing cabinet with 4 drawers	No.	4
OE 5.6	Computer Workstation complete as specified Intel Core i7-2500 5.30GHz, 6M Smart Cache processor 1 TB of DDR 1066 MHz SDRAM; 2000GB SATA Hard Disk Drive 16X DVD +/- RW; 21” LED FHD Anti-glare Monitor, Licensed Microsoft Windows 10, Licensed MS Office 2019 & Kaspersky Internet Security 2023, SMART UPS of minimum specification 1500 VA	No.	4
OE 5.7	HP Laser Jet Printer: Printer speed- up to 24 ppm colour; Processor speed- 533 MHz Paper trays- 2 trays; Input capacity- Up to 500sheets Memory- 544MB (512MB DDR SDRAM) Media size- Max. A4; 10/100 Network Interface Card, 4no. sets of Replacement Toner Cartridges Bi-directional parallel cable; USB printer cable	No.	2

Source: Project Estimates

LOT 2: 2NO. RURAL GROWTH CENTERS OF LOMUNGA AND LOBE IN YUMBER DISTRICT

1. LOMUNGA RGC

One borehole DWD 89613 in Kiliro village with established sustainable yields of 100 m³/h has been drilled and developed for use.

(a) Raw Water Pumping station

- i. Installation and Commissioning of Solar powered and utility grid connected submersible pump at 1 no. pumping station from Borehole No. DWD 89613 located at Kirilo Village. Borehole DWD 89613 will be fitted with a submersible pump with a flow of 100 m³/hr at 75m head. The installations at Borehole DWD 89613 shall be housed in a control house complete with associated site works (earthworks, drainage, fencing, access roads and landscaping).
- ii. Construction of a 96 m² staff house at the borehole sites

(b) Civil Works for Water Treatment Plant

Construction of a new Water Treatment plant of capacity 800m³/day at the borehole site with works consisting of but not limited to:

- An aerator structures
- 1No. Rapid Gravity filter
- 20m² chlorination house
- Construction of a new 20m³ Steel Reservoir Backwash Tank on an 8m high steel tower.
- Construction of a 100m³ Clear Water Tank and Pumping Station with MCC panels.
- Supply and install 2 surface water pumps (1No. duty and 1No. standby) with a flow of 100.0m³/hr. at 150m head
- External paving and landscaping works, access road and chain link fencing

(c) Transmission Mains System

Supply, laying, testing and commissioning of Borehole Pumping Mains System from DWD 89613 borehole to storage tank in Bura village, Lomunga RGC and associated fittings for the following;

Type	Outside Diameter (DN) mm	Pressure rating (PN)	Length (metres)
HDPE	250	16	4455

(d) Water Storage Reservoir

Supply and installation of a new 250 m³ Galvanised Hot Pressed Sectional Steel Panel Reservoir in Bura village elevated on a 12 m high steel tower.

(e) Distribution Network

Supply, laying, testing and commissioning of main distribution lines and associated fittings for the following;

Type	Outer Diameter (OD) mm	Pressure rating (PN)	Length (metres)
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HDPE	40	10	1,820
HDPE	63	10	1,060
HDPE	90	10	4,280
HDPE	110	10	7908
HDPE	180	10	20

(f) Intensification Network

Supply, laying, testing and commissioning of, intensification lines and associated fittings for the following;

Type	Outer Diameter (OD) mm	Pressure rating (PN)	Length (metres)
HDPE	20	6	2,000
HDPE	25	6	700
HDPE	30	6	300

(g) Distribution Ancillaries

- i. Supply and installation of 1 No. bulk flow meters,
- ii. Construction of 15No. Public Stand Posts
- iii. Construction of 235 No. Consumer Connections

(h) Water Office

Construction of water office block with floor area of 110m² including external paving works, access road and chain link fencing

(i) Sanitation

Construction of water borne toilet facilities complete with drain pipes, septic tank, and soak-away pit per schedule below;

Location	Facilities				
	Toilets stances	Toilets for PWDs	Showers stances	Showers for PWDs	Urinal
Boma Cell- Yumbe T C	8	2	5	2	1
Yumbe Main Mkt - Yumbe T C	8	2	5	2	1
Lomunga T C	8	2	5	2	1
Barakala	8	2	5	2	1

2. LOBE RGC

One borehole DWD 89612 in Drachia village with established sustainable yields of 31 m³/h has been drilled and developed for use.

(a) Raw Water Pumping stations

Installation and Commissioning of Solar powered and utility grid connected submersible pumps at 1 no. pumping station from Borehole No. DWD 89612 located at Drachia Village. Borehole DWD 89612 will be fitted with a submersible pump with a flow of 31 m³/hr at 100m head. The installations shall be housed in a control house complete with associated site works (earthworks, drainage, fencing, access roads and landscaping). The Pump House will also house 2 No surface pumps to pump water from the clear water tank to the main Reservoir

(b) Water Treatment Plant

Construction of a new Water Treatment plant of 248m³/day in Drachia with works consisting of:

- An aerator structures
- 1No. Rapid Gravity filters
- construction of 20 m² chlorination house
- Construction of a new 10m³ Steel Reservoir Backwash Tank on an 6m high steel tower.
- Construction of a 50m³ Clear Water Tank and Pumping Station with MCC panels.
- Supply and install 2 surface water pumps (1No. duty and 1No. standby) with a flow of 31 m³/hr at 100m head in the pumping station.
- Construct a 96 m² staff house at the borehole site
- External paving and landscaping works, access road and chain link fencing

(c) Transmission Mains

From DWD 89612 to Storage tank at Urungu village;

Supply, laying, testing and commissioning of borehole pumping mains and associated fittings of the following specifications;

Type	Inside Diameter (DN) mm	Pressure rating (PN)	Length (metres)
HDPE	200	20	5005

(d) Water Storage Reservoir

Supply and installation of a new 150 m³ Galvanised Hot Pressed Sectional Steel Panel Reservoir in Urungu village elevated on a 12 m high steel tower.

(e) Distribution Network

Supply, laying, testing and commissioning of, main distribution lines and associated fittings for the following;

Type	Outer Diameter (OD) mm	Pressure rating (PN)	Length (metres)
HDPE	32	16	961
HDPE	40	16	490
HDPE	50	16	230
HDPE	63	16	12,349
HDPE	90	16	5990

(f) Intensification Network

Supply, laying, testing and commissioning of, intensification lines and associated fittings for the following;

Type	Outer Diameter (OD) mm	Pressure rating (PN)	Length (metres)
HDPE	20	10	1,800
HDPE	25	10	800
HDPE	32	10	400

(g) Distribution Ancillaries

- i. Supply and installation of 1 No. bulk flow meters,
- ii. Construction of 5 No. Public Stand Posts
- iii. Construction of 65No. Consumer Connections

3.Tools and Equipment

The Contractor will Supply the following tools and equipment (Under provisional sum conditions) for operation and maintenance of the water supply system including:

ITEM NO.	ITEM DESCRIPTION	UNIT	QTY	QTY
	<u>PLUMBING TOOLS AND EQUIPMENT</u>		LOMUNGA	LOBE
PE 1.1	Blowlamp: pump action, pressure type, approx. 0.5 litre capacity, complete with wind proof nozzle and one set of replacement parts	No.	1	2
PE 1.2	Brushes, wire type for cleaning parts, 300 mm long, 3 rows of approximately 15 tufts with 25 mm long steel bristles	No.	2	4
PE 1.3	File, flat machinist's, length 250 mm, second cut	No.	1	2
PE 1.4	File, flat machinist's, length 250 mm, smooth cut	No.	1	2
PE 1.5	File, flat machinist's, length 250 mm, bastard cut	No.	1	2
PE 1.6	File, half-round machinist's, length 250 mm, smooth cut	No.	1	2
PE 1.7	File, half-round machinist's, length 250 mm, but bastard cut	No.	1	2
PE 1.8	File handles, 100 x 27 mm	No.	2	4
PE 1.9	Hammer, mechanic's, 200 & tempered forged steel head, ash or white hickory handle, polished face	No.	1	2
PE 1.10	Mattocks	No.	2	4
PE 1.11	Pliers, arc joint, minimum 5 adjustments, 240 mm long	No.	1	4
PE 1.12	Saw, Plumbers, 400 mm, fine tooth edge for cutting metal and coarse teeth for cutting wood, complete with 5No. blades	No.	2	4
PE 1.13	Screw drivers, assorted, hammer-proof, non-inflammable plastic handle	Set	2	4
PE 1.14	Shovels	No.	2	4
PE 1.15	Tape measure, Stanley type steel, metric units, 5 m	No.	2	4
PE 1.16	Tapping machine, furnished with ratchet crank for manual operation, for making tappings from DN 15	No.	1	2

	(1/2") to DN 50 (2") on mains of DN 25 (1") to DN 300 (12")			
PE 1.17	Die set with stock, for threading from DN 15 to DN 150	No.	1	2
PE 1.18	Vice with parallel jaw 100 mm as Peddinghaus, Matador 10203, portable type, with tripod stand	No.	1	2
	<u>WATER QUALITY TESTING KIT</u>			
QE 2.1	Portable analysis kit such as Potalab 1 (Wag-WE10010) of M/s Wagtech International or similar with reagents to carry out 300 tests for microbiological and physico-chemical testing	Set	1	2
	<u>TREATMENT WORKS AREA / LABORATORY EQUIPMENT AND CHEMICALS</u>			
	Platform/beam type weighing machine as made by AVERY or equivalent to weigh up to 200kg with a resolution of 100gm, with a chemical resistant finish complete with all accessories	No	1	2
	Heavy duty Wheel barrows	No	2	4
CE 4.1	Stainless steel graduated (metric) buckets (10 litres)	No.	2	4
CE 4.2	Stainless steel scoops for scooping chemicals - 1 kg capacity	No.	1	4
CE 4.3	Chlorine in 50Kg Sacks	No.	4	8
	<u>OFFICE EQUIPMENT</u>			
OE 5.1	Writing desk 1,560 x 780 x 750 mm (L x W x H) with closed front view and 6 lockable drawers	No.	3	6
OE 5.2	Executive swivel, high back tilting steel office chair with arm rests and padded seat and back in black rexine	No.	4	7
OE 5.3	Executive steel office chairs with padded seat and back in black rexine	No.	4	7
OE 5.4	Steel cabinet 1,950 x 950 x 420 mm (L x W x H) with double lockable doors, 4 shelves; colour: grey	No.	3	6
OE 5.5	Lockable steel filing cabinet with 4 drawers	No.	2	4
OE 5.6	Computer Workstation complete as specified Intel Core i7-2500 5.30GHz, 6M Smart Cache processor 1 TB of DDR 1066 MHz SDRAM; 2000GB SATA Hard Disk Drive 16X DVD +/- RW; 21" LED FHD Anti-glare Monitor, Licensed Microsoft Windows 10, Licensed MS Office 2019 & Kaspersky Internet Security 2023, SMART UPS of minimum specification 1500 VA	No.	2	4
OE 5.7	HP Laser Jet Printer: Printer speed- up to 24 ppm colour; Processor speed- 533 MHz Paper trays- 2 trays; Input capacity- Up to 500sheets Memory- 544MB (512MB DDR SDRAM) Media size- Max. A4; 10/100 Network Interface Card, 4no. sets of Replacement Toner Cartridges Bi-directional parallel cable; USB printer cable	No.	1	2
	<u>MISCELLANEOUS TOOLS</u>			
MT 3.1	Contractor's pick axe with point and chisel steel end, length 800 mm	No.	2	4
MT 3.2	Steel hoe, blade width 200 mm, 1.5 kg complete with good wooden handle	No.	2	4
MT 3.3	Seamless pressed tray wheelbarrow, steel frame, with pneumatic wheels, 80 litres	No.	2	4

MT 3.4	33CC 2-Cycle Petrol-Powered engine Straight Shaft Attachment Capable String Lawn Trimmer with 17-inch cutting path (including 10No. replacement trimmer lines)	No.	1	2
MT 3.5	Steel rakes	No.	3	6

LOT 3: 1NO. RURAL GROWTH CENTER OF GOBORO IN YUMBER DISTRICT

1. GOBORO RGC

Two boreholes in Goboro RGC, namely, DWD 69427 located in Maru village and DWD 89640 in Malanga village with established sustainable yields of 13 m³/h and 17 m³/h were drilled and developed for use.

(a) Pumping Station

- i. Installation and Commissioning of Solar powered and utility grid connected submersible pumps at 2 no. pumping stations from Borehole No. DWD 69427 located at Maru Village and borehole DWD 89640 located at Malanga Village. Borehole DWD 69427 will be fitted with a submersible pump with a flow of 13m³/h. at 200m head and Borehole DWD 89640 will be fitted with a submersible pump with a flow of 17m³/h at 200m head. The installations shall be housed in a control house complete with associated site works (earthworks, drainage, fencing, access roads and landscaping).
- ii. Construction of a 96 m² staff house at each of the borehole sites

(b) Transmission Mains System

- i. From DWD 69427 (Maru BH) to Junction from Malanga:
Supply, laying, testing and commissioning of borehole pumping mains and associated fittings of the following specifications;

Type	Outer Diameter (OD) mm	Pressure rating (PN)	Length (metres)
HDPE	110	20	2160

- ii. From DWD 89640 (Malanga BH) to Storage tank:
Supply, laying, testing and commissioning of borehole pumping mains and associated fittings of the following specifications;

Type	Outer Diameter (OD) mm	Pressure rating (PN)	Length (metres)
HDPE	140	20	2377

(c) Water Storage Reservoir and Treatment Plant Site

- i. Construction of 240m³/day Water treatment plant consisting of;
 - An aerator structures
 - 1No. 2 chamber Rapid Gravity filter
 - 20m² chlorination house
 - 50m³ Clear Water Tank and Pumping Station with MCC panels.
 - Supply and install 2 surface water pumps (1No. duty and 1No. standby) with a flow of 30.0m³/hr at 20m head
 - External paving and landscaping works, access road and chain link fencing.
- ii. Supply and installation of a new 120m³ Galvanised Hot Pressed Sectional Steel Panel Reservoir in Maru village elevated on a 9m high steel tower.

(d) Distribution Network

Supply, laying, testing and commissioning of distribution pipe network and associated fittings of the following specifications;

Type	Outer Diameter (OD) mm	Pressure rating (PN)	Length (metres)
HDPE	20	10	10
HDPE	25	10	1885
HDPE	32	10	815
HDPE	40	10	875
HDPE	50	10	530
HDPE	63	10	325
HDPE	75	10	560
HDPE	90	10	350
HDPE	110	10	795

(e) Intensification Network

Supply, laying, testing and commissioning of main intensification lines and associated fittings of the following specifications;

Type	Outer Diameter (OD) mm	Pressure rating (PN)	Length (metres)
HDPE	32	6	1,200
HDPE	25	6	600
HDPE	20	6	200

(f) Distribution Ancillaries

- i. Supply and installation of 1 No. bulk flow meters,
- ii. Construction of 5 No. Public Stand Posts
- iii. Construction of 95 No. Consumer Connections

(g) Civil Works for Water Office in Goboro

Construction of water office block with floor area of 110m² including external paving works, access road and chain link fencing

(h) Sanitation Facilities

Construction of water borne toilet facilities complete with drain pipes, septic tank, and soak-away pit per schedule below

Location	Facilities				
	Toilets stances	Toilets for PWDs	Shower stances	Showers for PWDs	Urinal
Goboro RGC	8	2	5	2	1
Kerwa market, Kerwa S/C	8	2	5	2	1

2. Tools and Equipment for Water Supply

Tools and Equipment for operation and maintenance of the water supply systems in Lot 3 in Goboro RGC

The Contractor will Supply the following tools and equipment for operation and maintenance of the water supply system including:

ITEM NO.	ITEM DESCRIPTION	UNIT	QTY
	<u>PLUMBING TOOLS AND EQUIPMENT</u>		LOT 1
PE 1.1	Blowlamp: pump action, pressure type, approx. 0.5 litre capacity, complete with wind proof nozzle and one set of replacement parts	No.	1
PE 1.2	Brushes, wire type for cleaning parts, 300 mm long, 3 rows of approximately 15 tufts with 25 mm long steel bristles	No.	2
PE 1.3	File, flat machinist's, length 250 mm, second cut	No.	1
PE 1.4	File, flat machinist's, length 250 mm, smooth cut	No.	1
PE 1.5	File, flat machinist's, length 250 mm, bastard cut	No.	1
PE 1.6	File, half-round machinist's, length 250 mm, smooth cut	No.	1
PE 1.7	File, half-round machinist's, length 250 mm, but bastard cut	No.	1
PE 1.8	File handles, 100 x 27 mm	No.	2
PE 1.9	Hammer, mechanic's, 200 & tempered forged steel head, ash or white hickory handle, polished face	No.	1
PE 1.10	Mattocks	No.	2
PE 1.11	Pliers, arc joint, minimum 5 adjustments, 240 mm long	No.	1
PE 1.12	Saw, Plumbers, 400 mm, fine tooth edge for cutting metal and coarse teeth for cutting wood, complete with 5 No. blades	No.	2
PE 1.13	Screw drivers, assorted, hammer-proof, non-inflammable plastic handle	Set	2
PE 1.14	Shovels	No.	2
PE 1.15	Tape measure, Stanley type steel, metric units, 5 m	No.	2
PE 1.16	Tapping machine, furnished with ratchet crank for manual operation, for making tappings from DN 15 (1/2") to DN 50 (2") on mains of DN 25 (1") to DN 300 (12")	No.	1
PE 1.17	Die set with stock, for threading from DN 15 to DN 150	No.	1
PE 1.18	Vice with parallel jaw 100 mm as Peddinghaus, Matador 10203, portable type, with tripod stand	No.	1
	<u>WATER QUALITY TESTING KIT</u>		
QE 2.1	Portable analysis kit such as Potalab 1 (Wag-WE10010) of M/s Wagtech International or similar with reagents to carry out 300 tests for microbiological and physico-chemical testing	Set	1
	<u>TREATMENT WORKS AREA / LABORATORY EQUIPMENT AND CHEMICALS</u>		
	Platform/beam type weighing machine as made by AVERY or equivalent to weigh up to 200kg with a resolution of 100gm, with a chemical resistant finish complete with all accessories	No	1
	Heavy duty Wheel barrows	No	2
CE 4.1	Stainless steel graduated (metric) buckets (10 litres)	No.	2
CE 4.2	Stainless steel scoops for scooping chemicals - 1 kg capacity	No.	1
CE 4.3	Chlorine in 50Kg Sacks	No.	4
	<u>OFFICE EQUIPMENT</u>		
OE 5.1	Writing desk 1,560 x 780 x 750 mm (L x W x H) with closed front view and 6 lockable drawers	No.	3

OE 5.2	Executive swivel, high back tilting steel office chair with arm rests and padded seat and back in black rexine	No.	4
OE 5.3	Executive steel office chairs with padded seat and back in black rexine	No.	4
OE 5.4	Steel cabinet 1,950 x 950 x 420 mm (L x W x H) with double lockable doors, 4 shelves; colour: grey	No.	3
OE 5.5	Lockable steel filing cabinet with 4 drawers	No.	2
OE 5.6	Computer Workstation complete as specified Intel Core i7-2500 5.30GHz, 6M Smart Cache processor 1 TB of DDR 1066 MHz SDRAM; 2000GB SATA Hard Disk Drive 16X DVD +/- RW; 21" LED FHD Anti-glare Monitor, Licensed Microsoft Windows 10, Licensed MS Office 2019 & Kaspersky Internet Security 2023, SMART UPS of minimum specification 1500 VA	No.	2
OE 5.7	HP Laser Jet Printer: Printer speed- up to 24 ppm colour; Processor speed- 533 MHz Paper trays- 2 trays; Input capacity- Up to 500sheets Memory- 544MB (512MB DDR SDRAM) Media size- Max. A4; 10/100 Network Interface Card, 4no. sets of Replacement Toner Cartridges Bi-directional parallel cable; USB printer cable	No.	1
	<u>MISCELLANEOUS TOOLS</u>		
MT 3.1	Contractor's pick axe with point and chisel steel end, length 800 mm	No.	2
MT 3.2	Steel hoe, blade width 200 mm, 1.5 kg complete with good wooden handle	No.	2
MT 3.3	Seamless pressed tray wheelbarrow, steel frame, with pneumatic wheels, 80 litres	No.	2
MT 3.4	33CC 2-Cycle Petrol-Powered engine Straight Shaft Attachment Capable String Lawn Trimmer with 17-inch cutting path (including 10No. replacement trimmer lines)	No.	1
MT 3.5	Steel rakes	No.	3

Specification

The Specification is bound separately as Volume 3 and named as follows:

LOT 1: Volume 3-1

LOT 2: Volume 3-2

LOT 3: Volume 3-3

..

Environmental and Social (ES) requirements

The Ministry of Water and Environment’s Environmental and Social Safeguards Policy 2018 below will apply to this Project.

Lot 1	2No. Rural Growth Centers of Gwere and Laropi in Moyo District
Lot 2	2No. Rural Growth Centers of Lomunga and Lobe in Yumbe District
Lot 3	1No. Rural Growth Center of Goboro and Lobe in Yumbe District



MINISTRY OF WATER AND ENVIRONMENT

ENVIRONMENT AND SOCIAL SAFEGUARDS POLICY

Ministry of Water and Environment

Plot 22/28 Port Bell Road, Luzira

P.O. Box 20026, Kampala

Tel: +256-414-220229

2018

Forward

The Ministry of Water and Environment (MWE) prepared the Environmental and Social Safeguards (ESS) Policy Framework, to ensure that, in implementing development programs, positive social impacts are maximized while negative ones are minimized or avoided. Experience, has shown that different Entities encounter risks therefore the appropriate social safeguards should always be incorporated in their programmes.

Currently the MWE follows the Uganda Environmental Impact Assessment guidelines derived from the National Environment Management policy and Act (1994) as well as donor specific Environmental and Social Safeguard Frameworks (ESMF) as may be required by specific projects to address ESS issues arising from projects being implemented by the Ministry.

This framework will be applied to all projects/programmes funded by Government of Uganda, Development Partners including Climate Financing such as Adaptation and Green Climate Fund, for which the MWE has overall responsibility for monitoring their implementation. The Ministry at the same time will ensure transparency and accessibility of information, in addition to facilitating the resolutions of disputes with respect to environmental and social risks.

This ESS Policy Framework was prepared in a participatory manner involving all stakeholders, leading to ownership and readiness to implement it for sustainable social benefits.

I therefore, on behalf of the Ministry of Water and Environment and all executing entities wish to express our commitment and readiness to implement the ESS Policy requirements.



Alfred Okot Okidi
Permanent Secretary

Executive Summary

Currently, the Environmental and Social issues are being addressed basing on the National Environment Management Policy and other guiding documents including the Environment Impact Assessment (EIA) guidelines and other Tools developed by National Environment Management Authority (NEMA) while some projects have been using the World Bank Environment and Social Safeguards Policies and other donor -specific ES policies. These are normally actualized through development of Environment and Social Management Frameworks (ESMF) for specific projects and programmes. Other key existing laws and policies relating to the safety of environment and people are prescribed in the Uganda Constitution (1995), the National Environment Management Policy (1994), the Resettlement Policy, the Environment Management Act (1994), National Climate Change Policy (2015), National Gender Policy (2007), Equal opportunities Policy (2008), National Land Policy (2013), Water Policy (1997) among others.

In order to harmonize the current trend, MWE has developed an ESS framework based on 15 ESS principles. The Environmental and Social-Safeguards Framework (ESSF), document is intended to provide the general framework within which Government and Donor funded projects in the Ministry are to be implemented / executed, as far as consideration of Environmental and Social Safeguards are concerned. At this stage, all MWE's projects and other executing entities will be required to follow this framework to ensure that ESS aspects are adequately addressed. Where the need arises, project specific ESMF will be developed to ensure maximum benefits to the intended communities without compromising the 15 ESS principles.

The ESS is aimed to ensure that in implementing development programs, positive outcomes are maximized and negative outcomes are minimized. This framework will therefore ensure integration of environmental and social concerns in all stages of project development and all levels including national, district and local levels, with full participation of the people as means of minimizing environmental and social impacts. It will further ensure identification of key environmental and social issues/aspects that will affect or will be affected by the projects/programmes and ensuring that risks are screened against the 15 principles as well as specification of appropriate roles and responsibilities, and outlining the necessary reporting procedures, for managing and monitoring environmental and social concerns including compliance; grievance mechanism, and establishment of institutional capacity building requirements to successfully implement the ESS as well as monitoring to ensure compliance.

There are 15 principles which apply to MWE's ESS Policy which determine the scope of risk and impact assessments. Some principles always apply to all projects (*), some may or may not be relevant for a specific project/programme. The ESS principles are;

1. Compliance with the Law*-
2. Access and Equity
3. Marginalized and Vulnerable Groups-
4. Human Rights*
5. Gender Equality and Women's Empowerment
6. Core Labour Rights*
7. Indigenous Peoples
8. Involuntary Resettlement-
9. Protection of Natural Habitats

10. Conservation of Biological Diversity
11. Climate Change -
12. Pollution Prevention and Resource Efficiency-
13. Public Health
14. Physical and Cultural Heritage-
15. Lands and Soil Conservation-

At project formulation stage, each development project must highlight key environmental and social impacts both negative and positive impacts. For positive impacts enhancement measures are suggested whereas for negative impacts mitigation measures must be suggested to minimize these negative impacts on the environment and the communities in which the project is to be implemented.

The Ministry will screen all proposed projects and programmes to determine their potential to cause environmental or social harm, undertake Environmental and Social Assessments for all projects and programmes that have the potential to cause environmental or social harm and develop Environmental and Social Management Plans that identifies measures necessary to avoid, minimize, or mitigate the potential environmental and social risks and lastly monitor, evaluate and report to ensure that all environmental and social risks identified during project and programme assessment and design are adequately addressed during and after implementation. The framework also looks at Public Disclosure and Consultations as well as the grievance handling mechanisms.

Acronyms

AF	Adaptation Fund
CCD	Climate Change Department
ESMF	Environmental and Social Management Framework
ESS	Environmental and Social Safeguard
EIA	Environment Impact Assessment
GHG	Greenhouse Gas Emissions
MAAIF	Ministry of Agriculture, Animal Industry and Fisheries
MWE	Ministry of Water and Environment
MEMD	Ministry of Energy and Mineral Development,
MPED	Ministry of Finance, Planning and Economic Development
NWSC	National Water and Sewerage Corporation
NDP-II	National Development Plan II
NEMA	National Environment Management Authority
NFA	National Forestry Authority
TPM	Top Policy Management
UNMA	Uganda National Meteorological Authority

Table of Contents

Forward.....	139
1.0 Introduction.....	144
2.0 Justification for Environmental and Social Safeguards Framework.....	146
2.1 Objectives of Environment and Social Safeguards Framework.....	146
3.0 Policy and Legal Framework	147
3.2 The National Environmental Management Policy (1994)	148
3.3 National Development Plan II (NDPII 2015-2020).....	148
3.4 The Local Governments Act, 1997.....	149
3.5 Legislative Framework for Environmental Assessment Category and its Processes within the Water and Environment sector.....	149
4.0 Environment and Social Safeguard (ESS) Principles	150
4.1 Statement of Commitment	150
4.2 Environment and Social Safeguard Principles.....	150
4.2.1 Compliance with the Law*	150
4.2.2 Labour Laws and Working Conditions	150
4.2.3 Access and Equity.....	151
4.2.4 Marginalized and Vulnerable Groups	151
4.2.5 Human Rights	151
4.2.6 Gender Equality and Women's Empowerment.....	151
4.2.7 Indigenous Peoples	152
4.2.8 Involuntary Resettlement	152
4.2.9 Protection of Natural Habitats and Conservation of Biological Diversity.....	152
4.2.10 Climate Change.....	152
4.2.11 Pollution Prevention and Resource Efficiency	153
4.2.12 Public Health.....	153
4.2.13 Physical and Cultural Heritage	153
4.2.14 Lands and Soil Conservations.....	153
5.0 Organizational and Implementation Approach.....	153
6.0 Integration Environment and social issues into projects and programmes	154
6.1 Screening of Environmental and Social Risks	154
6.2 Environmental and Social Assessment	155
7.0 Monitoring, Reporting, and Evaluation	156
8.0 Public Disclosure and Consultation.....	156
9.0 Grievance Mechanism	156
Annex A: Glossary.....	158

1.0 Introduction

The Ministry of Water and Environment (MWE) is a lead institution for the Water and Environment Sector. It is responsible for the overall coordination, policy formulation, setting standards, inspection, monitoring, and technical back-up and initiating legislation. It also monitors and evaluates sector development programmes to keep track of their performance as well as efficiency and effectiveness in service delivery.

The Water and Environment Sector encompasses managing water as a resource, establishing water infrastructure for development, harnessing weather and climate and promoting ecosystems and biodiversity resiliencies. **The Vision** is *"Sound management and sustainable utilisation of Water and Environment resources for the betterment of the population of Uganda."*

Its **Mission** is *'To promote and ensure the rational and sustainable utilisation, development and effective management of water and environment resources for socio-economic development of the country'*. The **Mandate** of the Ministry is derived from the Constitution of the Republic of Uganda (1995) and the Local Governments Act, CAP 243 and includes initiating *legislation, policy formulation, setting standards, inspections, monitoring, and coordination and providing back up technical support to water and environment sub sectors.*

1.1 Institutional Framework

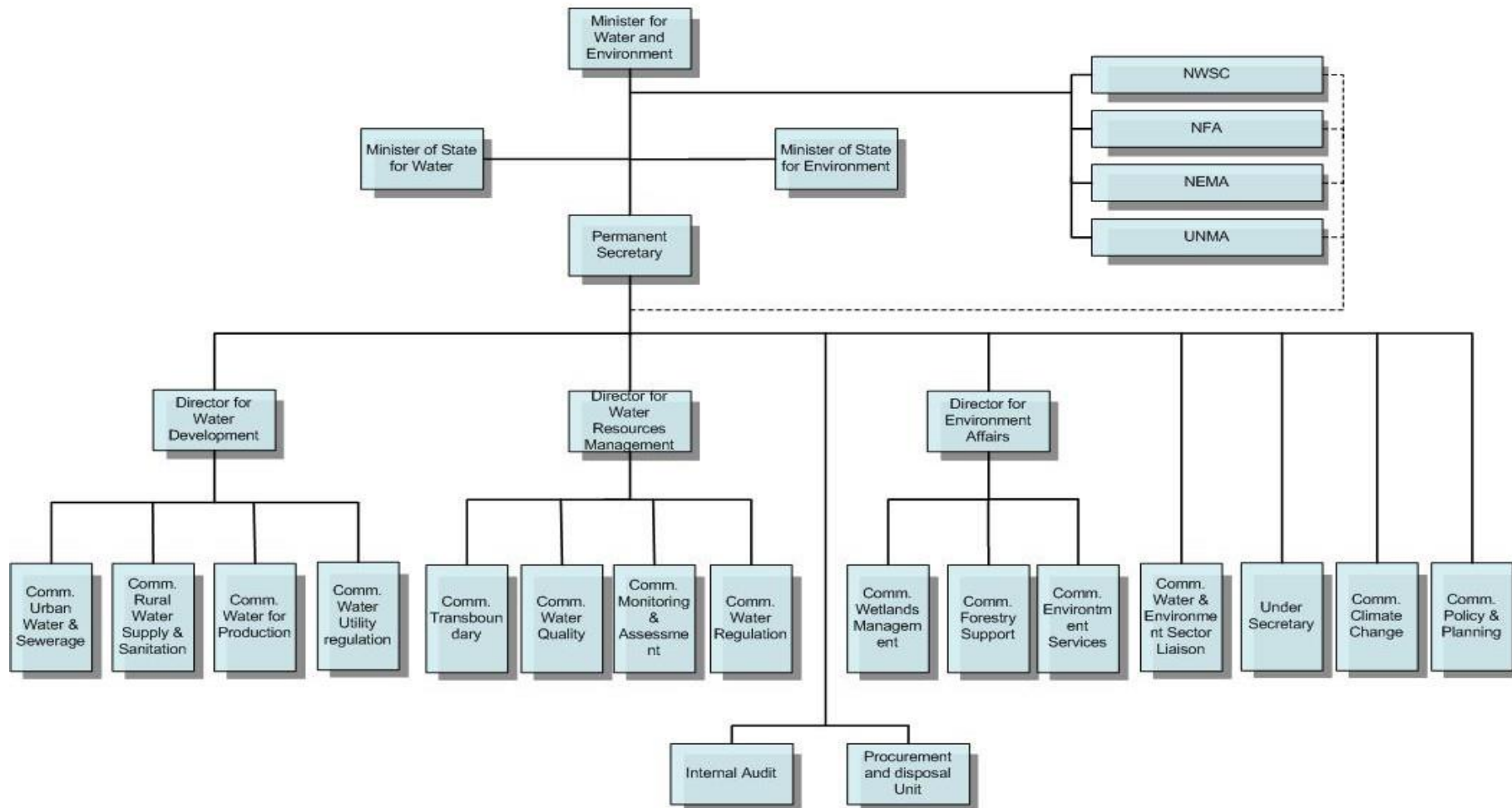
The Ministry is comprised of three of Water Resources Management (DWRM), Directorate of Water Development (DWD) and the Directorate of Environmental Affairs (DEA). In addition, the Ministry is supported by stand-alone departments in support to the technical departments namely the Finance and Administration, Water and Environment Sector Liaison, Policy and Planning and the Climate Change Department.

The ministry has affiliate semi-autonomous Institutions including the National Water and Sewerage Corporation which is a public and state owned utility currently providing water supply and sewerage services in large urban towns, the National Forestry Authority which is mandated to manage Central Forest Reserves and supply high quality forestry related products and services, the National Environment Management Authority responsible for ensuring sound environmental management practices for sustainable development as well as the Uganda National Meteorological Authority (UNMA) responsible for monitoring weather and climate, maintaining a climate database and providing regular advisories on the state of the weather and climate to government and any other clients including Agriculture sector, transport, disaster preparedness and the public. **(Figure 1)**. Other key stakeholders include the Local Government, Donors, Civil Society Organisations, Private Sector and Local Governments are key implementers in the delivery of services in the sector.

The sector is guided by the Top Policy Management (TPM) headed by the Senior Minister and assisted by two Ministers of State for Water and Environment respectively. In addition is the Water and Environment Sector Working Group (WESWG) that is chaired by the Permanent Secretary, assisted by two co-chairs persons representing Water and Sanitation donor group and Environment and Natural Resources donor group. The WESWG is responsible for the overall sector coordination, resource mobilization and allocation as well as reviewing of progress. The Water and Sanitation Sub-Sector Working Group (WSSWG) and the Environment and Natural Resources Subsector Working Group (ENR-SWG) are responsible for the sector planning and priority setting, implementation, monitoring, supervision and management of their respective subsectors in support to the WESWG.

Figure 1: Macro Structure of Ministry Water and Environment

MACRO STRUCTURE OF THE MINISTRY OF WATER & ENVIRONMENT 2014



1.2 Strategic Objectives

In order to achieve its vision, the sector is guided by the following strategic objectives in the implementation of its policies and programs;

- i. To provide safe water within easy reach and hygienic sanitation facilities based on management responsibility and ownership by users to 79 percent of the population in rural areas and 100 percent in urban population by the year 2020, with 95 percent effective use and functionality of the facilities.
- ii. To provide viable urban Water Supply and Sewerage/Sanitation systems for domestic, industrial and commercial uses.
- iii. To develop water supply for production/multipurpose use for socio-economic development, modernize agriculture and mitigate the effects of climate change.
- iv. To manage the water resources of Uganda in a wise, integrated, sustainable and coordinated manner so as to secure water of adequate quantity and quality to meet all social and economic needs of present and future generations.
- v. To promote a sustainable productive Natural Resource Base (NRB) and healthy environment for improved livelihoods, poverty eradication and economic growth.
- vi. To develop capacity and promote sustainable harnessing and use of climate and weather resources for socio-economic development of Uganda.'
- vii. To coordinate and ensure compliance with Government policy, legislation, standards and regulations in the Ministry of Water and Environment and the affiliated agencies/institutions implementing or supporting programs related to Water and Environment.

2.0 Justification for Environmental and Social Safeguards Framework

The Ministry of Water and Environment has been implementing a number of projects and programs which inevitably affect the environment and vulnerable groups. Environmental and social issues have been addressed basing on the National Environment Management policy and other guiding documents including the Environment Impact Assessment (EIA) guidelines and other Tools developed by National Environment Management Authority (NEMA) while some projects have been using the World Bank Environment and Social Safeguards Policies and other donor ES policies. These are normally actualized through development of Environment and Social Management Frameworks (ESMF) for specific projects and programmes.

This Environmental and Social Safeguards Framework has been formulated to facilitate the MWE to effectively address the environmental and social issues for projects and programmes funded by the Adaptation Fund upon its accreditation in a harmonized and coherent manner in order to minimize negative Environmental and social impacts on the Environment and beneficiary communities during and after projects/programmes implementation.

2.1 Objectives of Environment and Social Safeguards Framework

This Environment and Social Safeguards Framework is to be used by the Ministry to ensure that all environmental and social safeguards are adequately addressed as required by the Adaptation Fund. The goal is to avoid unnecessary environment and social harm as a result of implementation of Adaptation Fund projects by the ministry which is a key requirement of the fund.

This Environment and Social Safeguards framework aims specifically at the following:

- i. To ensure integration of environmental and social concerns in all stages of project development and all levels including national, district and local levels, with full participation of the people as means of minimising environmental and social impacts
- ii. To identify key environmental and social issues/aspects that will affect or will be affected by the projects/programmes and ensuring that risks are screened against Adaptation Fund 15 principles;
- iii. To specify appropriate roles and responsibilities, and outlining the necessary reporting procedures, for managing and monitoring environmental and social concerns including compliance; and
- iv. To establish institutional capacity building requirements to successfully implement the ESS, with particular focus on monitoring framework for its implementation and compliance;

The following flow chart describes the process of ensuring that the ESS process is adhered to:

Fig 1: Demonstration of the adherence to the ESS process



3.0 Policy and Legal Framework

This Environment and Social Safeguards Framework is aligned to the principles relating to the safety of environment, and the people as enshrined in various laws and policies including among others;

3.1 The Constitution of the Republic of Uganda (1995)

The overarching policy document for ESS in Uganda is the Constitution of the Republic of Uganda, 1995 states that:

- i. The State shall ensure gender balance and fair representation of marginalized groups on all constitutional and other bodies (Constitution of the Republic of Uganda, 1995, Chapter V).
- ii. The State shall take all necessary steps to involve the people in the formulation and implementation of development plans and programs which affect them (Constitution of the Republic of Uganda, 1995, Chapter X).
- iii. The State shall protect important natural resources, including land, water, wetlands, minerals, oil, fauna and flora on behalf of the people of Uganda (Constitution of the Republic of Uganda, 1995, Chapter XII).

3.2 The National Environmental Management Policy (1994)

The National Environment Management Policy is a multi-sector crosscutting policy that is found in nearly every other policy. The National Environment Policy overall goal is 'to encourage sustainable development by wise use of natural resources while enhancing environmental quality without compromising the ability of future generations to meet their own needs'. The policy has six policy objectives, 18 guiding principles, 14 cross-sector policies and 4 (four) sector policies. The key objectives of the Policy are to:

- i. Enhance health and quality of life through sustainable development, sound environmental management and wise use of natural resources;
- ii. Encourage participatory integration of environmental concerns in all development policies, planning, and activities at national, district and local levels;
- iii. Conserve, preserve and restore ecosystems and maintain ecological processes and life support systems, especially conservation of national biological diversity;
- iv. Optimize resource use and achieve a sustainable level of resource consumption;
- v. Raise public awareness, sensitization and advocacy for a linkage between environment and development;
- vi. Ensure individual and community participation in environmental improvement activities.

The key underlying principles that support the policy include: - every person has a constitutional right to a clean environment; sustainable natural resource use; security of land tenure; the use of environmentally friendly technologies; enforcement of environmentally friendly laws, involvement of gender and vulnerable groups, integration of environmental concerns in all sectors, involvement of the communities in decision making and meeting international and regional obligations.

3.3 National Development Plan II (NDPII 2015-2020)

The Policy is also consistent with the National Development Plan (NDP-II) for the period of 2015-2020 which highlights for the following: i) protecting, restoring, and maintaining the integrity of degraded fragile ecosystems; ii) increasing sustainable use of environment and natural resources; iii) increasing national forest cover and economic productivity of forests; iv) increasing the national wetland coverage; v) increasing the functionality and usage of meteorological information systems; and vi) increasing the country's resilience to the impacts of climate change. Furthermore, the NDPII also advocates for decent employment and labour productivity, provides social protection services, promotion of youth employment and participation, promote equality and women empowerment, and strengthening institutional capacity and redressing the imbalance and promoting equal opportunities for all.

3.4 The Local Governments Act, 1997

The Local Governments Act, 1997 implements the government's decentralization policy. The Local Government Act devolves some functions from the Central Government to district. The most relevant sections of the Local Government Act are summarised below.

Section 31 (1) (b) of the Local Government Act provides that a local government shall within its area of jurisdiction 'provide services as it deems fit' except 'the functions, powers and services' reserved to the Central Government under Part I of the Second Schedule to the Local Government Act. Item 7 of Part II of the Second Schedule provides that the function of water resources management is reserved to the Central Government. Under the same Act, the general water resource management policy is a function reserved to the Central Government under the Local Government Act. Part II of the Second Schedule to the Local Government Act shows that supply of water is a decentralised function.

3.5 Legislative Framework for Environmental Assessment Category and its Processes within the Water and Environment sector.

The National Environmental Act, 1995 is the principal law governing environmental management and conservation in Uganda. A number of supporting regulations are also applicable to water resources management and include:

- a. The Water Act, Cap 152, 1997;
- b. The Land Act Cap 227, 1998;
- c. The Water Resources Regulations, 1998;
- d. The Water (Waste Discharge) Regulations, 1998;
- e. The Environmental Impact Assessment Regulations, 1998;
- f. The National Environment (Waste Management) Regulations, 1999;
- g. The National Environment (Standards for Discharge of Effluent into water or on land) Regulations, 1999;
- h. The National Environment (Wetlands, River banks and Lake Shores Management) Regulations, 2000.
- i. Draft Standards for Air Quality Management, 2007;
- j. The National Environment (Noise Standards and Control) Regulations, 2003;
- k. National Environment Instrument (delegation of waste discharge functions) 1999;
- l. National Environment Notice (designation of Environmental Inspectors), 2000;
- m. National Policy for the Conservation and Management of Wetland Resources, 1995; and
- n. The National Environmental Management Policy, 1994;
- o. National Climate Change Policy (2015)

Other relevant Laws and Policies include;

Other laws and policies that are relevant to the implementation of this Environment and social framework include: The National Environment Act (1994), the National Irrigation Policy (2018), National Gender Policy (2007), Petroleum Supply Act (2003), Equal Opportunities Policy (2008), National Land Policy (2013), National Land Use Policy (2010) Employment Act (2006), Occupational Safety and Health Act (2006); among others.

4.0 Environment and Social Safeguard (ESS) Principles

4.1 Statement of Commitment

This Environmental and Social Safeguards Framework of the Ministry of Water and Environment of Uganda highlights the importance of managing environmental and social performance for all Ministry supported or implemented projects and programmes. The Ministry of Water and Environment is committed to avoiding, minimizing, or mitigating adverse environmental and social impacts associated with its projects. All proposed MWE projects and programmes will be subject to review and screening during preparation, and they will be fully assessed, designed, implemented, monitored and reviewed accordingly to ensure that all environmental and social issues are adequately addressed. This requirement will be applied to all Government and Donor funded projects for which the Ministry has overall responsibility for management and results. The Ministry is also committed to ensuring transparency and accessibility of information, in addition to facilitating the resolution of disputes, with respect to environmental and social risks.

4.2 Environment and Social Safeguard Principles

This Environmental and social safeguards Framework is crucial for ensuring that the projects and programmes being supported or implemented by the Ministry do not unnecessarily harm the environment, public health or vulnerable communities. The Ministry shall ensure that all projects and programmes it designs and implements directly or through other organizations, agencies and lower local governments conform to the following environmental and social principles, although it is recognized that depending on the nature and scale of a project or programme all of the principles may not be relevant to every project or programme.

4.2.1 Compliance with the Law*

The Ministry of water and Environment as an implementing entity (IE) will provide, when relevant, a description of the legal and regulatory framework for any project activity that may require prior permission such as environmental permits, water abstraction /extraction permits especially during the construction of water Irrigation Schemes and water for production facilities.

4.2.2 Labour Laws and Working Conditions

Ensure that its projects and programmes comply with National labour laws and with the objectives of the International Labor Organization (ILO) Standards. This includes fully complying with relevant National legislation including: Employment Act (2006), Equal Opportunities Commission Act (2007), Occupational Safety and Health Act (2006) among others. This is triggered since the construction works will require the recruitment of a labour force to dig the trenches, lay pipes and undertake the required construction and rehabilitation works. As with all works related to water projects, these might expose employees to occupational safety risk and infections. This occupational safety risk will be mitigated through the selection and effective use of mechanical equipment and personal protective equipment. Work procedures, training, and awareness creation/sensitisation will be implemented.

4.2.3 Access and Equity

Projects and programmes implemented or supported by the Ministry shall provide fair and equitable access to benefits in a manner that is inclusive and does not impede access to basic health services, clean water and sanitation, energy, education, housing, safe and decent working conditions, and land rights. These Projects or programmes should not exacerbate existing inequities, particularly with respect to marginalized or vulnerable groups.

4.2.4 Marginalized and Vulnerable Groups

All projects and programmes implemented or supported by the Ministry shall avoid imposing any disproportionate adverse impacts on marginalized and vulnerable groups including children, women and girls, the elderly, indigenous people, tribal groups, internally displaced people, refugees, people living with disabilities, and people living with HIV/AIDS. During project or programme assessment the Ministry shall assess and consider particular impacts on marginalized and vulnerable groups. This includes fully complying with relevant national legislation including the HIV/ AIDS Policy 2011, and the National Policy Framework on Social Protection (November 2015) aimed at reducing social vulnerabilities.

4.2.5 Human Rights

The projects and programmes will be designed and implemented in a manner that will promote, protect and fulfil universal respect for, and observance of, human rights for all as recognized by the United Nations. The Ministry will undertake robust environmental and social due diligence so that its projects and programmes do not cause, promote, contribute to, perpetuate, or exacerbate adverse human rights impacts.

4.2.6 Gender Equality and Women's Empowerment

Projects and programmes implemented or supported by the Ministry shall be designed and implemented in such a way that both women and men (a) have equal opportunities to participate as per the Fund Gender Policy (refer to Adaptation Fund Gender Policy: Annex 4 for details); (b) receive comparable social and economic benefits; and (c) do not suffer disproportionate adverse effects during the development process. This includes fully complying with relevant national legislation including National Gender Policy, 1997; Equal Opportunities Commission Act; and Gender Seal that was launched in 2017 to recognize entities that adhere to gender equality standards. Like other Ministries, the Ministry of Water and Environment shall ensure that planning, budgeting and resource allocation adheres to requirements of Gender Compliance by the Ministry of Finance, Planning and Economic Development.

4.2.7 Indigenous Peoples

Projects and programmes undertaken by the ministry shall avoid adverse impacts on indigenous peoples, and when avoidance is not possible, will minimize, mitigate and/or compensate appropriately and equitably for such impacts, in a consistent way and improve outcomes over time; promote benefits and opportunities; and respect and preserve indigenous culture, including the indigenous peoples' rights to lands, territories, resources, knowledge systems, and traditional livelihoods and practices.

All Ministry projects and programmes shall support the full and effective participation of indigenous peoples and the design and implementation of activities will be guided by the

rights and responsibilities set forth in the United Nations Declaration on the Rights of Indigenous Peoples including, of particular importance, the right to free, prior and informed consent, which will be implemented by the Ministry all in applicable circumstances. It will ensure that all projects and programmes it implements or support are consistent with the rights and responsibilities set forth in the UN-Declaration on the Rights of Indigenous Peoples and other applicable international and National instruments relating to indigenous peoples. Uganda Resettlement I Land Acquisition Policy Framework, 2002.

4.2.8 Involuntary Resettlement

The ministry undertakes to avoid involuntary resettlement to the extent feasible, or to minimize and mitigate its adverse social and economic impacts. Projects and programmes shall be designed and implemented in a way that avoids or minimizes the need for involuntary resettlement. When limited involuntary resettlement is unavoidable, due process shall be observed so that displaced persons shall be informed of their rights, consulted on their options, and offered technically, economically, and socially feasible resettlement alternatives or fair and adequate compensation. It shall promote participation of displaced people in resettlement planning and implementation, and its key economic objective is to assist displaced persons in their efforts to improve or at least restore their incomes and standards of living after displacement. The Ministry shall promote fair and timely compensation and other resettlement measures to achieve its objectives and requires that all projects prepare adequate resettlement planning instruments prior to appraisal of proposed projects.

4.2.9 Protection of Natural Habitats and Conservation of Biological Diversity

The Ministry shall not design, implement or support projects and programmes that involve unjustified conversion or degradation of critical natural habitats, including those that are (a) legally protected; (b) officially proposed for protection; (c) recognized by authoritative sources for their high conservation value, including as critical habitat; or (d) recognized as protected by traditional or indigenous local communities. Also all projects and programmes shall be designed and implemented in a way that avoids any significant or unjustified reduction or loss of biological diversity or the introduction of known invasive species. The activities designed and implemented in a manner that will protect and conserve biodiversity and critical habitats, maintain the benefits of ecosystem services, and promote the sustainable use and management of living natural resources. This includes fully complying with relevant national legislation including: Water Policy (1997), Environment Management Act (1994), National Climate Change Policy (2015), and National Forestry and Tree Planting Act 2003 among others.

4.2.10 Climate Change

During preparation of projects and programmes implemented or supported by the Adaptation Fund, Green Climate Fund and other Donors, the Ministry shall ensure that projects do not result in any significant or unjustified increase in greenhouse gas emissions or other drivers of climate change. This includes fully complying with relevant national legislation including, National Climate Change Policy (2015), and National Forestry and Tree Planting Act 2003 among other. For each sub-project, an assessment of the climate change impacts shall be evaluated using the INDC guidelines. This will help identify an appropriate adaptation action including relevant activities for each sub-project as well as capacity building needs for the Executing Agency.

4.2.11 Pollution Prevention and Resource Efficiency

This is triggered by the nature of the activities that are covered under both the construction and operation phases. There is handling of oil, management of faecal sludge that could pose. Use of equipment could also result in release of Greenhouse gases. The Ministry shall ensure that its projects and programmes are designed and implemented in a way that meets applicable international standards for maximizing energy efficiency and minimizing material resource use, the production of wastes, and the release of pollutants. It shall ensure that its projects and programmes promote more sustainable use of resources, including energy and water, reduce project or programme -related greenhouse gas (GHG) emissions, and avoid or minimize adverse impacts on human health and on the environment. This includes fully complying with relevant national legislation including: National Environment Act (1994), Petroleum Supply Act (2003). National Environment (Waste Management) Regulations, 1999. Water (Waste Discharge) Regulations, 1998 as well as the National Environment (Standards for Discharge of Effluent into Water or on Land) Regulations, 1999 among others.

4.2.12 Public Health

The Ministry shall ensure that its projects and programmes are designed and implemented in a way that avoids potentially significant negative impacts on public health. This includes fully complying with relevant National legislation including: Occupational Safety and Health Act (2006) and the Public Health Act 2000.

4.2.13 Physical and Cultural Heritage

The Ministry shall ensure that its projects and programmes are designed and implemented in a way that avoids the alteration, damage, or removal of any physical cultural resources, cultural sites, and sites with unique natural values recognized as such at the community, national or international level. Projects/programmes should also not permanently interfere with existing access and use of such physical and cultural resources. It will ensure that its projects and programmes protect cultural heritage, support their preservation, and promote equitable sharing of benefits from their use. This includes fully complying with relevant national legislation including: Institution of Traditional or Cultural Leaders Act (2011), Uganda Tourism Act (2008) and the Historical Monuments Act (1968).

4.2.14 Lands and Soil Conservations

The Ministry shall ensure that its projects and programmes are designed and implemented in a way that promotes soil conservation and avoids degradation or conversion of productive lands or land that provides valuable ecosystem services.

5.0 Organizational and Implementation Approach

The Ministry of Water and Environment shall take the responsibility of ensuring that environment and social issues are mainstreamed in all its projects. The permanent Secretary of MWE with the support of the various Directors and Heads of Departments has the overall duty of ensuring that ESS are mainstreamed in all its projects/programmes implemented by the MWE and other executing agencies. Further, the ministry in collaboration with the NEMA shall ensure that proper EIAs are conducted and ESIA certificates issued. The NEMA will also conduct regular monitoring, reviewing in ensuring compliance with the ESS/IESMF for all projects.

The MWE has a fully-fledged Department of Environmental Support Services (DESS) with a Senior Environment Officer (Policy, Planning and Research) designated to coordinate compliance to E&S policy formulation and implementation. In addition, MWE has a Water and Environment Sector Liaison Department (WESLD) mandated to coordinate issues of cross cutting nature including social community engagement, gender mainstreaming, HIV/AIDS mainstreaming, pro-poor initiatives among others. The aforementioned functions are coordinated by a Principal sociologist, who works closely with other Senior Sociologists and Sociologists deployed across all departments and projects. Under the ESS Policy Framework, the Departments of Environment Support Services (DESS) and Water and Environment Sector Liaison (WESLD) shall be the Coordinating Unit and shall ensure that projects and programmes in all the three Directorates namely; Directorate of Water Resources Management (DWRM), Directorate of Water Development (DWD), Directorate of Environment Affairs (DEA) and other Executing Entities adequately integrate and address Environment and social issues in their scope. It is envisaged that projects and programmes will develop specific Environmental and Social Management Frameworks (ESMFs) being guided by this policy, that will guide the implementation and monitoring of project specific mitigation measures. Specifically,

- > Under WESLD, the key technical personnel responsible for implementation of the Social component of the ESSPF is the Principal Sociologist mandated to coordinate issues of cross cutting nature including social community engagement, gender mainstreaming, HIV/AIDS mainstreaming, pro-poor initiatives.
- > Under DESS, the key technical personnel responsible for implementation of the Environment component of the ESSPF are the Assistant Commissioner and 3 Senior Environment Officers.

All Project Coordinators have a shared responsibility for implementation of ESS policies through Social and Environment Officers. Hence, according to the ESS Policy Framework each Directorate will be assigned Focal Point Officers (FPOs) responsible for all projects within the Directorate and shall make regular reports concerning the implementation of the Environmental Social Safeguards at each project to the above mentioned Coordination Unit.

6.0 Integration Environment and social issues into projects and programmes

Integration and implementation of environment and social issues into projects and programmes shall be guided by the following procedure steps.

6.1 Screening of Environmental and Social Risks

The Ministry shall ensure that all proposed projects and programmes are screened to determine their potential to cause environmental or social harm. The purpose of screening is to identify potential environmental and social impacts and risks, taking into consideration the AF 15 Principles of the Environment and Social Safeguards Policy above. The screening process shall consider all potential direct, indirect, trans-boundary, and cumulative impacts in the project's or programme's area of influence that could result from the proposed project or programme.

All proposed projects and programmes shall be categorized according to the scale, nature and severity of their potential environmental and social impacts. Projects or programmes likely to have significant adverse environmental or social impacts that are for example diverse, widespread, or irreversible shall be categorized as Category **A** projects /programmes. Those with potential adverse impacts that are less adverse than Category **4** projects and programmes, because for example they are fewer in number, smaller in scale, less widespread, reversible or easily mitigated shall be categorized as Category **B** whereas those projects and programmes with no adverse environmental or not have potential significant social impacts should be categorized as Category **C** or **D** respectively as described in table 6.1.

The screening shall help in determining the extent to which the project or programme requires further environmental and social assessment, mitigation, and management. The results of the environmental screening shall be included in the project or programme proposals submitted by the Ministry to the Adaptation Fund.

Table 6.1: Requirements for Screening/ Categorization of Projects

Category	Description
Category A: ESSF	An EIA is normally required because the project may have diverse significant impacts. Projects in this category could include: water projects requiring water to a level more than 400m ³ in any period of twenty-four hours, or projects requiring using motorized pumps; storage dams, barrages, weirs, valley tanks and dams; river diversions and inter-basin water transfer among others.
Category B: ESSF	A limited environmental analysis is appropriate, as the project impacts can be easily identified and for which mitigation measures can be easily prescribed and included in the design and implementation of the project. Projects in this category could include: rural water supply, large earth reservoirs, but not located in very sensitive areas, big gravity flow schemes, all category one projects located in sensitive areas etc.
Category C: ESSF	Environmental analysis is normally unnecessary, as the project is unlikely to have significant environmental impacts. A project brief is enough. This could include project location in less sensitive areas or where many such schemes are in the same locality and their synergetic effects have potential impacts.
Category D: ESSF	Small projects which do not have potential significant impacts and for which separate EIAs are not required, as the environment is the major focus of project preparation. These could include borehole drilling, hand augured shallow wells, protected springs and earth reservoir construction.

6.2 Environmental and Social Assessment

The Ministry shall ensure that for all projects/programmes that have the potential to cause environmental or social harm (i.e. all Category A and B projects or programmes), the implementing entity shall prepare an environmental and social assessment that identifies any environmental or social risks, including any potential risks associated with the Fund's environmental and social principles outlined above.

The assessment shall (i) consider all potential direct, indirect, trans boundary, and cumulative impacts and risks that could result from the proposed project or programme; (ii) assess alternatives to the project/programme; and (iii) assess possible measures to avoid, minimize, or mitigate environmental and social risks of the proposed project or programme. As a

general rule, the environmental and social assessment shall be completed before the project/programme proposal submission to the Adaptation Fund, Green Climate Fund and any other funding agency. In some Category A&B projects/programmes where the proposed activities requiring such assessment represent a minor part of the project, and when inclusion in the proposal is not feasible, a timeline for completing the environmental and social assessment before actual implementation begins shall be incorporated in the agreement between the Board and the Ministry following the project or programme approval, and reported through the annual project/programme performance report. A copy of the environmental and social assessment shall be provided to the funding entity as soon as the assessment is completed.

Environmental and Social Management Plan. The Ministry shall develop environment and social management plans for projects basing on the findings of the environmental and social assessments that identify those measures and actions, assessment shall be accompanied by an environmental and social management plan that identifies those measures necessary to avoid, minimize, or mitigate the potential environmental and social risks. The Ministry commits to develop and implement these plans for all projects and programmes under its docket and this will be reflected in routine reporting and monitoring Reports.

7.0 Monitoring, Reporting, and Evaluation

The Ministry's and project specific Monitoring and Evaluation systems shall address all environmental and social risks identified during project or programme assessment, design, and implementation. Project or programme performance reports shall include a section on the status of implementation of any environmental and social management plans, including those measures required to avoid, minimize, or mitigate environmental and social risks. The reports shall also include, if necessary, a description of any corrective actions that are deemed necessary. The mid-term and terminal evaluation reports shall also include an evaluation of the project or programme performance with respect to environmental and social risks.

8.0 Public Disclosure and Consultation

The Ministry shall identify stakeholders and involve them as early as possible in planning any project or programmes supported by the various funding agencies including AF and GCF. The results of the environmental and social screening and a draft environmental and social assessment, including any proposed management plans, shall be made available for public consultations that are timely, effective, inclusive, and held free of coercion and in an appropriate way for communities that are directly affected by the proposed project or programme. Ministry shall publicly disclose the final environmental and social assessment through the Ministry's website and hold stakeholders' meetings targeting all project-affected people and other stakeholders to disseminate the findings where feasible. Project or programme performance reports including the status on implementation of environmental and social measures shall be publicly disclosed. Any significant proposed changes in the project or programme during implementation shall be made available for effective and timely public consultation with directly affected communities.

9.0 Grievance Mechanism

The Ministry shall establish Grievance handling mechanisms for all projects and programmes active at all levels. The communities to be affected or likely to be affected by projects or programmes shall be informed of the existence grievance and redress mechanism at the

earliest opportunity of the stakeholder engagement process and in an understandable format and in all relevant languages. The details for sending complaints containing the contact information and the appropriate modes by which these will be received shall be provided by the Ministry and disseminated with other involved institutions.

The grievance and redress mechanism shall receive and facilitate the resolution of concerns and grievances about the environmental and social performance of projects and programmes and will seek to resolve complaints in a manner that is satisfactory to the complainants and other relevant parties that will be identified, depending on the nature of the complaint. The Redress Mechanism will address the grievances and complaints filed by people and communities who may be or have been affected by the adverse impacts in connection to the potential failures projects or programmes

The mechanism shall facilitate the resolution of grievances promptly through an accessible, fair, transparent and constructive process. It will also be culturally appropriate and readily accessible, at no cost to the public, and without retribution to the individuals, groups, or communities that raised the issue or concern. The mechanism will not impede the access to judicial or other administrative remedies that may be available through the country systems. The existing system of using the Ministry website and hotline will be explored. The Ministry will respond promptly to all such complaints in reference to the procedures provided in the Ministry’s Clients Charter.

Annex A: Glossary

1. **Adaptation** -Adjustment in natural or human systems in response to actual or expected climatic stimuli or their effects, which moderates harm or exploits beneficial opportunities.
2. **Afforestation**- The direct, human-induced conversion of land that has been unfrosted for at least 50 years to forested land through planting, seeding and/or human induced promotion of natural seed sources; This is distinct from reforestation, which is defined as the conversion of land that has been unfrosted since at least 31 December 1989 to forested land.
3. **Climate change** -Any significant change in measures of climate, such as temperature, precipitation or wind, lasting for an extended period (decades or longer); This report refers to climate change induced by human activities that change the atmosphere's composition (e.g., burning fossil fuels) or the land's surface (e.g., deforestation, reforestation, urbanization, desertification, etc.).
4. **Cumulative impacts** - result from the incremental impact, on areas or resources used or directly affected by the project, from other existing, planned or reasonably defined developments at the time the risks and impacts are identified.
5. **Disadvantaged or vulnerable** - refers to those who may be more likely to be adversely affected by the project impacts and/or more limited than others in their ability to take advantage of a project's benefits. Such an individual/group is also more likely to be excluded from/unable to participate fully in the mainstream consultation process and as such may require specific measures and/or assistance to do so.
6. **Due diligence** in the context of environmental and social management system, means the process of investigating potential investments to confirm all facts, such as reviewing environmental and social safeguards, audits, assessments, and compliance before consideration of funding or entering into an agreement with another.
7. **Environmental and social assessment** means the assessment of environmental and social risks, impacts and opportunities undertaken by the accredited entities in a manner that follows good international industry practices, identifies best alternatives and allows for an integrated and balanced view of the environmental and social risks and impacts. This type of assessments may include specific impacts assessment, audits, and due diligence studies, among others.
8. **Environmental and social impact assessment (ESIA)** - refers to a process or tool based on an integrated assessment where the scale and type of potential biophysical and social impacts of projects, programs and/or policy initiatives, are predicted, acknowledged and evaluated. It also involves evaluating alternatives and designing appropriate mitigation, management and monitoring measures to manage the predicted potential impacts.
9. **Environmental and social management frameworks (ESMF)**- describes the roles and responsibilities and the processes to manage environmental and social risks and impacts including screening, preparation, implementation and monitoring of subprojects.
10. **Environmental and social impacts** - refer to any change, potential or actual, to (i) the physical, natural, or cultural environment, and (ii) impacts on surrounding community and workers, resulting from the activities to be supported.
11. **Environmental and social risk**- is a combination of the probability of certain hazard occurrences and the severity of impacts resulting from such an occurrence.
12. **Environmental and social management system (ESMS)**- refers to a set of management processes and procedures that allow an organization to identify, analyse, control and reduce the environmental and social impacts of its activities in a consistent way and to improve

performance in this regard over time. For the purposes of this document, "ESMS" refers to the environmental and social management system of MWE. When used in the long form, "environmental and social management system", it refers to the entities' management system.

13. **Environmental and social management plan (ESMP)** - refers to a document that contains a list and description of measures that have been identified for avoiding adverse environmental and social impacts, or minimising them to acceptable levels, or to mitigate and compensate them and usually the main output of the ESIA process.
14. **Environmental and social safeguards (ESS)** - refers to a set of standards that specifies the desired outcomes and the specific requirements to achieve these outcomes through means that are appropriate to the nature and scale of the activity and commensurate with the level of environmental and social risks and/or impacts.
 11. **Involuntary resettlement** - means physical displacement (relocation, loss of residential land or loss of shelter), economic displacement (loss of land, assets or access to assets, including those that lead to loss of income sources or other means of livelihood), or both, caused by project-related land acquisition or restrictions on land use. Resettlement is considered involuntary when affected persons or communities do not have the right to refuse land acquisition or restrictions on land use that result in displacement.
15. **Land acquisition**- refers to all methods of obtaining land for project purposes, which may include outright purchase, expropriation of property and acquisition of access rights, such as easements or rights of way.
16. **Mitigation**- The reduction and/or avoidance of emission of greenhouse gases into the atmosphere, through financing and implementing low-carbon technologies, programmes and projects.
17. **Mitigation hierarchy**- as described in the ESS standards that set prioritized steps for limiting adverse impacts through avoidance, minimization, restoration and compensation as well as opportunities for culturally appropriate and sustainable development benefits.
18. **Stakeholders**- refers to individuals or groups who: (a) are affected or likely to be affected by the activities; and (b) may have an interest in the activities (other interested parties). The stakeholders of an activity will vary depending on the details of the activity and may include local communities, national and local authorities, neighbouring projects, and non- governmental organizations.
19. **Greenhouse gas (GHG)** - Any gas that absorbs infrared radiation in the atmosphere, including (but not limited to) water vapour, carbon dioxide (CO₂), methane (CH₄), nitrous oxide (N₂O), chlorofluorocarbons (CFCs), hydrofluorocarbons (HFCs), hydro chlorofluorocarbons (HCFCs), ozone (O₃), perfluorocarbons (PFCs) and sulphur hexafluoride (SF₆).

Code of Conduct

1.0 Introduction

This serves to highlight on the general Code of Conduct for the implementation of **Solar Powered Piped Water Supply Systems and Sanitation Facilities In Refugee Settlements And Host Communities (Rural Growth Centres of; Ukusijoni (Gulinya and Arinyapi) in Adjumani District**. At the institutional level of the implementing organization, Ministry of Water and Environment (MWE) sets this document as a commitment to ensure smooth implementation of the Project. Consistent with the Ministry's Environment and Social Safeguards Policy, the purpose of the Code of conduct is to ensure that the project entirely complies with all applicable laws and regulations. From the outset, MWE commits not to condone the activities of any implementing partner either as the Consultant, the Contractor or Subcontractors, that may strive to achieve results through the violation of the enabling laws or unethical business dealings including but not limited

to payments for illegal acts, concealment of noncompliance, collusion, environmental abuse, and others.

1.1 Purpose of the Code of Conduct

The purpose of the Code of Conduct is to ensure that all project activities are above the minimum standards required by the national laws, World Bank Safeguards Policies, best industry practice and consistent with the Ministry's Environment and Social Safeguards Policy. This document generally serves to guide the project team including the Contractors and Supervision Consultants of the expectations of the client by highlighting a requirement for them to develop detailed Code of Conduct that align their activities with the expectations of the Client.

Overall, MWE expects the Consultant and the Contractor to take full accountability for the performance of their staff in line with the General Conditions of the Contract, national laws and regulations and World Bank Safeguards Policies. They are expected to ensure that their actions are not interpreted as being, in any way, in contravention of the relevant laws and regulations governing the project operations.

1.2 General Conduct of all the Project Employees

MWE expects all the project staff at all levels to conduct themselves in ethically acceptable standards. As such, consuming alcohol at the project sites, disrespect and inappropriate behavior towards project affected persons and affected community members, gambling and similar unprofessional activities are strictly prohibited while on the job. All the project staff including those employed under subcontractors shall not engage in sexual harassment/exploitation or even conduct themselves in a way that can be construed as such, including for instance, using inappropriate language, keeping or posting inappropriate materials in their work area, or accessing inappropriate materials on their computer or sharing pornographic content through any medium with the project staff.

Whereas all efforts should be made to ensure that harassment in all forms (be it physical or verbal) that creates tension at work, interferes with work or makes the working environment hostile is avoided, there is need to avoid the abuse by some workers of the available measures through false allegations.

2.0 Avoidance of the Conflicts of Interest

The basic expectation of MWE is that all the project staff maintain a high degree of integrity by performing their duties conscientiously, honestly and certainly in line with the best interests of the Organization. MWE requires all workers to refrain from using their positions or the knowledge gained as a result of their positions for private or personal advantage.

Regardless of the circumstances, where the project workers realize that a given course of action they have pursued, or are pursuing, or are rather contemplating pursuing could place them in a conflict of interest with the project, they should with immediate effect communicate all the facts to their supervisors.

3.0 Prompt Communication

As a minimum, MWE expects its staff and all the implementing partners (Contractors, Sub Contractors, Consultant) to make every effort to ensure efficient, accurate, and timely information sharing on all issues and incidents associated with day-to-day project activities. The Client expects and the Contractors will respond promptly and courteously to all requests for information and to complaints from workers and project hosting communities.

4.0 Environmental, Health and Safety Requirements

MWE is committed to the observation of environmental, health, social and safety requirements pursuant to the national laws and World Bank safeguards. MWE's Environment and Social Safeguards management teams shall proactively oversee the operations of the Contractors' activities to ensure that;

- i. Project activities are conducted in an environmentally responsible and socially acceptable and culturally sensitive manner;
- ii. A Contractor's Environmental and Social Management Plan (ESMP) is comprehensive and adequately integrates the voluntary commitments in the ESIA's ESMP conditions provided in the different permits, licenses and approvals issued, and the general specifications for road and bridge works.
- iii. Raise a red flag in instances where on-the-ground conditions might be harmful to the environment, human health and safety;
- iv. The project implementation team is responsive to environmental concerns raised by the partners, Lead Agencies, local communities and other stakeholders.

- v. The project team respects and ultimately complies with sound environmental standards (both international and local), principles and laws.
- vi. Monitoring and reporting the project's impact (positive and adverse) of the project activities on local environmental conservation and protection.
- vii. Model good environmental stewardship

5.0 General Obligation of partners

As a requirement, MWE expects the Contractor and the Supervising Consultants to develop satisfactory codes of conduct that contain obligations on all project staff (including sub-contractors and day workers) that are suitable to address the following issues, as a minimum. The issues to be addressed include:

- viii. Compliance with applicable international and local social and environmental safeguards laws, rules, and regulations
- ix. with applicable health and safety requirements (including wearing prescribed personal protective equipment, preventing avoidable accidents and a duty to report conditions or practices that pose a safety hazard or threaten the environment) – risk assessment and mitigation
 - x. Zero tolerance to the promotion and use of illegal substances by staff
- xi. Non-Discrimination (for example on the basis of family status, ethnicity, race, gender, religion, language, marital status, birth, age, disability, or political conviction or any other condition)
- xii. Promote community participation in project implementation, including regular interactions with community members (for example to convey an attitude of respect and non-discrimination)
- xiii. against sexual harassment for staff, communities and other actors (for example to prohibit use of language or behavior, in particular towards women or children, that is inappropriate, exploitative, harassing, abusive, sexually provocative, demeaning or culturally inappropriate)
- xiv. Protect against any form of violence or exploitation (for example the prohibition of the exchange of money, employment, goods, or services for sex, including sexual favors or other forms of humiliating, degrading or exploitative behavior)
- xv. Protection of children against any form of exploitation or maltreatment related to the project implementation, (including prohibitions against exploitative labour, defilement, or otherwise unacceptable behavior with children, limiting interactions with children, and ensuring their safety in project areas)
- xvi. with sanitation requirements (for example, to ensure workers use specified sanitary facilities provided by their employer and not open areas)
- xvii. Avoidance of conflicts of interest (such that benefits, contracts, or employment, or any sort of preferential treatment or favors, are not provided to any person with whom there is a financial, family, or personal connection)
- xviii. reasonable work instructions (including regarding environmental and social norms)
- xix. and proper use of property (for example, to prohibit theft, carelessness or waste)
- xx. Duty to report violations of this Code, Gender consideration, sensitivity and mainstreaming in the project implementation, including sanitation facilities, allocation of work and duties

- etc.
- xxi. Non retaliation against workers who report violations of the Code, if that report is made in good faith
 - xxii. The Safety Committee in place meets regularly to proactively address the occupational health and safety gaps/ challenges facing the project implementation activities,
 - xxiii. A Grievance Redress Mechanism in place with a respected robust Grievance Redress Committee (GRC) whose membership is clearly representative of the different categories of workers on the project e.g. the workers’ union representatives, female staff representatives, foreign staff, etc.
 - xxiv. Develop subsidiary plans including the traffic management plan, Safety Plan, waste management plans and others and ensure that these plans are effectively implemented.

6.0 Conclusion

The Contractor and the Consultant are respectively expected to develop Code of Conduct following the above framework, which should be written in plain language (and translated in relevant local languages) and signed by each worker to indicate that they have:

- a. received a copy of the code;
- b. had the code explained to them;
- c. acknowledged that adherence to this Code of Conduct is a condition of employment; and understood that violations of the Code can result in serious consequences, up to and including dismissal, or referral to legal authorities.

Contractor's Representative and Key Personnel

(Environmental and Social Requirements)

No.	Position	Relevant academic qualifications	Experience in Similar Works (years)	Quantity
1	Environmental Officer	Degree in relevant environmental subject	5	3
2	Social Safeguard/Sexual Exploitation, Abuse and Harassment Officer	Degree in Social Studies	5	3
3	Health & Safety Officer	Working experience in Health and Safety	5	3
4	Sexual Exploitation, Abuse and Harassment Expert	Bachelor's Degree in any of Anthropology, Sociology, Social Work and Social Administration, Public Health or Development Studies	5	1

Drawings

The Drawings are bound separately as Volume 4 as follows:

LOT 1:

Volume 4-1(a): Book of Drawings for Gwere

Volume 4-1(b): Book of Drawings for Laropi

LOT 2:

Volume 4-2(a): Book of Drawings for Lomunga

Volume 4-2(b): Book of Drawings for Lobe

LOT 3:

Volume 4-3: Book of Drawings for Goboro

Environmental and Social Impact Assessment (ESIA) Reports

The ESIA report is bound separately as Volume 5 as follows:

LOT 1

Volume 5-1(a): ESIA for Gwere

Volume 5-1(b): ESIA for Laropi

LOT 2

Volume 5-2(a): ESIA for Lombe

Volume 5-2(b): ESIA for Lomunga

LOT 3

Volume 5-3: ESIA for Goboro

Supplementary Information

LOT 1 : GWERE – LAROPI RGC'S

S/N	Town/Municipality	Location	Distance from Kampala (km)
1.	Gwere and Laropi RGC's	Moyo District in West-Nile Uganda	488

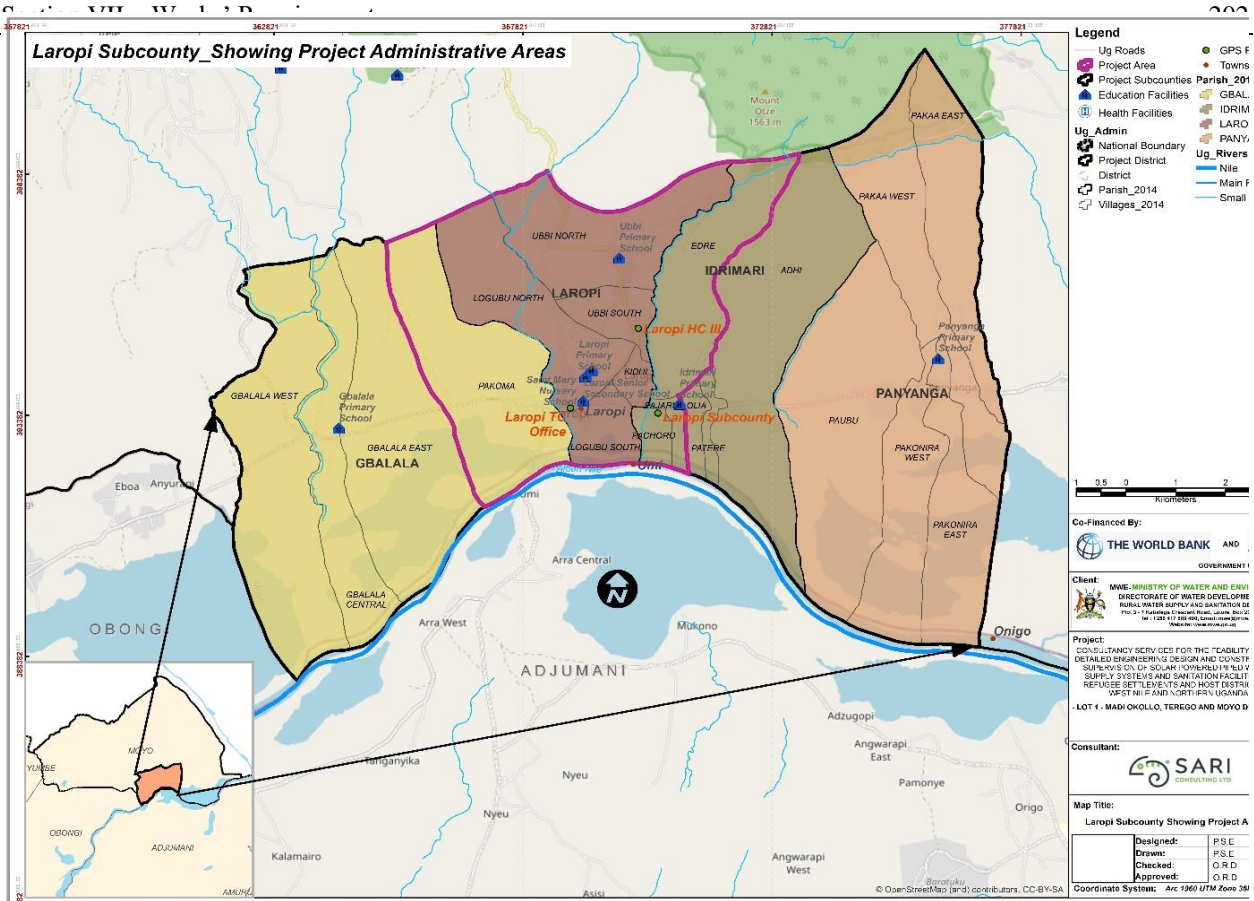


Figure Error! No text of specified style in document.-1 Map of Moyo District Showing Laropi RGC

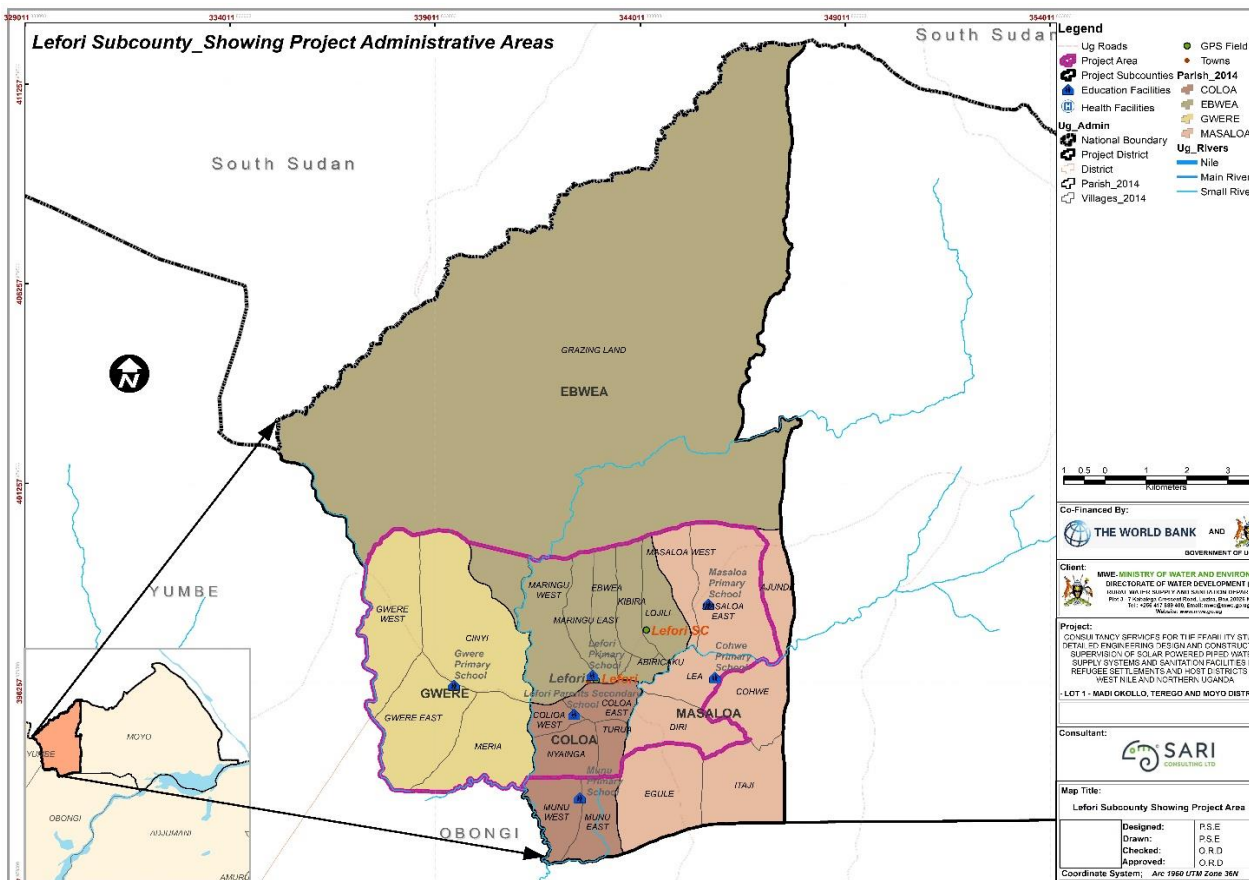
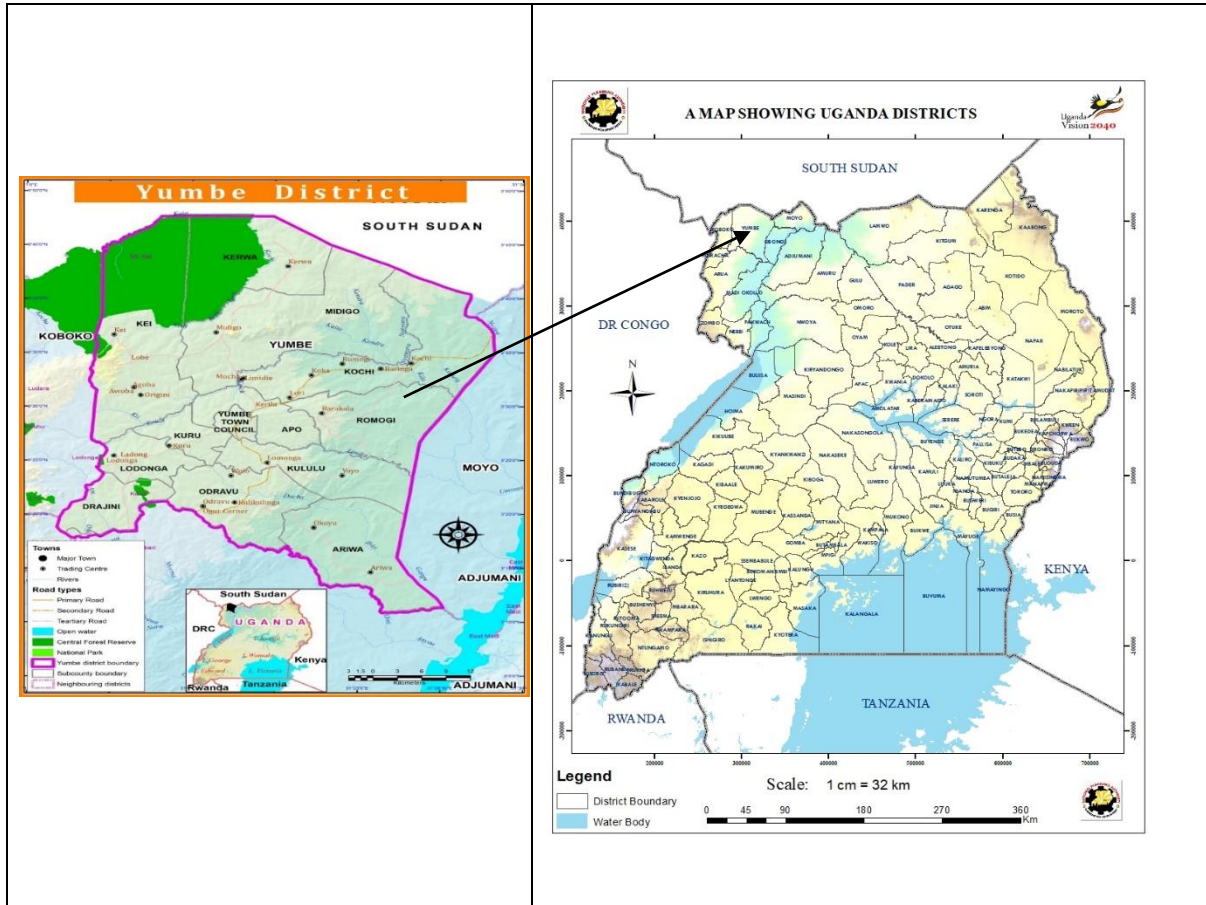


Figure Error! No text of specified style in document.-2 Map of Moyo District Showing Gwere RGC

Supplementary Information

LOT 2 and LOT 3 (YUMBE DISTRICT MAP)

S/N	Town/Municipality	Location	Distance from Kampala (km)
1.	Goboro RGC, Kochi, subcounty	YUMBE District in Northern Uganda	Yumbe District Head Quarters - 600 km from Kampala
2	Lomunga RGC, Bijjo subcounty	YUMBE District in Northern Uganda	
3	Lobe RGC, Lobe Town council	YUMBE District in Northern Uganda	



O;i

Figure 3 Map of Uganda Showing YUMBE District

PART 3 – Conditions of Contract and Contract Forms

Section VIII - General Conditions (GC)

Red Book:

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The Conditions of Contract are the “General Conditions” which form part of the “Conditions of Contract for Construction for Building and Engineering Works Designed by the Employer (“Red book”) Second edition 2017, reprinted 2022 with amendments” published by the Federation Internationale Des Ingenieurs – Conseils (FIDIC) and the following “Particular Conditions” which comprise of the World Bank’s COPA and the amendments and additions to such General Conditions.

An original copy of the above FIDIC publication i.e., “Conditions of Contract for Building and Engineering Works Designed by the Employer” must be obtained from FIDIC.

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Section IX - Particular Conditions

The following Particular Conditions shall supplement the General Conditions. Whenever there is a conflict, the provisions herein shall prevail over those in the General Conditions.

Conditions

Part A – Contract Data

Conditions	Sub-Clause	Data																
Employer's name and address	1.1.31	Ministry of Water and Environment Plot 3-7, Kabalega Crescent, Luzira Luzira, Kampala																
Engineer's name and address	1.1.35	LOT 1: To be inserted LOT 2 and LOT 3: KAGGA and PARTNERS Plot 2, Bandali Close P.O. Box 6583, Kampala Uganda																
Bank's name	1.1.89	World Bank																
Borrower's name	1.1.90	Government of The Republic of Uganda																
Time for Completion	1.1.84	365 days (Twelve months) for each of the lots																
Defects Notification Period	1.1.27	365 days. (one year) for each of the lots																
Sections	1.1.73	<p><u>LOT 1 (MOYO)</u></p> <table border="1"> <thead> <tr> <th>Section</th> <th>Name of section</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>Gwere RGC</td> </tr> <tr> <td>2</td> <td>Laropipi RGC</td> </tr> </tbody> </table> <p><u>LOT 2 (YUMBE)</u></p> <table border="1"> <thead> <tr> <th>Section</th> <th>Name of section</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>Lomunga RGC</td> </tr> <tr> <td>2</td> <td>Lobe RGC</td> </tr> </tbody> </table> <p><u>LOT 3 (YUMBE)</u></p> <table border="1"> <thead> <tr> <th>Section</th> <th>Name of section</th> </tr> </thead> <tbody> <tr> <td>N/A</td> <td></td> </tr> </tbody> </table>	Section	Name of section	1	Gwere RGC	2	Laropipi RGC	Section	Name of section	1	Lomunga RGC	2	Lobe RGC	Section	Name of section	N/A	
Section	Name of section																	
1	Gwere RGC																	
2	Laropipi RGC																	
Section	Name of section																	
1	Lomunga RGC																	
2	Lobe RGC																	
Section	Name of section																	
N/A																		
Electronic transmission system	1.3 (a) (ii)	N/A																
Address of Employer for communications:	1.3(d)	Ministry of Water and Environment Plot 3-7, Kabalega Crescent, Luzira																

Conditions	Sub-Clause	Data
		P.O Box 20026, Luzira, Kampala
Address of Engineer for communications:	1.3(d)	LOT 1: To be inserted LOT 2 and LOT 3: KAGGA and PARTNERS Plot 2, Bandali Close P.O. Box 6583, Kampala Uganda
Address of Contractor for communications:	1.3(d)	To be inserted
Governing Law	1.4	Laws of the Republic of Uganda
Ruling language	1.4	English
Language for communications	1.4	English
Time for the Parties to sign a Contract Agreement	1.6	28 days after receipt of the Letter of Acceptance
Number of additional paper copies of Contractor's Documents	1.8	Three (3)
Total liability of the Contractor to the Employer under or in connection with the Contract	1.15	The Accepted Contract Amount.
Site	1.1 74	<i>The other places forming part of the Site are as follows:</i> LOT 1: All places where the contract works described in the Specifications are to be executed and where plant and materials are to be delivered. LOT 2: All places where the contract works described in the Specifications are to be executed and where plant and materials are to be delivered LOT 3: All places where the contract works described in the Specifications are to be executed and where plant and materials are to be delivered
Time for access to the Site	2.1	The Employer shall give right of access and possession of site to the Contractor of all parts of the Sites no later than 14 days after fulfilment of the conditions specified in sub-clause 8.1 of GCC. The sites are; LOT 1:

Conditions	Sub-Clause	Data
		<ul style="list-style-type: none"> i. Water Treatment Works ii. Transmission and distribution lines iii. Reservoir sites iv. Sites for toilets in schools and health centers <p>LOT 2:</p> <ul style="list-style-type: none"> i. Water Treatment Works ii. Transmission and distribution lines iii. Reservoir sites iv. Sites for toilets in schools and health centers <p>LOT 3:</p> <ul style="list-style-type: none"> i. Water Treatment Works ii. Transmission and distribution lines iii. Reservoir sites iv. Sites for toilets in schools and health centers
Engineer's Duties and Authority	3.2	Variations resulting in an increase of the Accepted Contract Amount in excess of 0% or any change in technical specifications shall require written consent of the Employer.
Cyber security- Contractor's obligations	4.1	N/A
Performance Security	4.2	The performance security shall be in the form of an unconditional, irrevocable on demand bank guarantee from a reputable bank regulated by Bank of Uganda in the amounts of 9 % (Nine) percent of the Accepted Contract Amount and in the same currency (ies) of the Accepted Contract Amount.
Environmental and Social (ES) Performance Security	4.2	The performance security shall be in the form of an unconditional, irrevocable on demand bank guarantee from a reputable bank regulated by Bank of Uganda in the amount(s) of 1 (one) percent of the Accepted Contract Amount and in the same currency (ies) of the Accepted Contract Amount.
Period for notification of errors in the items of reference	4.7.2 (a)	28 Days
Period of payment for temporary utilities	4.19	28 Days

Conditions	Sub-Clause	Data
Number of additional paper copies of progress reports	4.20	3 (three)
Cyber security	4.20	N/A
Cyber security-immediate reporting	4.20	N/A
Maximum allowable accumulated value of work subcontracted (as a percentage of the Accepted Contract Amount)	5.1(a)	20%
Parts of the Works for which subcontracting is not permitted	5.1(b)	i. Borehole Pumping Mains System ii. Distribution Network iii. Intensification Network
Normal working hours	6.5	08:00 hours to 17:00 hours from Monday to Friday
Number of additional paper copies of program	8.3	3 (three)
Delay damages payable for each day of delay	8.8	0.1% of the Accepted Contract Amount, less provisional sum, for DAAB.
Maximum amount of delay damages	8.8	10% of the Accepted Contract Amount less provisional sum for DAAB.
Method of measurement	12.2	
Percentage profit	12.3	
Cyber security- Variation	13.3.1(a)	N/A
Percentage rate to be applied to Provisional Sums for overhead charges and profit	13.4 (b)(ii)	10% of the principal amount spent on the item of the Provisional Sum
Total advance payment	14.2	20% Percentage of the Accepted Contract Amount payable in the currencies and proportions in which the Accepted Contract Amount is payable
Advance Payment Guarantee	14.2.1	The Advance payment Guarantee shall be in the form of an unconditional, irrevocable on demand bank guarantee from a reputable bank regulated by Bank of Uganda
Repayment of Advance payment	14.2.3	Deductions shall be made at the amortization rate of 30% starting from the certificate when 20% of the Accepted Contract Amount is certified for payment provided that the advance payment shall be completely repaid prior to the time when 90 percent (90%) of the Accepted Contract Amount less Provisional Sums has been certified for payment]

Conditions	Sub-Clause	Data
Period of payment	14.3	60days
Number of additional paper copies of Statements	14.3(b)	3 copies
Percentage of retention	14.3(iii)	10%
Limit of Retention Money (as a percentage of Accepted Contract Amount)	14.3(iii)	10%
Plant and Materials	14.5(b)(i)	If Sub-Clause 14.5 applies: Plant and Materials for payment when shipped: N/A
	14.5(c)(i)	60% of value of the following imported plant and materials for payment when delivered to the Site <ul style="list-style-type: none"> i. Pipes ii. Pipework fittings iii. Steel tanks
Minimum Amount of Interim Payment Certificates	14.6.2	8% of the Accepted Contract Amount.
Cyber security- withholding payments	14.6.2	N/A
Period of payment of Advance Payment to the Contractor	14.7(a)	60 Days
Period for the Employer to make interim payments to the Contractor under Sub-Clause 14.6 (interim Payment)	14.7b(i)	60 Days
Period for the Employer to make interim payments to the Contractor under Sub-Clause 14.13 (Final Payment)	14.7b(ii)	60 Days
Period for the Employer to make final payment to the Contractor	14.7(c)	60 Days
financing charges for delayed payment (percentage points above the average bank short-term lending rate as referred to under sub-paragraph (a))	14.8	Financing charges for delayed payment shall be calculated at the commercial prime lending rate as follows: 1% above the central Bank of Uganda for local currency and for foreign currency, +2% above the prime rate of the central bank in the country of the currency of payment, or if not available, the EURIBOR offered rate
Number of additional paper copies of draft Final Statement	14.11.1(b)	3 (three) copies

Conditions	Sub-Clause	Data
Permitted deductible limits	19.1	<p>Insurance required for the Works: Lot 1: US\$ 154,000 Lot 2: US\$ 178,000 Lot 3: US\$ 68,000</p> <p>Lot 1, Lot 2 and Lot 3 insurance required for Goods: None insurance required for liability for breach of professional duty: Lot 1: US\$ 50,000 Lot 2: US\$ 57,000 Lot 3: US\$ 23,000</p> <p>Insurance required against liability for fitness for purpose (if any is required): US\$ 20,000 insurance required for injury to persons and damage to property: US\$ 250,000 insurance required for injury to employees: US\$ 50,000 other insurances required by Laws and by local practice: as required by the Law</p>
Additional amount to be insured (as a percentage of the replacement value, if less or more than 15%)	19.2.1(b)	2%
List of risks arising from Exceptional Events which shall not be excluded from the insurance cover for the Works	19.2.1(iv)	None
Extent of insurance required for Goods	19.2.2	Full replacement value
Amount of insurance required for Goods		
amount of insurance required for liability for breach of professional duty	19.2.3(a)	Lot 1: US\$ 50,000 Lot 2: US\$ 57,000 Lot 3: US\$ 23,000
Insurance required against liability for fitness for purpose	19.2.3(b)	Lot 1: US\$ 50,000 Lot 2: US\$ 57,000 Lot 3: US\$ 23,000

Conditions	Sub-Clause	Data
Period of insurance required for liability for breach of professional duty	19.2.3	24 Months
Amount of insurance required for injury to persons and damage to property	19.2.4	Lot 1: US\$ 50,000 Lot 2: US\$ 57,000 Lot 3: US\$ 23,000
Other insurances required by Laws and by local practice (give details)		As required by the Law
Time for appointment of DAAB member (s)	21.1	28 days after signature by both parties of the Contract Agreement
The DAAB shall be comprised of	21.1	One sole Member
List of proposed members of DAAB	21.1	Proposed by Employer [<i>Attach CV to the bidding document and the Contract</i>] 1. Mr. Asuman Nyonyintono 2. Cyrus Aomu Proposed by Contractor [<i>Attach CV to the Contract</i>] 1. _____ 2. _____

Table: Summary of Sections (if any)

Description of parts of the Works that shall be designated a Section for the purposes of the Contract (Sub-Clause 1.1.73)	Value: Percentage* of Accepted Contract Amount (Sub-Clause 14.9)	Time for Completion (Sub-Clause 1.1.84)	Delay Damages (Sub-Clause 8.8)
1. Lot 1 (Moyo Gwere and Laropi)		12 months	0.1% of the Accepted Contract Amount, less provisional sum, for DAAB to a maximum 10% of the Accepted Contract Amount less provisional sum for DAAB.
2.Lot 2 (Yumbe) Lomunga and Lobe		12 months	0.1% of the Accepted Contract Amount, less provisional sum, for DAAB to a maximum 10% of the Accepted Contract Amount less provisional sum for DAAB.

*These percentages shall also be applied to each half of the Retention Money under Sub-Clause 14.9

Part B - Special Provisions

- Sub-Clause 1.1.49** The Sub-Clause is replaced with:
- Laws** “**Laws**” means all national (or state) legislation, statutes, ordinances and other laws, and regulations and by-laws of any legally constituted public authority.”
- Sub-Clause 1.1.74** The Sub-Clause is replaced with:
- Site** “**Site**” means the places where the Permanent Works are to be executed, including storage, and working area, and to which Plant and Materials are to be delivered, and any other places specified in the Contract as forming part of the Site.”
- Sub-Clause 1.1.89 to 1.1.92 are added after Sub-Clause 1.1.88**
- Sub-Clause 1.1.89 Bank** “**Bank**” means the financing institution (if any) named in the Contract Data.
- Sub-Clause 1.1.90 Borrower** “**Borrower**” means the person (if any) named as the borrower in the Contract Data.
- Sub-Clause 1.1.91 ES** “**ES**” means Environmental and Social (including Sexual Exploitation and Abuse (SEA), and Sexual Harassment (SH)).
- Sub-Clause 1.1.92 Sexual Exploitation and Abuse (SEA), and Sexual Harassment (SH)** “**Sexual Exploitation and Abuse**” “**(SEA)**” means the following:
- Sexual Exploitation** is defined as any actual or attempted abuse of position of vulnerability, differential power or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of another;
- Sexual Abuse** is defined as the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions; and
- “Sexual Harassment” “(SH)”** is defined as unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature by the Contractor’s Personnel with other Contractor’s or Employer’s Personnel.

“SEA/SH Prevention and Response Obligations” means the Contractor’s obligations in regard to the prevention of and response to SEA/SH as set forth in Sub-Clauses 4.1, 4.20, 4.25, 5.1, 6.9, 6.27, and 6.28.

**Sub-Clause 1.2
Interpretation**

Sub-paragraph (a) is replaced with the following:

(a) “Words indicating one gender include all genders;

“he/she” is replaced with:” it;”

“him/her” is replaced with “it;”

“his” and “his/her” are replaced with: “it’s;”

“himself/herself” are replaced with: “itself’.”

Further, “and” is deleted from the end of sub-paragraph (i) and added at the end of sub-paragraph (j).

sub-paragraph (k) is added:

(k) “The word “tender” is synonymous with “bid” or “proposal,” the word tenderer with “bidder” or “proposer” and the words “tender documents” with “request for bids documents” or “request for proposal documents,” as applicable.”

**Sub-Clause 1.5
Priority of Documents**

The following documents are added in the list of Priority Documents after (e):

“(f) the Particular Conditions Part C- Fraud and Corruption;

(g) the Particular Conditions Part D- Environmental and Social (ES) Metrics for Progress Reports;

(h) Particular Conditions- Part E- Sexual Exploitation and Abuse (SEA) and/or Sexual Harassment Performance Declaration for Subcontractors;”

and the list renumbered accordingly.

**Sub-Clause 1.6
Contract Agreement**

The last paragraph is replaced with:

“If the Contractor comprises a JV, the authorised representative of the JV shall sign the Contract Agreement in accordance with Sub-Clause 1.14 [*Joint and Several Liability*].”

**Sub-Clause 1.12
Confidentiality**

The following is added at the end of the second paragraph:

“The Contractor shall be permitted to disclose information required to establish its qualifications to compete for other projects.”

“or” at the end of (b) is deleted.

“or” at the end of (c) is added.

The following is then added as (d): “is being provided to the Bank.”

Sub-Clause 1.17

**Inspections & Audit by
the Bank**

The following Sub-Clause is added after Sub-Clause 1.16:

“Pursuant to paragraph 2.2 e. of Particular Conditions - Part C- Fraud and Corruption, the Contractor shall permit and shall cause its agents (where declared or not), subcontractors, subconsultants, service providers, suppliers, and personnel, to permit, the Bank and/or persons appointed by the Bank to inspect the site and/or the accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have such accounts, records and other documents audited by auditors appointed by the Bank. The Contractor’s and its Subcontractors’ and subconsultants’ attention is drawn to Sub-Clause 15.8 (Fraud and Corruption) which provides, inter alia, that acts intended to materially impede the exercise of the Bank’s inspection and audit rights constitute a prohibited practice subject to contract termination (as well as to a determination of ineligibility pursuant to the Bank’s prevailing sanctions procedures).”

Sub-Clause 2.4

**Employer’s Financial
Arrangements**

The first paragraph is replaced with:

“The Employer shall submit, before the Commencement Date, reasonable evidence that financial arrangements have been made for financing the Employer’s obligations under the Contract.”

The following sub-paragraph is added at the end of Sub-Clause 2.4:

“In addition, if the Bank has notified to the Borrower that the Bank has suspended disbursements under its loan, which finances in whole or in part the execution of the Works, the Employer shall give notice of such suspension to the Contractor with detailed particulars, including the date of such notification, with a copy to the Engineer, within 7 days of the Borrower having received the suspension notification from the Bank. If alternative funds will be available in appropriate currencies to the Employer to continue making payments to the Contractor beyond a date 60 days after the date of Bank notification of the suspension, the Employer shall provide reasonable evidence in its notice of the extent to which such funds will be available.”

Sub-Clause 2.6
Employer-Supplied
Materials and
Employer’s Equipment

[If Employer- Supplied Materials are listed in the Works’ Requirements for the Contractor’s use in the execution of Works, the following provisions may be added]:

The following is added after the last paragraph of Sub-Clause 2.6:

“The Employer shall supply to the Contractor the Employer-Supplied Materials listed in the Specification, at the time(s) stated in the Specification (if not stated, within the times that shall be required to enable the Contractor to proceed with execution of the Works in accordance with the Programme).

When made available by the Employer, the Contractor shall visually inspect the Employer-Supplied Materials and shall promptly give a Notice to the Engineer of any shortage, defect or default in them. Thereafter, the Contractor shall rectify such shortage, defect or default to the extent instructed by the Engineer. Such instruction shall be deemed to have been given under Sub-Clause 13.3.1 [*Variation by Instruction*].

After this visual inspection, the Employer-Supplied Materials shall come under the care, custody and control of the Contractor. The Contractor’s obligations of inspection, care, custody, and control shall not relieve the Employer of liability of any shortage, defect or default not apparent from a visual inspection.”

[If Employer’s Equipment are listed in the Specification for the Contractor’s use in the execution of Works, the following provisions may be added]:

The following is added after the last paragraph of Sub-Clause 2.6:

“The Employer shall make the Employer’s Equipment listed in the Specification available to the Contractor at the time(s) stated in the Specification (if not stated, within the times that shall be required to enable the Contractor to proceed with execution of the Works in accordance with the Programme).

Unless expressly stated otherwise in the Specification, the Employer’s Equipment shall be provided for the exclusive use of the Contractor.

When made available by the Employer, the Contractor shall visually inspect the Employer’s Equipment and shall promptly give a Notice to the Engineer of any shortage, defect or default in them. Thereafter, the Contractor shall rectify such shortage, defect or default to the extent instructed by the Engineer. Such

instruction shall be deemed to have been given under Sub-Clause 13.3.1 [*Variation by Instruction*].

The Contractor shall be responsible for the Employer's Equipment while it is under the Contractor's control and/or any of the Contractor's Personnel is operating it, driving it, directing it, using it, or in control of it.

The Contractor shall not remove from the Site any items of the Employer's Equipment without the consent of the Employer. However, consent shall not be required for vehicles transporting Goods or Contractor's personnel to or from the Site."

Sub-Clause 2.7

SEA/SH Conference

The following new Sub-Clause is added

"The Employer shall organize and run a SEA/SH orientation conference as soon as possible after the constitution of the DAAB and prior to the commencement of any physical work. The SEA/SH orientation conference shall be attended by the Contractor, its Subcontractors, the Engineer, the DAAB members and all other relevant persons. The objective of the SEA/SH orientation conference shall be to ensure a common understanding of all SEA contractual requirements and remedies, including those available under Sub-Clause 21.9 [*SEA/SH Referrals*], Sub-Clause 21.10 [*Dissatisfaction with DAAB's decision of SEA/SH Referrals*] and Sub-Clause 21.11 [*Bank's disqualification of the Contractor and its Subcontractor/s*].

Sub-Clause 3.1

The Engineer

The following is added at the end of the first sub-paragraph:

"The Engineer's staff shall include suitably qualified engineers and other professionals who are competent to carry out these duties."

Sub-Clause 3.2

Engineer's Duties and Authority

The Engineer shall obtain the consent in writing of the Employer before taking action under the following Sub-Clauses of these Conditions:

- (a) Sub-Clause 13.1: Right to vary - instructing a variation, except;
 - (i) in an emergency situation as determined by the Engineer; or
 - (ii) (if such a Variation would increase the Accepted Contract Amount by less than the percentage specified in the Contract Data.

- (b) Sub-Clause 13.2 (Value Engineering): stating consent or otherwise to a value engineering proposal submitted by the Contractor in accordance with Sub-Clause 13.2.

Notwithstanding the obligation, as set out above, to obtain consent in writing, if, in the opinion of the Engineer, an emergency occurs affecting the safety of life or of the Works or of adjoining property, it may, without relieving the Contractor of any of his duties and responsibility under the Contract, instruct the Contractor to execute all such work or to do all such things as may, in the opinion of the Engineer, be necessary to abate or reduce the risk. The Contractor shall forthwith comply, despite the absence of consent of the Employer, with any such instruction of the Engineer. The Engineer shall determine an addition to the Contract Price, in respect of such instruction, and EOT if any, in accordance with Clause 13 and shall notify the Contractor accordingly, with a copy to the Employer.

Sub-Clause 3.3

**Engineer’s
Representative**

The following is added at the end of Sub-Clause 3.3:

“The Engineer shall obtain the consent of the Employer before appointing or replacing an Engineer’s Representative.”

Sub-Clause 3.4

**Delegation by the
Engineer**

The following is added at the end of the second paragraph:

“If any assistants are not fluent in this language, the Engineer shall make competent interpreters available during all working hours, in a number sufficient for those assistants to properly perform their assigned duties and/or exercise their delegated authority.”

Sub-Clause 3.6

**Replacement of the
Engineer**

In the first paragraph, “42 days” is replaced with: “21 days”;

In the third paragraph, “shall” is replaced with: “should.”

Sub-Clause 4.1

**Contractor’s General
Obligations**

The following is inserted after the paragraph “The Contractor shall provide the Plant (and spare parts, if any) ...”:

“All equipment, material, and services to be incorporated in or required for the Works shall have their origin in any eligible source country as defined by the Bank.”

The following is inserted after the paragraph “The Contractor shall, whenever required by the Engineer...”:

“The Contractor shall not carry out mobilization to Site (e.g. limited clearance for haul roads, site accesses and work site establishment, geotechnical investigations or investigations to select ancillary features such as quarries and borrow pits)

unless the Engineer gives a Notice of No-objection to the Contractor, a Notice that shall not be unreasonably delayed, to the measures the Contractor proposes to manage the environmental and social risks and impacts, which at a minimum shall include applying the Management Strategies and Implementation Plans (MSIPs) and Code of Conduct for Contractor's Personnel submitted as part of the Bid and agreed as part of the Contract.

The Contractor shall submit to the Engineer for Review any additional MSIPs as are necessary to manage the ES risks and impacts of ongoing Works (e.g., excavation, earth works, bridge and structure works, stream and road diversions, quarrying or extraction of materials, concrete batching and asphalt manufacture). These MSIPs collectively comprise the Contractor's Environmental and Social Management Plan (C-ESMP). The Contractor shall review the C-ESMP, periodically (but not less than every six (6) months), and update it as required to ensure that it contains measures appropriate to the Works. The updated C-ESMP shall be submitted to the Engineer for Review.

The C-ESMP shall be part of the Contractor's Documents. The procedures for Review of the C-ESMP and its updates shall be as described in Sub-Clause 4.4.1 [*Preparation and Review*].”

The following is added as (g); (g) and (h) of the Sub-Clause are then renumbered as (h) and (i) respectively.

“if so stated in the Specification, the Contractor shall:

- (i) design structural elements of the Works taking into account climate change considerations;
- (ii) apply the concept of universal access (the concept of universal access means unimpeded access for people of all ages and abilities in different situations and under various circumstances;
- (iii) consider the incremental risks of the public's potential exposure to operational accidents or natural hazards, including extreme weather events; and
- (iv) any other requirement stated in the Specification.”

The following is added at the end of the Sub-Clause:

“The Contractor shall provide relevant contract- related information, as the Employer and/or Engineer may reasonably request to conduct Stakeholder engagements. “Stakeholder” refers to individuals or groups who:

- (i) are affected or likely to be affected by the Contract; and

(ii) may have an interest in the Contract.

The Contractor shall also directly participate in Stakeholder engagements, as the Employer and/or Engineer may reasonably request.

Pursuant to the Contract Data, the Contractor, including its Subcontractors/ suppliers/ manufacturers shall take all technical and organizational measures necessary to protect the information technology systems and data used in connection with the Contract. Without limiting the foregoing, the Contractor, including its Subcontractors/ suppliers/ manufacturers, shall use all reasonable efforts to establish, maintain, implement and comply with, reasonable information technology, information security, cyber security and data protection controls, policies and procedures, including oversight, access controls, encryption, technological and physical safeguards and business continuity/disaster recovery and security plans that are designed to protect against and prevent breach, destruction, loss, unauthorized distribution, use, access, disablement, misappropriation or modification, or other compromise or misuse of or relating to any information technology system or data used in connection with the Contract.”

Sub-Clause 4.2

**Performance Security
and ES Performance
Security**

The first paragraph is replaced with:

“The Contractor shall obtain (at its cost) a Performance Security for proper performance and, if applicable, an Environmental and Social (ES) Performance Security for compliance with the Contractor’s ES obligations, in the amounts stated in the Contract Data and denominated in the currency(ies) of the Contract or in a freely convertible currency acceptable to the Employer. If amounts are not stated in the Contract Data, this Sub-Clause shall not apply.”

In the following Sub-Clauses of the General Conditions, the term “Performance Security” is replaced with: “Performance Security and, if applicable, an Environmental and Social (ES) Performance Security”:

2.1- Right of Access to the Site;

14.2- Advance Payment;

14.6- Issue of IPC;

14.12- Discharge;

14.13- Issue of FPC;

14.14 Cessation of Employer’s Liability;

15.2- Termination for Contractor’s Default;

15.5- Termination for Employer’s Convenience.

Sub-Clause 4.2.1

**Contractor’s
obligations**

The first paragraph is replaced with:

“The Contractor shall deliver the Performance Security and, if applicable, an ES Performance Security to the Employer within 28 days after receiving the Letter of Acceptance and shall send a copy to the Engineer. The Performance Security and, if applicable, the ES Performance Security, shall be issued by a reputable bank or financial institution selected by the Contractor. The Performance Security shall be, as stipulated in the Contract Data, and shall be in accordance with the form included in the request for bidding documents for the subject contract or in another form agreed by the Employer.”

Thereafter, throughout Sub-Clause 4.2 “Performance Security” is replaced with: “Performance Security and, if applicable, ES Performance Security.”

Sub-Clause 4.2.2

**Claims under the
Performance Security**

The first paragraph is replaced in its entirety with: “The Employer shall not make a claim under the Performance Security, except for amounts for which the Employer is entitled under the Contract.”

Sub-Clause 4.2.3

**Return of Performance
Security**

In sub-paragraph (a) “21 days” is replaced with: “28 days”.

Sub-Clause 4.3

**Contractor’s
Representative**

The following is added at the end of the last paragraph: “If any of these persons is not fluent in this language, the Contractor shall make competent interpreters available during all working hours in a number deemed sufficient by the Engineer.”

Sub-Clause 4.6

Co-operation

The following is added after the first paragraph:

“The Contractor shall also, as stated in the Specification or as instructed by the Engineer, cooperate with and allow appropriate opportunities for the Employer’s Personnel to conduct any environmental and social assessment.”

Sub-Clause 4.8

**Health and Safety
Obligations**

The following are included after deleting “and” at the end of (f) and replacing “.” with “;” at the end of (g):

“

- (h) provide health and safety training of Contractor’s Personnel as appropriate and maintain training records;

- (i) actively engage the Contractor's Personnel in promoting understanding, and methods for, implementation of health and safety requirements, as well as in providing information to Contractor's Personnel, and provision of personal protective equipment without expense to the Contractor's Personnel;
- (j) put in place workplace processes for Contractor's Personnel to report work situations that they believe are not safe or healthy, and to remove themselves from a work situation which they have reasonable justification to believe presents an imminent and serious danger to their life or health;
- (k) Contractor's Personnel who remove themselves from such work situations shall not be required to return to work until necessary remedial action to correct the situation has been taken. Contractor's Personnel shall not be retaliated against or otherwise subject to reprisal or negative action for such reporting or removal;
- (l) subject to Sub-Clause 4.6, collaborate with the entities and Personnel under paragraph (a) , (b) and (c) of Sub-Clause 4.6, in applying the health and safety requirements. This is without prejudice to the responsibility of the relevant entities for the health and safety of their own personnel; and
- (m) establish and implement a system for regular (not less than six-monthly) review of health and safety performance and the working environment.”

The second and third paragraphs are replaced with the following:

“Subject to Sub-Clause 4.1, the Contractor shall submit to the Engineer for Review a health and safety manual which has been specifically prepared for the Works, the Site and other places (if any) where the Contractor intends to execute the Works. The procedures for Review of the health and safety manual and its updates shall be as described in Sub-Clause 4.4.1 [*Preparation and Review*].

The health and safety manual shall be in addition to any other similar document required under applicable health and safety regulations and Laws.

The health and safety manual shall set out all the health and safety requirements under the Contract,

- (a) which shall include at a minimum:
- (i) the procedures to establish and maintain a safe working environment without risk to health at all workplaces, machinery, equipment and processes under the control of the Contractor, including control measures for chemical, physical and biological substances and agents;
 - (ii) details of the training to be provided, records to be kept;
 - (iii) the procedures for prevention, preparedness and response activities to be implemented in the case of an emergency event (i.e., an unanticipated incident, arising from both natural and man-made hazards, typically in the form of fire, explosions, leaks or spills, which may occur for a variety of different reasons including failure to implement operating procedures that are designed to prevent their occurrence, extreme weather or lack of early warning);
 - (iv) the measures to be taken to avoid or minimize the potential for community exposure to water-borne, water-based, water-related, and vector-borne diseases,
 - (v) the measures to be implemented to avoid or minimize the spread of communicable diseases (including transfer of Sexually Transmitted Diseases or Infections (STDs), such as HIV virus) and non-communicable diseases associated with the execution of the Works, taking into consideration differentiated exposure to and higher sensitivity of vulnerable groups. This includes taking measures to avoid or minimize the transmission of communicable diseases that may be associated with the influx of temporary or permanent Contract-related labour;
 - (vi) the policies and procedures on the management and quality of accommodation and welfare facilities if such accommodation and welfare facilities are provided by the

Contractor in accordance with Sub-Clause 6.6; and

- (b) any other requirements stated in the Specification.

The paragraph starting with: “In addition to the reporting requirement of...” is deleted and replaced with the addition to GC Sub-Clause 4.20 in Sub-Clause 4.20 of the Special Provisions.

Sub-Clause 4.15

The following is added at the end of Sub-Clause 4.15:

Access Route

“The Contractor shall take all necessary safety measures to avoid the occurrence of incidents and injuries to any third party, associated with the use of, if any, Contractor’s Equipment on public roads or other public infrastructure.

The Contractor shall monitor road safety incidents and accidents to identify negative safety issues and establish and implement necessary measures to resolve them.”

Sub-Clause 4.18

Sub-Clause 4.18 Protection of the Environment is replaced with:

Protection of the Environment

“The Contractor shall take all necessary measures to:

- (a) protect the environment (both on and off the Site); and
- (b) limit damage and nuisance to people and property resulting from pollution, noise and other results of the Contractor’s operations and/ or activities.

The Contractor shall ensure that emissions, surface discharges, effluent and any other pollutants from the Contractor’s activities shall exceed neither the values indicated in the Specification, nor those prescribed by applicable Laws.

In the event of damage to the environment, property and/or nuisance to people, on or off Site as a result of the Contractor’s operations, the Contractor shall agree with the Engineer the appropriate actions and time scale to remedy, as practicable, the damaged environment to its former condition. The Contractor shall implement such remedies at its cost to the satisfaction of the Engineer.”

Sub-Clause 4.20

Replace “4.20 (g) with: “the Environmental and Social (ES) metrics set out in Particular Conditions - Part D.”

Progress Reports

The following paragraph is added prior to the paragraph starting with: “However, nothing stated...”: “Unless otherwise stated in the Contract Data, progress reports shall include status

of compliance to cyber security risks management, and any foreseeable cyber security risk and mitigation.”

The following is added at the end of the Sub-Clause:

“In addition to the reporting requirement of this sub-paragraph (g) of Sub-Clause 4.20 [*Progress Reports*], and subject to the specific requirement on handling allegations of SEA and/or SH in accordance with Sub-Clause 6.27, the Contractor shall inform the Engineer immediately of any allegation, incident or accident, which has or is likely to have a significant adverse effect on the environment, the affected communities, the public, Employer’s Personnel or Contractor’s Personnel. This includes, but is not limited to, any incident or accident causing fatality or serious injury; significant adverse effects or damage to private property; any cyber security incidents as specified in the Contract Data; or any allegation of SEA and/or SH. In case of SEA and/or SH, while maintaining confidentiality as appropriate, the type of allegation (sexual exploitation, sexual abuse or sexual harassment), gender and age of the person who experienced the alleged incident should be included in the information.

The Contractor, upon becoming aware of the allegation, incident or accident, shall also immediately inform the Engineer of any such incident or accident on the Subcontractors’ or suppliers’ premises relating to the Works which has or is likely to have a significant adverse effect on the environment, the affected communities, the public, Employer’s Personnel or Contractor’s, its Subcontractors’ and suppliers’ personnel. The notification shall provide sufficient detail regarding such incidents or accidents. The Contractor shall provide full details of such incidents or accidents to the Engineer within the timeframe agreed with the Engineer.

The Contractor shall require its Subcontractors and suppliers (other than Subcontractors) to immediately notify the Contractor of any incidents or accidents referred to in this Subclause.”

**Sub-Clause 4.21
Security of the Site**

Sub-Clause 4.21 Security of the Site is replaced with:

“Sub-Clause 4.21 Security of the Site

The Contractor shall be responsible for the security of the Site, and:

- (a) for keeping unauthorised persons off the Site;
- (b) authorised persons shall be limited to the Contractor’s Personnel, the Employer’s Personnel, and to any other

personnel identified as authorised personnel (including the Employer’s other contractors on the Site), by a Notice from the Employer or the Engineer to the Contractor.

Subject to Sub-Clause 4.1, the Contractor shall submit for the Engineer’s No-objection a security management plan that sets out the security arrangements for the Site.

The Contractor shall (i) conduct appropriate background checks on any personnel retained to provide security; (ii) train the security personnel adequately (or determine that they are properly trained) in the use of force (and where applicable, firearms), and appropriate conduct towards Contractor’s Personnel, Employer’s Personnel and affected communities; and (iii) require the security personnel to act within the applicable Laws and any requirements set out in the Specification.

The Contractor shall not permit any use of force by security personnel in providing security except when used for preventive and defensive purposes in proportion to the nature and extent of the threat.

In making security arrangements, the Contractor shall also comply with any additional requirements stated in the Specification.”

Sub-Clause 4.23

Archaeological and Geological Findings

The first paragraph is replaced with the following:

“All fossils, coins, articles of value or antiquity, structures, groups of structures, and other remains or items of geological, archaeological, paleontological, historical, architectural or religious interest found on the Site shall be placed under the care and custody of the Employer. The Contractor shall:

- (a) take all reasonable precautions, including fencing-off the area or site of the finding, to avoid further disturbance and prevent Contractor’s Personnel or other persons from removing or damaging any of these findings;
- (b) train relevant Contractor’s Personnel on appropriate actions to be taken in the event of such findings; and
- (c) implement any other action consistent with the requirements of the Specification and relevant Laws.”

Sub-Clause 4.24**Suppliers (other than Subcontractors)****4.24.1 Forced Labour**

The Contractor shall take measures to require its suppliers (other than Subcontractors) not to employ or engage forced labour including trafficked persons as described in Sub-Clause 6.21. If forced labour/trafficking cases are identified, the Contractor shall take measures to require the suppliers to take appropriate steps to remedy them. Where the supplier does not remedy the situation, the Contractor shall within a reasonable period substitute the supplier with a supplier that is able to manage such risks.

4.24.2 Child labour

The Contractor shall take measures to require its suppliers (other than Subcontractors) not to employ or engage child labour as described in Sub-Clause 6.22. If child labour cases are identified, the Contractor shall take measures to require the suppliers to take appropriate steps to remedy them. Where the supplier does not remedy the situation, the Contractor shall within a reasonable period substitute the supplier with a supplier that is able to manage such risks.

4.24.3 Serious Safety Issues

The Contractor, including its Subcontractors, shall comply with all applicable safety obligations, including as stated in Sub-Clauses 4.8, 5.1 and 6.7. The Contractor shall also take measures to require its suppliers (other than Subcontractors) to adopt procedures and mitigation measures adequate to address safety issues related to their personnel. If serious safety issues are identified, the Contractor shall take measures to require the suppliers to take appropriate steps to remedy them. Where the supplier does not remedy the situation, the Contractor shall within a reasonable period substitute the supplier with a supplier that is able to manage such risks.

4.24.4 Obtaining natural resource materials in relation to supplier

The Contractor shall obtain natural resource materials from suppliers that can demonstrate, through compliance with the applicable verification and/ or certification requirements, that obtaining such materials is not contributing to the risk of significant conversion or significant degradation of natural or critical habitats such as unsustainably harvested wood products, gravel or sand extraction from riverbeds or beaches.

If a supplier cannot continue to demonstrate that obtaining such materials is not contributing to the risk of significant conversion or significant degradation of natural or critical

habitats, the Contractor shall within a reasonable period substitute the supplier with a supplier that is able to demonstrate that they are not significantly adversely impacting the habitats.

Sub-Clause 4.25
Code of Conduct

The Contractor shall have a Code of Conduct for the Contractor's Personnel.

The Contractor shall take all necessary measures to ensure that each Contractor's Personnel is made aware of the Code of Conduct including specific behaviors that are prohibited and understands the consequences of engaging in such prohibited behaviors.

These measures include providing instructions and documentation that can be understood by the Contractor's Personnel and seeking to obtain that person's signature acknowledging receipt of such instructions and/or documentation, as appropriate.

The Contractor shall also ensure that the Code of Conduct is visibly displayed in multiple locations on the Site and any other place where the Works will be carried out, as well as in areas outside the Site accessible to the local community and project affected people. The posted Code of Conduct shall be provided in languages comprehensible to Contractor's Personnel, Employer's Personnel and the local community.

The Contractor's Management Strategy and Implementation Plans shall include appropriate processes for the Contractor to verify compliance with these obligations.

Sub-Clause 5.1
Subcontractors

The following is added at the beginning of the second paragraph.

“The Contractor shall require in all subcontracts relating to the Works that Subcontractors execute the Works in accordance with the Contract, including complying with the relevant ES requirements and the SEA/SH Prevention and Response Obligations.

All subcontracts relating to the Works shall include a provision stipulating that the Subcontractor accepts that the Bank may disqualify the Subcontractor from being awarded a Bank financed contract for a period of two years if the Subcontractor is determined to have failed to comply with its SEA/SH Prevention and Response Obligations.”

The following is added after the first sentence of the fourth paragraph: “The Contractor's submission to the Engineer shall also include such a Subcontractor's declaration in accordance

with the Particular Conditions- Part E- Sexual Exploitation and Abuse (SEA) and/or Sexual Harassment Performance Declaration for Subcontractors.”

The following is added at the end of the last paragraph of Sub-Clause 5.1:

“All subcontracts relating to the Works shall include provisions which entitle the Employer to require the subcontract to be assigned to the Employer under sub-paragraph (a) of Sub-Clause 15.2.3 [*After Termination*].

Where practicable, the Contractor shall give fair and reasonable opportunity for contractors from the Country to be appointed as Subcontractors.”

Sub-Clause 5.2.2

Objection to Nomination

In sub-paragraph (c):

“and” is deleted from the end of (i);

“.” at the end of (ii) is replaced with: “, and.”

The following is then added as (iii):

“(iii) be paid only if and when the Contractor has received from the Employer payments for sums due under the Subcontract referred to under Sub-Clause 5.2.3 [*Payment to nominated Subcontractors*].”

Sub-Clause 6.1

Engagement of Staff and Labour

The following paragraphs are added at the end of the Sub-Clause:

“The Contractor shall provide the Contractor’s Personnel information and documentation that are clear and understandable regarding their terms and conditions of employment. The information and documentation shall set out their rights under relevant labour Laws applicable to the Contractor’s Personnel (which will include any applicable collective agreements), including their rights related to hours of work, wages, overtime, compensation, and benefits, as well as those arising from any requirements in the Specification. The Contractor’s Personnel shall be informed when any material changes to their terms or conditions of employment occur.

The Contractor is encouraged, to the extent practicable and reasonable, to employ staff and labour with appropriate qualifications and experience from sources within the Country.”

- Sub-Clause 6.2
Rates of Wages and
Conditions of Labour**
- The following paragraphs are added at the end of the Sub-Clause:
- “The Contractor shall inform the Contractor’s Personnel about:
- (a) any deduction to their payment and the conditions of such deductions in accordance with the applicable Laws or as stated in the Specification; and
 - (b) their liability to pay personal income taxes in the Country in respect of such of their salaries, wages, allowances and any benefits as are subject to tax under the Laws of the Country for the time being in force.
- The Contractor shall perform such duties in regard to such deductions thereof as may be imposed on him by such Laws.
- Where required by applicable Laws or as stated in the Specification, the Contractor shall provide the Contractor’s Personnel written notice of termination of employment and details of severance payments in a timely manner. The Contractor shall have paid the Contractor’s Personnel (either directly or where appropriate for their benefit) all due wages and entitlements including, as applicable, social security benefits and pension contributions, on or before the end of their engagement/ employment.”
- Sub-Clause 6.5 Working
Hours**
- The following is inserted at the end of the Sub-Clause:
- “The Contractor shall provide the Contractor’s Personnel annual holiday and sick, maternity and family leave, as required by applicable Laws or as stated in the Specification.”
- Sub-Clause 6.6
Facilities for Staff and
Labour**
- The following is added as the last paragraph:
- “If stated in the Specification, the Contractor shall give access to or provide services that accommodate the physical, social and cultural needs of the Contractor’s Personnel. The Contractor shall also provide similar facilities for the Employer’s Personnel as stated in the Specification.”
- Sub-Clause 6.7
Health and Safety of
Personnel**
- In the second paragraph, “The Contractor” is replaced with:
- “Except as otherwise stated in the Specification, the Contractor...”
- Sub-Clause 6.9
Contractor’s Personnel**
- The Sub-Clause is replaced with:
- “The Contractor’s Personnel (including Key Personnel, if any) shall be appropriately qualified, skilled, experienced and competent in their respective trades or occupations.

The Engineer may require the Contractor to remove (or cause to be removed) any person employed on the Site or Works, including the Contractor's Representative and Key Personnel (if any), who:

- (a) persists in any misconduct or lack of care;
- (b) carries out duties incompetently or negligently;
- (c) fails to comply with any provision of the Contract;
- (d) persists in any conduct which is prejudicial to safety, health, or the protection of the environment;
- (e) based on reasonable evidence, is determined to have engaged in Fraud and Corruption during the execution of the Works;
- (f) has been recruited from the Employer's Personnel in breach of Sub-Clause 6.3 [Recruitment of Persons];
- (g) undertakes behaviour which breaches the Code of Conduct for Contractor's Personnel (ES).

If appropriate, the Contractor shall then promptly appoint (or cause to be appointed) a suitable replacement with equivalent skills and experience. In the case of replacement of the Contractor's Representative, Sub-Clause 4.3 [*Contractor's Representative*] shall apply. In the case of replacement of Key Personnel (if any), Sub-Clause 6.12 [*Key Personnel*] shall apply.

Subject to the requirements in Sub-Clause 4.3 [*Contractor's Representative*] and 6.12 [*Key Personnel*], and notwithstanding any requirement from the Engineer to remove or cause to remove any person, the Contractor shall take immediate action as appropriate in response to any violation of (a) through (g) above. Such immediate action shall include removing (or causing to be removed) from the Site or other places where the Works are being carried out, any Contractor's Personnel who engages in (a), (b), (c), (d), (e) or (g) above or has been recruited as stated in (f) above."

Sub-Clause 6.12

Key Personnel

The following is inserted at the end of the last paragraph:

"If any of the Key Personnel are not fluent in this language, the Contractor shall make competent interpreters available during all working hours in a number deemed sufficient by the Engineer."

The following Sub-Clauses 6.13 to 6.28 are added after sub-clause 6.12

- Sub-Clause 6.13
Foreign Personnel**
- The Contractor may bring into the Country any foreign personnel who are necessary for the execution of the Works to the extent allowed by the applicable Laws. The Contractor shall ensure that these personnel are provided with the required residence visas and work permits. The Employer will, if requested by the Contractor, use its best endeavours in a timely and expeditious manner to assist the Contractor in obtaining any local, state, national, or government permission required for bringing in the Contractor's personnel.
- The Contractor shall be responsible for the return of these personnel to the place where they were recruited or to their domicile. In the event of the death in the Country of any of these personnel or members of their families, the Contractor shall similarly be responsible for making the appropriate arrangements for their return or burial.
- Sub-Clause 6.14
Supply of Foodstuffs**
- The Contractor shall arrange for the provision of a sufficient supply of suitable food as may be stated in the Specification at reasonable prices for the Contractor's Personnel for the purposes of or in connection with the Contract.
- Sub-Clause 6.15
Supply of Water**
- The Contractor shall, having regard to local conditions, provide on the Site an adequate supply of drinking and other water for the use of the Contractor's Personnel.
- Sub-Clause 6.16
Measures against Insect
and Pest Nuisance**
- The Contractor shall at all times take the necessary precautions to protect the Contractor's Personnel employed on the Site from insect and pest nuisance, and to reduce the danger to their health. The Contractor shall comply with all the regulations of the local health authorities, including use of appropriate insecticide.
- Sub-Clause 6.17
Alcoholic Liquor or
Drugs**
- The Contractor shall not, otherwise than in accordance with the Laws of the Country, import, sell, give, barter or otherwise dispose of any alcoholic liquor or drugs, or permit or allow importation, sale, gift, barter or disposal thereto by Contractor's Personnel.
- Sub-Clause 6.18
Arms and Ammunition**
- The Contractor shall not give, barter, or otherwise dispose of, to any person, any arms or ammunition of any kind, or allow Contractor's Personnel to do so.
- Sub-Clause 6.19
Festivals and Religious
Customs**
- The Contractor shall respect the Country's recognized festivals, days of rest and religious or other customs.

- Sub-Clause 6.20
Funeral Arrangements**
- The Contractor shall be responsible, to the extent required by local regulations, for making any funeral arrangements for any of its local employees who may die while engaged upon the Works.
- Sub-Clause 6.21
Forced Labour**
- The Contractor, including its Subcontractors, shall not employ or engage forced labour. Forced labour consists of any work or service, not voluntarily performed, that is exacted from an individual under threat of force or penalty, and includes any kind of involuntary or compulsory labour, such as indentured labour, bonded labour or similar labour-contracting arrangements.
- No persons shall be employed or engaged who have been subject to trafficking. Trafficking in persons is defined as the recruitment, transportation, transfer, harbouring or receipt of persons by means of the threat or use of force or other forms of coercion, abduction, fraud, deception, abuse of power, or of a position of vulnerability, or of the giving or receiving of payments or benefits to achieve the consent of a person having control over another person, for the purposes of exploitation.
- Sub-Clause 6.22
Child Labour**
- The Contractor, including its Subcontractors, shall not employ or engage a child under the age of 14 unless the national law specifies a higher age (the minimum age).
- The Contractor, including its Subcontractors, shall not employ or engage a child between the minimum age and the age of 18 in a manner that is likely to be hazardous, or to interfere with, the child's education, or to be harmful to the child's health or physical, mental, spiritual, moral, or social development.
- The Contractor including its Subcontractors, shall only employ or engage children between the minimum age and the age of 18 after an appropriate risk assessment has been conducted by the Contractor with the Engineer's consent. The Contractor shall be subject to regular monitoring by the Engineer that includes monitoring of health, working conditions and hours of work.
- Work considered hazardous for children is work that, by its nature or the circumstances in which it is carried out, is likely to jeopardize the health, safety, or morals of children. Such work activities prohibited for children include work:
- (a) with exposure to physical, psychological or sexual abuse;

- (b) underground, underwater, working at heights or in confined spaces;
- (c) with dangerous machinery, equipment or tools, or involving handling or transport of heavy loads;
- (d) in unhealthy environments exposing children to hazardous substances, agents, or processes, or to temperatures, noise or vibration damaging to health; or
- (e) under difficult conditions such as work for long hours, during the night or in confinement on the premises of the employer.

Sub-Clause 6.23**Employment Records of Workers**

The Contractor shall keep complete and accurate records of the employment of labour at the Site. The records shall include the names, ages, genders, hours worked, and wages paid to all workers. These records shall be summarised on a monthly basis and submitted to the Engineer. These records shall be included in the details to be submitted by the Contractor under Sub-Clause 6.10 [Records of Contractor's Personnel and Equipment].

Sub-Clause 6.24**Workers' Organisations**

In countries where the relevant labour laws recognise workers' rights to form and to join workers' organisations of their choosing and to bargain collectively without interference, the Contractor shall comply with such laws. In such circumstances, the role of legally established workers' organizations and legitimate workers' representatives will be respected, and they will be provided with information needed for meaningful negotiation in a timely manner. Where the relevant labour laws substantially restrict workers' organisations, the Contractor shall enable alternative means for the Contractor's Personnel to express their grievances and protect their rights regarding working conditions and terms of employment. The Contractor shall not seek to influence or control these alternative means. The Contractor shall not discriminate or retaliate against the Contractor's Personnel who participate, or seek to participate, in such organisations and collective bargaining or alternative mechanisms. Workers' organisations are expected to fairly represent the workers in the workforce.

Sub-Clause 6.25**Non-Discrimination and Equal Opportunity**

The Contractor shall not make decisions relating to the employment or treatment of Contractor's Personnel on the basis of personal characteristics unrelated to inherent job requirements. The Contractor shall base the employment of Contractor's Personnel on the principle of equal opportunity and fair treatment and shall not discriminate with respect to any aspects of the employment relationship, including recruitment

and hiring, compensation (including wages and benefits), working conditions and terms of employment, access to training, job assignment, promotion, termination of employment or retirement, and disciplinary practices.

Special measures of protection or assistance to remedy past discrimination or selection for a particular job based on the inherent requirements of the job shall not be deemed discrimination. The Contractor shall provide protection and assistance as necessary to ensure non-discrimination and equal opportunity, including for specific groups such as women, people with disabilities, migrant workers and children (of working age in accordance with Sub-Clause 6.22).

Sub-Clause 6.26

Contractor's Personnel Grievance Mechanism

The Contractor shall have a grievance mechanism for Contractor's Personnel, and where relevant the workers' organizations stated in Sub-Clause 6.24, to raise workplace concerns (other than those relating to SEA and/or SH, which shall be addressed under Sub-Clause 6.27 below). The grievance mechanism shall be proportionate to the nature, scale, risks and impacts of the Contract. The mechanism shall address concerns promptly, using an understandable and transparent process that provides timely feedback to those concerned in a language they understand, without any retribution, and shall operate in an independent and objective manner.

The Contractor's Personnel shall be informed of the grievance mechanism at the time of engagement for the Contract, and the measures put in place to protect them against any reprisal for its use. Measures will be put in place to make the grievance mechanism easily accessible to all Contractor's Personnel.

The grievance mechanism shall not impede access to other judicial or administrative remedies that might be available, or substitute for grievance mechanisms provided through collective agreements.

The grievance mechanism may utilize existing grievance mechanisms, providing that they are properly designed and implemented, address concerns promptly, and are readily accessible to Contractor's Personnel. Existing grievance mechanisms may be supplemented as needed with Contract-specific arrangements.

Sub-Clause 6.27

Contractor's-SEA/SH Response Mechanism; Receipt

6.27.1 The Contractor's SEA/SH Response Mechanism

The Contractor shall put in place an effective mechanism for receiving and promptly addressing allegations of SEA and/or SH from the Contractor's or Employer's Personnel or any

**of SEA/SH
allegations; and
Contractor’s and
non-compliance**

other person including third parties (“SEA/SH Response Mechanism”).

The Contractor’s Personnel shall be informed of the SEA/SH Response Mechanism at the time of engagement for the Contract and informed of the measures put in place to protect them against any reprisal for its use. For all other persons (including the Employer’s Personnel and affected communities), information about this SEA/SH Response Mechanism, including how to submit an allegation or concern and also measures protecting against reprisal, shall be displayed, in languages comprehensible to the Contractor’s Personnel, Employer’s Personnel, and the affected communities, in locations easily accessible to them.

The SEA/SH Response Mechanism shall permit allegations or concerns to be submitted in writing, in person or by phone, with appropriate provision for confidential treatment, and shall permit the submission of anonymous allegations. The Contractor shall have in place a dedicated person with appropriate skills, experience and training to receive and review such allegations or concerns.

As part of the SEA/SH Response Mechanism, the Contractor shall maintain and implement ethical and safe processes for investigating and addressing allegations of SEA and/or SH. These measures should identify appropriate responses to SEA and/or SH allegations, including the actions set forth in Sub-Clause 6.9, and other appropriate disciplinary measures in the case of the Contractor’s Personnel.

6.27.2 Receipt of SEA/SH allegations

Any allegation of SEA and/or SH received by the Contractor (including through its Subcontractor/s), the Employer or the Engineer shall be documented and promptly submitted to the other two parties. While maintaining confidentiality of the person who experienced the alleged incident, as appropriate, the documentation and submission should include the type of alleged incident (sexual exploitation, sexual abuse or sexual harassment), gender and age of the person who experienced the alleged incident.

Upon receipt of any SEA and/or SH allegation as described above, the Contractor shall immediately apply its the SEA/SH Response Mechanism, as described in Sub-Clause 6.27.1, to review and address the allegation or concern.

The Employer shall promptly refer the allegation to the DAAB pursuant to Sub-Clause 21.9 [*“SEA/SH Referral”*].

6.27.3 Contractor’s non-compliance with SEA/SH contractual obligations

If the Engineer identifies that the Contractor, including its Subcontractor/s, has not complied with the SEA/SH Prevention and Response Obligations under the Contract, the Engineer shall give a Notice to Correct to the Contractor in accordance with Sub-Clause 15.1, copied to the Employer and the DAAB. If the Contractor fails to comply with the Notice to Correct, the Engineer shall immediately notify the Employer and the Contractor. Upon receipt of such a notification, the Employer shall refer the non-compliance to the DAAB for its review and decision pursuant to Sub-Clause 21.9 [*“SEA/SH Referral”*].

If a DAAB report, prepared in accordance with Rule 3.10 of the DAAB Procedural Rules, identifies potential non-compliance of the Contractor, including its Subcontractor/s, with the SEA/SH Prevention and Response Obligations, the Engineer shall review the potential non-compliance and determine whether a Notice to Correct shall be issued to the Contractor. If the Engineer determines that a Notice to Correct shall not be given to the Contractor, the Engineer shall inform the Employer copying the DAAB, providing the basis for its determination. If the Engineer, however, determines that a Notice to Correct shall be given to the Contractor, the Engineer shall give a Notice to Correct to the Contractor in accordance with Sub-Clause 15.1, copied to the Employer and the DAAB. If the Contractor fails to comply with the Notice to Correct, the Engineer shall immediately notify the Employer and the Contractor. Upon receipt of such a notification, the Employer shall refer the non-compliance to the DAAB for its review and decision pursuant to Sub-Clause 21.9 [*“SEA/SH Referral”*].

**Sub-Clause 6.28
Training of Contractor’s
Personnel**

The Contractor shall provide appropriate training to relevant Contractor’s Personnel on ES aspects of the Contract, including appropriate sensitization on prohibition of SEA and SH, and health and safety training referred to in Sub-Clause 4.8

As stated in the Specification or as instructed by the Engineer, the Contractor shall also allow appropriate opportunities for the relevant Contractor’s Personnel to be trained on ES aspects of the Contract by the Employer’s Personnel.

The Contractor shall provide training on SEA and SH, including its prevention, to any of its personnel who has a role to supervise other Contractor’s Personnel.

Sub-Clause 7.3 Inspection The following is added in the first paragraph after “Employer’s Personnel” “(including the Bank staff or consultants acting on the Bank’s behalf, stakeholders and third parties, such as independent experts, local communities, or non-governmental organizations)”

The following is added as (b) (iv):

“(iv) carryout environmental and social audit, and”

Sub-Clause 7.7

The following is added before the first paragraph:

Ownership of Plant and Materials

“Except as otherwise provided in the Contract,”

Sub-Clause 8.1

The Sub- Clause is replaced in its entirety with the following:

Commencement of Work

“The Engineer shall give a Notice to the Contractor stating the Commencement Date, not less than 14 days before the Commencement Date.

The Notice shall be issued promptly after the Engineer determines the fulfilment of the following conditions:

- (a) signature of the Contract Agreement by both Parties, and if required, approval of the Contract by relevant authorities of the Country;
- (b) delivery to the Contractor of reasonable evidence of the Employer’s financial arrangements (under Sub-Clause 2.4 [Employer’s Financial Arrangements]);
- (c) except if otherwise specified in the Contract Data, effective access to and possession of the Site given to the Contractor together with such permission(s) under (a) of Sub-Clause 1.13 [Compliance with Laws] as required for the commencement of the Works;
- (d) receipt by the Contractor of the Advance Payment under Sub-Clause 14.2 [Advance Payment] provided that the corresponding bank guarantee has been delivered by the Contractor;
- (e) constitution of the DAAB in accordance with Sub-Clause 21.1 and Sub-Clause 21.2 as applicable.

Subject to Sub-Clause 4.1 on the Management Strategies and Implementation Plans and the C-ESMP and Sub-Clause 4.8 on the health and safety manual, the Contractor shall commence the execution of the Works as soon as is reasonably practicable

after the Commencement Date and shall then proceed with the Works with due expedition and without delay.”

Sub-Clause 11.7

Right of Access after Taking Over

In the second paragraph, “Whenever the Contractor intends to access any part of the Works during the relevant DNP:” is replaced with:

“Whenever, until the date 28 days after issue of the Performance Certificate, the Contractor intends to access any part of the Works:”

Sub-Clause 13.3.1

Variation by Instruction

Subparagraph 13.3.1 (a) is replaced with: “a description of the varied work performed or to be performed, including details of the resources and methods adopted or to be adopted by the Contractor, and sufficient ES information to enable an evaluation of ES risks and impacts; and sufficient information to enable assessment of cyber security risks as specified in the Contract Data.”

Sub-Clause 13.4

Provisional Sums

The following is inserted as the penultimate paragraph:

“The Provisional Sum shall be used to cover the Employer's share of the DAAB members’ fees and expenses, in accordance with Clause 21. No prior instruction of the Engineer shall be required with respect to the work of the DAAB. The Contractor shall submit the DAAB members’ invoices and satisfactory evidence of having paid 100% of such invoices as part of the substantiation of those Statements submitted under Sub-Clause 14.3.”

Sub-Clause 13.6

Adjustments for Changes in Laws

The following paragraph is added at the end of the Sub-Clause:

“Notwithstanding the foregoing, the Contractor shall not be entitled to an extension of time if the relevant delay has already been taken into account in the determination of a previous extension of time and such Cost shall not be separately paid if the same shall already have been taken into account in the indexing of any inputs to the Table of Adjustment Data in accordance with the provisions of Sub-Clause 13.7 [Adjustments for Changes in Cost].”

Sub-Clause 14.1

The Contract Price

[Note to the Employer: include one of the following two alternative texts as applicable]

The following is added at the end of the Sub-Clause:

[Alternative 1]

“Notwithstanding the provisions of subparagraph (b), Contractor's Equipment, including essential spare parts therefor, imported by the Contractor for the sole purpose of

executing the Contract shall be exempt from the payment of import duties and taxes upon importation.”

[Alternative 2]

“Notwithstanding the provisions of subparagraph (b), Contractor's Equipment, including essential spare parts therefore, imported by the Contractor for the sole purpose of executing the Contract shall be temporarily exempt from the payment of import duties and taxes upon initial importation, provided the Contractor shall post with the customs authorities at the port of entry an approved export bond or bank guarantee, valid until the Time for Completion plus six months, in an amount equal to the full import duties and taxes which would be payable on the assessed imported value of such Contractor's Equipment and spare parts, and callable in the event the Contractor's Equipment is not exported from the Country on completion of the Contract. A copy of the bond or bank guarantee endorsed by the customs authorities shall be provided by the Contractor to the Employer upon the importation of individual items of Contractor's Equipment and spare parts. Upon export of individual items of Contractor's Equipment or spare parts, or upon the completion of the Contract, the Contractor shall prepare, for approval by the customs authorities, an assessment of the residual value of the Contractor's Equipment and spare part to be exported, based on the depreciation scale (s and other criteria used by the customs authorities for such purposes under the provisions of the applicable Laws. Import duties and taxes shall be due and payable to the customs authorities by the Contractor on (a) the difference between the initial imported value and the residual value of the Contractor's Equipment and spare parts to exported; and (b) on the initial imported value of the Contractor's Equipment and spare parts remaining in the Country after completion of the Contract. Upon payment of such dues within 28 days of being invoiced, the bond or bank guarantee shall be reduced or released accordingly; otherwise, the security shall be called in the full amount remaining.”

Sub-Clause 14.2.1

**Advance Payment
Guarantee**

The first paragraph is replaced with:

“The Contractor shall obtain (at the Contractor’s cost) an Advance Payment Guarantee in amounts and currencies equal to the advance payment and shall submit it to the Employer with a copy to the Engineer. This guarantee shall be issued by reputable bank or financial institution selected by the Contractor and shall be in accordance with the form included

in the request for bidding documents for the subject contract or in another form acceptable to the Employer.”

Sub-Clause 14.3

Application for Interim Payment

The following is inserted at the end of (vi) after: *[Agreement or Determination]*: “any reimbursement due to the Contractor under the DAAB Agreement. (Appendix General Conditions of DAAB Agreement).”

Sub-Clause 14.6.2

Withholding (amounts in an IPC

“and/or” from subparagraph (b) is deleted.

The following is then added as subparagraph (c) and subparagraph (c) of the Sub-Clause is renumbered as (d):

“(c) if the Contractor was, or is, failing to perform any ES obligations or work under the Contract, the value of this work or obligation, as determined by the Engineer, may be withheld until the work or obligation has been performed, and/or the cost of rectification or replacement, as determined by the Engineer, may be withheld until rectification or replacement has been completed. Failure to perform includes, but is not limited to the following:

- (i) failure to comply with any ES obligations or work described in the Works’ Requirements which may include working outside site boundaries, excessive dust, damage to offsite vegetation, pollution of water courses from oils or sedimentation, contamination of land e.g., from oils, human waste, damage to archaeology or cultural heritage features, air pollution as a result of unauthorized and/or inefficient combustion;
- (ii) failure to regularly review C-ESMP and/or update it in a timely manner to address emerging ES issues, or anticipated risks or impacts;
- (iii) failure to implement the C-ESMP e.g., failure to provide required training or sensitization;
- (iv) failing to have appropriate consents/permits prior to undertaking Works or related activities;
- (v) failure to submit ES report/s (as described in Particular Conditions - Part D), or failure to submit such reports in a timely manner;
- (vi) failure to implement remediation as instructed by the Engineer within the specified timeframe (e.g., remediation addressing non-compliance/s).”

The following is added as penultimate paragraph:
“As specified in the Contract Data, if the Contractor fails to perform its cyber security obligations under the Contract, an assessed amount, as determined by the Engineer, may be withheld until the obligation has been performed.”

Sub-Clause 14.7**Payment**

At the end of sub-paragraph (b): “and” is replaced with “or” and the following inserted as (iii):

“(iii) at a time when the Bank’s loan or credit (from which part of the payments to the Contractor is being made) is suspended, the amount shown on any statement submitted by the Contractor within 14 days after such statement is submitted, any discrepancy being rectified in the next payment to the Contractor; and”

At the end of sub-paragraph (c): “.” is replaced with “;” and the following inserted:

“or, at a time when the Bank’s loan or credit (from which part of the payments to the Contractor is being made) is suspended the undisputed amount shown in the Final Statement within 56 days after the date of notification of the suspension in accordance with Sub-Clause 16.2 [Termination by Contractor].”

Sub-Clause 14.9**Release of Retention Money**

The following is added at the end of Sub-Clause 14.9:

“Unless otherwise stated in the Contract, when the Taking-Over Certificate has been issued for the Works and the first half of the Retention Money has been certified for payment by the Engineer, the Contractor shall be entitled to substitute a guarantee, in the form annexed to the Particular Conditions or in another form approved by the Employer and issued by a reputable bank or financial institution selected by the Contractor, for the second half of the Retention Money. The Contractor shall ensure that the guarantee is in the amounts and currencies of the second half of the Retention Money and is valid and enforceable until the Contractor has executed and completed the Works and remedied any defects, as specified for the Performance Security and, if applicable, an ES Performance Security in Sub-Clause 4.2. On receipt by the Employer of the required guarantee, the Engineer shall certify, and the Employer shall pay the second half of the Retention Money. The release of the second half of the Retention Money against a guarantee shall then be in lieu of the release after the latest of the expiry dates of the Defects Notification Periods. The Employer shall return the guarantee to the Contractor

within 21 days after receiving a copy of the Performance Certificate.

If the Performance Security and, if applicable, an ES Performance Security required under Sub-Clause 4.2 is in the form of a demand guarantee, and the amount guaranteed under them when the Taking-Over Certificate is issued is more than half of the Retention Money, then the Retention Money guarantee will not be required. If the amount guaranteed under the Performance Security and, if applicable, an ES Performance Security, when the Taking-Over Certificate is issued is less than half of the Retention Money, the Retention Money guarantee will only be required for the difference between half of the Retention Money and the amount guaranteed under the Performance Security and, if applicable, an ES Performance Security.”

Sub-Clause 14.15
Currencies of Payment

Throughout Sub-Clause 14.15, “Contract Data” is replaced with: “Schedule of Payment Currencies.”

Sub-Clause 15.1
Notice to Correct

“and” is deleted from (b) and

“.” is replaced by: “; and” in (c).

The following is then added as (d)

“(d) specify the time within which the Contractor shall respond to the Notice to Correct.”

In the third para., “shall immediately respond” is replaced with: “shall respond within the time specified in (d).” Further, in the third para., “to comply with the time specified in the Notice to Correct.” is replaced with: “to comply with the time specified in (c).”

Sub-Clause 15.2.1
Notice

Sub-paragraph (h) is replaced with: “based on reasonable evidence, has engaged in Fraud and Corruption as defined in paragraph 2.2 of the Particular Conditions - Part C- Fraud and Corruption, in competing for or in executing the Contract.”

Sub-Clause 15.8
Fraud and Corruption

The following new Sub-Clause is added:

“

15.8.1 The Bank requires compliance with the Bank’s Anti-Corruption Guidelines and its prevailing sanctions policies and procedures as set forth in the Bank’s Sanctions Framework, as set forth in Particular Conditions - Part C- Fraud and Corruption.

15.8.2 The Employer requires the Contractor to disclose any commissions or fees that may have been paid or are to be paid to agents or any other party with respect to the bidding process or execution of the Contract. The information disclosed must include at least the name and address of the agent or other party, the amount and currency, and the purpose of the commission, gratuity or fee.”

Sub-Clause 16.1

Suspension by Contractor

The following paragraph is inserted after the first paragraph:

“Notwithstanding the above, if the Bank has suspended disbursements under the loan or credit from which payments to the Contractor are being made, in whole or in part, for the execution of the Works, and no alternative funds are available as provided for in Sub-Clause 2.4 [Employer’s Financial Arrangements], the Contractor may by notice suspend work or reduce the rate of work at any time, but not less than 7 days after the Borrower having received the suspension notification from the Bank.”

Sub-Clause 16.2.1

Notice

Sub-paragraph (j) is deleted in its entirety.

At the end of sub-paragraph (i): “; or” is replaced with: “.”

sub-paragraph (f) is replaced with:

“(f) the Contractor does not receive a Notice of the Commencement Date under Sub-Clause 8.1 [*Commencement of Works*] within 180 days after receiving the Letter of Acceptance, for reasons not attributable to the Contractor.”

Sub-Clause 16.2.2

Termination

The following is added at the end of Sub-Clause 16.2.2:

“In the event the Bank suspends the loan or credit from which part or whole of the payments to the Contractor are being made, if the Contractor has not received the sums due to him upon expiration of the 14 days referred to in Sub-Clause 14.7 [Payment] for payments under Interim Payment Certificates, the Contractor may, without prejudice to the Contractor's entitlement to financing charges under Sub-Clause 14.8 [Delayed Payment], take one of the following actions, namely (i) suspend work or reduce the rate of work under Sub-Clause 16.1 above, or (ii) terminate the Contract by giving notice to the Employer, with a copy to the Engineer, such termination to take effect 14 days after the giving of the notice.”

Sub-Clause 16.3
Contractor’s Obligations
After Termination

[If the Employer has made available any Employer- Supplied Materials and/or Employer’s Equipment in accordance with Sub-Clause 2.6, include the following:]

“and” is deleted from the end of sub-paragraph (b), sub-paragraph (c) deleted and the following added:

“

(c) deliver to the Engineer all Employer- Supplied Materials and/or Employer’s Equipment made available to the Contractor in accordance with Sub-Clause 2.6 *[Employer-Supplied materials and Employer’s Equipment]*; and

(d) remove all other Goods from the Site, except as necessary for safety, and leave the Site.”

Sub-Clause 17.1
Responsibility for Care of
the Works

[If Employer- Supplied Materials are listed in the Specification for the Contractor’s use in the execution of Works, include the following provision. See also Sub-Clause 2.6 [Employer-Supplied Materials and Employer’s Equipment]]

After the two instances of “Goods” in the last paragraph, the following is added: “Employer- Supplied Materials.”

[If Employer’s Equipment are listed in the Works’ Requirements for the Contractor’s use in the execution of Works, include the following provision. See also Sub-Clause 2.6 [Employer-Supplied Materials and Employer’s Equipment]]

After the two instances of “Goods” in the last paragraph, the following is added: “, Employer’s Equipment.”

Sub-Clause 17.7
Use of Employer’s
Accommodation/Facilities

The following Sub-Clause is added as 17.7:

“The Contractor shall take full responsibility for the care of the Employer-provided accommodation and facilities, if any, as detailed in the Specification, from the respective dates of hand-over to the Contractor until cessation of occupation (where hand-over or cessation of occupation may take place after the date stated in the Taking-Over Certificate for the Works)

If any loss or damage happens to any of the above items while the Contractor is responsible for their care arising from any cause whatsoever other than those for which the Employer is liable, the Contractor shall, at its own cost, rectify the loss or damage to the satisfaction of the Engineer.”

Sub-Clause 18.1

Sub-paragraph (c) is substituted with:

Exceptional Events	“(c) riot, commotion, disorder or sabotage by persons other than the Contractor’s Personnel and other employees of the Contractor and Subcontractors;”
Sub-Clause 18.4 Consequences of an Exceptional Event	The following is added at the end of sub-paragraph (b) after deleting the “.”: “, including the costs of rectifying or replacing the Works and/or Goods damaged or destroyed by Exceptional Events, to the extent they are not indemnified through the insurance policy referred to in Sub-Clause 19.2 [Insurance to be provided by the Contractor].”
Sub-Clause 18.5 Optional Termination	In sub-paragraph (c), “and necessarily” is inserted after ““was reasonably.”
Sub-Clause 19.1 General Requirements	The following paragraphs are added after the first: “Wherever the Employer is the insuring Party, each insurance shall be effected with insurers and in terms acceptable to the Contractor. These terms shall be consistent with terms (if any) agreed by both Parties before the date of the Letter of Acceptance. This agreement of terms shall take precedence over the provisions of this Clause.”
Sub-Clause 19.2 insurance to be provided by the Contractor	The following is inserted as the first sentence in Sub-Clause 19.2: “The Contractor shall be entitled to place all insurances relating to the Contract (including, but not limited to the insurance referred to Clause 19) with insurers from any eligible source country.”
Sub-Clause 19.2.5 Injury to employees	The second paragraph is replaced with: “The Employer and the Engineer shall also be indemnified under the policy of insurance, against liability for claims, damages, losses and expenses (including legal fees and expenses) arising from injury, sickness, disease or death of any person employed by the Contractor or any other of the Contractor’s Personnel, except that this insurance may exclude losses and claims to the extent that they arise from any act or neglect of the Employer or of the Employer's Personnel.”
Sub-Clause 20.1 Claims	In a): “any additional payment” is replaced with “payment.”

- Sub-Clause 20.2** The first paragraph is replaced with:
- Claims for Payment and/or EOT** “If either Party considers that it is entitled to claim under 20.1 (a) or (b), the following claim procedure shall apply:”
- Sub-Clause 21.1** The following is added at the end of the first paragraph:
- Constitution of the DAAB** “The DAAB shall also review and decide on any SEA/SH Referral submitted to the DAAB pursuant to Sub-Clause 6.27.2 [*Receipt of SEA/SH allegations*] and Sub-Clause 6.27.3 [*Contractor’s non-compliance with SEA/SH contractual obligations*], in accordance with Sub-Clause 21.9 [*SEA/SH Referrals*].
- In the second paragraph, at the end of the first sentence after deleting: “.”, the following is added: “, each of whom shall meet the criteria set forth in Sub-Clause 3.3 of Appendix-General Conditions of DAAB Agreement.”
- After the second paragraph insert the following paragraph: “If the Contract is with a foreign Contractor, the DAAB members shall not have the same nationality as the Employer or the Contractor.”
- Sub-Clause 21.2** For both (a) and (b): “by the date stated in the first paragraph of Sub-Clause 21.1 [*Constitution of the DAAB*]” is replaced with: “within 42 days from the date the Contract is signed by both Parties”.
- Sub-Clause 21.6** In the first paragraph, “unless otherwise agreed by both Parties:” is deleted and replaced with: “The Parties agree:”
- Arbitration**
- The following new Sub-Clauses 21.9 to 21.11 are added**
- Sub-Clause 21.9 SEA/SH Referrals** SEA/SH Referrals pursuant to Sub-Clause 6.27 shall be submitted by the Employer to the DAAB in writing, copied to the Contractor and the Engineer. For a DAAB of three persons, the SEA/SH Referrals shall be deemed to have been received by the DAAB on the date it is received by the chairperson of the DAAB.
- Upon receipt of a SEA/SH Referral, the DAAB shall request the Contractor in writing (copied to the Employer and the Engineer) to submit a statement demonstrating its compliance, including the compliance of any Subcontractor identified in the SEA/SH Referral, with the SEA/SH Prevention and Response Obligations, including the actions taken in response to a SEA/SH allegation and/or any Engineer’s Notice to Correct for non-compliance with the SEA/SH contractual

obligations. The Contractor shall within 28 days of receipt of this request, submit in writing such statement to the DAAB copied to the Employer and the Engineer.

In reviewing the Referral, the DAAB shall focus exclusively on compliance of the Contractor, including any Subcontractor identified in the SEA/SH Referral, with the SEA/SH Prevention and Response Obligations, including the actions taken in response to the SEA/SH allegation and/or any Engineer's Notice to Correct for non-compliance with the SEA/SH obligations. The DAAB shall not assess the merits of an underlying allegation, including the factual aspects of the alleged SEA and/or SH incident.

The DAAB decision, which shall state that it is issued under this Sub-Clause 21.9, shall be provided in writing to the Parties with a copy to the Engineer within 42 days of receiving the SEA/SH Referral. The decision of the DAAB taken pursuant to this Sub-Clause 21.9 shall be binding on the Parties and any of its Subcontractor/s as applicable.

The DAAB decision arising from an allegation of SEA/SH incident shall state whether the Contractor, including any Subcontractor identified in the SEA/SH referral, was in compliance with its SEA/SH obligations at the time of occurrence of the alleged incident. The DAAB decision shall not disclose the name of the alleged survivor nor of the alleged perpetrator.

Sub-Clause 21.10
Dissatisfaction with
DAAB's decision on
SEA/SH Referrals

If either Party is dissatisfied with the DAAB's decision issued under Sub-Clause 21.9 [*SEA/SH Referrals*], such Party may give a NOD to the other Party in accordance with Sub-Clause 21.4.4 [*Dissatisfaction with DAAB's decision*]. Sub-Clause 21.5 [*Amicable Settlement*] shall not apply.

If the DAAB's decision has not become final and binding pursuant to Sub-Clause 21.4.4, the matter shall be finally settled by arbitration in accordance with Sub-Clause 21.6 [*Arbitration*].

Where arbitration is conducted pursuant to the ICC Arbitration Rules, the parties agree that the time limit set in Article 1.6 of Appendix V to the ICC Arbitration Rules shall be 10 days from the notification of the Emergency Arbitrator Order unless the President of the ICC International Court of Arbitration determines that a longer period is necessary.

Sub-Clause 21.11

The Employer shall immediately notify the Bank of the DAAB's decision on SEA/SH Referral, any notification

Bank’s disqualification of the Contractor and its Subcontractor/s

received on the commencement of Emergency Arbitration, and the Emergency Arbitrator Order if any.

If the DAAB determines that the Contractor has failed to correct identified non-compliance with SEA/SH Prevention and Response Obligation or it was non-compliant with such obligations at the time of an alleged incident, the Bank may disqualify the Contractor, as well as any Subcontractor/s determined to be non-compliant, from being awarded a Bank-financed contract unless the ICC Emergency Arbitrator grants an order in favor of the Contractor. The disqualification period shall be for two years unless the Contractor receives an arbitration award in its favor within the two year period. The Contractor’s disqualification under this Sub-Clause is without prejudice to the Parties’ rights and obligations under the Contract.

Appendix- General Conditions of DAAB Agreement

1. Definitions

Sub-Clause 1.4 “DAAB Activities.” At the end, the following is added: “This also includes handling of SEA/SH Referrals in accordance with Sub-Clause 21.9 of the Conditions of Contract.”

In Sub-Clause 1.8 a(i): “authorised representative of the contractor or of the Employer” is replaced with: “Contractor’s Representative or authorised representative of the Employer.”

2. General Provisions

Sub-Clause 2.2 is deleted in its entirety.

3. Warranties

Sub-Clause 3.3 is deleted and replaced with the following:

“When appointing the DAAB Member, each Party relies on the DAAB Member’s representations, that he/she:

- a) has at least a bachelor’s degree in relevant disciplines such as law, engineering, construction management or contract management;
- b) has at least ten years of experience in contract administration/management and dispute resolution, out of which at least five years of experience as an arbitrator or adjudicator in construction-related disputes;
- c) has received formal training as an adjudicator from an internationally recognized organization;
- d) has experience and/or is knowledgeable in the type of work which the Contractor is to carry out under the Contract;

- e) has experience in the interpretation of construction and/or engineering contract documents;
- f) has familiarity with the forms of contract published by FIDIC since 1999, and an understanding of the dispute resolution procedures contained therein; and
- g) is fluent in the language for communications stated in the Contract Data (or the language as agreed between the Parties and the DAAB).”

7. Confidentiality

In Sub-Clause 7.3: “or” is deleted after sub-paragraph (b), and the following added:

“or (d) is being provided to the Bank.”

9. Fees and Expenses

In Sub-Clause 9.1 (c): “business class or equivalent” is replaced with: “in less than first class.”

In Sub-Clause 9.4: “and air fares” and “other” are deleted from the first and second sentences, respectively.

Annex- DAAB Procedural Rules

Rule 3.3 In 3.3 (b), “140 days” is replaced with: “90 days”.

Rule 3.7 The following is added after the sentence: “The agenda shall include review of the (i) Contractor’s compliance with the SEA/SH Prevention and Response Obligations; and (ii) Engineer’s failure to discharge its duties under the Contract in this regard, including as specified in Sub-Clause 6.27 of the Contract Conditions.”

Rule 3.10 The following is added at the end of the paragraph: “The report shall identify any issue which raises SEA and/or SH concerns, including details of any potential noncompliance of the Contractor, including its Subcontractor/s, with the SEA/SH Prevention and Response Obligations.”

The DAAB shall also provide a report to the Employer on any potential failure of the Engineer to discharge its duties in regard to the SEA/SH Prevention and Response Obligations, including on identifying the Contractor’s failure to comply with the obligations, and the Notice to Correct and notification duties in accordance with Sub-Clause 6.27 of the Contract Conditions.”

Particular Conditions

Part C- Fraud and Corruption

(Text in this Particular Conditions - Part C shall not be modified)

1. Purpose

1.1 The Bank’s Anti-Corruption Guidelines and this annex apply with respect to procurement under Bank Investment Project Financing operations.

2. Requirements

2.1 The Bank requires that Borrowers (including beneficiaries of Bank financing); bidders, consultants, contractors, and suppliers; any sub-contractors, sub-consultants, service providers or suppliers; any agents (whether declared or not); and any of their personnel, observe the highest standard of ethics during the procurement process, selection and contract execution of Bank-financed contracts, and refrain from Fraud and Corruption.

2.2 To this end, the Bank:

a. Defines, for the purposes of this provision, the terms set forth below as follows:

- i. “corrupt practice” is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;
- ii. “fraudulent practice” is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation;
- iii. “collusive practice” is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;
- iv. “coercive practice” is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
- v. “obstructive practice” is:
 - (a) deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a

corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harass or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or

- (b) acts intended to materially impede the exercise of the Bank's inspection and audit rights provided for under paragraph 2.2 e. below.
- b. Rejects a proposal for award if the Bank determines that the firm or individual recommended for award, any of its personnel, or its agents, or its sub-consultants, sub-contractors, service providers, suppliers and/ or their employees, has, directly or indirectly, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;
- c. In addition to the legal remedies set out in the relevant Legal Agreement, may take other appropriate actions, including declaring misprocurement, if the Bank determines at any time that representatives of the Borrower or of a recipient of any part of the proceeds of the loan engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices during the procurement process, selection and/or execution of the contract in question, without the Borrower having taken timely and appropriate action satisfactory to the Bank to address such practices when they occur, including by failing to inform the Bank in a timely manner at the time they knew of the practices;
- d. Pursuant to the Bank's Anti- Corruption Guidelines and in accordance with the Bank's prevailing sanctions policies and procedures, may sanction a firm or individual, either indefinitely or for a stated period of time, including by publicly declaring such firm or individual ineligible (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner¹; (ii) to be a nominated² sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project;

¹ For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

² A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the particular bidding document) is one which has been: (i) included by the bidder in its pre-qualification application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

- e. Requires that a clause be included in bidding/request for proposals documents and in contracts financed by a Bank loan, requiring (i) bidders, consultants, contractors, and suppliers, and their sub-contractors, sub-consultants, service providers, suppliers, agents, personnel, permit the Bank to inspect³ all accounts, records and other documents relating to the submission of bids and contract performance, and to have them audited by auditors appointed by the Bank.

³ Inspections in this context usually are investigative (i.e., forensic) in nature. They involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verification of information.

Particular Conditions

Part D- Environmental and Social (ES)

Metrics for Progress Reports

[Note to Employer: the following metrics may be amended to reflect the specifics of the Contract. The Employer shall ensure that the metrics provided are appropriate for the Works and impacts/key issues identified in the environmental and social assessment.]

Metrics for regular reporting:

- a. *environmental incidents or non-compliances with contract requirements, including contamination, pollution or damage to ground or water supplies;*
- b. *health and safety incidents, accidents, injuries that require treatment and all fatalities;*
- c. *interactions with regulators: identify agency, dates, subjects, outcomes (report the negative if none);*
- d. *status of all permits and agreements:*
 - (i) work permits: number required, number received, actions taken for those not received;
 - (ii) status of permits and consents:
 - list areas/facilities with permits required (quarries, asphalt & batch plants), dates of application, dates issued (actions to follow up if not issued), dates submitted to resident engineer (or equivalent), status of area (waiting for permits, working, abandoned without reclamation, decommissioning plan being implemented, etc.);
 - list areas with landowner agreements required (borrow and spoil areas, camp sites), dates of agreements, dates submitted to resident engineer (or equivalent);
 - identify major activities undertaken in each area in the reporting period and highlights of environmental and social protection (land clearing, boundary marking, topsoil salvage, traffic management, decommissioning planning, decommissioning implementation);
 - for quarries: status of relocation and compensation (completed, or details of activities and current status in the reporting period).
- e. *health and safety supervision:*
 - (i) safety officer: number days worked, number of full inspections & partial inspections, reports to construction/project management;
 - (ii) number of workers, work hours, metric of PPE use (percentage of workers with full personal protection equipment (PPE), partial, etc.), worker violations observed

(by type of violation, PPE or otherwise), warnings given, repeat warnings given, follow-up actions taken (if any);

- f. *worker accommodations:*
 - (i) number of expats housed in accommodations, number of locals;
 - (ii) date of last inspection, and highlights of inspection including status of accommodations' compliance with national and local law and good practice, including sanitation, space, etc.;
 - (iii) actions taken to recommend/require improved conditions, or to improve conditions.
- g. *Health services: provider of health services, information and/or training, location of clinic, number of non-safety disease or illness treatments and diagnoses (no names to be provided);*
- h. *gender (for expats and locals separately): number of female workers, percentage of workforce, gender issues raised and dealt with (cross-reference grievances or other sections as needed);*
- i. *training:*
 - (i) number of new workers, number receiving induction training, dates of induction training;
 - (ii) number and dates of toolbox talks, number of workers receiving Occupational Health and Safety (OHS), environmental and social training;
 - (iii) number and dates of communicable diseases (including STDs) sensitization and/or training, no. workers receiving training (in the reporting period and in the past); same questions for gender sensitization, flag person training.
 - (iv) number and date of SEA and SH prevention sensitization and/or training events, including number of workers receiving training on Code of Conduct for Contractor's Personnel (in the reporting period and in the past), etc.
- j. *environmental and social supervision:*
 - (i) environmentalist: days worked, areas inspected and numbers of inspections of each (road section, work camp, accommodations, quarries, borrow areas, spoil areas, swamps, forest crossings, etc.), highlights of activities/findings (including violations of environmental and/or social best practices, actions taken), reports to environmental and/or social specialist/construction/site management;
 - (ii) sociologist: days worked, number of partial and full site inspections (by area: road section, work camp, accommodations, quarries, borrow areas, spoil areas, clinic, HIV/AIDS center, community centers, etc.), highlights of activities (including violations of environmental and/or social requirements observed, actions taken), reports to environmental and/or social specialist/construction/site management; and

- (iii) community liaison person(s): days worked (hours community center open), number of people met, highlights of activities (issues raised, etc.), reports to environmental and/or social specialist /construction/site management.
- k. *Grievances: list new grievances (e.g., number of allegations of SEA and SH) received in the reporting period and number of unresolved past grievances by date received, complainant's age and sex, how received, to whom referred to for action, resolution and date (if completed), data resolution reported to complainant, any required follow-up (Cross-reference other sections as needed):*
 - (i) Worker grievances;
 - (ii) Community grievances
- l. *Traffic, road safety and vehicles/equipment:*
 - (i) traffic and road safety incidents and accidents involving project vehicles & equipment: provide date, location, damage, cause, follow-up;
 - (ii) traffic and road safety incidents and accidents involving non-project vehicles or property (also reported under immediate metrics): provide date, location, damage, cause, follow-up;
 - (iii) overall condition of vehicles/equipment (subjective judgment by environmentalist); non-routine repairs and maintenance needed to improve safety and/or environmental performance (to control smoke, etc.).
- m. *Environmental mitigations and issues (what has been done):*
 - (i) dust: number of working bowsers, number of waterings/day, number of complaints, warnings given by environmentalist, actions taken to resolve; highlights of quarry dust control (covers, sprays, operational status); % of rock/ spoil lorries with covers, actions taken for uncovered vehicles;
 - (ii) erosion control: controls implemented by location, status of water crossings, environmentalist inspections and results, actions taken to resolve issues, emergency repairs needed to control erosion/sedimentation;
 - (iii) quarries, borrow areas, spoil areas, asphalt plants, batch plants: identify major activities undertaken in the reporting period at each, and highlights of environmental and social protection: land clearing, boundary marking, topsoil salvage, traffic management, decommissioning planning, decommissioning implementation;
 - (iv) blasting: number of blasts (and locations), status of implementation of blasting plan (including notices, evacuations, etc.), incidents of off-site damage or complaints (cross-reference other sections as needed);
 - (v) spill clean-ups, if any: material spilled, location, amount, actions taken, material disposal (report all spills that result in water or soil contamination);
 - (vi) waste management: types and quantities generated and managed, including amount taken offsite (and by whom) or reused/recycled/disposed on-site;

- (vii) details of tree plantings and other mitigations required undertaken in the reporting period;
 - (viii) details of water and swamp protection mitigations required undertaken in the reporting period.
- n. compliance:*
- (i) compliance status for conditions of all relevant consents/permits, for the Work, including quarries, etc.): statement of compliance or listing of issues and actions taken (or to be taken) to reach compliance;
 - (ii) compliance status of C-ESMP/ESIP requirements: statement of compliance or listing of issues and actions taken (or to be taken) to reach compliance
 - (iii) compliance status of SEA and SH prevention and response action plan: statement of compliance or listing of issues and actions taken (or to be taken) to reach compliance
 - (iv) compliance status of Health and Safety Management Plan re: statement of compliance or listing of issues and actions taken (or to be taken) to reach compliance
 - (v) other unresolved issues from previous reporting periods related to environmental and social: continued violations, continued failure of equipment, continued lack of vehicle covers, spills not dealt with, continued compensation or blasting issues, etc. Cross-reference other sections as needed.

Particular Conditions

Part E- Sexual Exploitation and Abuse (SEA) and/or Sexual Harassment Performance Declaration for Subcontractors

[The following table shall be filled in by each subcontractor proposed by the Contractor, which was not named in the Contract]

Subcontractor's Name: *[insert full name]*

Date: *[insert day, month, year]*

Contract reference *[insert contract reference]*

Page *[insert page number]* of *[insert total number]* pages

SEA and/or SH Declaration
<p>We:</p> <p><input type="checkbox"/> (a) have not been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations.</p> <p><input type="checkbox"/> (b) are subject to disqualification by the Bank for non-compliance with SEA/ SH obligations.</p> <p><input type="checkbox"/> (c) had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations. An arbitral award on the disqualification case has been made in our favor.</p> <p><input type="checkbox"/> (d) had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations for a period of two years. We have subsequently demonstrated that we have adequate capacity and commitment to comply with SEA /SH obligations.</p> <p><input type="checkbox"/> (e) had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations for a period of two years. We have attached specific evidence demonstrating that we have adequate capacity and commitment to comply with SEA and SH obligations.</p>
<p><i>[If (c) above is applicable, attach evidence of an arbitral award reversing the findings on the issues underlying the disqualification.]</i></p>
<p><i>[If (d) or (e) above are applicable, provide the following information:]</i></p>
<p>Period of disqualification: From: _____ To: _____</p>
<p>If previously provided on another Bank financed works contract, details of evidence that demonstrated adequate capacity and commitment to comply with SEA/SH obligations (as per (d) above)</p> <p>Name of Employer: _____</p> <p>Name of Project: _____</p> <p>Contract description: _____</p> <p>Brief summary of evidence provided: _____</p>

Contact Information: (Tel, email, name of contact person): _____

As an alternative to the evidence under (d), other evidence demonstrating adequate capacity and commitment to comply with SEA/SH obligations (as per (e) above) [<i>attach details as appropriate</i>].

Name of the Subcontractor _____

Name of the person duly authorized to sign on behalf of the Subcontractor _____

Title of the person signing on behalf of the Subcontractor _____

Signature of the person named above _____

Date signed _____ day of _____, _____

Countersignature of authorized representative of the Contractor:

Signature: _____

Date signed _____ day of _____, _____

Section X - Contract Forms

Table of Forms

Notification of Intention to Award	264
Beneficial Ownership Disclosure Form	268
Letter of Acceptance	270
Contract Agreement	272
Performance Security- Option 1: Demand Guarantee.....	274
Performance Security- Option 2: Performance Bond	276
Environmental and Social (ES) Performance Security	278
Advance Payment Security	280
Retention Money Security.....	282

Notification of Intention to Award

[This Notification of Intention to Award shall be sent to each Bidder that submitted a Bid, unless the Bidder has previously received notice of exclusion from the process at an interim stage of the procurement process.]

[Send this Notification to the Bidder's Authorized Representative named in the Bidder Information Form]

For the attention of Bidder's Authorized Representative

Name: *[insert Authorized Representative's name]*

Address: *[insert Authorized Representative's Address]*

Telephone/Fax numbers: *[insert Authorized Representative's telephone/fax numbers]*

Email Address: *[insert Authorized Representative's email address]*

[IMPORTANT: insert the date that this Notification is transmitted to all participating Bidders. The Notification must be sent to all Bidders simultaneously. This means on the same date and as close to the same time as possible.]

DATE OF TRANSMISSION: This Notification is sent by: *[email/fax]* on *[date]* (local time)

Notification of Intention to Award

Employer: *[insert the name of the Employer]*

Project: *[insert name of project]*

Contract title: *[insert the name of the contract]*

Country: *[insert country where RFB is issued]*

Loan No. /Credit No. / Grant No.: *[insert reference number for loan/credit/grant]*

RFB No: *[insert RFB reference number from Procurement Plan]*

This Notification of Intention to Award (Notification) notifies you of our decision to award the above contract. The transmission of this Notification begins the Standstill Period. During the Standstill Period you may:

- a) request a debriefing in relation to the evaluation of your Bid, and/or
- b) submit a Procurement-related Complaint in relation to the decision to award the contract.

1. The successful Bidder

Name:	<i>[insert name of successful Bidder]</i>
Address:	<i>[insert address of the successful Bidder]</i>
Contract price:	<i>[insert contract price of the successful Bidder]</i>
Total combined score:	<i>[insert the total combined score of the successful Bidder]</i>

2. Other Bidders [INSTRUCTIONS: insert names of all Bidders that submitted a Bid, Bid prices as read out and evaluated, technical and combined scores.]

Name of Bidder	Technical Score	Bid price	Evaluated Bid Cost	Combined Score
<i>[insert name]</i>	<i>[insert Technical score]</i>	<i>[insert Bid price]</i>	<i>[insert evaluated cost]</i>	<i>[insert combined score]</i>
<i>[insert name]</i>	<i>[insert Technical score]</i>	<i>[insert Bid price]</i>	<i>[insert evaluated cost]</i>	<i>[insert combined score]</i>
<i>[insert name]</i>	<i>[insert Technical score]</i>	<i>[insert Bid price]</i>	<i>[insert evaluated cost]</i>	<i>[insert combined score]</i>
<i>[insert name]</i>	<i>[insert Technical score]</i>	<i>[insert Bid price]</i>	<i>[insert evaluated cost]</i>	<i>[insert combined score]</i>
<i>[insert name]</i>	<i>[insert Technical score]</i>	<i>[insert Bid price]</i>	<i>[insert evaluated cost]</i>	<i>[insert combined score]</i>

3. Reason/s why your Bid was unsuccessful [Delete if the combined score already reveals the reason]

<i>[INSTRUCTIONS; State the reason/s why <u>this</u> Bidder's Bid was unsuccessful. Do NOT include: (a) a point by point comparison with another Bidder's Bid or (b) information that is marked confidential by the Bidder in its Bid.]</i>

4. How to request a debriefing

DEADLINE: The deadline to request a debriefing expires at midnight on *[insert date]* (local time).

You may request a debriefing in relation to the results of the evaluation of your Bid. If you decide to request a debriefing your written request must be made within three (3) Business Days of receipt of this Notification of Intention to Award.

Provide the contract name, reference number, name of the Bidder, contact details; and address the request for debriefing as follows:

Attention: *[insert full name of person, if applicable]*

Title/position: *[insert title/position]*

Agency: *[insert name of Employer]*

Email address: *[insert email address]*

Fax number: *[insert fax number]* **delete if not used**

If your request for a debriefing is received within the 3 Business Days deadline, we will provide the debriefing within five (5) Business Days of receipt of your request. If we are unable to provide the debriefing within this period, the Standstill Period shall be extended by five (5) Business Days after the date that the debriefing is provided. If this happens, we will notify you and confirm the date that the extended Standstill Period will end.

The debriefing may be in writing, by phone, video conference call or in person. We shall promptly advise you in writing how the debriefing will take place and confirm the date and time.

If the deadline to request a debriefing has expired, you may still request a debriefing. In this case, we will provide the debriefing as soon as practicable, and normally no later than fifteen (15) Business Days from the date of publication of the Contract Award Notice.

5. How to make a complaint

DEADLINE: The deadline for submitting a Procurement-related Complaint challenging the decision to award the contract expires on midnight, *[insert date]* (local time).

Provide the contract name, reference number, name of the Bidder, contact details; and address the Procurement-related Complaint as follows:

Attention: *[insert full name of person, if applicable]*

Title/position: *[insert title/position]*

Agency: *[insert name of Employer]*

Email address: *[insert email address]*

Fax number: *[insert fax number]* **delete if not used**

At this point in the procurement process, you may submit a Procurement-related Complaint challenging the decision to award the contract. You do not need to have requested, or received, a debriefing before making this complaint. Your complaint must be submitted within the Standstill Period and received by us before the Standstill Period ends.

Further information:

For more information, see the “[Procurement Regulations for IPF Borrowers \(Procurement Regulations\)](#) (Annex III).” You should read these provisions before preparing and submitting your complaint. In addition, the World Bank’s Guidance “[How to make a Procurement-related Complaint](#)” provides a useful explanation of the process, as well as a sample letter of complaint.

In summary, there are four essential requirements:

1. You must be an ‘interested party’. In this case, that means a Bidder who submitted a Bid in this procurement, and is the recipient of a Notification of Intention to Award.
2. The complaint can only challenge the decision to award the contract.
3. You must submit the complaint within the deadline stated above.
4. You must include, in your complaint, all of the information required by the Procurement Regulations (as described in Annex III).

6. Standstill Period

DEADLINE: The Standstill Period is due to end at midnight on *[insert date]* (**local time**).

The Standstill Period lasts ten (10) Business Days after the date of transmission of this Notification of Intention to Award.

The Standstill Period may be extended. This may happen where we are unable to provide a debriefing within the five (5) Business Day deadline. If this happens we will notify you of the extension.

If you have any questions regarding this Notification, please do not hesitate to contact us.

On behalf of the Employer:

Signature: _____

Name: _____

Title/position: _____

Telephone: _____

Email: _____

Beneficial Ownership Disclosure Form

INSTRUCTIONS TO BIDDERS: DELETE THIS BOX ONCE YOU HAVE COMPLETED THE FORM

This Beneficial Ownership Disclosure Form (“Form”) is to be completed by the successful Bidder. In case of joint venture, the Bidder must submit a separate Form for each member. The beneficial ownership information to be submitted in this Form shall be current as of the date of its submission.

For the purposes of this Form, a Beneficial Owner of a Bidder is any natural person who ultimately owns or controls the Bidder by meeting one or more of the following conditions:

- *directly or indirectly holding 25% or more of the shares*
- *directly or indirectly holding 25% or more of the voting rights*
- *directly or indirectly having the right to appoint a majority of the board of directors or equivalent governing body of the Bidder*

RFB No.: *[insert number of RFB process]*

Request for Bid No.: *[insert identification]*

To: *[insert complete name of Employer]*

In response to your request in the Letter of Acceptance dated *[insert date of letter of Acceptance]* to furnish additional information on beneficial ownership: *[select one option as applicable and delete the options that are not applicable]*

(i) we hereby provide the following beneficial ownership information.

Details of beneficial ownership

Identity of Beneficial Owner	Directly or indirectly holding 25% or more of the shares (Yes / No)	Directly or indirectly holding 25 % or more of the Voting Rights (Yes / No)	Directly or indirectly having the right to appoint a majority of the board of the directors or an equivalent governing body of the Bidder (Yes / No)
<i>[include full name (last, middle, first), nationality, country of residence]</i>			

OR

(ii) We declare that there is no Beneficial Owner meeting one or more of the following conditions:

- directly or indirectly holding 25% or more of the shares
- directly or indirectly holding 25% or more of the voting rights
- directly or indirectly having the right to appoint a majority of the board of directors or equivalent governing body of the Bidder

OR

(iii) We declare that we are unable to identify any Beneficial Owner meeting one or more of the following conditions. [If this option is selected, the Bidder shall provide explanation on why it is unable to identify any Beneficial Owner]

- directly or indirectly holding 25% or more of the shares
- directly or indirectly holding 25% or more of the voting rights
- directly or indirectly having the right to appoint a majority of the board of directors or equivalent governing body of the Bidder”

Name of the Bidder: *[insert complete name of the Bidder]_____

Name of the person duly authorized to sign the Bid on behalf of the Bidder: **[insert complete name of person duly authorized to sign the Bid]_____

Title of the person signing the Bid: [insert complete title of the person signing the Bid]_____

Signature of the person named above: [insert signature of person whose name and capacity are shown above]_____

Date signed [insert date of signing] day of [insert month], [insert year]_____

* In the case of the Bid submitted by a Joint Venture specify the name of the Joint Venture as Bidder. In the event that the Bidder is a joint venture, each reference to “Bidder” in the Beneficial Ownership Disclosure Form (including this Introduction thereto) shall be read to refer to the joint venture member.

** Person signing the Bid shall have the power of attorney given by the Bidder. The power of attorney shall be attached with the Bid Schedules.

Letter of Acceptance

[letterhead paper of the Employer]

[date]

To: *[name and address of the Contractor]*

This is to notify you that your Bid dated *[date]* for execution of the *[name of the Contract and identification number, as given in the Contract Data]* for the Accepted Contract Amount *[amount in numbers and words] [name of currency]*, as corrected and modified in accordance with the Instructions to Bidders, is hereby accepted by our Agency.

You are requested to furnish (i) the Performance Security and an Environmental and Social Performance Security ***[Delete ES Performance Security if it is not required under the contract]*** within 28 days in accordance with the Conditions of Contract, using, for that purpose, one of the Performance Security Forms and the ES Performance Security Form, ***[Delete reference to the ES Performance Security Form if it is not required under the contract]*** and (ii) the additional information on beneficial ownership in accordance with ITB 48.1, within eight (8) Business days using the Beneficial Ownership Disclosure Form, included in Section X, Contract Forms, of the bidding document.

Authorized Signature: _____

Name and Title of Signatory: _____

Name of Agency: _____

Attachment: Contract Agreement

Contract Agreement

THIS AGREEMENT made the _____ day of _____, _____, between _____ of _____ (hereinafter “the Employer”), of the one part, and _____ of _____ (hereinafter “the Contractor”), of the other part:

WHEREAS the Employer desires that the Works known as _____ should be executed by the Contractor, and has accepted a Bid by the Contractor for the execution and completion of these Works and the remedying of any defects therein,

The Employer and the Contractor agree as follows:

1. In this Agreement words and expressions shall have the same meanings as are respectively assigned to them in the Contract documents referred to.
2. The following documents shall be deemed to form and be read and construed as part of this Agreement. This Agreement shall prevail over all other Contract documents.
 - (a) the Letter of Acceptance;
 - (b) the Letter of Bid;
 - (c) the addenda Nos _____ (if any);
 - (d) the Particular Conditions;
 - (e) the General Conditions;
 - (f) the Specification;
 - (g) the Drawings; and
 - (h) the completed Schedules and any other documents forming part of the contract, including, but not limited to:
 - xxv. the ES Management Strategies and Implementation Plans;
 - xxvi. Code of Conduct for Contractor’s Personnel (ES); and
 - iii. Sexual Exploitation and Abuse (SEA), and/or Sexual Harassment (SH) Declaration
3. In consideration of the payments to be made by the Employer to the Contractor as specified in this Agreement, the Contractor hereby covenants with the Employer to execute the Works and to remedy defects therein in conformity in all respects with the provisions of the Contract.

4. The Employer hereby covenants to pay the Contractor in consideration of the execution and completion of the Works and the remedying of defects therein, the Contract Price or such other sum as may become payable under the provisions of the Contract at the times and in the manner prescribed by the Contract.

IN WITNESS whereof the parties hereto have caused this Agreement to be executed in accordance with the laws of _____ on the day, month and year specified above.

Signed by _____ (for the Employer)

Signed by _____ (for the Contractor)

Performance Security- Option 1: Demand Guarantee

[Guarantor letterhead or SWIFT identifier code]

Beneficiary: *[insert name and Address of Employer]*

Date: _____ *[Insert date of issue]*

PERFORMANCE GUARANTEE No.: _____

Guarantor: *[Insert name and address of place of issue, unless indicated in the letterhead]*

We have been informed that _____ (hereinafter called "the Applicant") has entered into Contract No. _____ dated _____ with the Beneficiary, for the execution of _____ (hereinafter called "the Contract").

Furthermore, we understand that, according to the conditions of the Contract, a performance guarantee is required.

At the request of the Applicant, we as Guarantor, hereby irrevocably undertake to pay the Beneficiary any sum or sums not exceeding in total an amount of _____ (_____),¹ such sum being payable in the types and proportions of currencies in which the Contract Price is payable, upon receipt by us of the Beneficiary's complying demand supported by the Beneficiary's statement, whether in the demand itself or in a separate signed document accompanying or identifying the demand, stating that the Applicant is in breach of its obligation(s) under the Contract, without the Beneficiary needing to prove or to show grounds for your demand or the sum specified therein.

This guarantee shall expire, no later than the Day of, 2...², and any demand for payment under it must be received by us at this office indicated above on or before that date.

This guarantee is subject to the Uniform Rules for Demand Guarantees (URDG) 2010 Revision, ICC Publication No. 758, except that the supporting statement under Article 15(a) is hereby excluded.

¹ *The Guarantor shall insert an amount representing the percentage of the Accepted Contract Amount specified in the Letter of Acceptance, less provisional sums, if any, and denominated either in the currency(cies) of the Contract or a freely convertible currency acceptable to the Beneficiary.*

² *Insert the date twenty-eight days after the expected completion date as described in GC Clause 11.9. The Employer should note that in the event of an extension of this date for completion of the Contract, the Employer would need to request an extension of this guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration date established in the guarantee. In preparing this guarantee, the Employer might consider adding the following text to the form, at the end of the penultimate paragraph: "The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months][one year], in response to the Beneficiary's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee."*

[signature(s)]

Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.

Performance Security- Option 2: Performance Bond

By this Bond _____ as Principal (hereinafter called “the Contractor”) and _____] as Surety (hereinafter called “the Surety”), are held and firmly bound unto _____] as Obligee (hereinafter called “the Employer”) in the amount of _____, for the payment of which sum well and truly to be made in the types and proportions of currencies in which the Contract Price is payable, the Contractor and the Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS the Contractor has entered into a written Agreement with the Employer dated the ___ day of ____, 20___, for _____ in accordance with the documents, plans, specifications, and amendments thereto, which to the extent herein provided for, are by reference made part hereof and are hereinafter referred to as the Contract.

NOW, THEREFORE, the Condition of this Obligation is such that, if the Contractor shall promptly and faithfully perform the said Contract (including any amendments thereto), then this obligation shall be null and void; otherwise, it shall remain in full force and effect. Whenever the Contractor shall be, and declared by the Employer to be, in default under the Contract, the Employer having performed the Employer’s obligations thereunder, the Surety may promptly remedy the default, or shall promptly:

- (1) complete the Contract in accordance with its terms and conditions; or
- (2) obtain a Bid or Bids from qualified Bidders for submission to the Employer for completing the Contract in accordance with its terms and conditions, and upon determination by the Employer and the Surety of the lowest responsive Bidder, arrange for a Contract between such Bidder and Employer and make available as work progresses (even though there should be a default or a succession of defaults under the Contract or Contracts of completion arranged under this paragraph) sufficient funds to pay the cost of completion less the Balance of the Contract Price; but not exceeding, including other costs and damages for which the Surety may be liable hereunder, the amount set forth in the first paragraph hereof. The term “Balance of the Contract Price,” as used in this paragraph, shall mean the total amount payable by Employer to Contractor under the Contract, less the amount properly paid by Employer to Contractor; or
- (3) pay the Employer the amount required by Employer to complete the Contract in accordance with its terms and conditions up to a total not exceeding the amount of this Bond.

The Surety shall not be liable for a greater sum than the specified penalty of this Bond.

Any suit under this Bond must be instituted before the expiration of one year from the date of the issuing of the Taking-Over Certificate.

No right of action shall accrue on this Bond to or for the use of any person or corporation other than the Employer named herein or the heirs, executors, administrators, successors, and assigns of the Employer.

In testimony whereof, the Contractor has hereunto set its hand and affixed its seal, and the Surety has caused these presents to be sealed with its corporate seal duly attested by the signature of its legal representative, this _____ day of _____ 20 ____.

SIGNED ON _____ on behalf of _____

By _____ in the capacity of _____

In the presence of _____

SIGNED ON _____ on behalf of _____

By _____ in the capacity of _____

In the presence of _____

Environmental and Social (ES) Performance Security

ES Demand Guarantee

[Guarantor letterhead or SWIFT identifier code]

Beneficiary: *[insert name and Address of Employer]*

Date: *_ [Insert date of issue]*

ES PERFORMANCE GUARANTEE No.: *[Insert guarantee reference number]*

Guarantor: *[Insert name and address of place of issue, unless indicated in the letterhead]*

We have been informed that _____ (hereinafter called "the Applicant") has entered into Contract No. _____ dated _____ with the Beneficiary, for the execution of _____ (hereinafter called "the Contract").

Furthermore, we understand that, according to the conditions of the Contract, a performance guarantee is required.

At the request of the Applicant, we as Guarantor, hereby irrevocably undertake to pay the Beneficiary any sum or sums not exceeding in total an amount of _____ (_____),¹ such sum being payable in the types and proportions of currencies in which the Contract Price is payable, upon receipt by us of the Beneficiary's complying demand supported by the Beneficiary's statement, whether in the demand itself or in a separate signed document accompanying or identifying the demand, stating that the Applicant is in breach of its Environmental and/or Social (ES) obligation(s) under the Contract, without the Beneficiary needing to prove or to show grounds for your demand or the sum specified therein.

This guarantee shall expire, no later than the Day of, 2...², and any demand for payment under it must be received by us at this office indicated above on or before that date.

¹ The Guarantor shall insert an amount representing the percentage of the Accepted Contract Amount specified in the Letter of Acceptance, less provisional sums, if any, and denominated either in the currency (cies) of the Contract or a freely convertible currency acceptable to the Beneficiary.

² Insert the date twenty-eight days after the expected completion date as described in GC Clause 11.9. The Employer should note that in the event of an extension of this date for completion of the Contract, the Employer would need to request an extension of this guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration date established in the guarantee. In preparing this guarantee, the Employer might consider adding the following text to the form, at the end of the penultimate paragraph: "The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months] [one year], in response to the Beneficiary's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee."

This guarantee is subject to the Uniform Rules for Demand Guarantees (URDG) 2010 Revision, ICC Publication No. 758, except that the supporting statement under Article 15(a) is hereby excluded.

[signature(s)]

Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.

Advance Payment Security

Demand Guarantee

[Guarantor letterhead or SWIFT identifier code]

[Guarantor letterhead or SWIFT identifier code]

Beneficiary: _____ *[Insert name and Address of Employer]*

Date: _____ *[Insert date of issue]*

ADVANCE PAYMENT GUARANTEE No.: _____ *[Insert guarantee reference number]*

Guarantor: *[Insert name and address of place of issue, unless indicated in the letterhead]*

We have been informed that _____ (hereinafter called “the Applicant”) has entered into Contract No. _____ dated _____ with the Beneficiary, for the execution of _____ (hereinafter called "the Contract").

Furthermore, we understand that, according to the conditions of the Contract, an advance payment in the sum _____ (_____) is to be made against an advance payment guarantee.

At the request of the Applicant, we as Guarantor, hereby irrevocably undertake to pay the Beneficiary any sum or sums not exceeding in total an amount of _____ (_____) ¹ upon receipt by us of the Beneficiary’s complying demand supported by the Beneficiary’s statement, whether in the demand itself or in a separate signed document accompanying or identifying the demand, stating either that the Applicant:

(a) has used the advance payment for purposes other than the costs of mobilization in respect of the Works; or

(b) has failed to repay the advance payment in accordance with the Contract conditions, specifying the amount which the Applicant has failed to repay.

A demand under this guarantee may be presented as from the presentation to the Guarantor of a certificate from the Beneficiary’s bank stating that the advance payment referred to above has been credited to the Applicant on its account number _____ at _____.

¹ *The Guarantor shall insert an amount representing the amount of the advance payment and denominated either in the currency(ies) of the advance payment as specified in the Contract, or in a freely convertible currency acceptable to the Employer.*

The maximum amount of this guarantee shall be progressively reduced by the amount of the advance payment repaid by the Applicant as specified in copies of interim statements or payment certificates which shall be presented to us. This guarantee shall expire, at the latest, upon our receipt of a copy of the interim payment certificate indicating that ninety (90) percent of the Accepted Contract Amount, less provisional sums, has been certified for payment, or on the ____ day of _____, 2____,² whichever is earlier. Consequently, any demand for payment under this guarantee must be received by us at this office on or before that date.

This guarantee is subject to the Uniform Rules for Demand Guarantees (URDG) 2010 Revision, ICC Publication No. 758, except that the supporting statement under Article 15(a) is hereby excluded.

[signature(s)]

Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.

² *Insert the expected expiration date of the Time for Completion. The Employer should note that in the event of an extension of the time for completion of the Contract, the Employer would need to request an extension of this guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration date established in the guarantee. In preparing this guarantee, the Employer might consider adding the following text to the form, at the end of the penultimate paragraph: “The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months][one year], in response to the Beneficiary’s written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee.”*

Retention Money Security

Demand Guarantee

_____ [Guarantor letterhead or SWIFT identifier code]

Beneficiary: _____ [Insert name and Address of Employer]

Date: _____ [Insert date of issue]

RETENTION MONEY GUARANTEE No.: _____ [Insert guarantee reference number]

Guarantor: [Insert name and address of place of issue, unless indicated in the letterhead]

We have been informed that _____ [insert name of Contractor, which in the case of a joint venture shall be the name of the joint venture] (hereinafter called "the Applicant") has entered into Contract No. _____ [insert reference number of the contract] dated _____ with the Beneficiary, for the execution of _____ [insert name of contract and brief description of Works] (hereinafter called "the Contract").

Furthermore, we understand that, according to the conditions of the Contract, the Beneficiary retains moneys up to the limit set forth in the Contract ("the Retention Money"), and that when the Taking-Over Certificate has been issued under the Contract and the first half of the Retention Money has been certified for payment, payment of [insert the second half of the Retention Money or if the amount guaranteed under the Performance Guarantee when the Taking-Over Certificate is issued is less than half of the Retention Money, the difference between half of the Retention Money and the amount guaranteed under the Performance Security and, if required, the ES Performance Security] is to be made against a Retention Money guarantee.

At the request of the Applicant, we, as Guarantor, hereby irrevocably undertake to pay the Beneficiary any sum or sums not exceeding in total an amount of _____ [insert amount in figures] (_____) [amount in words]¹ upon receipt by us of the Beneficiary's complying demand supported by the Beneficiary's statement, whether in the demand itself or in a separate signed document accompanying or identifying the demand, stating that the Applicant is in breach of its obligation(s) under the Contract, without your needing to prove or show grounds for your demand or the sum specified therein.

¹ The Guarantor shall insert an amount representing the amount of the second half of the Retention Money or if the amount guaranteed under the Performance Guarantee when the Taking-Over Certificate is issued is less than half of the Retention Money, the difference between half of the Retention Money and the amount guaranteed under the Performance Security and denominated either in the currency(ies) of the second half of the Retention Money as specified in the Contract, or in a freely convertible currency acceptable to the Beneficiary.

A demand under this guarantee may be presented as from the presentation to the Guarantor of a certificate from the Beneficiary's bank stating that the second half of the Retention Money as referred to above has been credited to the Applicant on its account number _____ at _____ *[insert name and address of Applicant's bank]*.

This guarantee shall expire no later than the Day of, 2...², and any demand for payment under it must be received by us at the office indicated above on or before that date.

This guarantee is subject to the Uniform Rules for Demand Guarantees (URDG) 2010 Revision, ICC Publication No. 758, except that the supporting statement under Article 15(a) is hereby excluded.

[signature(s)]

Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.

² *Insert the same expiry date as set forth in the performance security, representing the date twenty-eight days after the completion date described in GC Clause 11.9. The Employer should note that in the event of an extension of this date for completion of the Contract, the Employer would need to request an extension of this guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration date established in the guarantee. In preparing this guarantee, the Employer might consider adding the following text to the form, at the end of the penultimate paragraph: "The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months][one year], in response to the Beneficiary's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee."*