

### REPUBLIC OF UGANDA MINISTRY OF WATER AND ENVIRONMENT

### INTEGRATED WATER MANAGEMENT AND DEVELOPMENT PROJECT (IWMDP)



ENVIRONMENTAL AND SOCIAL IMPACT ASSESSMENT REPORT FOR THE PROPOSED CONSTRUCTION OF THE PIPED WATER SUPPLY AND SANITATION SYSTEM FOR KABAMBA RURAL GROWTH CENTRE IN KABAMBA SUB-COUNTY, KAGADI DISTRICT, UGANDA

Prepared for:

Ministry of Water and Environment, Directorate of Water Development, Rural Water Supply and Sanitation Department, Headquarters, Plot 3-7, Kabalega Crescent, Luzira, P. O. BOX 20026, Kampala, Uganda

#### Prepared by

Bright Technical Services Ltd Plot 149, Kiira Road, Kamwokya P.O Box 37629, Kampala, Uganda http://www.brightechnical.com/

**NOVEMBER 2022** 

#### TABLE OF CONTENTS

LIS	T OF TABLESv
LIST	T OF PLATESviii
LIST	T OF FIGURESix
ACF	RONYMSx
ESI	A TEAM COMPOSITIONxiii
EXE	ECUTIVE SUMMARYxiv
1	INTRODUCTION1
1.1	Background 1
1.2	Justification of the Project 2
1.3	Project Area
1.4	ESIA Requirements 3
1.5	Objectives of the ESIA 4
1.6	Details of Developer and Investment Cost 4
1.7	Response to the NEMA ToR approval comments 4
1.8	Structure of the report 5
2	POLICY, LEGAL AND INSTITUTIONAL FRAMEWORK7
2.1	Introduction7
2.2	Policies relevant to the Proposed Project7
2.3	Laws relevant to the Proposed Project11
2.4	World Bank Safeguard Policies and Requirements
2.5	World Bank Policy on Disclosure of Information
2.6	World Bank Project Classification22
2.7	Environmental Health and Safety Guidelines Specific to Water Supply and
San	itation Projects23
2.8	Institutional Framework28
3	PROJECT DESCRIPTION
3.1	Location of the Proposed Project
3.2	Project Description and Design
3.2.	10 Sanitation facilities
3.3	Standards44
3.4	Construction Activities44
	Page   i

3.5	Quality Assurance
4 ESIA	METHODOLOGY47
4.1	Introduction47
4.2	Physical Environment
4.2.1	Ambient Noise Assessment
4.2.2	Air Quality Assessment49
4.3	Biological Environment
4.3.1	Flora
4.3.2	Fauna49
4.4	Social Environment Survey50
4.4.1	Sampling and Selection of Respondents
4.4.2	Study Methods
4.5	Impact Assessment and Evaluation Method52
4.6	Identifying Mitigation Measures and ESMP Preparation55
5 ENVIE	RONMENTAL & SOCIAL BASELINE58
5.1	Climate
5.2	Topography58
5.3	Geology
5.4	Soils
5.5	Flora
5.6	Fauna
5.7	Noise Levels
5.8	Air Quality61
5.9	Ground Water Resources61
5.10	Population62
5.11	Economic Activities
5.12	Water Supply and Sanitation63
5.13	Land Use and Land Tenure System63
5.14	Housing Conditions64
5.15	Gender Aspects

5.1	6	Energy Sources	
6	PROJECT NEED AND ANALYSIS OF ALTERNATIVES		
6.1		Introduction	
6.2		No Project Alternative	
6.3		Project Alternative	
6.4		Alternative Water Sources	
6.5		The Action Alternative as Described in this ESIA	
7	STAK	EHOLDER ENGAGEMENT70	
7.1		Introduction	
7.2		Objectives of Public Disclosure and Consultations70	
7.3		Stakeholder identification and analysis71	
7	.3.1	Stakeholder Identification71	
7	.3.2	Stakeholder analysis	
7.4		Stakeholder engagements72	
7	.4.1	Meeting with the Stakeholders73	
7	.4.2	Key informant interviews	
7	.4.3	Community Meetings77	
7.5		Key findings from stakeholder consultations	
7.6	7.6 Public Disclosure and Consultation Plan8		
8	ANTIC	CIPATED ENVIRONMENTAL AND SOCIAL IMPACTS	
8.1		Introduction	
8.2		Positive Impacts during Construction Phase	
8.3		Positive Impacts during Operational Phase90	
8.4		Negative Impacts during Construction92	
8.5		Negative Impacts during the Operation Phase 101	
8.6 Environmental Impacts of Dec		Environmental Impacts of Decommissioning	
9	ENVIF	RONMENTAL AND SOCIAL MANAGEMENT AND MONITORING PLAN114	
9.1		Introduction 114	
9.2 Role of the Ministry of Water and Environment		Role of the Ministry of Water and Environment 114	
9.3		Role of Relevant Lead Agencies 114	
9.4		Role of Construction Supervision Consultants	
		Page   iii	

9.5	The Role of the Contractor 1	15
9.6	The Monitoring Team 1	16
9.7	Environmental and Social Monitoring Plan1	16
CONCLUS	ION1	23
REFEREN	CES1	25
ANNEXES	1	26
Annex I: N	EMA Approved Letter for Terms of Reference1	26
Annex II:	Stakeholders Consulted 1	28
Annex III: I	Land Ownership Documents 1	39
Annex IV:	General Layout, Layouts of the Transmission and Distribution System and	
Structural	Drawings 1	40
Annex V: C	Chance Finds Procedure on Physical Cultural Resources Management	41
Annex VI:	Grievance Redress Mechanism 1	42
Annex VII:	Water Quality Analysis Results 1	47
Annex VIII	: RAP Executive Summary1	48

### LIST OF TABLES

Table 1: ESIA Team Composition	xiii
Table 2: Policy framework related to the Project	7
Table 3: Legal framework related to the project	11
Table 4: World Bank Operational Policies to be triggered by the project	19
Table 5: World Bank Project Classification	22
Table 6: World Bank General EHS Guidelines relevant to this Project	23
Table 7: Institutional framework related to the project	28
Table 8: System Design Criteria	33
Table 9: Pumping mains hydraulic design	36
Table 10: Pumping Main Water Hammer Analysis	36
Table 11: Monthly in-plane Solar Insolation at BH 53726, Kabamba	37
Table 12: DWD 53796 Solar System Design	38
Table 13: Equivalent Number of No-Sun Days in Kabamba	39
Table 14: Annual Water Deficit on Solar Pumping	39
Table 15: Annual Grid Power Pumping Hours	39
Table 16: Power Supply Option Comparison	39
Table 17: Chlorination Requirements	40
Table 18: Summary of Distribution Pipework	42
Table 19: Summary of Water Supply Proposals	43
Table 20: Implementation Schedule	46
Table 21: Categorization of Stakeholders to be engaged during ESIA	50
Table 22: Impact Assessment and Evaluation	53

Table 23: Quantitative Rating of Impacts	54
Table 24: Overall Impact Rating and Description	54
Table 25: Summary Template for Monitoring Requirements	56
Table 26: Noise levels measured at the proposed project sites	60
Table 27: Results of air quality measurements taken in the project area	61
Table 28: Population Projection for Kabamba RGC	62
Table 29: Details of Borehole DWD 53796	67
Table 30: Borehole DWD 53796 Analysis Summary	67
Table 31: DWD 53796 Pumping hours to meet Projected Water Demands	67
Table 32: Stakeholder Matrix	71
Table 33: Stakeholder engagement methods	73
Table 34: Stakeholder engagement at National level	75
Table 35: Schedule for local community meetings	77
Table 36: Key findings from the top level district officials	79
Table 37: Findings from the community stakeholder engagements	82
Table 38: Grievance handling steps	85
Table 39: Positive Impacts of the Proposed Project	86
Table 40: Identified Environmental and Social Impacts during Design Phase	104
Table 0-1:Schedule of Planned Stakeholder Engagements	151
Table 0-2: PAH by Land Tenure Type	154
Table 0-3: Project Impacts Based on Socio-economic and Asset Surveys	154
Table 0-4: Detailed Entitlement Matrix	157
Table 0-5: Grievance Handling Steps	167
Table 0-6: Grievance Officers at Different Levels	

Table 0-7: RAP Implementation Roles and Responsibilities of MWE and RAP	
Implementation Consultant	

## LIST OF PLATES

Plate 1: The drilled borehole as the potential water source
Plate 2: The topographic view of the project area (Kabamba RGC)58
Plate 3: Examples of the soils within the project area59
Plate 4: Some of the vegetation around the production well in the project area
Plate 5: Kabamba water supply production well61
Plate 6: Cassava as one of the crops grown within the project area
Plate 7: One of the spring wells at Kabamba RGC, Kagadi District as one of the existing water sources
Plate 8: One of the private Eucalyptus plantation within the project area
Plate 9: Examples of some of the housing structures within the project area
Plate 10: The national power grid of electricity in the project area65
Plate 11: One of the community springs which supplies water to the project area68
Plate 12: Consultants engaging the Kagadi District Water Engineer and his staff at Kagadi DLG 74
Plate 13: The consultant engaging the Kagadi District Natural Resources Officer and the DFO at the DLG
Plate 14: Stakeholder meeting held at Kabamba Trading Centre to introduce the Consultant to the Community
Plate 15: Community Meeting and Engagement at the Kabamba Sub County hall79

# LIST OF FIGURES

Figure 1: Location of Kabamba WSS in Kagadi district	2
Figure 2: Kabamba in Kagadi District	30
Figure 3: Kabamba RGC Project Area	31
Figure 4: Kabamba Primary Water System (overlay on Google Earth image)	32
Figure 5: Borehole and Pumping Main Installation	36
Figure 6: Grundfos SP 17-14 pump curve and pipeline system curve	37
Figure 7: BH 53726 Solar Pumping Sizing by Grundfos Product Centre	38
Figure 8: Kabamba Primary Water System (overlay on Google Earth image)	41
Figure 9: Primary Water Distribution Network	42
Figure 10: EPANET results for Water Levels in Storage Tank	42
Figure 11: ESIA process that was adopted as provided for under the Laws of Uganda	48
Figure 0.2: RAP Implementation Organizational Structure	173

### ACRONYM\$

	A service of law serves a Definition and Grander and
AIDS	Acquired Immune Deficiency Syndrome
BOD	Biochemical Oxygen Demand
CAO	Chief Administrative Officer
CBOs	Community Based Organizations
CDO	Community Development Officer
CFP	Chance Find Procedure
CGV	Chief Government Valuer
CO	Carbon Monoxide
dBA	Decibels
DEO	District Environment Office
DLG	District Local Government
DMM	Directorate of Museums and Monuments
DNRO	District Natural Resources Office
DWD	Directorate of Water Development
DWRM	Directorate of Water Resources Management
EHS	Environment, Health and Safety
EHSGs	Environment, Health and Safety Guidelines
EIA	Environment Impact Assessment
ESIA	Environmental and Social Impact Assessment
ESIS	Environmental and Social Impact Statement
ESMF	Environmental and Social Framework
ESMP	Environmental and Social Management Plan
ESSs	Environment and Social Standards
E&S	Environmental and Social
FGDs	Focus Group Discussions
Fls	Financial Intermediaries
GBV	Gender Based Violence
GC	Grievance Committee
GFS	Gravity Flow Scheme
GIIP	Good International Industry Practice
GIS	Geographical Information System
GoU	Government of Uganda
GRC	Grievance Redress Committee
GRM	Grievance Redress Mechanism
HIV	Human Immuno deficiency Virus
HWFs	Hand Washing Facilities
IEC	Information Education and Communication
IFC	International Finance Corporation
ILO	International Labour Organization
IPF	Investment Project Financing
ISRs	Implementation Status Reports
IUCN	International Union for Conservation of Nature
IWMDP	Integrated Water Management and Development Project
IWRM	Integrated Water Resources Management
KDLG	Kagadi District Local Government

KII	Key Informant Interview
Kii Km	Kilometre
LAeq	Average Noise Level
LAEQ	Lowest Noise Level
LA <sub>MAX</sub> LC	Highest Noise Level
	Local Council Ministry of Condex Lobour and Social Development
MoGLSD	Ministry of Gender, Labour and Social Development
MoLHUD	Ministry of Lands, Housing and Urban Development
MWE NDP III	Ministry of Water and Environment
	Third National Development Plan National Environment Act
NEA NEMA	
NGOs	National Environment Management Authority
	Non-Government Organizations
	Nitrogen Dioxide
NOx	Nitrogen Oxides
NPHC	National Population and Housing Census
NSSF	National Social Security Fund
NWSC	National Water and Sewerage Corporation
OPs	Operational Policies
OSH	Occupational Safety and Health
O&M	Operation and Maintenance
PAHs	Project Affected Households
PAPs	Project Affected Persons
PAYE	Pay As You Earn
PCDP	Public Consultation and Disclosure Plan
PCRs	Physical Cultural Resources
PMT	Project Management Team
PPE	Personal Protective Equipment
PWDs	Person With Disabilities
RAP	Resettlement Action Plan
RGC	Rural Growth Centre
RFP	Resettlement Framework Policy
RWSRCs	Rural Water and Sanitation Regional Centres
SDGs	Sustainable Development Goals
STDs	Sexually Transmitted Diseases
STIs	Sexually Transmitted Infections
S/C	Sub-County
SOx	Sulphur Oxides
ToR	Terms of Reference
UAs	Umbrella Authorities
UBOS	Uganda Bureau of Statistics
UGX	Uganda Shillings
UNBS	Uganda National Bureau of Standards
UWSD	Urban Water and Sewerage Department
UTM	Universal Transverse Mercator
VES	Visual Encounter Survey
VIP	Ventilated Improved Pit latrines
WB	World Bank

WHO	World Health Organization
WMD	Wetland Management Department
WMZ	Water Management Zone
WSS	Water Supply System

### ESIA TEAM COMPOSITION

Table 1 presents the composition of the Environmental and Social Impact Assessment (ESIA) team that undertook the ESIA for the proposed Kabamba RGC piped Water Supply in accordance with the provisions of the *National Environmental Act No. 5 of 2019* of the Laws of Uganda, the *Environmental and Social Impact Assessment Regulations (2020)* and the *National Environment (Conduct and Certification of Environmental Practitioners) Regulations (2003)*.

Assigned Position	Signature		
Team Leader / Environmental and			
Natural Resources Management			
Specialist			
-			
Management Specialist			
Plant Ecologist			
Overall, Team Leader for Project Coordination			
Water and Wastewater Expert			
Sociologist			
Hydrologist			
Hydro geologist			
Faunal Studies			
Occupational Health and Safety			
GIS Expert			
Environmentalist			
	Team Leader / Environmental and Natural Resources Management Specialist Water Quality and Waste Management Specialist Plant Ecologist Overall, Team Leader for Project Co Water and Wastewater Expert Sociologist Hydrologist Hydrologist Faunal Studies Occupational Health and Safety		

#### **Table 1: ESIA Team Composition**

#### **Client's Reviewers**

Name	Title
Cate Namyalo	Senior Environmental Health Officer
Maurice Madra Edema	Environmental Safeguards Specialist IWMDP
Jonan Kayima	Social Safeguards Specialist IWMDP

### EXECUTIVE \$UMMARY

Kabamba RGC Piped Water System is being proposed by the Ministry of Water and Environment (MWE)/Directorate of Water Development (DWD) in Kabamba parish, Kabamba Sub County, Kagadi District. Kabamba Sub County a distance by road of 31km from the district headquarters at Kagadi at UTM coordinates 36N 278858 East, 110448 North in Mid-Western Uganda. The proposed supply area comprises four (4) villages of Kabamba, Kahumuza, Nyakarambi and Kinaga. Adequate safe water is a pre-requisite for a healthy society, which in turn, among other factors, makes it feasible for the majority of the population to engage in meaningful socio-economic activities that would increase household income and thereby reduce poverty. The investment cost for the Water Supply and Sanitation System is approximated at **UGX 2,420,651,255** (Uganda Shillings Two Billion, Four Hundred Twenty Million, Six Hundred Fifty-One Thousand, and Two Hundred Fifty-Five only). In Uganda, most of the rural growth areas and upcoming small towns access water from point water sources like boreholes, protected springs and shallow wells. These point water sources are in many cases characterized by low level of service, poor functionality and poor water quality in addition to diminishing water resources.

Kabamba RGC is one of the potable water stressed rural growth centres in Kagadi district within the region. Currently, the water service level for Kabamba RGC is low and mostly from boreholes within the rural area. These boreholes are prone to contamination due to the urbanization and related pit latrine sinking. The situation is expected to become worse if no intervention is made.

This report presents the findings of an Environmental and Social Impact Assessment (ESIA) that has been undertaken at the proposed project sites and surrounding areas of Kabamba RGC Piped Water sources and other water infrastructures. The Water Source is based on a borehole DWD 53776 that has a test yield of 12m<sup>3</sup>/h. Pumping is to be powered by 17kW solar PV system. Grid power is required to extend the borehole output to meet the Ultimate water demands.

Below is a summary of the components of the proposed project infrastructure and facilities:

- 1no production borehole with submersible pump powered by solar system and grid power
- 0.65Km pumping main in OD75 HDPE PN20
- Pressed steel storage tank of 41m<sup>3</sup> capacity
- 5.2km primary distribution system in OD75 OD40 HDPE
- Electric submersible pump set; 12m<sup>3</sup>/hr at 143m head
- OD80 PVC Super Heavy Duty borehole riser pipe
- 17kWp Solar Power System
- Site works, Attendants Quarters + Guardhouse

In compliance with the National Environment Act 2019, the Environmental and Social Monitoring Framework (ESMF) and the National Environment (Environmental and Social Assessment) Regulations 2020, MWE undertook an ESIA at the proposed project sites and this report presents the findings. The ESIA study was conducted in consideration of the policies, legal and institutional frameworks relevant to this proposed project. Various policies and laws were reviewed in relation to the proposed project activities e.g. construction and operational requirements, environmental quality, land use, public health, occupational safety, labour standards and other legal obligations. World Bank Safeguard Policies were also reviewed during this detailed ESIA study to ensure that the proposed development meets the Environmental and Social (E&S) requirements and some of the clauses that are likely to be triggered were identified and the corresponding mitigation and enhancement measures proposed.

Kagadi district has a land area of covers a total area of approximately 241,551 square kilometres, while 150sq kilometres of which the land area covers 200,523 square kilometres. On the other hand, 41,028sqkms is covered by water bodies. Kagadi district has a population of 430,200 with 211,600 females and 218,600 Males with the average house hold size of 4.5 (According to the UBOS population projection). Kabamba RGC is served by motorable marram roads and mobile phone networks with a stable and reliable connection to hydroelectricity power. The literacy levels are at 42.8% females and 57.2% male according to a projection by UBOS statistics. Kabamba Water Supply and Sanitation Project will require a permanent land take of 0.7853 acres and an Easement corridor of 2.9700 acres. A total number of 133 PAPs were established with 379 crops and trees to be impacted on by the proposed project. Details of the compensation arrangements have been proposed in the RAP report.

The major occupation of the household livelihood in the Project area is subsistence farming (94.3%) growing crops that include Bananas, cassava, maize, beans, ground nuts, sweet potatoes among others Being largely peasant farmers, they consume domestically what they produce and sell the surplus in local markets for cash. Other activities include gold mining, petty businesses in the village and trading centres (5.6%), brick making, operating small kiosk grocery shop, and road side sale of farm products.

The key policies and legislations applicable to the project included among others:

- i. The National Environmental Management Policy, 1994.
- ii. National Policy on HIV/AIDS and the World of Work, 2007.
- iii. Gender Policy, 2007.
- iv. The Uganda National Land Policy, 2013.
- v. National Policy on Elimination of Gender Based Violence, 2016.
- vi. The Uganda Forestry Policy, 2001.
- vii. The Constitution of the Republic of Uganda, 1995.
- viii. Uganda Vision 2040.
- ix. The National Environment Act, 2019.
- x. The Occupational Safety and Health Act, 2006.
- xi. The Land Act, Cap 227, of 1998.
- xii. The Local Governments Act, 1997.
- xiii. Public Health Act, Cap 281.
- xiv. The Water Act Cap, 152 1997.
- xv. The Employment Act, 2006.
- xvi. The Workers' Compensation Act, Cap. 225.
- xvii. The Road Act, Cap 358.
- xviii. The National Forestry and Tree Planting Act, 2003.
- xix. The Uganda Wildlife Act, Cap 200, 2000.
- xx. Labour Disputes (Arbitration and settlement) Act, 2006.
- xxi. Children Act Cap 59.
- xxii. The Environmental Impact Assessment Regulations, 1998.
- xxiii. The National Environment (Wetlands, Riverbanks and Lakeshores Management) Regulations 2000.
- xxiv. The National Environment (Waste Management) Regulations, 1999.
- xxv. National Environment (Standards for Discharge of Effluent into Water or on Land) Regulations, 1999.
- xxvi. The National Environment (Noise Standards and Control) Regulations, 2003.

During IWMDP Project Preparation, an ESMF and RPF were prepared that are guiding the preparation of this ESIA., The IWMDP project was prepared and approved under the World Bank Safeguards Operational Policies (OP) and its implementation is guided by the following policies: OP/BP 4.01: Environmental Assessment, 4.04: Natural Habitats, 4.11: Physical Cultural Resources and 4.12: Involuntary Resettlement and World Bank Policy on Access to Information (2015) are triggered.

World Bank Environmental and Social safeguard policies, namely; EHS Guidelines - Water and Sanitation, EHS Guidelines - Air Emissions and ambient air quality, EHS Guidelines - Waste Management, EHS Guidelines - Hazardous Materials Management, and EHS Guidelines - Construction and decommissioning.

The relevant institutions include the Ministry of Water and Environment, Ministry of Gender, Labour and Social Development, Uganda Police Force, National Environmental Management Authority (NEMA) and the District Local Administration Structures.

In preparation of this ESIA, the following methodology was applied;

- a. Review of existing secondary information relevant to the project and this included national policies, laws, regulations as well as the World Bank Safeguard Policies to key out requirements for project implementation. The review process also established the institutional framework under which the project would be implemented.
- b. Field visits within the different project components' sites were undertaken to document existing baseline environmental and socio-economic aspects; and

Socio-economic survey was conducted through a combination of approaches, and these included: review of literature, use of household survey questionnaires, stakeholder consultations, Focus Group Discussions and Key Informant Interviews. The socio-economic assessment covered household and individual characteristics, livelihood activities, socio-gender risks, and administrative set-ups near the different project sites. The views of several officials/persons that might be affected directly or indirectly by the proposed project were captured using a stakeholder consultation tool.

A comprehensive stakeholder engagement was carried out during ESIA specifically with Kagadi District Local Government Officials, Sub-County Officials and Local Community Representatives and Community members among others and this is well elaborated on in Chapter 7 of this report.

Kabamba RGC Piped Water System is envisaged to bring an end to water stress and overreliance on a few low yielding boreholes within Kabamba Sub County and neighbouring community. Further still, the project will also address the focal area of access to clean water as stipulated under the Uganda Vision 2040 and the National Development Plan III. The project also contributes towards achieving SDG *(specifically SDG 6 on clean water and sanitation)*. Several beneficial impacts envisaged will include:

- Improved quality of water supplied to communities;
- Improved quantity of water supplied to communities;
- Provision of employment opportunities during construction and operation phases;
- Improved health and sanitation due to improved water quality and quantity;
- Improved local economies and induced development especially sourcing of raw materials for construction activities;
- An increase in revenue for the sub county from water project collections. The project will further, initiate the move away from the status quo of rural women and children's perpetual carrying of

water on their heads from unprotected and distant point water source and allow them to engage in income generating activities and to improve the image of the women and children.

However, the ESIA findings indicate that anticipated negative impacts will be mitigated and will be limited to the project area where construction works will be undertaken. Below is a summary of the negative impacts and are discussed in detail under Section 8.4 of this ESIA report:

Environmental and Social Component	Potential Negative Impacts	Potential Mitigation Measures
Design Phase		
Groundwater Resources	Local lowering of water table levels, due to abstraction of groundwater for the water supply system.	<ul> <li>Undertake a hydrological study of boreholes to determine water table depths, borehole yields and local use of groundwater.</li> </ul>
Groundwater Quality	The groundwater could become polluted as a result of pit latrines and indiscriminate waste disposal practices.	<ul> <li>Avoid prospecting in areas that are prone to flooding, waste disposal sites and pit latrines.</li> </ul>
Soils	Soil erosion/damage due to survey activities and vehicle tracks. Soil contamination from oil and diesel spills.	<ul> <li>Minimize number of tracks.</li> <li>Use right angle intersections &amp; use bonding.</li> <li>Avoid seasonally marshy areas &amp; floodplains.</li> </ul>
Flora	Disturbance or loss of endangered plant species or communities (terrestrial, wetland, aquatic) due to survey activities.	<ul> <li>Discourage any wanton destruction of vegetation and habitats beyond the designed project works.</li> </ul>
Fauna	Disturbance or loss of protected/endangered animal species/communities and their habitat.	<ul> <li>Minimize vegetation clearance.</li> <li>Protect water &amp; soils from pollution.</li> </ul>
Noise	Noise generated by survey activities, especially vehicles, pump testing activities	<ul> <li>Working hours should be restricted from 7am – 6pm.</li> </ul>
Air quality	Dust from vehicle movements.	<ul> <li>Avoid excessive vehicle movements.</li> <li>Limit vehicle speeds on unsurfaced tracks to 20kph.</li> </ul>
Health and safety	Risk of accidents and ill health as a result of the project.	<ul> <li>Hold safety talks with workers before work.</li> </ul>
Public nuisance	General nuisance such as noise, waste and dust.	<ul> <li>Minimize number of workers at site.</li> </ul>
Construction Phas	e	
Land acquisition for infrastructure	The land-take would be permanent where all the project components would be constructed and temporary along the pipeline network. However, both the transmission and distribution lines would be confined to the road reserves where	<ul> <li>The district and local authorities in Sub County have already been engaged together with the local land lords and they agreed with communities whose land will be used for the proposed project</li> </ul>

	possible		construction. No grievances were
		•	reported and are envisaged. Compensation (where possible) to land owners as project affected persons.
Loss of vegetation cover and top soil	The existing vegetation and top soil will be cleared to give way to the construction process on all sites. This is likely to cause loss of habitat and disturbance to faunal communities in the affected sites but at an insignificant level.	• • • • •	After construction, there should be landscaping and re-vegetation. The premises will be planted with vegetation/grass and ornamental trees. The water source should be fenced off to reduce on going agricultural activities around the borehole to avoid pollution entering it especially when it rains heavily. Minimize vegetation clearance by clearly demarcating work areas. Provide environmental awareness training to all employees. Rehabilitate all disturbed areas.
Increase susceptibility to Soil Erosion	Increased soil erosion is likely to occur in the vicinity of project sites during the construction of the water source points, pump stations, installation of the water pipe reticulation and other related construction works. The site earthworks will reduce soil stability and hence make the soils aggregated and more susceptible to erosion especially during the rainy season.	•	The sites will be hoarded off to intercept any eroded material and any soil material will remain within the site. The project proponent will also ensure that proper landscaping and vegetation restoration is carried out to further reduce the possibility of soil erosion. Use proper techniques for trenching and shoring
Increased siltation of the aquatic habitats	Some of the excavated sediments from the project site and the construction spoils emanating from the excess excavated material and construction debris are likely to increase siltation especially in the nearby seasonal swamp to the motorized borehole and therefore affecting the associated aquatic habitat.	•	Ensure that the site is at all times drained adequately and surface run off is directed appropriately to avoid water logging of adjacent area and the undulating drainage channel
Effects of Poor Solid Waste Management	Waste will be generated during the construction of the WSS. The waste stream from the construction will include cement bags, timber and pipe cuttings, empty water bottles, food remains from workers onsite and other forms of waste. If not well managed, the area could be prone to nuisance from foul smell, breeding of vermin and vectors, and lead to outbreak of	•	Waste collection bins will be provided at strategic positions at the sites for temporary waste storage. The waste collection bins should be provided with covers to avoid spillage by scavengers and clearly coded for sorting purposes. The proponent will hire a certified

	diseases.		waste collection company to
		•	transport the waste for final disposal to designated waste dumping sites by NEMA/Kagadi DLG/ Sub County. Burning of waste on-site shall not be allowed.
Increased incidences of diseases.	The increase of people involved in the project activities is likely to increase the incidences of diseases in the area. Consequently, there will be potential risk of contracting sexually transmitted diseases (STDs) especially the Human Immuno-Deficiency Virus/Acquired Immuno-Deficiency Syndrome (HIV/AIDS) among the program workers and the local communities. This will be increased due to influx of people seeking for employment.	•	The contractor should liaise with the District and Sub County CDO to mobilise communities during the recruitment process to reduce on influx of people who are unskilled. The contractor should emphasise equal opportunities for both men and women. The Contractor should, in conjunction with local health authorities, undertake to educate and sensitise the workforce on communicable diseases such as cholera, STDs and HIV/AIDS. Condoms must be made available to the workforce
Visual intrusion	This will mainly arise from the erection of service reservoir tanks on the high altitude (hills). In addition, visual intrusion will occur where project activities are likely to create disfigured landscapes in the project area especially where the construction activities will result in deposition of large spoils and digging of the trenches.	•	The contractor should maintain as much as possible the existing landscapes and plant trees and vegetation to enhance the visual aspect. Rehabilitate all areas disturbed by construction and landscape with trees, grass and shrubs.
Increased accidents and occupational hazards	Implementation of the project will definitely increase volume of human and motor traffic in the project area. The increase in human and motor traffic will be aggravated by the transportation of construction materials, water pipes and other equipment required in constructing the water supply facilities. This is likely to result in a higher risk of accidents and occupational hazards occurring in the area of operation.	•	The contractor should ensure that workers are provided with adequate personal protective wear to mitigate injuries such as gloves, helmets, overalls and gumboots. Traffic guides and signs should be utilized to avoid accidents on busy roads and junctions especially with vehicles transporting materials Fence all construction sites. Place warning signs. Enforce maximum traffic speeds to 20kph
Sourcing of Construction Materials	Sourcing of materials such as sand, gravel bricks/blocks and timber if not well regulated and controlled can have a	•	The Contractor should liaise with local authorities to ensure that materials such as sand and gravel

	signation at in the second state of second	ana ambu takan furan anamiri d
	significant impact in the points of sourcing.	are only taken from quarries and borrow pits with the necessary environmental permits.
Occupational Health and Safety Risks for the Workforce	Construction traffic, excavation machinery, blasting of rocks and trenches may pose accident risk to workers either when equipment is operated by inexperienced workers or when in a poor mechanical condition or falls into the trenches.	<ul> <li>All construction workers will be oriented on safe work practices and guidelines and ensure that they adhere to them.</li> <li>Training will be conducted on how to prevent and manage incidences. This should involve proper handling of electricity, water etc. and sensitization on various modes of escape, conduct and responsibility during such incidences. All must fully be aware and mentally prepared for potential emergency.</li> <li>Regular drills will constantly follow on various possible incidences. This will test the response of the involved stakeholders. Such drills will keep them alert and they will become more responsive in the case of incidences.</li> <li>Signage will be used to warn staff and/ or visitors that are not involved in construction activities of dangerous places.</li> </ul>
Social Misdemeanour by Construction Workers	<ul> <li>While most workers may originate from the local community where they have families, there might be others from distant places and working away from their families. With some disposable income to spend, this might induce illicit sexual relationships, with attendant risk for spread of HIV/AIDS.</li> <li>Labour influx in the project community such as increase in irresponsible activities that may increase HIV/AIDS due sexual relations between project workers and the local community; workers taking advantage of young girls in the community due to high poverty levels and vulnerability, teenage pregnancies and dropping out of school etc.</li> <li>Violence Against Children such as potential use of child labour; sexual</li> </ul>	

	<ul> <li>relationship with underage children, teenage pregnancies, school drop outs etc.</li> <li>Conflict in the community/families (social cohesion and disruption) due to project workers engaging in sexual relationships with married women in the community etc.</li> </ul>	•	area in the local communities controlled. All construction workers shall be orientated and sensitized about responsible sexual behaviour, GBV, Violence Against Children, HIV/AIDS etc. in project communities. Contractor(s) will maintain a complaints redress mechanism for all complaints that will arise from the interaction between construction workers and the communities within the project sites/areas including a record of how these complaints have been addressed.
Archaeological / Historical Sites	Throughout the consultations with the locals and local leaders, no known archaeological or historical sites exist on the proposed project routes, and proposed construction sites. Therefore, no impacts on any features of importance to national heritage are expected.	-	The Contractor shall ensure that key members of his staff are briefed. Any such features that may be found that were not apparent on surface investigation will be reported by the project management and appropriate procedures followed to hand them over to the authority responsible for national heritage and antiquities.
Groundwater Quality	The groundwater could become polluted as a result of construction activities, pit latrines and indiscriminate waste disposal practices.	-	The borehole should be covered and sealed so that dirt, water, sand and other debris cannot fall in. The boreholes should have concrete aprons around their base to prevent dirty water seeping back into the hole. Do not develop pit latrines close to boreholes. Dispose of all wastes in an approved disposal site.
Fauna	Disturbanceorlossofprotected/endangeredanimalspecies/communitiesand their habitatduetoconstructionactivities(noise, dust,fumes, pollution, vehicles)	•	Minimize vegetation clearance. Protect water resources from pollution. Protect soils from contamination. Rehabilitate all disturbed areas.
<b>Operation Phase</b>			
Water quality and pollution	The quality of water recommended is that which is physically, chemically and	•	The borehole should be covered and sealed so that dirt, flooded

	bacteriologically safe for human consumption. When not thoroughly treated, water could be a source of water related diseases which could affect the project communities, thereby causing an epidemic in the area. Transmission of water can also result into pollution and pollution entering the boreholes	•	water, sand and other debris cannot fall in. Transmission and distribution pipes should also be covered underground to reduce exposure. The boreholes should have raised concrete aprons around their bases to prevent dirty water seeping back into the holes. The drilled borehole areas should be raised well-head by building earthworks to prevent the flooded water, dirt and other debris to accumulate around it
Water quantity and yield	This could be due to declining groundwater recharge and over pumping. The project sites are prone to suffering from rapid land use change (deforestation, soil erosion, etc.) thus the recharge of the ground water supplying the borehole may be affected in the long run.	•	Get involved with Water source catchment protection and management planning that could improve land management and restore groundwater recharge. Encourage contour ploughing, mulching and other agricultural practices that increases soil water retention and percolation into the underlying aquifer. Reduce the amount of water being taken – if demand in the area is growing then look at developing new water sources. Keeping records of how much is being pumped (either volumes or number of hours for which the pump is being used per day). Find out if sudden drops in level correspond to pumping activity.
Water Supply System failure	Insufficient cost/funding for operation and maintenance would lead to poor maintenance of the system which eventually could lead to frequent breakdowns of the water supply system and consequent shut down, which further could require major and costly rehabilitation. Other sources of failure in the water system could be due to sabotage (possibly by the water vendors who envisage loss of livelihoods), illegal connections which could result in decreased water pressure, and vandalism (theft of water system parts)	•	Payment for water supply services is the only way to keep the service running continuously and therefore tariffs would be designed to ensure financial viability. Cost recovery would be achieved through service fee payments. Put in place a water user committee to oversee the operations of the water system. Fence off the areas like water abstraction points, pump houses, water storage reservoir tanks and

			ther water cupply structures like
		t	other water supply structures like the community taps like kiosks to mitigate trespass and sabotage
Water pollution due to cutting of the pipes	Digging and construction of water facilities within close vicinity/on the water transmission network could result in pollution and loss of water	1     1	The developer should hire services of security guards to monitor and guard the water supply system facilities. Sensitization and awareness about the dangers of vandalizing the water supply system facilities should be done especially by the ocal leaders. Legal and applicable punitive measures like arrests and prosecution should be taken to those caught vandalizing the water system facilities in order to curtail and to serve as an example to those who would want to engage themselves in such acts.
Noise levels from Generators	Using of generators to boost the pumping of the water at the pumping stations may lead to moderate noise levels around the project area	• •	nstallation of solar system instead of generator Service the generators regularly to minimize on the noise. Switch on generators only for few nours to boost on the pumping nours but always use the solar systems
Decommissioning	Phase		
Surface Water Quality	Pollution of water bodies from erosion of unconsolidated materials, contaminated soil, wastes (solid and liquid), etc. As a result of demolition activities.	• 7 i • F i • 0 • 0	Rehabilitate all areas e.g. grass/tree planting. Take samples of the runoff water nto the receiving water body nearby and ensure free pollution. Remove all contaminated soil dentified and dispose of it in an approved site. Close any waste disposal facility on site and make provision for drainage in such a way as to prevent future pollution.
Flora	Disturbance or loss of plant species or communities (terrestrial, aquatic) due to dust fall-out onto leaves and soil, dump erosion.	• [	Rehabilitate or stabilize all cleared areas using indigenous vegetation until handover of the site.
Fauna	Disturbance or loss of animal species/communities and their habitat due		Rehabilitate or stabilize all cleared areas using indigenous vegetation

	to the lack of rehabilitation etc.		where possible.
Soils	Re-use of soils in rehabilitation and re- instatement of pre-project capability.	•	Replace subsoil and overburden first and then cover with saved topsoil. Do not use heavy equipment to replace topsoil because this can cause compaction.
	Soil erosion from denuded areas and demolition activities.	•	Maintain erosion protection works. Rehabilitate or stabilize all disturbed areas.
Topography	Reinstate the topographic profile.	٠	Backfill, contour and landscape.
Air quality	Dust from un-rehabilitated sites and demolition activities.	•	Avoid dusty activities e.g. loading and dumping on windy days & monitor dust emissions.
	Odors from waste dump.	•	Avoid activities that can lead to pilling of wastes in the project area. Dispose of all the wastes in gazetted sites
Noise and vibration	Noise generated by demolition equipment and earth moving equipment	•	Prescribe noise reduction measures if appropriate e.g. restricted working and transport hours and noise buffering.
Health and safety	Risk of accidents and ill health as a result of the project	•	Fence all unsafe and dangerous areas & monitor environmental health (air quality, water quality).
Aesthetic and amenity values	Improvement of the visual impact of the site on scenic views.	•	Rehabilitate with trees, grass and shrubs where possible. Consult with the local community and tourist industry.

No physical relocation issues are anticipated, however, RAP has been prepared to address all compensation issues that are anticipated and an Environmental and Social Management Plan (ESMP) has also been presented in this ESIA report to ensure positive impacts are enhanced while negative impacts are avoided and or mitigated.

This ESIA report provides NEMA with the necessary information required for approval of the E&S aspects of the project, as well as providing guidance to MWE to improve the project design and implementation, in compliance with the proposed project ESMP. Therefore, the proposed project is environmentally and socially feasible for implementation provided the recommended mitigation and monitoring measures are implemented, and the proposed implementation arrangements are upheld.

#### 1.1 Background

The Government of Uganda (GoU) received credit from the World Bank (WB) towards implementation of the Integrated Water Management and Development Project (IWMDP) under the Ministry of Water and Environment (MWE). The Project Development Objective (PDO) is to improve access to water supply and sanitation services, capacity for integrated water resources management and the operational performance of service providers in project areas. The project will also contribute to the achievement of National Development Plan III (NDP III) objectives, Vision 2040 and Sustainable Development Goals. Under the IWMDP, funds have been provided for ESIA, RAP and SPPs.

The Project will support WSS infrastructure investments in small towns located primarily in Uganda's Northern and Eastern regions and in RGCs in the country's Central and Midwestern regions. The water resources activities are designed to consolidate IWRM in overall water sector planning and infrastructure development. Specific water resources measures will be conducted in the Upper Nile and Kyoga WMZs where Catchment Management Plans (CMPs) have been prepared for sub-catchments and where most of the WSS infrastructure investments proposed under this Project are located. The Project will integrate infrastructure investment, water source and catchment protection measures, and comprehensive sanitation planning to ensure sustainability and increased resilience to climate change and variability. The Project will provide Technical Assistance (TA) aimed at consolidating water sector reforms to support efficient and effective service delivery models for small towns and RGCs.

Component 1 will support Water Supply and Sanitation in Small Towns and Rural Growth Centres and Support to Districts Hosting Refugees. Sub-Component 1.1 Support to Small Towns and Rural Growth Centers (RGCs) will support activities designed to improve the sustainable provision of water supply and sanitation services in small towns and RGCs in the Recipient's territory. The sub-component targets the districts of Buyende, Kaliro, Namayingo, Mayuge, Jinja, Namutumba and Kamuli in Eastern Uganda; Mityana, Mubende, Kassanda, Kyankwanzi, Nakasongola, Rakai, Lyandonde, Sembabule, and Mukono in Central Uganda; and Kagadi, Kakumiro, Kiruhura, Kazo, Kisoro, Kyegegwa, Kyenjonjo in Western Uganda.

In order to address the water supply and sanitation gap in the above districts, the then 32 solar powered piped water supply systems now revised to 26 have been proposed. These water supply and sanitation infrastructure will be implemented as part of the strategy to improve access to clean water, improved sanitation and hygiene in the selected RGCs.

The Directorate of Water Development under the Ministry of Water and Environment as an implementing agency of the Client, applied a portion of the proceeds of this credit for the Consultancy Services for Environmental and Social Impact Assessments for the Kabamba Water Supply and Sanitation System. The main components of the large solar piped water systems will include; a production well as a water source, a raw water pumping main to a reservoir, an elevated storage reservoir on a steel tower, Solar Pumps, Solar Panels, chlorine dosing unit, pump motor, pump house, distribution network, and service connections. The project will also support water sources protection activities in all the project areas.

MWE, specifically the Rural Water and Sanitation Department (RWSD) under the Directorate of Water Development (DWD) carried out an ESIA of the proposed construction of the piped water supply and sanitation system for Kabamba Rural Growth Centre in Kabamba Sub-County, Kagadi District in accordance with the requirements of the National Environment Management Authority (NEMA) for approval before implementation.

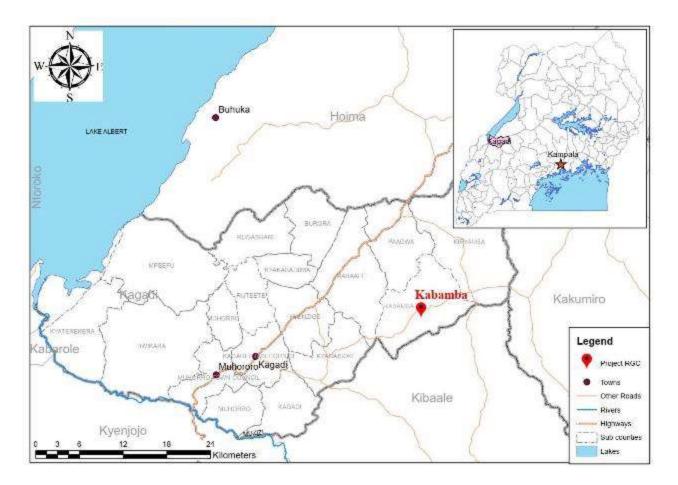


Figure 1: Location of Kabamba WSS in Kagadi district

#### **1.2 Justification of the Project**

According to the Socio-Economic Survey (SES) conducted in the project area done as part of the ESIA study, the average size of households in Kabamba RGC stood at 4.5. The majority of the respondents were male (79.3%). The main source of water supply were boreholes at 50.9% followed by ponds/dams at 49.1%. The available boreholes constantly break down due to poor operation and maintenance (O&M) thus forcing community members to resort to unsafe water from the ponds. Majority of the households (99%) within the project area have access to any form of sanitation facilities. Though most of them attested to the fact they share amongst households and the 1% used public toilets. There was no water borne and flush toilets within the project area. The households without any form of sanitation and use neighbors or communal pit latrines is mainly due to the expenses and difficulty involved in the construction of sanitation facilities. Some of the soils in the project area are loose and often collapse making the difficult and more expensive. This 1% poses a risk to contamination of ground water due practicing open defecation.

Implementation of this project, therefore, will relieve women and children who are mainly involved in collection of water from being exposed to hazards related to walking long distances to fetch water from ponds/dams, provide safe and clean water thus reducing occurrences of water borne disease and increase productivity of the people of Kabamba RGC hence improving the quality of life among the population.

The increasing population in the proposed project area has resulted in the need to increase on the accessibility and provision of water and sanitation services for the local communities. In the view of the

above, MWE, specifically RWSSD under the DWD is implementing a project whose overall objective is to sustainably increase access to safe water supply and improve on sanitation to the communities of Kabamba RGC in Kagadi district thereby contributing to Sustainable Development Goals (SDGs) 6 and 12, the PDO, NDPIII, and Vision 2040.

#### 1.3 **Project Area**

Kabamba Rural Growth Centre (RGC) is located in Kabamba Parish, Kabamba Sub County, a distance by road of 31km from the district headquarters at Kagadi at UTM coordinates 36N 278858 East, 110448 North in Mid-Western Uganda as shown in figure 1, 2 and 3. Kagadi is 255km by road from Kampala. Kagadi district is bordered by districts of Hoima to the north, Kibaale to the east, Kyenjojo to the south and Ntoroko to the west. Kagadi district has an average annual rainfall of 1248mm in two rainy seasons, March to May and August to November. The average temperatures are high of 23°C in February and low of 21.2°C in July. Kabamba RGC is served by motorable murram roads and mobile phone networks. There are electricity mains in Kabamba and plans are underway to extend a power line under the Rural Electrification programme.

The proposed supply area comprises four (4) villages of Kabamba, Kahumuza, Kinaga and Nyakarambi. The water source to be developed is based on borehole DWD 53796, located near the Sub County offices that has a test yield of 12m<sup>3</sup>/h. Pumping is to be powered by 17kW solar PV system and grid power is required to extend the borehole output to meet the ultimate water demands.

#### **1.4 ESIA Requirements**

The proposed construction of a water piped system in Kabamba RGC falls under Schedule 5 of the National Environment Act No.5 of 2019, which requires mandatory ESIAs specifically under Utilization of water resources and water supply (No. 4) and abstraction or utilization of ground water (b) and support facilities (k) (i.e., ground water resources including water abstraction). The proposed intervention is in the category of projects requiring mandatory ESIA to be submitted to the National Environment Management Authority (NEMA) for review and clearance before construction. It is in this regard that in accordance with the National Environment Act (NEA), the Scoping/Terms of Reference (ToR) were prepared and submitted to NEMA for consideration, which paved way for undertaking a full ESIA for the project. A copy of the approval letter from NEMA and TOR have been attached in Annex I.

In preparing this report, particular attention was paid to the issues specified in the EIA Regulations of 2020. This ESIA presents information required for the protection of the environment and affected people during the design, construction and operation stages of the proposed project. This will enable NEMA and other lead agencies take a decision on whether to approve the progress of the project in light of the identified E&S impacts or not. Specific attention was also paid to the Environmental Impact Assessment Guidelines and the specific EIA guidelines for water sector for Uganda.

In compliance with World-Bank Operational Safeguards Operational Policies (OPs), an ESMF was prepared to provide guidance to the implementing agency (MWE) on the E&S screening and subsequent assessment of subprojects required during implementation such as the preparation of this ESIA, including the relevant subproject specific ESMPs that must be developed in compliance with Bank safeguards policies. The RPF was prepared because the exact subproject sites were unknown at the time and provided a guiding framework to help MWE in identifying and managing potential project impacts and risks on project affected persons/communities associated with loss of land/livelihoods (physical or economic displacement/resettlement), property, cultural resources and/or restrictions on land use (RAP preparation) during project implementation. Overall, the project is likely to trigger five (5) World Bank Safeguard OPs which included Environmental Assessment (OP/BP/GP 4.01), Natural Habitat (OP 4.04), Physical Cultural Resources (OP 4.11), Involuntary Resettlement (OP/BP 4.12), and Forests (OP 4.36). Safety

of Dam (OP 4.37) and International Water Ways (OP 7.50) will not be triggered by the project. In addition, safeguards implementation should comply with the requirements of Investment Project Financing and the World Bank Group Environmental, Health, and Safety (EHS) Guidelines for general Construction and Decommissioning as well as the EHS guideline for Water and Sanitation.

#### **1.5 Objectives of the ESIA**

This ESIA report has been prepared following Uganda's and the World Bank's Environmental and Social requirements, sets out to identify potential environmental and social impacts of the proposed Kabamba RGC Water Supply and Sanitation Project, with a view of informing the final engineering design and recommending mitigation measures to be implemented during construction and operational phases of the project. The main objective was to carry out an ESIA for the proposed construction of Kabamba RGC piped water supply system in Kabamba Rural Growth Centre in Kabamba Sub-County, Kagadi district. Specific objectives include the following:

- To study the baseline environmental conditions of the project areas and their surrounding and to assess how these conditions will be affected by the proposed development.
- To identify and assess the likely impacts (positive and negative) of the proposed project and to recommend feasible measures to avoid, minimize or mitigate the negative impacts.
- To develop an environmental and Social Management Plan/Mitigation plan for the identified negative impacts and an environmental monitoring plan for project implementation.
- To compile an Environmental and Social Impact Statement for submission to NEMA for consideration and approval.

This ESIA focused on the following scope for the proposed project components:

- Pump stations
- Storage reservoir
- Guard house
- Water office
- Sump and Booster station
- Pipeline network

Section 3.2 on project description and design elaborates the details of each of the above-mentioned project components assessed under the scope of this ESIA. The proposed public waterborne toilets will not require an elaboration of the ESIA in reference to the thresholds provided under Schedule 5 of the National Environment Act No.5 of 2019 for sanitation facilities.

#### **1.6 Details of Developer and Investment Cost**

The project is to be implemented by MWE. The investment cost of the project is approximately Uganda Shillings Two Billion, Four Hundred Twenty Million, Six Hundred Fifty-One Thousand, Two Hundred Fifty-Five only **(UGX 2,420,651,255).** The address/contact person of the Developer is presented below:

#### **Permanent Secretary**

Ministry of Water and Environment, Headquarters, Plot 3-7, Kabalega Crescent, Luzira, P. O. BOX 20026, Kampala, Uganda

#### 1.7 Response to the NEMA ToR approval comments

#	REQUIREMENTS	COMMENT
1.	Provide a detailed description of the proposed project	Addressed under Chapter 3 of Project

	components and activities, covering both the construction and operational phases of the project.	Description and components
2.	Make use of the Physical Development Plan of the area, if available, and be sure the project is compatible with this Plan	This has been considered under Chapter 2 of this report
3.	Attach a legible site layout or area action plan of the project, showing the project infrastructure and GPS coordinates of project components and transmission networks, and ensure to include any encumbered land which will be excluded from use.	Addressed under Annex IV of this report and section 3.1
4.	Include in the ESIA report, a comprehensive analysis of alternatives to the proposed location of project components and routing network, project design, and technology or equipment, among others.	Addressed under chapter 6 of this report
5.	Undertake a comprehensive evaluation of the potential environmental impacts and risks associated with the proposed project activities, and include exhaustive environmental management and monitoring plans, discussing ways in which the potential impacts will be mitigated during construction and project implementation.	Addressed under Chapter 8 of this report for the Impact Analysis and Mitigation measures
6.	Consider any other critical environmental concerns that were not initially foreseen during the preparation of the TOR, and include an evaluation of such concerns in the ESIA report, in accordance with the National Environment Act, No.5, 2019.	This has been addressed accordingly
7.	Carry out comprehensive stakeholder consultations involving among others, Kagadi District Local Government and the local communities neighboring the proposed project sites, and ensure evidence of stakeholder consultation is appended to the ESIA report	Stakeholder consultations and engagements were done as evidenced under chapter 7 and Annex II of this report.
8.	Append to the ESIA report authentic and legible copies of land acquisition documents	Attached as Annex III
9.	Be sure to contract practitioners are registered with this Authority	Addressed under Table 1 of this report for the Team Composition
10.	Include the cost of the project based on estimates from a certified valuer, in accordance with Regulation 18 (1) of the National Environment (Environment and Social Assessment) Regulations, S.1 143/2020.	Addressed under section 1.8
11.	Accompany the ESIA submission with evidence of payment of the 30% ESIA fees, in accordance with Regulation 49 of the National Environment (Environment and Social Assessment) Regulations, S.I No. 143 of 2020.	Evidence attached as required

#### **1.8 Structure of the report**

This ESIA report is concise and limited to the significant E&S issues. It focuses on findings, conclusions and recommended actions, supported by summaries of the data collected and citations for any references used in interpreting the data. The report contains, but not limited to the following major contents:

- 1) Cover Page (Title of the proposed project, Location, Name, Address and information of the developer)
- 2) Table of content
- 3) Declaration by ESIA team and their details
- 4) List of acronyms
- 5) Executive Summary
- 6) Introduction
- 7) Policy, Legal and Administrative/Institutional Framework.
- 8) Description of the Proposed Project.
- 9) Description of methodology and techniques used in the assessment and analyses of project impacts,
- 10) Baseline conditions of the physical, biological and socio-economic environment of the project area, including results of relevant studies and other geophysical and geotechnical studies.
- 11) Description/Assessment of the potential Environmental and Social impacts of project activities.
- 12) Analysis of Alternatives.
- 13) Potential Environmental and Social Impacts and Mitigation Measures.
- 14) Environmental and Social Management Plan (ESMP) matrices detailing measures for addressing potential negative environmental and social impacts of the project. In addition, the ESMP should clearly identify institutional arrangement, roles, responsibilities, implementation schedules and costs in addressing the mitigation measures proposed in this ESIA, including capacity building requirements; and
- 15) Propose an E&S Monitoring Plan with clear monitoring indicators and institutional roles to be used in tracking the implementation and compliance of the proposed mitigation measures;
- 16) List of References.
- 17) Appendices:
  - Approved Scoping Report/Terms of Reference
  - Land ownership documents
  - Records of Stakeholder meetings
  - Data and Unpublished Reference Documents.
  - Map, drawing and pictorial complement, especially to convey information on the project affected area and proposed project activities
  - Chance Finds Procedure
  - Grievance Redress Mechanism

# 2 POLICY, LEGAL AND INSTITUTIONAL FRAMEWORK

#### 2.1 Introduction

Key legislation governing an ESIA study in Uganda includes the National Environmental Act (NO. 5 of 2019) of the laws of Uganda and the Environmental and Social Assessment Regulations, S.I. No. 143 of 2020. The National Environmental Act established NEMA and entrusts it with the responsibility to ensure compliance with ESIA process and procedures in planning and execution of development projects. The procedures require that a project proponent prepares an ESIA report with a clear assessment of relevant potential impacts, based on Terms of Reference (ToRs) developed from a scoping exercise. This requires that the ESIA addresses potential direct and indirect socio-environmental impacts during the pre-construction, construction, operation and decommissioning phases and an environmental and social management plan (ESMP) has also to be prepared.

Policies, legal and institutional framework considered relevant to this proposed project are discussed in this section. Various laws here reviewed relate to minimum acceptable construction, operational requirements, environmental quality, land use, public health, occupational safety, labour standards and international legal obligations.

#### 2.2 Policies relevant to the Proposed Project

Table 2 below presents the Policy framework related to the project

Policy	Goal	Relevancy
National Environment Management Policy, 2014	The overall policy goal is sustainable development which maintains and promotes environmental quality and resource productivity for socio-economic transformation. The Policy provides a system of Environmental Impact Assessment (EIA) and environmental monitoring so that adverse environmental impacts can be foreseen, eliminated or mitigated.	Environment and development are interrelated, and this policy requires that environmental aspects are considered in all development projects such as the construction activities. Therefore, this ESIA study has been conducted to take into consideration any adverse social and environmental impacts of the construction activities of the proposed Kabamba RGC piped Water Supply System.
The National Water Policy, 1999	To manage and develop the water resources of Uganda in an integrated and sustainable manner, so as to secure and provide water of adequate quantity and quality for all social and economic needs of the present and future generations with the full participation of all	Water abstraction permits should be obtained from DWRM before operation phase. Water source protection measures have been proposed under the ESMP and full WSPP will also be prepared as part of the assignment and should be implemented to ensure safe water quality and quantity.

#### Table 2: Policy framework related to the Project

Policy	Goal	Relevancy
	stakeholders.	
The National Gender Policy, 2007	Provides a framework and mandate for all stakeholders to address and implement the gender imbalances within their respective sectors.	This policy would especially apply in the recruitment process of labour, both during construction and operation phase. Men and women should have equal opportunities for available jobs. This policy also requires provision of a work environment that is safe and conducive to women, as it is for men, considering gender- disaggregated differences and vulnerabilities.
The Occupational Health and Safety (OHS) Policy, 2006	This policy seeks to: Provide and maintain a healthy working environment; Institutionalize OHS in the power-sector policies, programs and plans; and contribute towards safeguarding the physical environment. The OHS Policy also takes into consideration the Health Sector Strategic Plan, all of which aim to improve the quality of life for all Ugandans in their living and working environment.	This policy will be especially relevant for OHS of construction crews and subsequently, operation and maintenance personnel. The policy will also have relevance in mitigation measures that protect the public from health and safety impacts as a result of project construction and subsequent operation and maintenance activities.
The Environmental Health Policy 2005	The policy provides a framework for the development of services and programs at National and Local Government levels that establish the environmental Health priorities.	Analysis of water quality was done at the design stage and during the pump testing where the water quality analysis report was prepared. The results of the analysis have been used and are presented under the Section on Water Quality as part of the baseline information.
The National Land Policy, 2013	The goal of this Policy is: "to ensure an efficient, equitable and optimal utilization and management of Uganda's land resources for poverty reduction, wealth creation and overall socio-economic development". One of its objectives is to ensure sustainable utilization, protection and management of environmental, natural and cultural resources on land for national socio- economic development.	By undertaking an ESIA for the proposed project, the developer is ensuring planned and environmentally friendly infrastructure development. Enhancement and mitigation measures should be implemented by the developer and the contractor(s) to ensure that all land use practices conform to land use plans and the principles of sound environmental management such as biodiversity preservation, soil and water protection, conservation and sustainable land management.
The National Health Policy, 2010	To reduce mortality, morbidity and fertility, and the disparities therein.	Contribute to the reduction of water borne diseases thereby improving on the health of communities, especially the girl child and mothers who are mainly involved in collection of water.
Uganda National	The overarching objective of the policy is to ensure	ESIA promotes the wise use of water resources to minimize

Policy	Goal	Relevancy
Climate Change Policy, 2015	that all stakeholders address climate change impacts and their causes through appropriate measures, while promoting sustainable development and a green economy including integration of climate change issues into planning, decision making and investments in all sectors.	harmful effects to the environment and water resource monitoring. It promotes and strengthen the conservation and protection against degradation of watersheds, water catchment areas, river banks and water sources in order to increase their resilience to climate change impacts.
National Policy on HIV/AIDS and the World of Work, 2007.	To ensure HIV/AIDS is addressed in the workplace, the policy encourages employee awareness and education on HIV/AIDS. To protect the infected and affected persons from discrimination, employers are required to keep personal medical records confidential. Employees living with, or affected by, HIV and AIDS, and those who have any related concerns, are encouraged to contact any confidant within the organization to discuss their concerns and obtain information.	This policy is relevant to the project if implementation of proposed construction activities leads to influx into the project area by people seeking construction jobs and indulging in prostitution or irresponsible sexual fraternization associated with HIV/AIDS risk. The provisions of this policy are expected to be fulfilled by the construction contractors or their subcontractors, especially in regard to having an in-house HIV Policy, worker sensitization and provision of free condoms.
National Orphans and other vulnerable children's Policy, 2004	The goal of the Policy is full development and realization of rights of orphans and other vulnerable children. The policy provides support to vulnerable children and families such that their capacity to sustain themselves is strengthened; and provides residential care for orphans and other vulnerable children as a last resort	The project Developer (MWE/DWD) and the contractor(s) including their sub-contractor(s) will ensure that the project activities do not compromise or in any way affect the lives and livelihood of all the vulnerable groups like the orphans and children in general during the project implementation
National Equal Opportunities Policy, 2006	The National Equal Opportunities Policy provides a framework for re-dressing imbalances, which exist against marginalized groups while promoting equality and fairness for all. With a goal of: providing avenues where individuals and groups' potentials are put to maximum use by availing equal opportunities and affirmative action.	The Water supply projects come along with a lot of opportunities including service delivery, trainings and employment. The project will avail equal opportunities and affirmative action such as employing both men and women including marginalised groups like disabled people who are local residents during construction and operation phases, sourcing of construction materials locally etc
The National Child Labour Policy 2006	The policy provides an enabling environment for the prevention, protection and elimination of child labor. It is intended to establish guiding principles in Uganda's	The project management including all the contractors will ensure that all employees are above 18years and not school going students or pupils.

Policy	Goal	Relevancy
	effort to eliminate child labor and priorities for	
	government and stakeholder action. This policy is	
	based on recognition that all human beings, adults and	
	children, have rights. Children by virtue of their age	
	and needs are entitled to specific rights, including	
	education, health, survival development, protection	
	and participation	
The National Policy for Older Persons 2009	<ul> <li>The policy seeks to achieve equal treatment, social inclusion and empowerment of older persons. The values of the policy are: <ol> <li>Equity; Fairness, fair play, impartiality and justice in the distribution of benefits and responsibilities in society.</li> <li>Respect; Views, opinions and rights of older persons will be upheld while they are also expected to exhibit high sense of self- respect. Commitment; The willingness to work hard and give all the energy and time to meet the vision.</li> <li>Accountability; All stakeholders are expected to fulfill their obligations towards one another iv. Equality; All older persons will be accorded same opportunity and rights as other individuals.</li> </ol> </li> </ul>	Persons above 65 years old are categorized as old. These should be incorporated in the compensation process where necessary and will be treated with Equity and respect; all their views will be considered regarding the execution of the project.
The National Policy for the Conservation and Management of Wetland Resources, 1995.	The goal of this Policy is to curtail the rampant loss of wetland resources and ensure that benefits from wetlands are sustainable and equitably distributed. Wetlands acting as sources of wastewater treatment should be fully protected. This policy outlines guidelines for wetland resource developers.	The proposed project is aimed at Conservation and Management of Wetland Resources within the catchment area. The designs will adhere to the principles of sustainability such that areas within wetlands are left intact, as much as possible.
The National Wetlands	To promote the protection of Uganda's wetlands in order to sustain their ecological and socioeconomic functions. Wetlands should not be drained and	No sourcing of materials e.g. sand and stones for construction activities is permitted without undertaking an environmental

Policy	Goal	Relevancy
Policy, 1995	converted without NEMA's approval.	impact assessment for NEMA's consideration
The National Policy on the Elimination of Gender Based Violence in Uganda, 2019	The policy emphasizes early intervention to prevent re- victimization of and long-term effects for girls, including interpersonal violence, sexual coercion, alcohol and drug abuse and mental health problems, reporting cases of violence against children immediately. The common forms of Sexual Gender Based Violence (SGBV) include; sexual advances, assault, rape, fraud and verbal abuses.	The Contractor should have a sexual harassment policy that is communicated to all workers as well as continuous sensitization on GBV, risk and prevention mechanism.
Uganda Vision 2040	Water Development is stated as one of the opportunities that can foster the socio-economic transformation of Uganda from a peasant to a modern and prosperous country.	The project will increase access to safe potable water thus contribute to improved health, sanitation and hygiene.
National Development Plan III	The plan focuses on increasing access to safe water, sanitation and hygiene levels, functionality of water supply systems and promoting catchment based integrated water resources management during the planning process in order to achieve the middle income status by 2025.	The project focuses on providing access to safe and clean water, increasing the functionality of the water supply systems within the Rural Growth Centre and the Sub-County.
Sustainable Development Goals (SDG)	The 2030 agenda for Sustainable Development envisions a world where we reaffirm commitments regarding the human right to safe drinking water and sanitation and where there is improved hygiene.	The project will specifically support SDG 6 on ensuring clean water and sanitation is attained. This focuses on ensuring availability and sustainable management of water and sanitation for all.

#### 2.3 Laws relevant to the Proposed Project

Table 3 below presents the Legal framework related to the project

#### Table 3: Legal framework related to the project

Legal Framework	Relevancy	Requirement
The Constitution of	The State shall promote sustainable development and public awareness of	All environmental impact actions of the project are
the Republic of	the need to manage land, air and water resources in a balanced and	therefore meant to conform to the broader

Uganda; 1995; amended as at 15 <sup>th</sup> February 2006, Government of Uganda.	sustainable manner for the present and future generations. The Constitution is the cardinal law in Uganda upon which all environmental laws and regulations are founded. The constitution provides some relevant social dimensions such as advancement of women (Article 33: rights of women); protection of children (Article 34 on the rights of children); persons with disabilities (Article 35: protection of People with Disabilities - PWDs); and access to information (Article 41: right of access to information)	objectives of the Constitution which requires a healthy environment for all citizenries. ESIA report has been prepared for NEMA's consideration before implementation of the project. Therefore, this Project will be implemented in a manner that will incorporate the appropriate safeguards for environmental and social issues, especially land take. Any land required for the implementation of the construction activities will be obtained within the confines of the law, after a Resettlement Action Plan (RAP) has been conducted where possible.
The National Environment Act No. 5 of 2019	This act provides for various strategies and tools for environment management, which also includes the ESIA for projects likely to have significant environmental impacts. The Third Schedule of the National Environment Act, No. 5 of 2019 lists projects to be considered for environmental impact assessment. Under that categorization, most water resources related projects fall under two ground and surface water resources.	The Act governs and guides environmental management in Uganda. This ESIA is prepared to conform to the Act's requirement that projects likely to have significant environmental impact undertake an ESIA before they are implemented. ESIA report has been prepared for NEMA's consideration before implementation of the project.
The Water Act, Cap 152 and The Water Resources Regulations, 1998	Management of water resources Regulation and issuing of water use, abstraction and wastewater discharge permits; Prevention of water pollution. Managing and monitoring and regulation of water resources	Water abstraction permit should be obtained from DWRM before operation phase. Water analysis was done during the design stage and pump testing where a water quality analysis report was prepared. Water analysis was done under ESIA and results (see Annex VII) compared to those obtained at design stage and national standards for portable water. The quality of treated water will be regularly monitored to ensure it meets portable water standards and these results have been used during this ESIA and results compared to those of national standards for portable water.
The Land Act, Cap	Section 74 (i) states that where it is necessary to execute public works on	These Land tenure systems will be important
227	any land, an authorized undertaker shall enter into mutual agreement with occupier or owner of the land in accordance with Act.	during resettlement planning. The extent of works designed to ensure the construction of the

The Land Acquisition Act, 1965	This law elaborates on land acquisition procedures for early entry into the delineated land as compensation matters are finalized with the objective of timely Project delivery. Reference to this Act has been made while proposing strategies for addressing unreasonable speculative persons who may jeopardize Project delivery by demanding exorbitant compensation.	Kabamba RGC WSS will necessitate land take in the Project Area. Any land required for the implementation of this Project will be acquired in accordance with the provisions of this Act and Bank Safeguards Policies. MWE will issue Notices of Entry at the start of RAP disclosures.
The Occupational Safety and Health Act, 2006	Provision of Occupation Health and Safety of workers and Inspection of places of works. This Act requires that employers provide and maintain safe working conditions and take measures to protect workers and the public from risks and dangers of their works, at his or her own cost (Section 13). Employers with more than 20 workers should prepare and often revise a written policy with respect to safety and health of workers (Section 14). The contractor therefore is obliged to provide employers with washing facilities, First Aid, facilities for meals and safe access to workplaces	An ESMP has been prepared and the Contractor will ensure the workplace is registered under the Ministry of Gender, Labour and Social Development (MoGLSD) under the Department of OHS. The construction activities will require workers during the construction, and operation and maintenance phases. Therefore, the Act requires that MWE and all contractors must ensure that workers have a safe working environment at all times and that their health is not at risk whilst at work.
The Workers' Compensation Act, 2000	This requires compensation to be paid to a worker injured or acquired an occupational disease or has been harmed in any way in the course of his/her work.	This Project will require workers during construction, operation and maintenance phases. Any injury or illness resulting from Project related activities will be subject to conditions of the Workers' Compensation Act. Kagadi District Labour officers will also be involved in ensuring compliance of the Contractor's' with labour laws. The developer shall ensure that all contractors and sub-contractors provide personal protective equipment (PPE) to employees to minimize accidents and injuries and ensure workers safety onsite.

The Physical Planning Act, 2010 The Physical	Section 37 requires an EIA permit for developments before they are implemented. It states: "Where a development application related to matters that require an environmental impact assessment, the approving authority may grant preliminary approval subject to the applicant obtaining an EIA certificate in accordance with the National Environment Act". Section 2A of the Amendment provides a right to clean and health	MWE shall use established guidelines to acquire land and compensate where possible for acquired lands, as well as safeguarding the natural environment, in line with the provisions of this Act. Where necessary RAP will be prepared for the Water transmission lines in fulfilment of the above provisions before construction activities are implemented.
Amendment Act, 2020	environment. And every Ugandan has a duty to create, maintain and enhance a well-planned environment. Any result of act or omission by any person likely to breach a physical development plan or physical planning standard report to relevant authorities or file a civil suit against any person whose act or omission has breached or likely to breach a physical development plan or physical planning standard.	
The Public Health Act, Cap 281	The Public Health Act aims at avoiding pollution of environmental resources that support health and livelihoods of communities. It gives local authorities powers (Section 103) to prevent pollution of watercourses.	The disposal of waste from the proposed project will have to be appropriately managed so as to prevent risk to public health, in line with the provisions of this Act.
The Local Governments Act, Cap 243	Provides for the system of local governments based on the decentralization of district for the enforcement of environmental law.	The developer will work closely with the District Water Officer (DWO), District Natural Resources Officer (DNRO) and Sub-County Community Development Officer in carrying out monitoring activities to ensure no damage onto the environment and social amenities.
Investment Code Act, Cap 92	Section 18(2) (d) of the Act requires an investor to take necessary steps to ensure that development and operation of an investment project do not cause adverse ecological and socio-economic impacts.	MWE is the implementing agency for the project that received funding from the World Bank. This ESIA is in partial fulfilment of the requirements of this Act, since adverse ecological and socio- economic impacts as a result of the project implementation have been identified and mitigation measures developed.
Employment Act, 2006	This Act is the principal legislation that seeks to harmonize relationships between employees and employers, protect worker's interests and welfare and safeguard their occupational health and safety through: i)	The Act will govern labour arrangements and conditions under which persons hired by the project work. It prohibits Child labour (a condition

	Prohibiting forced labour, discrimination and sexual harassment at workplaces (Part II; Part IV). ii) Providing for labour inspection by the relevant ministry (Part III). iii) Stipulating rights and duties in employment (weekly rest, working hours, annual leave, maternity and paternity leaves, sick pay, etc. (Part VI). iv) Continuity of employment (continuous service, seasonal employment, etc. (Part VIII). This Act is	the contractor must comply with) as well as providing guidance on work rights during the post-construction phase.
The Mining Act, Cap. 148	<ul> <li>Iteration is service, seasonal employment, etc. (rait vin). This Act is relevant to both construction &amp; operation phases.</li> <li>Stone quarry sites and gravel borrow pits will be necessary for materials needed to construct the concrete works of the project components. Therefore, applicable licenses shall be obtained from the Commissioner of the Geological Survey and Mines. The Mining Act of 2003 regulates mining developments including set up of new quarries and/or sandpits. Relevant environmental studies required for this license application are described in Part XI.</li> </ul>	This Act will apply to the project's contractor(s) who will be required to obtain license for extraction of stone/ aggregate and murram materials required for construction. The extraction of stone/aggregate and murram materials will be undertaken in line with the provisions of this Act. Issues of restoration of the sites after extraction of murram will be of key importance after
The Children's Act, Cap 59	This is an Act to reform and consolidate the law relating to children; to provide for the care, protection and maintenance of children; to make provision for children charged with offences and for other connected purposes. Part II of the second schedule of this Act defines a child as a person below the age of eighteen (18) years. In the same schedule under Section 8 of this Act provides that no child shall be employed or engaged in any activity that may be harmful to his or her health, education or mental, physical or moral development.	construction of the proposed project. This Project will require workers during construction, operation and maintenance phases. No child should be employed under project work force requirement however, any employment or engagement of children will be done in line with the restrictions of this Act and the Employment Act to ensure that risks to children are either eliminated, or reduced to as low as reasonably practicable. In addition, the contractor will confirm age of potential labourers prior to hiring through National Identity card, birth certificate or confirming with LC and community elders. Kagadi District Probation Officers will provide guidance to Contractors and their employees' areas of compliance.
The Historical Monuments Act, 1967	Sub-section 12(1) requires that any portable object discovered in the course of an excavation shall be surrendered to the Minister who shall deposit it in the Museum. The Act adds that, notwithstanding provisions	This Act requires that any chance finds encountered during project construction shall be preserved by the Department of Museums and

	of the subsection, where any object is discovered in a protected site, place, or monument, the owner of the protected site, place, or monument shall be entitled to reasonable compensation.	Monuments in the Ministry of Tourism, Wildlife and Heritage. Any chance find objects, material or infrastructure that may be identified as falling under the category of 'archaeological pale-ontological ethnographical and traditional interests' during the Project implementation will therefore, be reported to the Department of Museums and Monuments for advice and where necessary undergo a forensic assessment
The Equal Opportunities Commission Act, 2007	An Act to make provision in relation to the Equal Opportunities Commission pursuant to articles 32 (3) and 32 (4) and other relevant provisions of the Constitution; to provide for the composition and functions of the Commission; to give effect to the State's constitutional mandate to eliminate discrimination and inequalities against any individual or group of persons on the ground of sex, age, race, color, ethnic origin, tribe, birth, creed or religion, health status, social or economic standing, political opinion or disability, and take affirmative action in favour of groups marginalized on the basis of gender, age, disability or any other reason created by history, tradition or custom for the purpose of redressing imbalances which exist against them; and to provide for other related matters.	MWE, the contractor and the operator will work hand in hand with ensure that that there is no discrimination and inequalities against any individual or group of persons on the ground of sex, age, race, color etc. Local recruitment of workers among others will be prioritized for men, youth and women. A complaints mechanism will be put in place to ensure there is redress of registered grievances.
The National Council for Disability Act, 2003	The Act provides for the establishment of a National Council for Disability, its composition, functions and administration for the promotion of the rights of persons with disabilities set out in international conventions and legal instruments, the Constitution and other laws, and for other connected matters. Part IV provides for the establishment of lower councils for disability.	MWE, the contractor and the operator will work hand in hand with the already formulated District and Sub County Council for Disability in ensuring that the needs of the persons with disabilities are observed.
The National Environment (Environmental and Social Assessment) Regulations, 2020	According to sections 15 of the Regulations, the developer of any project that has or is likely to have a significant impact on the environment is required to undertake an ESIA process after approval of the ToRs.	ESIA report has been prepared for NEMA's consideration after the approval of the Terms of References before implementation of the proposed project.
The National	In Regulation 17 (1), every landowner, occupier or user who is adjacent or	Prior to any works at the discharge of effluent back

Environment	contiguous with a wetland shall have a duty to prevent the degradation or	into the environment or any wetland, MWE will seel
(Wetlands, River	destruction of the wetland and shall maintain the ecological and other	permission from NEMA, as provided for in these
Banks and Lake	functions of the wetland. The tool used under these Regulations to ensure	Regulations. Water source protection measures and
Shores	compliance is the permit.	an independent WSPP have been proposed to
Management)		protect any wetland resources within the catchmen
Regulations, 2000		area for the KWSS.
The National	Regulation 5 (1) stipulates that a person who generates waste, a waste	These regulations apply to both construction and
Environment (Waste	handler or product steward has a duty of care and shall take measures to	operation-phase waste which should be managed
Management)	ensure that waste is managed in a manner that does not cause harm to	in a way such as to avoid environmental and public
Regulations, 2020	human health or the environment among other provisions.	health impact. Therefore, all the generated various
		types and volume of waste should be managed
		and conform to these regulations.
The National	Part III Section 8 (1) requires facility operators, to use the best	All construction activities should be carried out
Environment	practicable means to ensure that the emission of noise does not exceed	between 7am – 6pm by the Contractor as working
(Noise Standards	the permissible noise levels. The regulations require that persons to be	hours. No construction activities to be carried out
and Control)	exposed to occupational noise exceeding 85 dBA for eight hours in a	at Night. Noise levels should also be monitored
Regulations, 2000.	day should be provided with requisite hearing protection.	and not to exceed 85dB as per Regulation.
The Water	With regard to water abstraction, Part II: Section 3 Sub-section (1) of	Water abstraction permit will be obtained by the
Resources	these regulations requires application for Water Permits by anyone who:	developer from the Directorate of Water
Regulations, 1998	(a) Occupies or intends to occupy any land; (b) Wishes to construct,	Resources Management (DWRM) before
	own, occupy or control any works on or adjacent to the land referred to	operation phase.
	in regulation 10; may apply to the Director for a water permit.	
The National	Section 5 details that a person shall not discharge effluent into water or	Effluent/liquid waste (such as human waste, food
Environment	land except in accordance with the Act, the Water Act, the National	scraps, oils, soaps and chemicals) should not be
(Standards for	Environment (Waste Management) Regulations, 2020, the Petroleum	discharged into any wetland or in the River water
Discharge of	(Waste Management) Regulations, 2019, the Water (Waste Discharge)	resources and should be managed in a manner
Effluent into Water	Regulations, these Regulations and environmental standards. For this	that does not cause harm to human health or the
or on Land)	project, this standard is applicable to liquid waste/ sewage treatment	environment.
Regulations, 2020	plant and public toilets.	
Draft National Air	The draft national air quality standards provide Uganda's regulatory air	These standards will apply particularly during
Quality Standards,	quality standards.	construction of the pump station and reservoirs.
2006		

	Pollutant	Averaging time for ambient air	Standard for ambient air	
	Carbon dioxide (CO <sub>2</sub> )	8 hour	9.0 ppm	
	Carbon monoxide (CO)	8 hour	9.0 ppm	
	Hydrocarbons	24 hour	5 mg m-3	
	Nitrogen exides (NO <sub>x</sub> )	24 hour 1 year arithmetic mean	0.10 ppm	
	Smoke	Not to exceed 5 minutes in any one hour	Ringlemann scale No.2 or 40% observed at 6m or more	
	Scot	24 hour	500 µg Nm <sup>-3</sup>	
	Sulphur dioxide (SO <sub>2</sub> )	24 hour	0.15 ppm	
	Sulphur trioxide (SO <sub>2</sub> )	24 hour	200 µg Nm-3	
	Note: ppm = parts per million; 7 atmosphere).	V" in µgNim-3 connotes normai atmospheric condi	tions of pressure and temperature (25oC and 1	
The National	Part III on Enviror	mental Compliance Audit,	Section 12, Sub-section (1)	The project will involve construction and operation
Environment (Audit)	requires the deve	loper of a project or activit	ty listed in Schedule 3 to	of water supply and sanitation facilities that have a
Regulations, 2020	these Regulations	s to carry out an environm	ental compliance audit.	potential to impact negatively of the environment.
J ,	5	5		Therefore, MWE should conduct Environmental
				Audits to assess if there are impacts, to what
				extent and mitigate them.

# 2.4 World Bank Safeguard Policies and Requirements

The IWMDP is assigned an EA Category B given that significant adverse environmental and social impacts are not expected due to the nature of the proposed activities. Following the environmental and social screening of the proposed project activities, the anticipated negative impacts will be localized, site-specific and small to moderate in scale. The project is not anticipated to generate any potential large scale, significant and/or irreversible impacts. None of the project activities will be located in environmentally sensitive areas, and all the associated impacts can be mitigated with relatively standard mitigation measures.

# Overall, by their nature, location, scale & scope, including the E&S context where the Kabamba RGC Cluster Water Supply System (WSS) project will be situated, will have minimal adverse environmental and social impacts. Therefore, negative impacts are expected to be mitigated with known technology, good practices and management solutions, resulting in residual impact of minor significance. This therefore qualifies the project to be EA Category B.

The objective of the World Bank's environmental and social safeguard policies is to prevent and mitigate undue harm to people and their environment during the development process. These policies provide guidelines for Bank and borrower staff in the identification, preparation, and implementation of programs and projects. Safeguard policies provide a platform for the participation of stakeholders (World Bank, 2006). The triggered safeguard policies are presented in the Table 4 below:

Yes √ or	If applicable, how might it apply?	
No X		
	Environmental Assessment (OP/BP/GP 4.01)	
V	The Environmental Assessment (EA) Safeguard is to ensure that projects are environmentally and socially sustainable, and provide a basis for improved decision making. OP 4.01 evaluates a project's potential environmental risks and impacts in its area of influence; examines project alternatives; identifies ways of improving project selection, siting, planning, design, and implementation by preventing, minimizing, mitigating, or compensating for adverse environmental impacts and enhancing positive impacts; and includes the process of mitigating and managing adverse environmental impacts throughout project implementation. The proposed project will largely generate positive impacts contributing to public health, economic growth, and environmental sustainability. OP 4.01 is triggered as the project may have potential negative environmental and social impacts through the construction and operational phases. Possible impacts during construction include; impacts on water bodies associated due to earthworks and wastewater generated from construction activities; emissions of particulate matter by earthworks and removal of vegetation cover; Occupational, Health, and Safety (OHS) risks; and social misdemeanor by workers. The impacts during construction phase will be temporary while works are carried out. During the operation phase, the potential risks include unpleasant odors and noise from the operation of sanitation facilities; inadequate sludge management and wastewater effluent discharges; possible impacts on surface and/or ground water due to leakages from and intrusion of storm water to the facilities (sewers, manholes, ponds, septic tanks).	
	The anticipated negative impacts will be localized, site-specific and small to moderate in scale.	

## Table 4: World Bank Operational Policies to be triggered by the project

-	-
	All project adverse impacts are expected to be mitigated with known technology, good practices and management solutions, resulting in residual impact of minor significance. With respect to AC, the environmental management plan will include management measures for the removal, packaging, transportation and disposal of existing asbestos waste. Works and equipment will be designed based on technical studies to ensure safe yield from groundwater and surface water resources. The water and sanitation facilities are relatively small. The Project is classified as Category B because it will not generate any potential large scale, significant and/or irreversible impacts, it is not located in environmentally sensitive areas, and impacts can be mitigated with relatively standard mitigation measures. Safeguards instruments: Compliance will be ensured through diligent application of Environmental and Social Management Framework (ESMF) and site specific Environmental and Social Impact Assessments (ESIAs)/Environmental and Social Management Plans (ESMPs) during implementation. The Project will follow the WB- EHS Guidelines for Water and Sanitation.
	Natural Habitats (OP/BP 4.04)
V	While no significant negative impacts on natural habitats are anticipated by project works, the policy is triggered because most of the sanitation facilities may discharge their effluent into wetlands. In addition, the project will also involve catchment management and some of the investments may involve afforestation, reforestation and improvement of watersheds. Depending on the subprojects and potential negative impacts to the natural habitats (forests, wetlands, lakeshores, and riverbanks), these subprojects will include/encompass natural habitats assessment and mitigation under the given sub-project ESIA/ESMP to protect or preserve any flora & fauna species identified at risk of being affected. If a subproject can cause irreversible damages, it will be excluded.
	Forests (OP/BP 4.36)
V	OP 4.36 is triggered due to potential project impacts on health and quality of forests, especially in the catchment areas where the project will support afforestation, reforestation and improvement of watersheds. Compliance will be ensured through the site specific ESIAs/ESMPs that shall ensure inclusion of forests assessment and mitigation.
	Pest Management (OP 4.09)
x	The project will not involve or support the purchase, manufacture or use of pesticides. The Project will not lead to increased/changed use of pesticides.
	Physical Cultural Resources (OP 4.11)
v	The policy is triggered due to the possibility of chance finding of physical cultural resources during construction. Any potential physical cultural resources will be addressed by incorporating reporting and handling procedures as part of site specific ESIA and dealt with in the context of the ESMF. The ESMF has provided a generic Chance Finds Procedure that will guide handling accidental encounter of archaeological resources.
	Involuntary Resettlement (OP/BP 4.12)
√	The purpose of this policy is to avoid or minimize involuntary resettlement and, where this is not feasible, assist displaced persons in improving or at least restoring their livelihoods and standards of living in real terms relative to pre-displacement levels or to levels prevailing prior

	to the beginning of project implementation, whichever is higher. The key objectives of this operational policy are to: a. Avoid or minimize involuntary resettlement scenarios, where possible and examine all viable alternative project designs; b. Support affected persons in restoring/improving their former living standards, income generation and production capacities, or at least in restoring them; c. Encourage community involvement in planning and implementing resettlement actions, and provide assistance to affected people regardless of the legality of land tenure. The policy does not only cover physical displacement, but also any loss of land or other assets associated to the proposed actions resulting in: a. relocation or loss of shelter; b. loss of assets or access to assets; and loss of income sources or means of livelihood, whether or not the affected person is to reallocate to a new area. The policy is therefore triggered because of the potential negative social impacts that might result from the need for land acquisition and/or the loss of access to economic assets and livelihoods due to Integrated Water Resources Management (IWRM) and WSS activities. The RAP for the project was prepared as guided by the RFP which was prepared by MWE and disclosed in 2018. A RAP executive Summary has been annexed to this ESIA report (Annex VIII). Both instruments will be disclosed by MWE on its website and on that of the World Bank website. For sub-projects covered under the RPF, these shall be subjected to social screening and where necessary their RAP shall be prepared and implemented before commencement of implementation of any such activities.
x	Indigenous Peoples (OP 4.10) There are no areas occupied by indigenous people in the project area
x	Safety of Dams (OP/BP 4.37) OP 4.37 is not triggered as the project will finance rehabilitation and construction of small dams (i.e. dams smaller than 15m, as per OP 4.37) identified through the catchment planning process under component 3, including small dams to prevent soil erosion and for flood protection. The Project does not support the construction or rehabilitation of large dams and subprojects do not include structures that will rely on the performance of an existing dam or dam under construction (DUC).
x	Projects in Disputed Areas (OP/BP/GP 7.60) OP 7.60 is not triggered as there are no known disputed areas in the project districts. If any, the project will not support any activities in disputed areas.
Х	Projects on International Waterways (OP/BP/GP 7.50) This policy is not triggered since the water source is not an International Waterway.

# 2.5 World Bank Policy on Disclosure of Information

The World Bank, through its Disclosure Policy BP 17.50, requires that all safeguard documents be disclosed in the respective countries as well as at the Bank's Info shop or Website prior to appraisal or for Fast Tracking Initiative prior to Signing of the Grant Agreement. The Bank recognizes the right to information, and has information disclosure policies which generally contain the following elements: principles of disclosure; exceptions to disclosure; routine disclosure; and request driven disclosure. Disclosure of documents (including a summary of the project, and a summary of Environmental Assessment) should be in the local language, at a public place accessible to project-affected groups, local

non-governmental organizations and other interested persons. In-country disclosure of information is the responsibility of the borrower, in this case of the project proponent through the steering committee or the individual institutions that will be implementing a project, in this case the MWE. Disclosure at the Bank's website is the responsibility of the World Bank. Documents that need to be disclosed include:

- Integrated Safeguards Data Sheet;
- All Safeguard mitigation plans: (i). ESIA , and/or ESMPs; and (ii). RAP.

All documents should be made available to stakeholders well in advance of consultations and all public consultations should be completed and draft or final documents should be disclosed prior to the project appraisal. In addition, all final documents, including the results of the consultations should be disclosed for the record. For the present ESMF document, information disclosure was initiated with the stakeholder consultations and public meetings held in selected project sites and Ministries or Agencies. The meetings provided an opportunity for stakeholders to provide comments and useful inputs to be taken into consideration when planning and eventual implementation of the proposed project.

Since the ESMF was completed, it is proposed that the disclosure process be through continued interaction with stakeholders using contacts gathered during public meetings. A pubic advert shall be sent to most widely distribute and read newspapers in the country, to inform stakeholders of the availability of the ESMF document for review and comments. The MWE shall ensure the availability of the full ESMF in their Public Library and Website, including websites and offices of MWE, and participating Districts and the sub county, where the public can have access and provide any comments.

# 2.6 World Bank Project Classification

The proposed project is classified as Category B as per WB project classification. The proposed construction and operation of Kabamba RGC piped water supply facilities will be restricted within the user-communities. The project will not directly affect ecosystems such wetlands, forests, grasslands and other natural resources. World Bank classifies a proposed project into one of four categories, depending on the type, location, sensitivity, and scale of the project and the nature and magnitude of its potential environmental and social impacts as presented below in Table 5.

Table F. Warld Davis Duatest Classification

	Table 5: World Bank Project Classification
Category A	A project is classified as Environmental Category A if it is likely to have significant adverse environmental impacts that are sensitive, diverse, or unprecedented. The project impacts may affect an area broader than the sites or facilities subject to physical works. Environmental assessment for a Category A project examines the project's potential negative and positive environmental impacts, compares them with those of feasible alternatives including the "without project" situation, and recommends any measures needed to prevent, minimize, mitigate, or compensate for adverse impacts and improve environmental performance.
Category B	A project is classified as Environmental Category B if its potential adverse environmental impacts on human populations or environmentally important areas, including wetlands, forests, grasslands, and other natural habitats, are less adverse than those of Category A projects. These impacts are site-specific; few if any of them are irreversible; and in most cases mitigation measures can be designed more readily than for Category A projects. Here the project is required to develop an ESMP that outlines potential negative and positive environmental impacts and measures needed to prevent, minimize, mitigate, or compensate for adverse impacts and improve environmental performance.
Category C	A project is classified as Environmental Category C if it is likely to have minimal or no
	adverse environmental impacts. Beyond screening, no further environmental assessment is

	required for a Category C project.
Category FI	A project is classified as Environmental Category FI if it involves investment of Bank funds
	through a financial intermediary, in subprojects that may result in adverse environmental
	impacts.

# 2.7 Environmental Health and Safety Guidelines Specific to Water Supply and Sanitation Projects

The World Bank Group (WBG) Environmental Health and Safety (EHS) General Guidelines are recommended to be used by the project. This section provides an overview on how the general approach to be taken with regards to the management of EHS issues at the sub-project or project level. The WBG EHS Guidelines are technical reference documents with general and industry-specific examples of Good International Industry Practice (GIIP). They shall be referred to and used to guide EHS issues in specific industry sectors, and they should be used together with the safeguard policies. These shall govern both workers' (occupational) safety and public safety. However, the application of the EHS Guidelines to existing facilities that will be rehabilitated/expanded may involve the establishment of site-specific targets, with an appropriate timetable for achieving them. The applicability of the EHS Guidelines shall be tailored to the hazards and risks established for each project on the basis of the results of an environmental assessment in which site-specific factors are considered. Effective management of environmental, health, and safety (EHS) issues entails the inclusion of EHS considerations into corporate- and facility-level business processes through the following steps:

- Identifying project hazards and associated risks as early as possible;
- Involving EHS professionals, who have the experience, competence, and training necessary to assess and manage EHS impacts and risks, and carry out specialized environmental management functions;
- Understand the likelihood and magnitude of the risks;
- Prioritizing risk management strategies with the objective of achieving an overall reduction of risk to human health and the environment;
- Favouring strategies that eliminate the cause of the hazard at its source;
- Incorporating engineering and management controls to reduce or minimize the possibility and magnitude of undesired consequences;
- Preparing workers and nearby communities to respond to accidents;
- Improving EHS performance through a combination of ongoing monitoring of facility performance and effective accountability.

The following were considered when assessing the potential risks related to health, safety and security: Infrastructure and Equipment Safety; Hazardous Materials Safety; Environmental and Natural Resource Issues (such as floods/ landslides etc.); Community safety and exposure to project related risks; Emergency Preparedness and Response. The General EHS Guidelines contain information on cross-cutting environmental, health, and safety issues potentially applicable to all industry sectors. This document should be used together with the relevant Industry Sector Guideline(s). The General EHS Guidelines (2007) relevant to this Project are summarized in Table 6:

#### Table 6: World Bank General EHS Guidelines relevant to this Project

Aspect	Relevancy to the proposed project				
Environmental					
Air Emissions and Ambient Air Quality	This guideline is relevant because fugitive				
This guideline applies to facilities or projects that	emissions are expected during the construction				

generate emissions to air at any stage of the project life-cycle. This guideline provides an approach to the management of significant sources of emissions, including specific guidance for assessment and monitoring of impacts.	phase of this Project. These guidelines will be referenced for acceptable air quality levels during Project implementation, particularly for fugitive sources.
Wastewater and Ambient Water Quality This guideline applies to projects that have either direct or indirect discharge of process wastewater, wastewater from utility operations or storm water to the environment. These guidelines are also applicable to industrial discharges to sanitary sewers that discharge to the environment without any treatment. Projects with the potential to generate process wastewater, sanitary (domestic) sewage, or storm water should incorporate the necessary precautions to avoid, minimize, and control adverse impacts to human health, safety, or the environment.	This Project is primarily about water abstraction, treatment, supply and management. As the guidelines state, any wastewater discharge, even of uncontaminated will be managed properly before discharge. These guidelines will be referenced for principles of HSE regarding wastewater management, to improve efficiency and sustainability of the Project.
Waste Management These guidelines apply to projects that generate, store, or handle any quantity of waste across a range of industry sectors.	This Project will produce waste during the construction period. The operation and maintenance phase also have an insignificant element of waste management since the operation will only involve the water abstraction, treatment and supply.
Solid (non-hazardous) wastes generally include any garbage, refuse. Examples of such waste include domestic trash and garbage; inert construction / demolition materials; refuse, such as metal scrap and empty containers (except those previously used to contain hazardous materials which should, in principle, be managed as a hazardous waste); and residual waste from industrial operations, such as boiler slag, clinker, and fly ash.	and supply. These guidelines will be referenced for principles of HSE regarding waste management during the life of this Project.
Hazardous waste shares the properties of a hazardous material (e.g. ignitability, corrosivity, reactivity, or toxicity), or other physical, chemical, or biological characteristics that may pose a potential risk to human health or the environment if improperly managed.	
<i>Noise</i> This guideline addresses impacts of noise beyond the property boundary of the facilities. Noise prevention and mitigation measures should be	The pump station is far away from residential areas and houses and it is not close to schools and health care institutions which are considered to be very sensitive receptors.
applied where predicted or measured noise impacts from a project facility or operations exceed the applicable noise level guideline at the most sensitive point of reception	Noise emissions shall be monitored against the WB's guidelines during construction, operation and maintenance:
Contaminated Land This guideline provides a summary of management approaches for land contamination due to anthropogenic releases of hazardous materials, wastes, or oil, including naturally occurring substances. Releases of these materials may be the	The Contractor(s) will ensure that hazardous materials, wastes, or oil will not be discharged or released onto soils and land. All servicing and maintenance of construction vehicles such as trucks and equipment shall not be done on site.

result of historic or current site activities, including, but not limited to, accidents during their handling and storage, or due to their poor management or disposal. Contaminated lands may involve surficial soils or subsurface soils that, through leaching and transport, may affect groundwater, surface water, and adjacent sites. When contamination of land is suspected or confirmed during any project phase, the cause of the uncontrolled release should be identified and corrected to avoid further releases and associated adverse impacts	
Occupational Health and Safety	
<i>Communication and Training</i> This includes guidelines for OHS Training, Visitor Orientation, New task employee and contractor training, Area signage, labelling of equipment, communicate hazard codes, among others.	Supervising Consultants and Contractors for the Project will have to ensure that OHS requirements for the Project are met in line with these guidelines
Provisions should be made to provide OHS orientation training to all new employees to ensure they are apprised of the basic site rules of work at / on the site and of personal protection and preventing injury to fellow employees.	
Physical Hazards	During the construction of the Kabamba RGC WSS
Physical hazards represent potential for accident or injury or illness due to repetitive exposure to mechanical action or work activity. Single exposure to physical hazards may result in a wide range of injuries, from minor and medical aid only, to disabling, catastrophic, and/or fatal. Multiple exposures over prolonged periods can result in disabling injuries of comparable significance and consequence.	such as dredging, equipment and machinery which generate noise and vibrations will be used. These operations will be guided by these guidelines.
Sources of potential for such injury include rotating and moving equipment, noise, vibration, eye hazards, industrial vehicle driving and site traffic, ergonomics, repetitive motion, manual handling, among others.	
Personal Protective Equipment (PPE)	Supervising Consultants and Contractors for the Project will have to ensure that PPE requirements
Personal Protective Equipment (PPE) provides additional protection to workers exposed to workplace hazards in conjunction with other facility controls and safety systems. PPE is considered to be a last resort that is above and beyond the other facility controls and provides the worker with an extra level of personal protection.	for the Project are met in line with these guidelines. PPE will be provided (as required) for eye and face protection, head protection, hearing protection, foot protection, hand protection, respiratory protection, body/leg protection
Monitoring Occupational health and safety monitoring programs should verify the effectiveness of	Stringent monitoring of HSE aspects will be crucial for the successful implementation of the Project, to have risks reduced to levels that are as low as reasonably practicable.

prevention and control strategies. The selected indicators should be representative of the most significant occupational, health, and safety hazards, and the implementation of prevention and control strategies	
Community Health and Safety	
Water Quality and Availability Groundwater and surface water represent essential sources of drinking and irrigation water in developing countries, particularly in rural areas where piped water supply may be limited or unavailable and where available resources are collected by the consumer with little or no treatment.	In the project area, there's no potential for the Project to impact on water quality and availability. There are no other water pipes crossing or traversing near the proposed project area which could cause disruption during Project implementation to guarantee measures in line with these guidelines to be put in place.
Project activities involving wastewater discharges, water extraction, diversion or impoundment should prevent adverse impacts to the quality and availability of groundwater and surface water resources. Project activities should not compromise the availability of water for personal hygiene needs and should take account of potential future increases in demand	
Structural Safety of Project Infrastructure Hazards posed to the public while accessing project facilities may include: Physical trauma associated with failure of building structures; Burns and smoke inhalation from fires; Injuries suffered as a consequence of falls or contact with heavy equipment; Respiratory distress from dust, fumes, or noxious odors; Exposure to hazardous materials; Reduction of potential hazards is best accomplished during the design phase when the structural design, layout and site modifications can be adapted more easily.	This guideline will be referenced in line with the integrity of the structures and any hoarding installed. PPE will be provided to persons accessing the project facilities. For all public roads and access roads used by the construction activities, dust suppression using water will be carried out by the Contractor(s). All visitors will be inducted in EHS requirements before accessing any construction site/area. Safety signs and safe systems of work will be developed for each workstation.
Traffic Safety Traffic safety should be promoted by all project personnel during displacement to and from the workplace, and during operation of project equipment on public roads. Prevention and control of traffic related injuries and fatalities should include the adoption of safety measures that are protective of project workers and of road users, including those who are most vulnerable to road traffic accidents. Road safety initiatives proportional to the scope and nature of project activities.	Accessibility to the Kabamba RGC WSS is along the Kagadi community roads and work at the proposed site will disrupt traffic. Delivery of materials and movement of equipment for the Project will also impact traffic. This guideline will be referenced in line with traffic safety during Project implementation
Disease Prevention Communicable diseases pose a significant public health threat worldwide. Health hazards typically associated with large development projects are	The risk of spread of communicable and vector- borne diseases exists, particularly due to potential influx of Project workers and water impoundment in some cases, as required during construction. This guideline will be referenced in line with disease prevention in the Project communities.

those relating to poor sanitation and living	
conditions, sexual transmission and vector-borne infections.	
Communicable diseases of most concern during the construction phase due to labor mobility are sexually transmitted diseases (STDs), such as HIV/AIDS. Recognizing that no single measure is likely to be effective in the long term, successful initiatives typically involve a combination of behavioral and environmental modifications.	
Reducing the impact of vector-borne disease on the long-term health of workers is best accomplished through implementation of diverse interventions aimed at eliminating the factors that lead to disease.	
Emergency Preparedness and Response	On any construction site, there is a potential that
All projects should have an Emergency Preparedness and Response Plan that is commensurate with the risks of the facility and that includes the following basic elements: Administration (policy, purpose, distribution, definitions, etc.); Organization of emergency areas (command centers, medical stations, etc.); Roles and responsibilities; Communication systems; Emergency response procedures; Emergency resources; Training and updating; Checklists (role and action list and equipment checklist); Business Continuity and Contingency.	risks will occur. It is important to have measures in place to readily contain and respond to any risks when they occur. This guideline will be referenced in line with emergency preparedness and response.
Construction and Decommissioning	
Environment	
Guidelines on prevention and control of community	
health and safety impacts that may occur during new project development, at the end of the project life-cycle, or due to expansion or modification of existing project facilities include:	These impacts are applicable to this Project, and will be addressed in line with these specific guidelines
new project development, at the end of the project life-cycle, or due to expansion or modification of	will be addressed in line with these specific
new project development, at the end of the project life-cycle, or due to expansion or modification of existing project facilities include: Noise and vibration, soil erosion, sediment mobilization and d transport, air quality, solid waste, hazardous materials, wastewater discharges, and	will be addressed in line with these specific guidelines These impacts are applicable to this Project, and
new project development, at the end of the project life-cycle, or due to expansion or modification of existing project facilities include: Noise and vibration, soil erosion, sediment mobilization and d transport, air quality, solid waste, hazardous materials, wastewater discharges, and contaminated land.	will be addressed in line with these specific guidelines
new project development, at the end of the project life-cycle, or due to expansion or modification of existing project facilities include: Noise and vibration, soil erosion, sediment mobilization and d transport, air quality, solid waste, hazardous materials, wastewater discharges, and contaminated land. <i>Occupational Health and Safety</i> Guidelines are provided on aspects of OHS including over-exertion, slips and falls, work in heights, struck by objects, moving machinery, dust, confined spaces and excavations, and other site	will be addressed in line with these specific guidelines These impacts are applicable to this Project, and will be addressed in line with these specific

	arise from inadvertent or intentional trespassing, including potential contact with hazardous materials, contaminated soils and other environmental media, buildings that are vacant or under construction, or excavations and structures which may pose falling and entrapment hazards
--	--

# 2.8 Institutional Framework

Table 7 below presents the institutional framework.

Table 7: Institutional framework related to the project			
Institution	Mandate		
Ministry of Water and Environment (MWE)	MWE is responsible for policy formulation, setting standards, strategic planning, coordination, quality assurance, provision of technical assistance, and capacity building. The ministry under its Water Development directorate – DWD, is carrying out the ESIA for the proposed Kabamba RGC Piped Water Supply system. The ministry also monitors and evaluates sector development programmes to keep track of their performance, efficiency and effectiveness in service delivery. The ministry has three directorates: Directorate of Water Resources Management (DWRM), Directorate of Water Development (DWD) and the Directorate of Environmental Affairs (DEA). MWE is the lead agency for water Development and construction of the Water Supply System.		
Ministry of Lands, Housing and Urban Development (MLHUD)	Through the Chief Government Valuer (CGV) in the Valuation Department, MLHUD is responsible for reviewing and approving the Valuation Report developed as part of the RAP. The valuation report is critical in ensuring timely payment of fair and adequate compensation as well as ensure that the Project Construction and next steps commence in time.		
Ministry of Tourism, Wildlife and Antiquities (MTWA)	In-charge of protecting and preserving the sites with remain of cultural or archaeological importance when identified during construction activities for conservation, preservation, restoration and salvage.		
National Environmental Management Authority (NEMA)	The National Environmental Act, NO.5 of 2019 establishes NEMA as the principal agency responsible for coordination, monitoring and supervision of environmental conservation activities. NEMA is under MWE but has a cross-sectoral mandate to oversee the conduct of ESIAs through issuance of guidelines, regulations and registration of practitioners. It reviews and approves environmental impact statements in consultation with any relevant lead agencies. NEMA works with District Environment Officers and local environment committees at local government levels who also undertake inspection, monitoring and enforce compliance on its behalf. NEMA will therefore review and approve the ESIA report and through the District Environment Officer, undertake environmental monitoring during project implementation.		
Directorate of Water Resources Management (DWRM)	DWRM is responsible for issuing of water abstraction and wastewater discharge permits. The primary goal of the directorate is to promote sustainable development of Uganda's water sector. The directorate is into design and implementation of water quality assessments, monitoring ground and surface water resources, laboratory and field works and ultimately water pollution control.		
National Water and Sewerage	The National Water and Sewerage Corporation Statute establishes the NWSC with a mandate to operate and provide water and sewerage services in areas entrusted		

Corporation (NWSC)	to it on a sound commercial and viable basis. NWSC operates in cities and larger
	towns as well as decentralization and private sector participation in small towns.
Directorate of Water Development (DWD)	Lead agency responsible for policy guidance, coordination and regulation of all water sector activities including provision of oversight and support services to the local governments and other water supply service providers. DWD has the mandate to promote the provision of clean and safe water to all persons, investigate, control, protect and manage water in Uganda for any use in accordance with the provisions of the Water Statue, 1995
Directorate of Environmental Affairs (DEA)	The Wetlands Management Department (WMD) within DEA is mandated to manage wetland resources and its goal is to sustain the biophysical and socio economic values of wetlands in Uganda for present and future generations. Wetlands are under a lot of pressure from conversion for industrial development, agriculture, wastewater treatment facilities. WMD has an inventory of the major wetlands in country in the National Wetlands Information System (NWIS). The inventory provides an overview of wetland resource, their values, threats and possible management options.
Ministry of Gender, Labour & Social Development (MoGLSD)	MoGLSD sets policy direction and monitoring functions related to labour, gender, social inclusion and general social development. Its OHS Department in the ministry is responsible for inspection and mentoring of occupational safety in workplaces and this could be during project construction and operation of the laboratory facilities. The OHS Department in this Ministry is responsible for undertaking inspections of construction sites to ensure safe working conditions.
District Local Administration Structures	The proposed project is within the jurisdiction of Kagadi District Local Government (KDLG), headed by a Local Council V (LC V) Chairman and Chief Administration Officer (CAO) who are the political and technical heads respectively. Various district offices whose functions would be relevant to the project include offices of Natural Resources/Environment, District Health Inspector, District Planner, Community Development Officer, District Director of Health Services, District Water Officer, District Engineer District Probation Offices, Sub County Chiefs. Equally important are village-level local council administration (LC I and LC III). Leaders at these levels of local administration are closer to residents and therefore important in effective community mobilization, sensitization and dispute resolution given that the water supply project will serve communities. Local government structures are important for mobilizing support for the project as well as monitoring its social-environmental impacts both during construction and operation phases.

# 3.1 Location of the Proposed Project

Kabamba RGC is situated in Kabamba parish, Kabamba Sub-County in Kagadi District at UTM coordinates 36N 278858 East, 110448 North and identified in Figure 1.

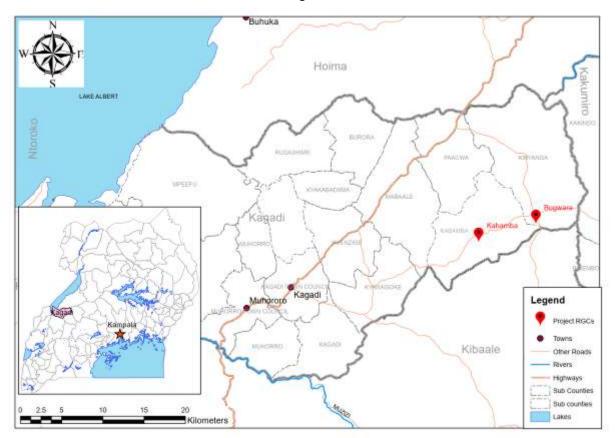


Figure 2: Kabamba in Kagadi District

Kabamba Rural Growth Centre (RGC) is located in Kabamba parish, Kabamba Sub County, a distance by road of 31km from the district headquarters at Kagadi. Kagadi is 255km by road from Kampala. Kagadi district is bordered by districts of Hoima to the north, Kibaale to the east, Kyenjojo to the south and Ntoroko to the west.

Kagadi district has an average annual rainfall of 1248mm in two rainy seasons, March to May and August to November. The average temperatures are high of 23°C in February and low of 21.2°C in July.

Kabamba RGC is served by motorable murram roads and mobile phone networks. There is currently no mains electricity but extension of the power line to Kabamba is ongoing.

The government Health Centre III in Kabamba is built but has not commenced operations. The proposed supply area comprises four (4) villages of Kabamba, Kahumuza, Kinaga and Nyakarambi

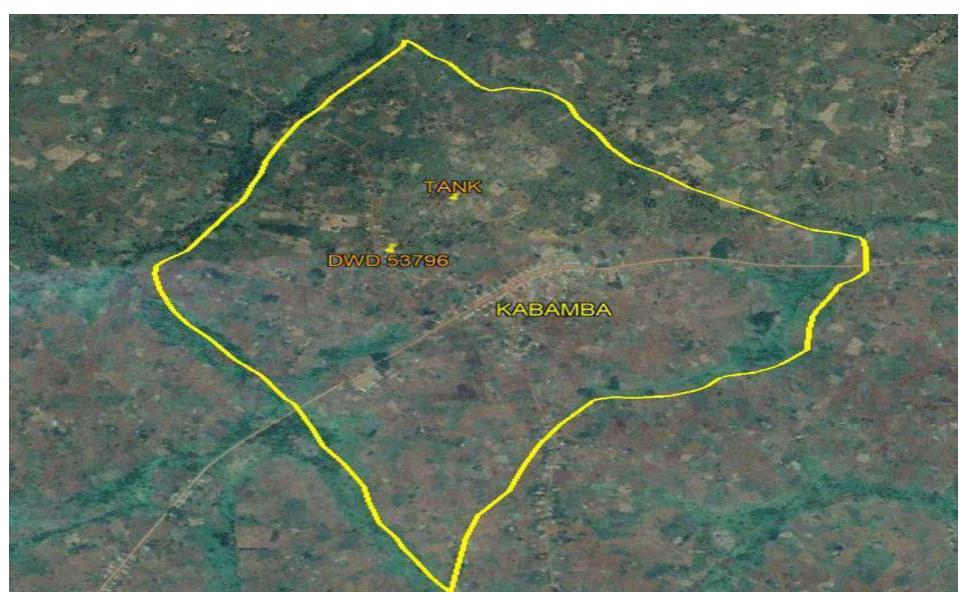


Figure 3: Kabamba RGC Project Area

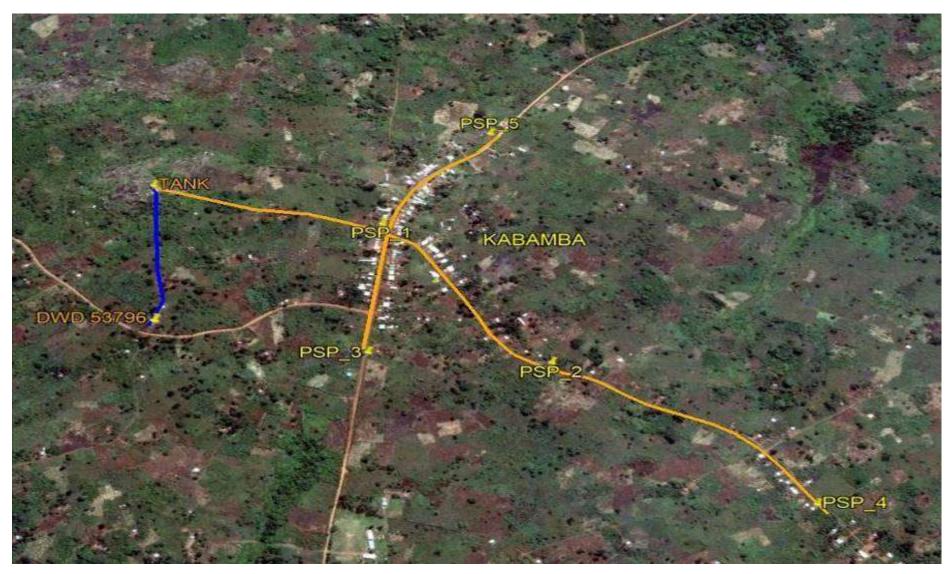


Figure 4: Kabamba Primary Water System (overlay on Google Earth image).

# 3.2 Project Description and Design

## 3.2.1 Water System Design Criteria

The design criteria adopted for the water supply system are reproduced in Table below.

Table 8: System Design Criteria				
Parameter	Value/ Standard			
Design horizon	20 yr			
Water Demand				
Unaccounted for water	20% average day demand			
Maximum day demand factor	1.3			
Borehole capacity	Maximum 18 hours per day			
Water Treatment Plant				
Water Quality Standards	US – 201: 1994			
Water Retaining Structures	BS 8110 and BS 8007			
Pipelines				
Pipe flow equation	Darcy-Weibach			
Friction factors	0.03 mm plastic			
	0.15 mm steel			
EPANET	Hazen Williams			
Roughness Coefficients	140 Plastic			
	130 lined steel			
Storage capacity	Minimum 8 hours			
Distribution system				
Peak hour factor	2.0			
Maximum residual pressure	80 m			
Minimum residual pressure	7 m			
Pipe materials				
< 100 mm	HDPE			
> 100 mm	uPVC			
Exposed pipe	steel			

Project Estimates

## 3.2.2 Hydraulic Design

Hydraulic design of the pipelines was undertaken using the Darcy-Weisbach pipe flow equation:

$$h_L = f \frac{L}{D} \frac{v^2}{2g}$$

head loss due to friction in section (m)

where

L = section length (m)

D = pipe diameter (m)

h<sub>L</sub> =

v = velocity in the section (m/s)

g = acceleration due to gravity (m/s<sup>2</sup>)

The Darcy friction factor, f, is given by the Swamee-Jain approximation of the Colebrook-White solution for the factor as follows:

$$f = \frac{1.325}{\left[ln\left(\frac{\varepsilon}{3.7D} + \frac{5.74}{Re^{0.9}}\right)\right]^2}$$

where f is a function of:

- = 0.03 for plastic pipes and 0.15 for steel
- D = pipe diameter (mm)

Re = Reynolds number (unitless)

#### 3.2.3 Water Hammer

Water hammer (surge pressure) is based on the Joukowsky equation.

$$h = \frac{vc_p}{g_1}$$

where

v = change in velocity, m/s

g = acceleration due to gravity,  $m^2/s$ 

cp = celerity of pressure wave in pipe, m/s

and celerity, cp is given by

$$c_p = \frac{2c_w}{1 + \left(\frac{E_w}{E_p}x\frac{d}{t}\right)}$$

where

cw = celerity of pressure wave in water, 1425 m/s

Ew = Bulk modulus of water

Ep = Modulus of elasticity of pipe

d = internal diameter of pipe

t = pipe wall thickness

## 3.2.4 Proposed Water Supply

a) System Layout and Design

Kabamba water supply is based on the one available high yield production borehole pumping to central storage and supply by gravity to the town core area and along the four access roads in each direction. A total of 0.22 acres of permanent land acquisition is required for the borehole. The main system comprises:

- 1no production borehole with submersible pump powered by solar system and grid power
- 0.65 km pumping main in OD75 HDPE PN20
- Pressed steel storage tank of 41m<sup>3</sup> capacity

• 4.2km primary distribution system in OD75 – OD40 HDPE



Plate 1: The drilled borehole as the potential water source

b) Treatment of Borehole Water

The borehole water meets the national standard US 201:1994 for drinking water. However, the water supply requires disinfection in the distribution system to handle incidental contamination.

Disinfection will be carried out by chlorination at the water storage tanks.

c) Civil Works at Borehole Site

The production borehole exists and civil works to be undertaken at the site include:

- 72-h test pumping before placing of orders
- Pumphouse comprising control room
- Attendants Quarters,
- Guardhouse and toilet facility
- Fencing
- Access road and hard standing
- Solar panel area

The layout is presented in Annex IV.

## 3.2.5 Pumping Main

a) Borehole Installation and Pipeline

The borehole and pipeline installation are presented graphically in Figure below.

Galvanized iron has traditionally been used for the borehole riser but the material is prone to rusting. The alternatives are stainless steel and super heavy-duty PVC. It is proposed the riser is installed in super heavy-duty PVC.

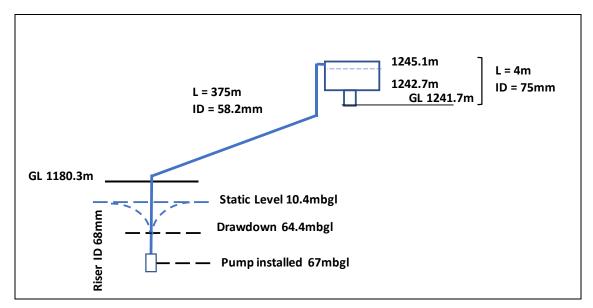


Figure 5: Borehole and Pumping Main Installation

b) Hydraulic Design

The hydraulic design of the pumping main is summarized in Table below. The total dynamic head (TD) = Drawdown (mbgl) + Static Lift (GL to tank inlet) + Friction losses. The hydraulic design of the pumping mains is presented in Table below.

Elevatio	ons (m)	Pipe	Flow		Velocity	Friction	Minor	Static	Total	Pump
From	То	Length (m)	(m <sup>3</sup> /hr)	Pipe details	(m/s)	H-loss (m)	losses (10%)	head (m)	pumping head (m)	Power (kW)
1113.3	1180.3	67		80 SHD PVC	0.92	1.61				
1180.3	1241.7	370	12	OD75 PN20	1.25	11.0	1.3	129	143	8
1241.7	1245.1	3.4		DN75 ST	0.75	0.0				
Project e	estimates									

Table 9: Pumping mains hydraulic design

c) Pipeline Water Hammer Analysis

The water hammer analysis indicates the PN16 pipe pressure rating meets pumping and water hammer requirements.

Table 10: Pumping	Main Water	Hammer	Analysis	

	Unit	Value
Data		
Flow rate	m³/h	12
Static Head on above ground pipeline	m	129.0
Pipeline length	m	373.4
Pipe Internal diameter (OD110 PN16)	mm	58.2
Pipe wall thickness	mm	8.4
Pipe Material		HDPE
Elasticity Modulus of Pipe	MPa	800
Elasticity Modulus water	MPa	2070
Computations		
Water velocity	m/s	1.3
Celerity	m/s	331

Pressure change, $\Delta$ P	m	42
Maximum pressure on pipeline = static + surge	m	171

Project estimates

d) Borehole Pump

A suitable borehole pump is the *Grundfos* ® *model 12A01914 SP 17-14* whose performance curve is shown against the system curve in Figure below.

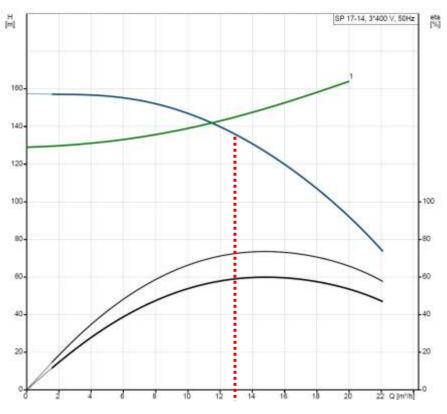


Figure 6: Grundfos SP 17-14 pump curve and pipeline system curve

# 6.2.6 Solar PV System

Table below shows the average daily sum of the global radiation at the BH 53726 site.

	Lat:	1.										
Location:	Lana	31.0										
	Long	08										
Medules	Slope	15°										
Module:	Azimuth	0°										
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Avg. daily		6.2	5.6	5.1	4.6	4.5	4.5	4.7	5.1	5.2	5.6	6.2
(kWh/m²/d)	6.5	9	2	8	8	3	1	5	9	9	4	4

Table	e 11: Mo	onthly in-plane	Solar	Insolation	at BH	53726,	Kabamba

Source: **PVGIS-SARAH**<sup>1</sup>

<sup>&</sup>lt;sup>1</sup> European Commission Photovoltaic Geographical Information System pro

The minimum solar radiation value of 4.51kWh/m<sup>2</sup>/d was used in the *GRUNDFOS*® *Sizing Tool*<sup>2</sup> which gave an average annual water production of 37,200 m<sup>3</sup> using a *Grundfos* SP17-15 pump.

Sizing results - summary	
Water production, Peak flow and Price Total water production per year: 37200 m <sup>3</sup> Avg. water production per day: 102 m <sup>3</sup> /day Average water production per watt per day: 6 l/Wp/day	Typical performance at solar radiation 800 W/m² Flow: 14.5 m³/h Total head: 136.7 m
Solar module configuration: Number of solar modules in series: 9, in parallel: 7 Solar array rated power: 17.01 kW Solar array rated volts: 284.4 V	Cables and pipes: Pump cable length: 90 m Pump cable size: 16 mm <sup>2</sup> Total cable loss: 3.1 %
Sun tracking: No (fixed) Tilt angle: 15 deg.	Pipe Length: 442 m Pipe diameter: 65 mm Friction loss: 7.7 m

#### Figure 7: BH 53726 Solar Pumping Sizing by Grundfos Product Centre

A manual check design of the solar system is presented in Table below.

Table	12:	DWD	53796	Solar S	ystem	Design
-------	-----	-----	-------	---------	-------	--------

Parameters	Unit	Values
Data		
Flow	m³/h	12
Total Dynamic Head-	m	136
Solar insolation	kWh/m²/d	4.51
Solar Panel rating	Wp	270
Solar system nominal voltage	V	415
Calculation		
Hydraulic Power for 168 m3/d	kWh	32.7
Electrical Power (pump+motor η = 52%)	kWh	65
Required Array size = Electrical Power/ Insolation	kWp	19.4
No panels = Array size/ Panel rating	nr	70
Project estimates		

## 3.2.7 Grid Power

Extension of mains electricity to the borehole site will be required to cater for:

- Days of low insolation
- Capacity output as water demands exceed the 7-hour solar pumping

The costs of 0.4km x 3-phase power line and 45 kVA transformer is included in the construction estimates.

a) Low Insolation

Solar insolation suffers from days of low solar insolation (called NO-SUN days). Table below shows that annually there are a total of 37 NO-SUN days over a consecutive month period.

<sup>&</sup>lt;sup>2</sup> Grundfos Product Centre,

Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Annual avg
6					_							

Table 13: Equivalent Number of No-Sun Davs in Kabamba

Source: NASA Prediction of Worldwide Energy Resources

## b) Capacity Requirements

Table below shows the annual water deficit in meeting maximum water demands assuming solar pumping available for 6.5 hours per day.

Tuble	14. Annual V	Mater Deficit of	i Solar i uniping		
	2023	2028	2033	2038	2043
Annual Max. Water Demand m <sup>3</sup> /a	71,525	100,813	139,556	195,276	268,767
Annual solar output deficit m <sup>3</sup> /a	0	11,388	28,032	49,932	77,964
Project estimates					

#### Table 14: Annual Water Deficit on Solar Pumping

Project estimates

c) Grid Power Pumping Hours

Table below shows the annual pumping hours required to meet average water demands using grid power at Kabamba.

Table 15: Annual Grid P	ower Pumpir	ng Hours			
Parameters	2023	2028	2033	2038	2043
Average daily pumping hours to meet max. demand	6.4	9.1	12.9	17.9	18**
Annual pumping hours for no-sun days	237	337	477	662	666
Annual pumping hours to meet demand deficit	0	949	2336	4161	4198**
Annual Pumping hours using Grid Power	237	1,286	2,813	4,823	4,864
** - Pumping hours at BH maximum output but not meeting	water demand	ds			
Project estimates					

# Table 15: Annual Grid Power Pumping Hours

## 3.2.8 Comparison of Power Sources

Comparisons were made, of the two power sources (Solar and Grid power) basing on the present value costs of installation and operating costs over the design horizon of 20years, as presented in Table below. The cost of land required for the solar PV panels is not included in the comparison.

		Table To. FC	wei suppiy opti	on companise	<u>, , , , , , , , , , , , , , , , , , , </u>	
Present	Grid Por	wer	Solar Po	ower	Hyb	rid
Value over 20-year project design horizon	Investment costs (Ush 10 <sup>6</sup> )	Power Tariff costs (Ush 10 <sup>6</sup> )	Investment costs (Ush 10 <sup>6</sup> )	O&M @ 0.5%pa (Ush 10 <sup>6</sup> )	Investment costs (Ush 10 <sup>6</sup> )	O&M + Power tariff costs (Ush 10 <sup>6</sup> )
	125	193.98	198.25	8.44	323.25	52.76
Total Costs	318.9	8	206.6	59	376	.01

### Table 16: Power Supply Option Comparison

From the computations presented in Table above, solar power presents as the most economical energy solution. However, solar power alone is insufficient to meet the project demands.

A hybrid system (solar and grid power) presents total costs that are higher than costs for all the other options due to the high investment costs. However, given the insufficiency of solar power alone to meet the project demands, the hybrid system will be the next best option because of its low operation and maintenance costs compared to grid power.

## 3.2.9 Storage Tank and Facilities

a) Storage Tank

The storage tank is sized at 30% Ultimate maximum day demand as per *Water Design Manual* equivalent to 45m<sup>3</sup>. Storage will be a pressed steel tank of 41m<sup>3</sup> capacity (4x3x2 plates) installed on 1-m high stub walls. The tank is sited on existing granite rock outcrop on top of a hill. The stub walls are founded on the rock outcrop and the structural details are included on the drawing. The tank site location coordinates are; 36N 278577E 111126N. Site development will include access road, Attendant housing and fencing. The storage tank will require 30 metres X 30 metres of permanently acquired land. An access road of 6-metre-wide and approximately 0.182 acres of land will be permanently acquired for easement.

b) Chlorination Building

Chlorination in the water distribution systems is required to cater for incidental contamination. The chlorination building is sited at the storage tank for ease accessibility rather than borehole site. The building is a blockwork structure with clay roofing tiles on timber structure. The chlorine solution is injected into the delivery main using a continuous proportional dosing pump as *Dosatron D20S* which uses the flow of water as source of power. The chlorine solution dosage and chemical usage are presented in Table below.

Parameter	Values	Units
Data		
Ct value required (WHO recommended)	15	mg-min/L
Chlorine solution strength	1%	
Tank volume	41.3	m <sup>3</sup>
Minimum tank volume	20.2	m <sup>3</sup>
Tank baffling factor	0.1	
Water inflow rate	12	m³/h
Maximum outflow rate (peak hour at Future Year)	0.21	m³/min
Calculations		
Residual chlorine dosage		
Detention time = minimum volume/ max outflow rate x baffling factor	9.8	min
Required residual chlorine dosage	1.5	mg/L
Chemical dosing		
Chlorine solution rate = inflow rate x $Cl_2$ dosage/ $Cl_2$ sol strength	1.8	L/h
Chemical usage		
HTH powder active Cl <sub>2</sub>	65%	
Chemical consumption	27.7	g/h
Project estimates		

|--|

# 3.2.10 Distribution System

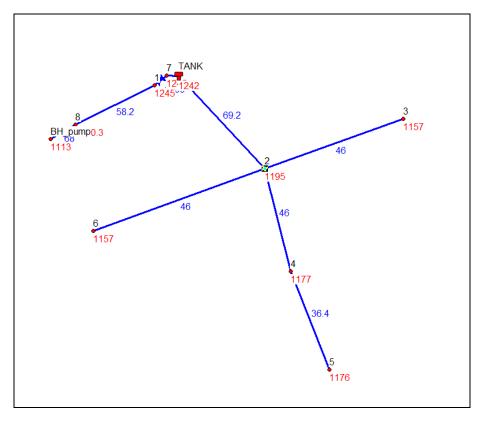
a) Primary Distribution

A total of 5.71km with a 3 meters' easement corridor. Permanent Land Restriction of 2.9700 acres will be required for easement and the pipe will be laid within the road reserve or on the sides of the access road. The layout of the primary distribution is presented in Figure below.



Figure 8: Kabamba Primary Water System (overlay on Google Earth image)

The primary distribution system was modelled using *EPANET*® and *Hazen-Williams* pipe flow formula. The layout is presented in Figure below.



#### **Figure 9: Primary Water Distribution Network**

## b) Transmission network

Total Length of Transmission Network 1.414 km with approximately 1.2863km with a 3 meters' easement corridor.

An extended period analysis of 168 hours (1 week) was undertaken based the demand pattern for township taps presented in the *Water Design Manual*. Figure below represents the pressure head in the storage tank with pumping from 5:00 AM to 9:00 PM.

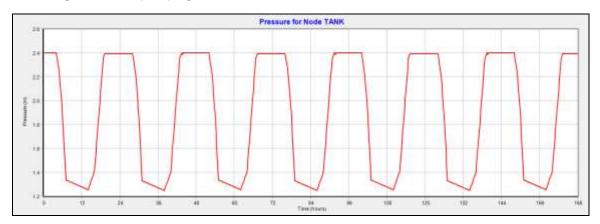


Figure 10: EPANET results for Water Levels in Storage Tank

The analysis results of the pressure ranges are presented in Table below.

Node ID	Pre	Pressure (m)		
	static	minimum		
Junc 2	49.4	41.52		
Junc 3	87.4	71.58		
Junc 4	67.4	51.44		
Junc 5	68.4	49.28		
Junc 6	87.4	69.32		
Tank	2.4	1.25		
Proiect estimates				

### Table 18: Epanet Result for Nodal Pressures

c) Secondary distribution, service connections and Public supply points

Based on the Socio-economic survey 6% of population can afford an onsite water connection. This translates to 30 yard taps and 1,500m (50m maximum service pipe length) in Year 2023. The locations of Public water points will also be undertaken in consultation with the stakeholders; a total of 3no multi-tap stand posts have been included in the Project estimates based on 19% population affording PSP service level and 250 persons per stand post. The Table below provides a summary of the primary and secondary distribution pipework.

#### **Table 18: Summary of Distribution Pipework**

Component	Quantity
Primary Distribution system	
OD75 HDPE PN 6	500 m
OD50 HDPE PN 6	2,100 m
OD40 HDPE PN 6	1,600 m
Total	4,200 m

Component	Quantity
Secondary Distribution (estimates)	
- OD20 – OD40 HPDE	3,000 m
<ul> <li>OD20 – OD25 HDPE service pipes</li> </ul>	1,650 m
- Public Stand posts	3 no
- Yard Taps	30 no

Project estimates

d) Water Office and Supply of Equipment

A new Water Office block will be constructed for the public interface with the Operator of the water system in receiving payment of water bills, requests for new connections and reporting of faults. The office will be furnished and equipped under the construction contract.

The Office block and adjacent Visitors Toilet Block will be constructed in rendered blockwork, burglar proofed metal casement windows and clay roofing tiles. Site infrastructure will include septic tank and soak-pit, access road and hard standing, security lighting and fencing.

The construction contract will also include provision of equipment and tools to be handed over to the Operator of the new water system.

e) Summary of Water Supply Proposals

Proposals for the water supply are summarized in Table below.

Component	Unit	Quantity
Production Borehole		
Electric submersible pump set; 12m <sup>3</sup> /hr at 143 m head	nr	1
OD80 PVC Super Heavy Duty borehole riser pipe	m	70
17kW Solar Water Pumping System	set	1
Site works, Attendants Quarters + Guardhouse	unit	1
Pumping main		
OD75 uPVC/ PN 20	m	370
DN75 steel at tank	m	5
Storage Tank		
Pressed steel tank on stub walls	m <sup>3</sup>	41
25 m <sup>2</sup> Building + chlorination system	no	1
Site development works and fencing		1
Primary Distribution system		
OD75 – OD 40 HDPE PN 6	m	4,200
Secondary Distribution (estimates)		
OD20 – OD40 HPDE	m	3,000
Public Stand posts	no	3
OD20 service pipes	m	1,650
Yard Taps	no	30
Water Office, 55m <sup>2</sup>		1

## Table 19: Summary of Water Supply Proposals

**Project Estimates** 

# 3.2.10 Sanitation facilities

The Project will also construct 2 public toilets at locations in the **Error! Reference source not found.** below that have been identified by Kagadi District Local Government Leadership together with the

Design Consultant and MWE. Approximately 0.0247 acres of land are required for these installations. These water supply and sanitation infrastructure will be implemented as part of the strategy to improve access to clean water, improved sanitation and hygiene in Kabamba RGC. A sustainable piped water supply and sanitation system have beneficial impacts on the social economic status of communities especially in terms of improving outcomes in the areas of health, poverty reduction and education.

S/n	Toilet Site Name	Institution	Location (Village,	Remark
			Parish, Sub County)	
1.	Kabamba Primary School	Kabamba Primary School	Kabamba, Kabamba, Kabamba	New Toilet Facility (10 X 10 metres)
2.	Kinaga Church of Uganda Primary School	Kinaga Church of Uganda Primary School	Kinaga, Kinaga, Kabamba	New Toilet Facility (10 X 10 metres)

 Table 21: Location of Sanitation facilities

# 3.3 Standards

The materials that will be specified for implementation of the systems shall meet the relevant ISO specifications especially that are imported, otherwise the materials must meet the national standards of the country in which they are manufactured and shall not be lower than the corresponding BS specifications. The existing UNBS, BS, ISO standards and also new standards by the same institutions will also be taken into account in the design of the water supply infrastructure. The Civil Engineering standard method of measurement issued by the Institution of Civil Engineers, London, CESMM3, 1995 or an updated version CESMM4, 2012 shall be used as the standard for the preparation of bills of quantities in civil engineering work in Uganda unless a different method is stated and modified to suit local conditions. In summary, Kabamba water supply is based on the one available high yield production borehole (DWD 53796, of 12m<sup>3</sup>/h. Pumping powered by 17kW solar PV system and power grid) pumping to central storage and supply by gravity to the town core area and along the four access roads in each direction. The main system comprises:

- 1no production borehole with submersible pump powered by solar system and grid power
- 0.65 km pumping main in OD75 HDPE PN20
- Pressed steel storage tank of 41m<sup>3</sup> capacity
- 5.2km primary distribution system in OD75 OD40 HDPE

# **3.4 Construction Activities**

# a) Project Phases

- *Mobilization Phase* This phase will involve mobilization of the construction human resource (approximately 15-20), equipment, construction materials, erection of temporary worker's camp and storage yard. The location of the project temporary camp will be agreed upon with the local leadership, landowners and contractor.
- Construction Phase All project activities under this phase are supposed to be carried along the tracks, route and access paths within the boundaries of the identified project sites without disturbing or obstructing the neighbours and businesses. To ensure this, the contractors will seal off the site perimeter with corrugated iron sheets or other suitable material during project implementation. In case of trenches, proper barricade has to be applied to warn and

protect the people of impending dangers of falling into open pits and trenches. Upon completion of preliminary activities and on-site investigations, actual construction of the project components and facilities will start which will involve:

- Setting out to demarcate rights of way, work areas, clearing limits. Access paths, detours, bypasses and protective fences or barricades should all be in place before construction begins.
- Excavation of trenches for water pipe lines;
- o Trench sheeting and bracing to protect collapsible trench side walls;
- Placing concrete to bases of foundations;
- Laying of mains water pipes; and
- Backfilling, disposal of overburden and surface restoration to at least match the condition that existed prior to the water works construction.
- Demobilization Phase Demobilization phase will involve clearing of the project site of all construction and unwanted material. The disposal of any unwanted material will be done by the contractor. The waste materials may include packaging, wood, steel crates, cardboard, wrapping materials, construction debris, boxes, sacks, drums, cans and chemical containers, etc. Damaged areas will need to be restored before commissioning the project. Upon completion of the contractor's obligations, the contractor will hand over the project to MWE, the client.
- Operation Phase This will involve employment of operators both skilled and unskilled, operation of the water supply system, maintenance of the facilities put in place, etc.

#### b) Construction Method

The actual choice of construction method and resources will be the Contractor's responsibility as dictated by the site conditions, productivity and construction schedule. The choice has a bearing on the cost implication. In all construction activities safety of operations is paramount. It entails carrying out of construction activities and operation of equipment by experienced personnel under supervision of experienced and qualified staff and use of well serviced construction equipment in good working condition. Safety on site will be managed by close supervision of the contractor's Health & Safety Officer and the Engineer's construction Supervision staff of the site activities with regard to the working environment in accordance with the applicable Environment, Safety, Health and Social Safeguard Policy.

#### c) Plants and Equipment

Because of the nature of the construction activities that will be undertaken, a number of plants and equipment will be used to execute the assignment by the contractor or the sub-contractor(s) and these will include among the following: Graders, Vibrators /Rollers, Water Trucks, Bulldozers, Front End Loader, Vehicles, Containers, Excavators, Water Pumps, Mechanical Tool Boxes, Civil Plate Compactors, Dump truck, Concrete Mixer, Crane and Compactor.

#### d) Earthworks

The earthworks including site clearance, general filling and excavation, and trenching can be carried out either by manual labour or mechanical equipment where large quantities are involved.

#### e) Concrete works

Concrete production is expected to be by the use of concrete mixers and/or manual production for the small works and where use of a mixer may be impractical.

#### f) Structural Steel

The lifting of heavy structural steel sections will be by cranes. The steel sections will be joined by either bolts or welding.

## g) Reinforcement Steel fixing

Various sizes of reinforcement steel bars will be cut to required lengths and bent to design shape either manually or by machines and will be placed and fixed for the works by manual labour.

## h) Masonry

All masonry work is to be by manual labour using the necessary hand tools.

# i) Pipe laying

Pipe laying is expected to be carried out by manual labour using the necessary hand tools and pipe lifting equipment for the heavy pipes.

## j) Electro-Mechanical Installations

All electro-mechanical installations are to be carried by manual labour using the necessary hand tools and mechanical lifting equipment.

## k) Implementation Schedule

The main objective is to determine a total duration of the project, which equals a "critical path" of events that determine the total duration. The anticipated implementation schedule is as per Table 22.

## Table 20: Implementation Schedule

Activity	Duration (Months)
Tendering Process	
Tender Evaluation	4
Contract Negotiation and Award	
Construction of Works	20
Defects Liability Period	12
Total	36

# 3.5 Quality Assurance

It is the responsibility of the supervising consultant to ensure that the desired quality of work is achieved. The materials supplied for the works should not deviate from those specified. At each stage during the construction process, samples of materials have to be taken to the Materials Laboratory for testing to ensure conformance to the specifications.

# 4.1 Introduction

This section outlines the methodology that was used to assess the E&S baseline and to identify, predict & assess the environmental and social impacts of the project on each relevant environmental and social component. It also covers the methodology for the identification of mitigation and monitoring measures that was recommended to address these impacts and identification of relevant stakeholders. The methodology consists of a review of Uganda's institutional arrangements, regulations and policies. Environmental and social impacts of the proposed project will be predicted in relation to environmental and social receptors and natural resources while comparing prevailing preproject conditions and post-project situations.

The requirement for environmental impact assessment in Uganda is set out by the *National Environment Act No. 5 of 2019* and the *Environmental and Social Impact Assessment Regulations of 2020.* This process was guided by the Environmental Impact Assessment (EIA) Guidelines (NEMA, 1997) and the process is schematically presented in Figure 11 overleaf.

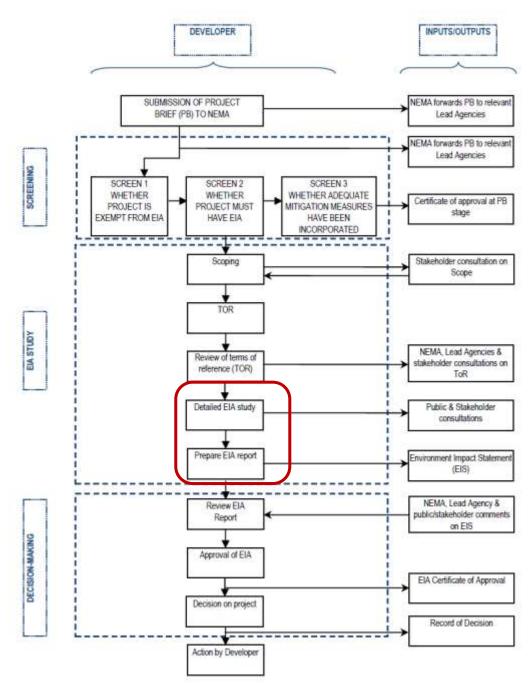


Figure 11: ESIA process that was adopted as provided for under the Laws of Uganda

# **4.2 Physical Environment**

Baseline noise levels and air quality were measured, not only to inform construction contractors about pre-construction conditions existing at proposed sites, but also the first annual environmental audit. Water quality analysis results obtained at the design stage have been adopted in this ESIA report. These were determined through the following actions:

# 4.2.1 Ambient Noise Assessment

Baseline noise measurements were undertaken at locations around the proposed facility sites (i.e. at production well and construction site for the pump station) with potential receptors. Measurement of ambient noise levels were carried out using a precision integrating sound level meter, with an active range of 0-130 decibels (dB) and complying with IEC 651 and ANSI S4 standards. A Casella CEL-621C digital noise logger will set to record for a sample period of 10 minutes at each of the selected

locations. The assessment procedure involved recording the  $LA_{MAX}$  and  $LA_{MIN}$  decibel levels. Measurement points were recorded using a GPS receiver and the noise sources together with the ambient environment at each location noted. The obtained results have been compared against the National Environment (Noise Standards and Control) Regulations, 2003. The regulations require that persons to be exposed to occupational noise exceeding 85 dBA for eight hours in a day should be provided with requisite hearing protection.

# 4.2.2 Air Quality Assessment

Baseline air quality was measured using a pair of digital MX6 iBrid<sup>™</sup> portable gas meters (Industrial Scientific-Oldham) and a Microdust 880nm digital aerosol monitor (Casella®). Measurement points or locations were selected basing on presence of potential receptors (such as construction sites for the pump station, sanitation facilities etc.) and an averaging period of 8 hours was used.

For gaseous emissions.

- The equipment was powered on and left in measuring mode for the first two minutes to allow zeroing and self-calibration. This was followed by ten minutes of measurement to allow digital readings to stabilize before they could be recorded.
- Measurements were conducted at each of the selected points to determine whether there would be any gaseous emissions detected.
- Values for Lower Explosive Limit (LEL), Carbon monoxide (CO), Oxygen (O<sub>2</sub>), Hydrogen sulphide, H<sub>2</sub>S, volatile organic compounds (VOCs) will be noted.

For particulate matter.

- The equipment was allowed for two minutes for zeroing down and thereafter, it captured the samples for five minutes with interval of 10 seconds.
- For every sampled point, a GPS coordinate was noted.

# 4.3 Biological Environment

#### 4.3.1 Flora

Transect walks were taken along the areas planned for the water supply and sanitation systems and records were made of the vegetation. While some plant species were identified on site, specimens of others were collected and taken for confirmation at the Makerere University Herbarium. Additional information was obtained through consultation with communities on the local names, use and importance of some plant species. An inventory of the impacted vegetation was taken. The International Union for Conservation of Nature's Red List of Threatened Species (IUCN 2022) was utilized for categorization of species. Some of the tools that were used included: Plant press, Secateurs, Ivy tags, Measuring tape, Diameter tape and camera.

#### 4.3.2 Fauna

- *Birds* Bird species occurrences were surveyed through point count surveys using observations, hearing and consultations during which all species detected and encountered were recorded. Great emphasis was placed on species of conservation importance. Species identification were based on Stevenson and Fanshawe (2002). While some species were categorised according to IUCN (2015). Some of the tools used included: Binocular and camera.
- Butterflies Random sweeping using sweep net were done (Biodiversity Rapid Assessment) and it involved a transect walk through the areas recording all butterfly species encountered on wings. Sample specimens were taken for most of the species, except for those whose identification could be easily confirmed in the field. Opportunistic observations were included

to help build the species list. Each of the butterfly species was assigned to one of the ecological categories (Akite, 2008). Some of the tools used included: insect net and camera.

Herpetiles - Both reptiles and amphibians were surveyed using Visual Encounter Survey (VES) method (Rodda et al., 2007). Visual Encounter Surveys were conducted by observation while walking through a designated area for a prescribed period of time, visually searching systematically along transects for animals. VES involved a search on the ground, trees and grasslands. Herpetiles were surveyed during the day from 08:00 am to 07:30 pm (Spawls et al., 2006). Some of the equipment that was used include: camera and snake stick.

# 4.4 Social Environment Survey

Key stakeholders were identified at the national, regional, district, sub-county and community level through interviewing experts, brainstorming and document review. Stakeholder identification and engagement is an on-going process that requires regular review and updating. Therefore, the stakeholder list can be updated from time-to-time. The consultant collected and analyzed data and held consultations with various stakeholders and other interested and affected parties involved, to ensure that all existing data relevant to the assignment is available to us. We undertook site survey to determine the area of influence and gather information under several key areas such as: (a) Socio-economic conditions in the surrounding communities such as health and infrastructure and (b) Current land use in the proposed project sites. Participatory stakeholder identification was used in identifying and analyzing the key stakeholders, including planning for their participation. Therefore, it was the starting point of our participatory processes and provides the foundation for the design of subsequent stakeholder activities throughout this study. Identified stakeholders include:

Category	Stakeholders targeted	Method of	Roles and responsibilities
		engagement	
National	National Environment Management Authority (NEMA); Ministry of Gender, Labour and Social Development (MGLSD)	Key Informant Interviews (KIIs)	-NEMA is responsible for the review and approval of TORs, ESIAs, post- implementation audits and monitoring of approved projects. Coordinate, inspect, supervise and monitor project activities to ensure that the environment and natural resources are not depleted but managed sustainably. -MGLSD under department of Occupational Health and Safety (OHS) is responsible for inspecting and registering the workplace and monitoring of conditions under which employees on the project are subjected.
Regional	Regional offices of the Ministry of Water and Environment including: Rural Water and Sanitation Regional Centres (RWSRCs), Umbrella Authorities (UAs), NEMA, Water Management Zones	KIIs	Construction supervision including the implementation of the proposed ESMP and implementation of the WSPP.

Table 21: Cate	gorization	of Stakeholders	to be engaged	during ESIA

Category	Stakeholders targeted	Method of	Roles and responsibilities
	0.4.0.47	engagement	
District	(WMZs District Local	Klls	Mobilize support for the project.
	Government of Rakai. Specifically, the following offices of Water, Natural Resources, Planning, Health, Production and Community Development and the political wing including the Chairperson LC V and Councillors representing the beneficially areas.		Monitor social-environmental impacts both during construction and operation phases
Sub County	Sub county Chief, Community Development Officer, LC III Chairpersons	Focused Group Discussions (FGDs and KIIs	Mobilize local communities and key stakeholders to participate in EIA consultations and/or public hearings
Community	Local Council I, Landlords of sites where the water infrastructure will be constructed and any CBOs or local NGOs in the sector	FGDs and KIIs	Develop construction (works) schedules in their respective areas. -Participate in the scheduled meeting regarding the project activities and progress -Identify mitigation measures of the environmental and social issues -Monitor the progress of the project activities Input in the planning and identification of water and sanitation facilities.

# 4.4.1 Sampling and Selection of Respondents

The sampling process was primarily purposive. The ESIA targeted particular individuals, groups and communities that have a stake in the proposed project. As thus, only such entities as identified in the stake holder analysis were selected to participate in the consultation process. Social data of affected persons was obtained through use of a questionnaire, interviews, Focus group discussions, meetings with affected communities and technical teams at local government levels. Key informants at various levels and from different specialties, right from the community were also purposively selected to contribute their views on the impact of the project. This widened the perspectives on the projects, enrich the data collected and ultimately provided deep insights about the knowledge and attitudes of the various stakeholders towards the project.

Socio-economic surveys were conducted to define impacts and to provide a monitoring baseline following an initial desktop data review. The survey used a questionnaire aimed at capturing the full range of livelihood Capitals based on the Sustainable Livelihoods Framework.

The data was collected via a mixed-method approach incorporating both quantitative and qualitative assessments, as well as an assessment of available secondary resources. Quantitative surveys were conducted for all Project Affected Households (PAHs) whereas the qualitative data was gathered to

provide supporting details for the quantitative data collection surveys. Qualitative data collection was based on KIIs, FGs, and participatory methodologies including village transect walks. Household socioeconomic surveys was undertaken alongside the cadastral and asset surveys. The land and asset component measured and described fixed assets for each household including land holdings, land type, buildings, crops, and trees. This information was collected to inform compensation agreements and to assist in resettlement impact assessments. Details of the household survey are presented in the RAP and Valuation Report.

# 4.4.2 Study Methods

Stakeholder analysis sought to answer the following fundamental questions: Who are the key stakeholders (primary/secondary)? What are the interests of these stakeholders? How have they been and or will be affected (positively/negatively)? Which stakeholders are most important for the success of the study? How will various stakeholder groups participate throughout the study? The following methods were used for the social environment survey.

- i. *Primary data source* Primary data sources included Focused Group Discussions (FGDs and Key Informant Interviews (KIIs) with local technocrats and leadership<sup>3</sup>.
- ii. *Key Informant Interview (KII)* targeted civil servants, political leaders and representatives of the management structures who are responsible for environmental management activities on various levels. Key informants were selected and interviewed on the basis of their roles as leaders, specialized knowledge and experience on the subject under study.
- iii. *Focused Group Discussions (FGDs)* targeted stakeholders at Sub County, Parish and Village levels. FGDs were used as a qualitative approach to gain an in-depth understanding of social issues. The method aimed at obtaining data from a purposely selected group of individuals on the proposed project activities.
- iv. Secondary sources These included: existing data, existing environmental data, existing reports/documents, pre- and post- implementation of management/construction decisions, EIA reports and ESMPs in place. Examples of these documents include: Kagadi District Development Plan, District State of Environment Report, and Engineering Design Report for Kabamba RGC Water Supply and Sanitation System etc.

# 4.5 Impact Assessment and Evaluation Method

Based on the project details and the baseline E&S status, potential impacts as a result of the construction, operation and decommissioning of the proposed project activities were identified. We therefore proposed impacts analysis criteria that took into account the magnitude or intensity of impacts based on project activities and sensitivities in the project area that were identified in the environmental and social baseline. Impact characteristics to be considered are described in Table 23 and include:

- Type of impact, whether direct or indirect
- Nature, whether positive or negative
- Duration of impact
- Intensity of impact
- Likelihood of impact occurring
- Spatial extent of area of impact
- Sensitivity of receptor of impact

<sup>&</sup>lt;sup>3</sup> Primary sources can be described as 'a firsthand testimony or direct evidence concerning a topic under investigation whose nature cannot be determined without reference to the topic and question it is meant to investigate' or 'primary sources are those items that are original to the problem under study'.

The first six parameters give a sense of magnitude of impact, which together with sensitivity; result in an overall severity of impact.

Criteria	Description
Type of	<ul> <li>Direct - An impact that appears immediately as a result of an activity of the</li> </ul>
Impact	project. For example, the loss of vegetation is a direct impact of site clearing. The
	direct impacts would be experienced mainly during the construction process, and
	include effects on the physical environment, health and safety of the construction
	workers including community members within the project area.
	<ul> <li>Indirect - An impact that is related to the project but that arises from an activity</li> </ul>
	of the project at a secondary level. For example, the demand for supplies and
	services may cause indirect impacts on the local economy by increasing indirect
	employment opportunities.
Nature	Positive
	<ul> <li>Negative</li> </ul>
Duration	<ul> <li>The lifetime of the impact; this is measured in the context of the life-time of the</li> </ul>
Durution	proposed development. Whether the Impact will be:
	<ul> <li>Intermittent – not occurring at all times.</li> </ul>
	<ul> <li>Temporary-only for a short period.</li> </ul>
	<ul> <li>Short term - the impact will either disappear with mitigation or will be mitigated</li> </ul>
	through natural process in a span shorter than the construction phase.
	<ul> <li>Medium term - the impact will last for the period of the construction phase,</li> </ul>
	thereafter it will be entirely negated.
	<ul> <li>Long term - the impact will continue or last for the entire operational life of the</li> </ul>
	development, but will be mitigated by direct human action or by natural
	processes thereafter
	<ul> <li>Permanent</li> </ul>
Intensity	<ul> <li>Whether or not the intensity (magnitude) of the impact would be high, medium,</li> </ul>
intensity	low or negligible (no impact). An attempt to quantify the impacts of components
	on the affected environment will be described as using following definitions: <ul> <li>Negligible</li> </ul>
	<ul><li>Negligible</li><li>Low - where impact alters the affected environment in such a way that natural</li></ul>
	processes of functions are not affected in any significant way.
	<ul> <li>Moderate - where the affected environment is altered, however, function and</li> </ul>
	process continue, albeit in a modified manner.
	<ul> <li>High - where function or process of the environment is seriously altered and disturbed to the output where is temperarily or permanently seeses</li> </ul>
Creatial Extent	disturbed to the extent where it temporarily or permanently ceases.
Spatial Extent	<ul> <li>The physical and spatial size of the impact; a description of whether the impact</li> </ul>
	would occur on a scale described as follows:
	<ul> <li>Site - whether the impact will be within limited locale of the project site / study</li> </ul>
	area affecting the whole or measurable portion of the area.
	Local - whether the impact will affect the environment or communities along the
	border of the study area or in the extended area adjacent to the site or perhaps
	outside the immediate environment.
	<ul> <li>Regional - whether the impact extends beyond the study area affecting areas on</li> </ul>
1.	a regional scale.
Likelihood	The probability or likelihood of the impacts occurring. The impact may occur for
	any length of time during the life cycle of the activity, and not at any given time.
	The probability that a certain impact will occur on scale described below:
	<ul> <li>Uncertain - insufficient information to determine its probability. Because the</li> </ul>
	precautionary principle is followed, this increases the significance of the impact.

Table 22: Impact Assessment and Evaluation

	<ul> <li>Improbable - the impact is unlikely to occur.</li> <li>Probable - the impact could possibly happen, and mitigation planning should be undertaken.</li> <li>Highly probable - it is most likely that the impact will occur at some or other stage of the development.</li> <li>Certain - the impact will take place regardless of any prevention plans, and only</li> </ul>
	mitigatory actions can be relied on to contain the effect.
Sensitivity	<ul> <li>Degree of change effected on natural processes or people's livelihoods; the sensitivity of the receptor of the impact to change</li> </ul>
	<ul> <li>Very low</li> </ul>
	Low
	<ul> <li>Moderate</li> </ul>
	<ul> <li>High</li> </ul>

Table 24 below presents a quantitative format for ranking impacts based on parameters above, summarized as magnitude and sensitivity.

		Sensitivity					
Significance			Very low	Low	Medium	High	
			1	2	3	4	
	Verylow	1	1	2	3	4	
	Very low	I	Negligible	Minor	Minor	Minor	
de	1	2	2	4	6	8	
itu	Low		Minor	Minor	Moderate	Moderate	
Magnitude	Medium	3	3	6	9	12	
Ĕ			Minor	Moderate	Moderate	Moderate	
	Llich		4	8	12	16	
	High	4	Minor	Moderate	Moderate	Severe	

Table 23: Quantitative Rating of Impacts

Table 25 below	presents the overall in	mpact rating critoria	with illustrations	of such imposts
Table 25 Delow	presents the overall h	inpact rating criteria	, with mustrations	or such impacts.

Table 24: Overall Impact Rating and Description						
Overall	Description of Impact	Significance				
Impact Rating						
Severe	<ul> <li>Non-compliance with national policy, environmental laws and regulations</li> <li>Highly noticeable, irreparable effect upon the environment</li> <li>Significant, widespread and permanent loss of resource</li> <li>Major contribution to a known global environmental problem with demonstrable effects</li> <li>Causing mortality to individuals of a species classified as globally or regionally endangered</li> <li>Major exceedance of water/air quality and noise guidelines representing threat to human health in long and short term</li> <li>Causing widespread nuisance both on and off site</li> <li>Extensive property damage or loss,</li> <li>Widespread effects on livelihoods.</li> </ul>	>12				
Moderate	<ul> <li>Frequent breaches of national regulations, including water/air quality and noise guidelines, wetlands and river banks regulations causing localized nuisance both on and off site</li> <li>Noticeable effects on the environment, reversible over the long term.</li> </ul>	6 – 12				

Overall	Description of Impact	Significance
Impact Rating		-
	<ul> <li>Localized degradation of resources restricting potential for further usage</li> <li>Sub-lethal effects upon a globally or regionally endangered species with no effect on reproductive fitness and/or resulting in disruption/disturbance to normal behavior but returning to normal in the medium term</li> <li>Elevated contribution to global air pollution problem partly due to preventable releases</li> <li>Unplanned immigration flows</li> <li>Increased traffic in sensitive environments</li> <li>Increased serious crime rates</li> <li>Widespread physical resettlement, affecting livelihoods</li> </ul>	
Minor	<ul> <li>Noticeable effects on the environment, but returning naturally to original state in the medium term</li> <li>Slight local degradation of resources but not jeopardizing further usage</li> <li>Disruption/disturbance to normal behavior of a globally or regionally endangered species returning to normal in the short term</li> <li>Small contribution to global air problem through unavoidable releases</li> <li>Elevation in ambient water/air pollutant levels greater than 50% of guidelines</li> <li>Infrequent localized nuisance</li> <li>Population increase not expected to stress existing infrastructure</li> </ul>	2 – 4
Negligible	<ul> <li>No noticeable or limited local effect upon the environment, rapidly returning to original state by natural action</li> <li>Unlikely to affect resources to noticeable degree</li> <li>No noticeable effects on globally or regionally endangered species</li> <li>No significant contribution to global air pollution problem</li> <li>Minor elevation in ambient water/air pollutant levels well below guidelines</li> <li>No reported nuisance effects.</li> <li>Temporary or intermittent changes to livelihoods or life quality aspects</li> </ul>	< 2

# 4.6 Identifying Mitigation Measures and ESMP Preparation

Possible mitigation measures considering all the project implementation phases have been identified and described in detail. Measures and actions to address negative impacts have followed the risk management hierarchy of avoidance and prevent, minimization, mitigation or restore and compensation. Measures proposed follow the Ugandan legislation and those of the World Bank Safeguard Operational Policies.

The ESMP is well defined with performance indicators, targets and acceptable criteria that can be tracked over defined periods, with estimates of the resources and responsibilities for implementation. The ESMP format is flexible to ensure the integration of project specific mitigating, enhancing and monitoring requirements. The ESMP's scope and level of details is proportional to the number and complexity of the measures required to ensure the project's environmental and social sustainability.

The following components constitute the minimal contents of an ESMP:

- a) Objectives of the ESMP This section specify what the ESMP aims to bring the project into compliance with applicable national environmental and social legal requirements and the Bank's safeguards policies and procedures. The other objective of the ESMP is to outline the mitigating/ enhancing, monitoring, consultative and institutional measures required to prevent, minimize, mitigate or compensate for adverse environmental and social impacts, or to enhance the project beneficial impacts. It also addresses capacity building requirements.
- b) *Context the ESMP* briefly describes project activities and major environmental and social components that will likely be affected positively or negatively by the project. It describes and analyses the physical, biological and human/social conditions prevailing in the project area, highlighting relevant environmental and social issues among others.
- c) *Beneficial and Adverse Impacts* This section focuses on beneficial impacts that can be enhanced to improve the project environmental and social performance as well as on adverse impacts that require mitigation measures to be minimized or compensated.
- d) *Enhancement/Mitigation Measures and Complementary Initiatives* This section proposes feasible and cost effective measures to address the impacts previously defined, in order to accrue project benefits through enhancement measures or to reduce potentially adverse environmental and social impacts to acceptable levels (mitigation measures).
- e) *Environmental and Social Monitoring Program* A monitoring program aims to ensure that mitigation and enhancement measures are implemented, that they generate intended results and that they are modified, ceased or replaced when inappropriate.
- f) Responsibilities and Institutional Arrangements The implementation of enhancement and mitigation measures and the completion of the monitoring program require to clearly establish responsibilities among the various organizations involved in project implementation and operation. The ESMP proposes support to the organizations that may have insufficient capacities to fulfill their obligations. This support could be provided through various means including technical assistance, training and/or procurement.
- g) *Estimated Cost* This section estimates the capital and recurrent cost associated with the various proposed measures (enhancement and mitigation), the monitoring program, consultations, complementary initiatives and institutional arrangements.

Table 26 provides a summary template for Monitoring Requirements.

Phasing	Mitigation Measure	Parameters to be Monitored	Location	Measurements	Frequency	Responsibilities	Cost
Pre-							
Construction							
Phase							
Construction							
Phase							
Operation and							
Maintenance							
Phase							

# Table 25: Summary Template for Monitoring Requirements

A monitoring program aims at ensuring that mitigation and enhancement measures are implemented, that they generate intended results and that they are modified, ceased or replaced when inappropriate. Further, it allows assessing compliance with national environmental and social policies and standards. A monitoring program include two parts:

- a) *Surveillance activities* The surveillance aims to ensure that the proposed mitigation and enhancement measures are effectively implemented during the construction phase.
- b) *Monitoring activities* These activities consist of measuring and evaluating the project impacts on some environmental and social components of concern and to implement remedial measures, if necessary.

The program defines as clearly as possible the indicators for monitoring the mitigation and enhancement measures that need to be assessed during project implementation and/or operation. The monitoring program also provides technical details on monitoring activities such as methods to be used, sampling locations, frequency of measurements, detection limits, and definition of thresholds that will signal the need for corrective actions. The process for establishing a monitoring programme consists of the following actions as provided for in this ESIA:

- Specific management and monitoring objectives;
- Identification of the scope of monitoring;
- Recommend appropriate monitoring environmental and social aspects and technology;
- Specify how the information collected should be used in decision-making;
- Define the spatial boundaries and select map scales and sites for observation, measurement or sampling;
- Select key indicators for direct measurement, observation or sampling;
- Define how the data will be analysed and interpreted and how it should be presented in monitoring reports;
- Define the precision and accuracy required in the data;
- Consider compatibility of data to be collected with historical data and with related contemporary data;
- Set minimum requirements for monitoring.

# 5 ENVIRONMENTAL & SOCIAL BASELINE

# 5.1 Climate

The project area receives a bimodal rainfall pattern with the average ranging from 1000 mm-1500 mm. The peak periods are between March - May and September to December. However, the rainfall pattern has become more erratic and less predictable. Temperatures are moderate averaging 18°C - 30°C. The project area falls within Muzizi Catchment under Albert Water Management Zone (AWMZ).

# 5.2 Topography

The topography is characterized by undulated hills and valleys varying between 2610m- 2550masl. Topography is not only essential in determining the surface drainage area of a catchment but also in understanding the direction of flow of water within a catchment. Based on the Digital Elevation Modal (DEM) for Muzizi Catchment, the minimum elevation within the Muzizi catchment is 617masl while the maximum is about 1673masl. The central parts of the catchment (comprising Kagadi District) have fairly low elevations compared to the other sub-catchments while the upstream parts of the Lower Muzizi sub-catchment is found to have the highest elevation within the catchment. These variations in elevation within the catchment have a bearing on the flow generated from upstream and downstream sub-catchments.



Plate 2: The topographic view of the project area (Kabamba RGC)

# 5.3 Geology

The slopes in the catchment area can be described as sloping to steep (1-5%, 5-10% up to 20%). The project area is covered by undifferentiated Gneissic rocks (high grade metamorphic rocks) which are the oldest rocks known as the Basement rocks covering over 60% of Uganda. These are competent rocks with a lower groundwater potential as they are classified as aquitards (can neither transmit nor store groundwater). If folded, jointed/faulted (fractured) with a thick overburden (Plate 2), they can act as good groundwater sources. Other areas are composed of all the different lithologies in the catchment. This catchment is fairly having good groundwater potential in the argillites and close to the granitic intrusions in the South East.

# 5.4 Soils

The main types of soils include Buwekula, Kamusene and Buyaga catena. These soils are mainly sandy clay loams. They have moderately infiltration rates. Over ninety percent (90%) of the project area is covered by ferralitic soils with a few outliers of lithosols. Middle Muzizi and Upper Muzizi sub-catchments are covered mainly by ferralitic sandy loamy soils which are more permissive and porous to both surface and groundwater water. Groundwater recharge potential is fairly good in comparison with the Lower Muzizi, Aswa and Kahomba sub-catchments. This is as a result of the high sand content in the loam soils as compared to the clayey ferralitic soils can be expected in the fractured bedrock.



Plate 3: Examples of the soils within the project area

# 5.5 Flora

The vegetation within this project area (drilled borehole, pump station and the pipe network) is a blend of riverine forests, shrub savanna, woody savanna and thicket clumps. Riverine forests were observed to occur along streams and wetlands/swampy areas and some of the identified species include: Neoboutonia macrocalyx, Albizia glaberrima var. glaberrima, Phoenix reclinata, Erythrina abyssinica, Macaranga kilimandscharica and Sterculia dawei. The herbaceous layer is mainly composed of Aframomum sp. and different species of sedges such as Cyperus spp. and Mariscus spp. and these are locally dense where the tree canopy is open. The shrub coverage along hilly areas with rock outcrops in some areas include: Harungana madagascariensis, Schrebera alata and Entada abyssinica. Dominant grass species observed included: Themeda triandra, Cymbopogon nardus, Aloe volkensii and Hyparrhenia spp. The dominant trees in the woody savanna are Acacia abyssinica, Acacia sieberiana, Albizia adianthifolia, Combretum molle, Croton macrostachyus, Ficus thonningii, Polyscias fulva, Sapium ellipticum. Dominant shrubs are Lantana camara and Acacia hockii and may be locally abundant as well as the shrubby plant Asparagus flagellaris. The continuous grass layer is mostly composed of Sporobolus pyaramidalis, Brachiaria decumbens and Panicum maximum. Wetland vegetation type is mainly located on permanently waterlogged areas adjacent to the riverine forests where most of the boreholes are drilled. These areas were dominated by Cyperus papyrus; Typha-payrus and Phoenix reclinata along the River. None of the flora species in the project area is of conservation concern with regard to IUCN Red list of threatened species.



Plate 4: Some of the vegetation around the production well in the project area.

# 5.6 Fauna

The drilled borehole, pump station and the pipe network are located in an area that is habitant areas for different species. Butterflies are increasingly being recognised as valuable environmental indicators, both for their rapid and sensitive responses to subtle habitat or climatic changes and as representatives for the diversity and responses of other wildlife. A number of butterfly species were encountered within the project areas mainly around wetland and forested areas and these included: *Papilio bromius, Papilio dardanus, Lachnoptera anticlia, Metisella orientalis, Ceratrichia flava, Acleros mackenii, Neptidopsis ophione, Salamis parhassus, Junonia Sophia, Sarangesa lucidella.* The following butterflies (Plate 5) were most commonly encountered within the water source areas. *Scopus umbretta, Ploceus pelzelni, Ardea melanocephala* and *Pycnonotus goiavier* are examples of common bird species recorded in the catchment area. None of the faunal species in the project area is of conservation concern with regard to IUCN Red list of threatened species.

# 5.7 Noise Levels

There are no cases of noise pollution at the proposed project areas. Thus the project site indicates a generally pristine environment with respect to ambient noise. However, as would be expected due to the increased human activities and construction activities noise levels are likely to increase. Noise levels recorded at selected locations within the proposed project area are presented in Table 27 below.

Area	Location	LA <sub>min</sub> dB	LA <sub>max</sub> dB	LA <sub>Eq</sub> dB	Comments
Water	0°59'49.12"N,				Swishing tree leaves, twittering birds and
abstraction	31° 0'32.40"E	32.0	34.5	33.3	human conversations
point					
Reservoir	1° 0'11.82"N, 31°	20.0	32.2	31.1	Swishing tree leaves, twittering birds and
tank	0'32.59"E	30.0	32.2	51.1	human conversations
Kabamba	0°59'50.31"N,				Auto mobile movement and human
trading	31° 0'39.78"E	39.8	43.5	41.7	conversations
centre/road					

#### Table 26: Noise levels measured at the proposed project sites.

The levels are based on land use Category D (Residential plus Industry or small scale production and commerce) for which daytime and night limits are 60 and 50 dBA, respectively according to the Page | 60

National Environment (Noise Standards and Control) Regulations 2003. All measurements were conducted during daytime.

# 5.8 Air Quality

The ambient air quality is assumed to be good as there are no major industrial sources of air emissions. The primary sources of air emissions in the area are automobiles (vehicles and motor cycles). Fugitive dust is attributed to vehicular movements along loose surface/murram roads, which dust levels, are exacerbated during dry, sunny and windy periods. Air quality measurements indicated a reasonably clean environment with respect to air quality as presented in Table below.

Area	Location	<b>O</b> <sub>2</sub> (%)	CO (ppm)	VOC (ppm)	ΡΜ <sub>2.5</sub> (μg/m³)	Air pollutant
NEMA (Draft Air Quality Standard for Ambient Air)		19.5-23.5	9.0	15	25	
IFC, 2007 Standard					25	
Water abstraction point	0°59'49.12"N, 31° 0'32.40"E	19.0	0.0	0	Max 406 Ave 4	Dust elevated by wind
Reservoir tank	1° 0'11.82"N, 31° 0'32.59"E	19.0	0.0	0	Max 405 Ave 3	Dust elevated by wind
Kabamba trading centre/road	0°59'50.31"N, 31° 0'39.78"E	21.1	0.0	0	Max 407 Ave 6	Dust elevated by wind

Table 27: Results of air quality measurements taken in the project area

All the assessed parameters were within the within permissible values in accordance with the NEMA (Draft Air Quality Standard for Ambient Air) and IFC, 2007 Standard. There were no detectable levels of NO, NO<sub>2</sub>, CO,  $H_2S$ ,  $Cl_2$ ,  $ClO_2$  and  $SO_2$  at all measurement locations.

# 5.9 Ground Water Resources

Water resources are based on borehole DWD 53796, located near the Sub County offices, that has a test yield of 12m<sup>3</sup>/within the town. Pumping is to be powered by 17kW solar PV system and grid power is required to extend the borehole output to meet the ultimate water demands. Plate 5 shows the Kabamba RGC water supply pump.



Plate 5: Kabamba water supply production well.

# 5.10 Population

According to the Uganda Bureau of Statistics, the district population is projected at 430,200 of which 218,600 are males and 211,600 females (UBOS projection of 2020). Based on the 2014 National Population and Housing Census (NPHC), the estimated population of Kagadi district was 351,033 people with 171,812 and 179,221 Males and Females respectively and with a total of 74,144 Households. The population of Kabamba Water Supply area is composed of people from various ethnic background. The predominant ethnic groups in this area are Banyoro and Batooro. The religious groups include Anglicans, Catholics, Moslems and Pentecostals. The Project area has a population of 2,540 in the targeted villages. According to project estimates, the population projection for Kabamba water supply area is summarized in the table below.

Parish	Village	2018	2023	2028	2033	2038	2043
Kabamba	Kabamba	700	820	960	1,130	1,320	1,550
	Kahumuza	580	680	800	930	1,090	1,280
	Kinaga	620	730	850	1000	1,170	1,370
	Nyakarambi	640	750	880	1,030	1,200	1,400
Total		2,540	2,980	3,490	4,090	4,780	5,600

Table 28: Population Pre	ojection for Kabamba RGC
--------------------------	--------------------------

Source: Project estimates

# 5.11 Economic Activities

The most predominant economic activity in the project area is subsistence farming (94.3%). In Kagadi District, agriculture is the major economic activity in the district employing over 70% of the District population. Major crops grown include maize, bananas, beans, rice, coffee, tea, ground nuts and cassava. Agricultural produce is mainly for food and the surplus (if any) is sold. Major livestock kept includes cattle, goats, poultry, pigs and sheep.

Other activities include gold mining, petty businesses in the village and trading centers (5.6%), brick making, operating small kiosk grocery shop, and road side sale of farm products.

The project area is endowed with a rich biodiversity in terms of a variety of natural resources on which the majority of the people depend for their livelihood in terms of food, shelter, medicine and income. Forestry further plays a big role in people's livelihoods through its contribution to the local revenue, ecological balance and by providing a vital source of biomass energy and incomes.



Plate 6: Cassava as one of the crops grown within the project area

# 5.12 Water Supply and Sanitation

The predominant water sources are boreholes (50.9%) and ponds/dams at 49.1%. According to the socio-economic survey, the 35% of the surveyed household confirm that they share water sources with the animals. The implementation of Kabamba WSS will improve access to safe and clean water. According to the survey, 4% of the surveyed households assert that a cost for a jerry can of water is UGX 1,000 plus whereas 16% assert that it is between UGX 800-1,000, 5% assert UGX 700-800, 6% assert UGX 400-600 and 10% at UGX 100-300. The remaining 60% of the surveyed households do not know the cost for a jerry can of water. It was however noted that majority of people are willing to pay for clean water but were quick to request that the cost be affordable within their premises or from public tap stands.

According to the National Population and Housing Census (UBOS, 2014), the majority (78%) of households on average in the district rely on unimproved toilet facilities while 17% use improved toilet facilities. 4% of the households on average in the aforementioned districts have no toilet facility. An improved toilet facility includes flash toilet, VIP latrine, covered pit latrine with a slab, compost toilet that is not shared with other households. The foregoing finding implies that the households without a toilet facility either share or practice open defecation. Low sanitation coverage exposes the project area inhabitants to health risks associated with poor sanitation e.g. cholera, diarrhoea, dysentery, etc. It also makes the open water sources vulnerable to faecal contamination as a result of open defecation.



Plate 7: One of the spring wells at Kabamba RGC, Kagadi District as one of the existing water sources

# 5.13 Land Use and Land Tenure System

The land tenure system among the 133 Project Affected Persons (PAPs) identified is Customary (100%) and is characterized by local customary regulation which applies local customary regulation and management to individual and household ownership, use and occupation of, and transactions in, land. Providing for communal ownership and use of land in which land parcels may be recognized as subdivisions belonging to a person, a family, or a traditional institution. Land is considered as owned in perpetuity. In Kagadi district, a good proportion (30%) of land is covered by forest reserves; the land is therefore unequally distributed. The biggest chunk of land in the District is owned by absentee Buganda Landlords owing to the colonial historical background. This partly causes land disputes from time to time.



Plate 8: One of the private Eucalyptus plantation within the project area

# **5.14Housing Conditions**

The project area is predominantly rural with semi-permanent dwellings. In Kagadi District, the poor mainly live in semi-permanent houses made up of mud and wattle (grass thatched or thatched with iron sheets). The grass thatched houses have greatly reduced in the district as a result of population explosion leading to the reduction of grasslands that are a source of grass for thatching. Even where grasslands exist, there is increased livestock grazing which retards the growth of grass for thatching.



Plate 9: Examples of some of the housing structures within the project area

# 5.15 Gender Aspects

In Kagadi District, issues of gender mainstreaming still require concerted efforts. Understanding the gender of the household heads, helps the project implementers understand the kind of assistance that may be deemed necessary for a particular household especially in a male-dominated society. In the project area, men make the final decisions in nearly all aspects of life unless the household is headed by a woman. The decisions made are crucial because they impact the household members either positively or negatively. Results on gender distribution of household heads in the project area established that there were more male headed households (75.69%) in comparison to females headed households (24.31%). During project implementation, collective participation and decision making at the household level needs be encouraged

# 5.16 Energy Sources

The project district is connected to the National power grid that is managed by UMEME. According to socio-economic survey, the majority (97%) of households across the districts use wood fuel i.e. firewood and charcoal while other households (3%) rely on electricity, gas, paraffin and other forms of energy for cooking. This statistic exemplifies the immense pressure that is exerted on forests as a source of wood fuel by the catchment inhabitants.



Plate 10: The national power grid of electricity in the project area

# 6 PROJECT NEED AND ANALYSIS OF ALTERNATIVES

## 6.1 Introduction

This Section evaluates available options to the proposed action, so as to arrive at the most environmentally friendly alternative, which maximizes economic, social and technical benefits resulting into minimal or insignificant environmental impacts. The comparison of alternative was done to evaluate and address the design alternatives that were examined and proposed during the feasibility and pre-design study of the proposed project. Therefore, according to the 2011 EIA Guidelines for water resources-related projects, the following alternatives/options were considered:

- a) Project or No Project Alternatives;
- b) Technology Selection Alternatives

For each of the alternatives, the potential environmental and social impacts, including land and energy requirements implications were analysed as possible, including their economic values where feasible. The selected alternative/options were the most reliable and suitable under local conditions taking into account, their institutional, training, and monitoring requirements i.e., strikes a balance on the above factors with viable mitigations measures for residual impacts.

## 6.2 No Project Alternative

Analysis of the "no project option" as an alternative provides an environmental baseline against which impacts of the proposed action can be compared. This alternative means that the water supply systems will be left in their original states. The alternative ignores all positive impacts likely to be realized in the project area, like the increased access to safe and clean water, livelihood improvement, creation of both skilled and un skilled employment, induced development among others. This option is mostly applicable in situations where the proposed project area is in ecologically or socially sensitive areas and the negative impacts will be of significance and no proper mitigation measures can be formulated to eliminate or minimize the impacts to manageable or acceptable levels. The land on which the water supply system infrastructure will be put is less ecologically sensitive and no households will be displaced. The No Project Option is the least preferred option from both the socio-economic and partly environmental perspective because individuals, institutions, other water users and the business communities would be deprived of increased accessibility to clean and suitable water.

# 6.3 Project Alternative

Project alternative means proceeding with the current plan and implementing the project as it is with some adjustments to forestall environmental damage and risks associated with community and occupational safety. The proposed Kabamba RGC is urgently needed by the community improve water access and to accelerate development in the project area. All stakeholders consulted had no objection to the proposed project implementation activities. They were very optimistic about the project citing its contribution to developments in the district, through job creation, revenue collection by government and other secondary socio-economic benefits, which the proposed development will create.

# 6.4 Alternative Water Sources

## 6.4.1 Production Borehole DWD 53796

The Directorate of Water Development undertook the hydrogeological investigations and provided the Project with the drilling, test pumping and analysis reports for the production boreholes. Two boreholes were drilled in Kabamba but only one is suitable for pumping. The details of the production borehole are presented in Table below.

Location	υтмх	UTMY	Borehole completion Depth	Static Water Level (mbgl)	Dynamic Water Level (mbgl)	Pump test yield (m <sup>3</sup> /hr)	Recovery % in hr	Pumping Depth (m)
Kabamba								
П	278351	110560	121	10.4	64.4	12.2	97% in 6 hr	94

Source: DWD data

## 6.4.2 Analysis and Design of Borehole DWD 53796

Analysis of Borehole DWD 53796 is summarized in Table below.

#### Table 30: Borehole DWD 53796 Analysis Summary

Parameter	Value
Aquifer type	Confined
Safe Yield	12 m <sup>3</sup> /hr
Transmissivity,	T <sub>constant</sub> DD 5.746 and Trecovery 2.593
Specific Capacity	0.22
Well Efficiency (%)	18%
Recommended Pump Installation Depth	65 – 70 m

#### 6.4.3 Borehole Output versus Water Demands

The borehole pumping hours required to achieve the projected water demands are presented in **Table 5.3**.

#### Table 31: DWD 53796 Pumping hours to meet Projected Water Demands

	2023	2028	2033	2038	2043
Maximum Day Demands (m <sup>3</sup> /d)	77	109	155	215	291
					Demand
BH Pumping hours (h)	6.4	9.1	12.9	17.9	not met
Project estimates					

A Solar hybrid system using grid power is required from Year 2025. Grid power will be available in Kabamba under the government *Rural Electrification Programme*. The programme is extending power to sub counties and at time of report writing power is available in Kikwaya RGC which is only 9km away. Additional borehole resources are required from Year 2039 when the existing borehole will be pumping to the maximum 18 hours per day.

# 6.4.4 Surface Water

The nearest large surface water reservoir near Kabamba RGC is Lake Albert which is 54km away. It is therefore not feasible to source water from this lake.

#### 6.4.5 Point Water Sources

The communities rely on water sources such as; springs, boreholes, shallow wells and streams. Low safe water access and functionality both impact on open water sources as people are forced to directly draw water or carry out illegal activities in open water bodies thus contaminating them. However, these streams easily dry up during the dry periods. Communities also depend on rainwater harvesting in the institutions like schools and the health centres however, this water source is only reliable during the rainy season.



Plate 11: One of the community springs which supplies water to the project area

# 6.4.6 Surface Water Sources and Rainwater Harvesting

There are a number of streams and rivers within project area. Some people also rely on these streams for water supply like washing clothes and making of bricks especially during the rainy seasons in some cases. However, these streams easily dry up during the dry periods. Rainwater harvesting is done by the institutions like schools, markets and the health centers within the project area and this water source is only reliable during the rainy season. Queuing was observed in some of the boreholes, and the environmental condition around the water facilities were generally poor as some are shared with animals.

# 6.5 The Action Alternative as Described in this ESIA

This "No Project Alternative" is not sustainable in the sort and long run because the growing demand for clean water in Kabamba RCG in Kagadi District needs a remedy. This option is therefore not recommended.

Therefore, the minor benefits of the No-Project option are far outweighed by the benefits to be attained through implementing the project, hence, the "Project Alternative" is taken as feasible for implementation on condition that the identified impacts are mitigated as suggested.

This option implies that MWE continues with the implementation of the proposed project as per the project designs and recommendations by different stakeholders. A comprehensive Environmental and Social study for the proposed project area has been made. Details of the study are the subject of this ESIA report. The study has found no significant issues (environmental, economic or social) to stop the

implementation of the project. Mitigation measures for the identified negative impacts of this alternative have been thoroughly discussed throughout this Report. If they are implemented as proposed, the project will not do any damage to the environment and communities. It is thus recommended that this alternative is the most appropriate.

# **7 STAKEHOLDER ENGAGEMENT**

## 7.1 Introduction

Consultation with relevant stakeholders and regulatory institutions was carried out to ensure participation of relevant stakeholders, as recommended by the National Environment Act, No.5 of 2019, EIA Regulations (2020), and conduct of Environmental Practitioners (2001) and guidelines for EIAs in Uganda. The consultations aimed to identify and take note of environmental and social concerns and views of all the stakeholders at an early stage so that appropriate mitigations are incorporated in the final implementation plan for the proposed project.

Stakeholder meetings were held at Kagadi District, Kabamba Sub County. The consultation process ensured that their concerns were captured and have been addressed during ESIA. A wider intensive consultation process was carried out during the Environmental and Social Assessment. These include stakeholders freely expressing their concerns on the project's environmental and social risks, impacts and mitigation measures. Informal conversational interviews and observations were the key data collection methods applied. The consultation process ensured that their concerns were captured and addressed All consented stakeholders supported the project and found it to be beneficial.

# 7.2 Objectives of Public Disclosure and Consultations

The purpose of the stakeholders' consultations was to provide an overview of the project to the relevant agencies, stakeholders and all the communities where the Kabamba RGC Water Supply System components are to be located and therefore impact on the communities. It further helps them to understand how the MWE and the project team will operate to the highest possible environmental, social, health and safety standards prior, during and after the construction of the Water Supply System related infrastructure.

#### The specific objectives of the Consultations were;

- i) To obtain an understanding of the number and types of stakeholders in the socio-economic study area
- ii) To provide information about the project and to tap stakeholders' information on key environmental and social baseline information in the project area
- iii) To get views of the stakeholders/public regarding the environment and social concerns and opinions about the project.
- iv) To manage expectations and misconceptions regarding the project
- v) To discuss potential impacts and verify significant or major environmental, social and health impacts identified.
- vi) To inform the process of developing appropriate mitigation and management measures as well as institutional arrangements for effective implementation.
- vii) inform stakeholders about the engagement process and grievance management
- viii) provide a mechanism for ongoing stakeholder engagement and ways in which the stakeholders can continue to participate in the stakeholder engagement process
- ix) Ensure regulatory requirements and project standards are met.

Stakeholder consultations and public participation during the ESIA process were conducted in line with the requirements of the National legislation and regulations. According to the National Environment (Environmental and Social Assessment) Regulations, 2020, Part III under section "*Procedure for Undertaking Scoping and Environmental and Social Impact Study*", Sub-section 16; "*Stakeholder Social Im* 

consultation during the environmental and social impact study", stakeholder consultation is crucial during the ESIA study.

# 7.3 Stakeholder identification and analysis

# 7.3.1 Stakeholder Identification

A stakeholder may be defined as 'any individual or group who is potentially affected by the project or can themselves affect the project. To develop an effective stakeholder involvement programme, it is necessary to determine exactly who the stakeholders are based on their roles, influence, objectives and priorities specific to the project. The ESIA team formulated a stakeholder matrix and identified key stakeholders who were engaged during the study. A stakeholder engagement plan was drafted and populated with additional stakeholders during the ESIA study. The study targeted individuals, groups/institutions and communities that have a stake in the proposed water project. Thus, only such entities as identified in the stakeholder analysis were selected to participate in the consultation process.

The following aspects were considered when identifying and prioritizing stakeholders for this ESIA study:

- (i) Who could be adversely affected by environmental and social impacts of the proposed project?
- (ii) Who are the most vulnerable among the potentially impacted, and are special engagement efforts necessary?
- (iii) Which stakeholders can best assist with the early scoping of concerns and impacts?
- (iv) Who strongly supports or opposes the changes that the project will bring and why?
- (v) Who is it critical to engage with first, and why? (IFC 2007)

## 7.3.2 Stakeholder analysis

The stakeholder categories and sub categories identified are presented in table below

	Table 32:	Stakeholder Matrix
Group	Stakeholder	Description and key attributes
Funder	World Bank	<ul> <li>✓ To ensure that the World Bank Safeguard OPs have been observed and implemented as appropriate.</li> <li>✓ Support the project with funding and implementing support.</li> </ul>
National Level Stakeholders	Ministry of Lands Housing and Urban Development (MoLHUD)	<ul> <li>Approves all reports presented by the consultant regarding valuation</li> </ul>
	Ministry of Gender, Labour and Social Development (MoGLSD)	<ul> <li>✓ Protection of human rights and vulnerable social groups.</li> <li>✓ Occupational and community health and safety of workers.</li> <li>✓ Approval and monitoring of the social safeguards</li> <li>✓ Approval of permits like workplace permits, OHS</li> </ul>
	Ministry of Water and Environment (MWE)	<ul> <li>Overall mandate to monitor, assess and regulate water resource</li> <li>Monitor and guide the use of wetlands for sustainability and other water bodies within the project areas</li> <li>Approval of the Water abstraction permits</li> <li>The implementer of the proposed Project</li> </ul>

#### Table 32: Stakeholder Matrix

		<ul> <li>✓ Overseeing and monitoring the proposed project activities</li> </ul>
Local Governments	NEMA District (Kagadi District	<ul> <li>Regulation of the environmental aspects of the project(s).</li> <li>Legally mandated to handle certain critical environmental issues</li> <li>Provide the necessary permits and approvals for quarries, borrow pits and other auxiliary sites</li> <li>Work closely with the project team to handle all matters related to environmental protection</li> <li>Overall clearance of ESIA and other project briefs about the project facilities.</li> <li>Monitor and supervise the ESIAs compliance</li> <li>Mobilize various stakeholders including the</li> </ul>
	Local Government)	<ul> <li>communities/beneficiaries</li> <li>✓ Monitoring and supervision support for the implementation of the projects.</li> <li>✓ Offer security to the project team (RDCs Office)</li> <li>✓ Review the ESIA and give comments (Environment and Community Development Offices)</li> </ul>
	Kabamba Sub County (Technical and political staff)	<ul> <li>✓ Make decisions that may affect the project,</li> <li>✓ Offer support and supervision of the project</li> <li>✓ Help in the identification of the location of the water and sanitation facilities.</li> </ul>
	Local Councils	<ul> <li>Mobilize communities</li> <li>Offer support in the planning, implementation and operation of the project</li> <li>Offer support in the identification of the locations of the water and sanitation facilities</li> <li>Monitoring of the projects</li> <li>Provide social justice to vulnerable communities</li> <li>Incorporate information about the project in their teachings, gatherings/meetings for acceptance especially regarding water and hygiene-related information.</li> </ul>
Different Community groups,	Traders, landlords, tenants, business people, affected persons (Landowners who offered land for the facilities)	<ul> <li>✓ Develop construction (works) schedules in their respective areas.</li> <li>✓ Participate in the scheduled meeting regarding the project activities and progress</li> <li>✓ Identify mitigation measures of the environmental and social issues</li> <li>✓ Monitor the progress of the project activities</li> <li>✓ Input in the planning and identification of water and sanitation facilities.</li> </ul>

# 7.4 Stakeholder engagements

Different methods were espoused to undertake the stakeholder engagements on this project. These were taken up depending on two major premises; the type of information required and the number of

participants involved in the data collection process. These methods were used to inform the development of an appropriate water supply system within this proposed project area. Here-under are the methods that guided the stakeholder engagement process;

Table 55: Stakenolder engagement methods				
Target Group	Engagement Method			
Regulators (NEMA), CGV, Ministerial Zonal Offices (MZOs)),	<ul> <li>Consultative regulatory matter meetings</li> <li>Exchange of emails and letters.</li> </ul>			
Policy-makers (MWE, MLHUD, CGV)	<ul> <li>Sensitization meetings to create project implementation process awareness</li> <li>Exchange of emails and letters.</li> </ul>			
Local Governments (CAO, LC V, RDC, City, Councillors, Area Members of Parliament, District Land Boards, LCIII Chairpersons, Subcounty Chiefs, CDOs	<ul> <li>Sensitization meetings to create awareness</li> <li>Courtesy calls to update district leaders</li> <li>Consultative livelihood restoration and community development program meetings.</li> </ul>			
Project Affected Persons/Communities	<ul> <li>Sensitisation meetings to create Project process awareness</li> <li>Consultative compensation package meetings with PAPs</li> <li>Focus Group (FG) discussions with vulnerable PAPs, women, and children</li> <li>Group meetings on PAH verification and compensation package disclosure</li> <li>Family meetings with PAPs regarding land and property disputes, Letters of Administration, and grave relocations</li> <li>Individual PAP meetings to disclose compensation packages and notices to vacate</li> <li>Sensitization materials (posters, radio messages, leaflets)</li> <li>Consultative livelihood restoration meetings.</li> </ul>			

Table 55. Stakeholder engagement methods	Table 33: Stakeholder	engagement methods
--	-----------------------	--------------------

# 7.4.1 Meeting with the Stakeholders

The project had an inception workshop where all the stakeholders were invited as a start meeting to inform all the stakeholders about the project. MWE organized the meeting to inform all stakeholders about the project, its objective, the intended activities, the project extent, and the related studies to be undertaken, including the RAP and ESIA, water-related studies, source of water among others. The main object was to solicit, potential impacts and possible mitigation measures and also solicit initial community

responses. The stakeholders were able to express comments and queries during this meeting as seen in the minutes under annex 3.

## 7.4.2 Key informant interviews

Key informant interviews (KIIs) were held with individuals who were assumed to have specific information related to the project. Some of these were pre-set while others were identified during the interactions with other stakeholders. Some of such stakeholders included; The LCV Chairperson's office of Kagadi, the District Engineers office, the Office of Public Health, DCDO, CDOs and Environmentalist among others.



Plate 12: Consultants engaging the Kagadi District Water Engineer and his staff at Kagadi DLG



Plate 13: The consultant engaging the Kagadi District Natural Resources Officer and the DFO at the DLG

Key informants at National level included staff from MoGLD and Regional MWE officers and below were the issues raised and responses by the Consultant;

Stakeholder	Table 34: Stakeholder engagement at Natior <u>Views and concerns</u>	Response
	Land will have to have secured_especially for intake, WTP, reservoir and along transmission and distribution networks. MWE should have Consent forms from local leaders	The project RAP will incorporate this requirement
	Health and welfare:	
MoGLSD on 13 <sup>th</sup> September 2022	<ul> <li>Welfare provision based on gender ranging from accommodation and sanitation facilities. All employees should have written documentation of their contracts (explaining their salary/ wage, time-off duty etc.)</li> <li>The employees should be pre-medically examined to determine mental capabilities before they are engaged or assigned with different tasks.</li> <li>HIV/AIDS services should be extended to the employees through provision of contraceptives and allowing them to optionally share among themselves.</li> </ul>	MWE will ensure that the Contractor has all that lined up in the ESMP and comply to these standards
	Health and safety considerations:	
	<ul> <li>Personal Protective equipment should be provided based on the risk assessed.</li> </ul>	
	<ul> <li>Safety (occupation &amp; community) during construction should be observed. Risk assessment should be done, mitigation measures addressed and protection explained for preparedness.</li> </ul>	The Contractor to provide PPE, sanitary facilities and clear signage at the construction
	<ul> <li>The contractor should construct sanitation facilities to cater for labour force to be employed different from public toilets planned for the communities.</li> </ul>	sites. MWE to ensure the contractor complies.
	<ul> <li>During digging of ditches, sites should be hoarded off with clear signage.</li> </ul>	
	<ul> <li>Traffic control through signage / flagmen and diversions should be done with the aid of Police and</li> </ul>	

# Table 34: Stakeholder engagement at National level

Stakeholder	Views and concerns	Response
	other concerned stakeholders.	
	<ul> <li>Community engagement:</li> <li>The vulnerable groups should be planned for especially during the design of sanitary facilities</li> </ul>	The designs for the sanitary facilities will be gender segregated and cater for vulnerable groups.
	<ul> <li>The redress mechanism plans should be in place to address challenges among workers, workers to community. A committee should be formed therein having natives of the area especially LC chairperson to bridge the gap between workers and community.</li> </ul>	GRM will be in place at all levels (National, District, Sub county and village)
	<ul> <li>Employment:</li> <li>The employment policy of the country should be followed; contracts, payment mechanisms, appointment letters should be in place. Children should not be employed</li> <li>The contractor should be gender sensitive during employment for gender equality. And when employing, some percentage should be from the local people as part of ownership and sustainability of the project.</li> </ul>	These will all be captured in the contractor's ESMP and MWE to ensure the contractor complies.
	For every catchment area identified for source water protection, the catchment management organization/ committee should be engaged.	This has been provided for in the WSPP that has been developed
MWE Regional offices in Fort portal (AWMZ, RWSCR, NEMA and	Develop Water Source Protection Plans and ensure that they are implemented during the commencement period of the project such that the implementation activity takes place alongside the project so as everything is finalized at the same time and this will reduce on the man power required.	These have been developed for all the Sources for the 4 RGCs within the region (Bugwara, Mwitanzige, Kikoora and Kabamba)
Wetlands) on 27 <sup>th</sup> September, 2022	Ensure to develop sanitation/ solid waste management plans and clearly indicate the dumping so as to prevent issues of leachates and salts flowing to water sources and pollution of the environment due to improper solid waste handling.	This will be incorporated in the ESMP
	In cases where there are floods and likelihood of ground water contaminations emanating from improper	This will be considered during

Stakeholder	Views and concerns	Response
	sanitation and open defecation, ecosan toilets should be provided.	the study
	The developer should not negate their responsibility of managing the entire ecosystem. They must work closely with the catchment management committee and wetland committee to ensure the catchments or the wetland are effectively managed and conserved without causing more harm.	This was noted and will be referred to the MWE for action
	The developer should consider motorizing other neighbouring hand pumps in the project area.	This will be forwarded to DWD as a recommendation.
	The ministry has a policy of up to 3% of the project budget of any water intake/ source project to be used for the implementation, preparation of the source water protection and the developer should note this in the BOQs.	This will be forwarded to DWD as a recommendation.

# 7.4.3 Community Meetings

Consultations at community level targeted Leaders and community members from Kabamba Sub county, and people likely to be affected by land acquisition for the borehole sites, reservoir sites, access roads, and sanitation facilities sites and land owners along existing community access roads along which the transmission and distribution pipes will be laid. The affected communities/PAPs were mobilized with support from the local leaders.

The meetings were conducted in local languages such as Runyoro, Runyankore, and Kinyarwanda to cater for any linguistic barriers that would deter the opportunity to participate. The local leaders especially the LC1s and Parish Chiefs helped mobilize PAHs. Consultations commenced at 12pm and 5pm to enable participation of all interested groups including women and children. The local community consultations followed the schedule shown below;

Phase	Stakeholder	Key Officials Present	Date of engagement	Location	Numbers				
					Male	Female			
Inception Meeting	District Consultations								
Meeting	District DRDC, PACAO, Leaders Superintend of works, D/CAO, DWO, DRDC, LCV C/P, RDC, District councillor Kabamba	Monday 3 <sup>rd</sup> October 2022	Office of the RDC, Among others	10	01				

 Table 35: Schedule for local community meetings

Phase	Stakeholder	Key Officials Present	Date of engagement	Location	Numbers			
					Male	Female		
	Kabamba RGC Community Consultations							
Detailed Disclosure and Assessment	Subcounty Leaders	VC Chairperson LC3, Chairperson Water Source Committee, LC I Kinaga, LC I Kahumuza	Monday 5 <sup>rd</sup> October 2022	Kabamba Trading Centre	06	01		
	Affected Community	LC III, Parish Councillors, LCI of the Kinaga, Kahumuza, Kabamba	Tuesday 6th October 2022	Kabamba Trading Centre	30	05		
Phase	Affected Community	LC III, Parish Councillors, LCI of the Kinaga, Kahumuza, Kabamba, Nyakarambi, Kasiimbi	Tuesday 6th October 2022	Kinaga	49	18		



Plate 14: Stakeholder meeting held at Kabamba Trading Centre to introduce the Consultant to the Community



Plate 15: Community Meeting and Engagement at the Kabamba Sub County hall

# 7.5 Key findings from stakeholder consultations

In relation to the proposed project, the main findings from the engagements and public participation were largely categorized into two parts; the envisaged impacts (Both negative and positive) and general concerns on the project. The main findings from the engagements were both from the district top level officials (RDC, LCV- Chairperson and DWO among other) and the local community members as presented below;

Stakeholder	Issue/comment	Response
CAO	The project has been long overdue. The district leadership wanted assurance that the project will take off as the district is water stressed	As soon as approvals of the project and documents are finalized. The ministry has a very good roadmap to ensure this is executed super-fast.
Superintend of works D/CAO	Please ensure you involve all the relevant stakeholders and consider our people for the job opportunities coming along with this project.	The ministry will ensure that priority is given to the locals for employment and especially the trenching of the transmission and distribution pipelines
DWO	<ul> <li>Who constitutes the grievance committee?</li> <li>The contractor should restore access going to the road</li> <li>The contractors should pay workers at the site to avoid curses from not paying the workers</li> <li>The casual labourers and technical people should be paid for their work done</li> <li>There should be extensions made to institutions like health centres and schools</li> </ul>	The grievance committee constitutes a member of the Local Council, representatives from PAPs (woman included), representative of the sub county member and the ministry personal. The committee will be accessible to everyone who has any complaint both during compensation and construction phase The client/MWE will ensure contractors pay all workers at site. Institutions especially public schools and health care facilities will be prioritized while extending water.
DRDC	Will our people be given some jobs	The jobs will be available at the construction phase
LCV C/P	and where should they apply?	and through the DWO and the SAS, the general

# Table 36: Key findings from the top level district officials

Stakeholder	Issue/comment	Response		
		public will be informed at the right time to apply.		
RDC	Are the people going to be compensated? We have challenges of land grabbers and people presenting fake court orders, thus be careful and thank you for being responsible to alert this office about your existence in the area	The RAP study is one of the steps that guides on the procedures and process and the basis for compensation. MWE will work closely with the office of the RDC to ensure the project is implemented peacefully and in harmony.		
District councillor Kabamba	There is need to create a water point for the people where the water is being pumped from.	Thank you and this is noted for further action and discussion with MWE.		
(District Natural Resources / Environment Officer)	<ul> <li>The activities in the water catchment should be stopped</li> <li>There should be compensation of the land owners in depth understanding the land use in that area</li> </ul>	The comments were valid and noted.		
District Forestry Officer)	<ul> <li>The land owner should be tasked to bring out relatives in order to sort land issues.</li> <li>There is need to remind the community on their roles during training (water user committees)</li> <li>There should be intervention which are practical and realistic (short term livelihood intervention project which yield them money in a quick and short period of time)</li> <li>Watershed management committees should be formed stretching in like villages</li> <li>Local community facilitators should be brought on board</li> <li>The issue of political intervention in water supply should ironed out</li> <li>The compliance of contractors is below average</li> <li>Contractors should follow the management plan</li> <li>There is need of protection of water sources for example planting indigenous tree species around them</li> <li>There is need to engage the local communities</li> </ul>	All comments were noted and will be forwarded to the MWE as recommendations. A RAP report has also been prepared which will guide the land issues within the project area. A stakeholder engagement plan will be prepared at implementation phase for continuous engagement of all stakeholders. The contractor will have an ESMP for day to day implementation of the E&S activities		

Stakeholder	Issue/comment	Response						
	<ul> <li>There is need to deploy health and safety officers by contractors</li> </ul>							
District Community Development Officer)	<ul> <li>He requested presentation of the designs to the stakeholders.</li> <li>He advised the Consultants to have a good working</li> </ul>	The client/MWE will share the designs with the DWO The Consultant noted this advice.						
Sub county Chief	<ul> <li>relationship with Stakeholders</li> <li>Land issues due to lack of compensation for the landlord</li> <li>There is only one functional water source in the whole trading centre feeding over 700 households and sometimes dries off due to over population hence need for alternative water</li> </ul>	The RAP will guide land compensation issues. Construction of Kabamba WSS will solve this issue.						
	<ul><li>sources</li><li>There is need of improved public sanitation</li></ul>	Public sanitation facilities will be constructed as part of this project.						
	Other members in participation included the Health Inspector, Communication Officer and District woman councillor. Total Number of attendees 17 (Three were from the consultant Team)							

				he community stakeholder engagements			
Date & Venue	Target Community / Villages	No. of participants		Views/Concerns/Questions	Response		
		Female	Male				
06/10/2022 Kabamba Village		05	30	How will the communities far from the transmission line be able to get water?	There will distribution lines that will distribute water back to the communities. Service lines will also be extended once people apply for connection.		
				How many kilometres are you covering in our village?	Our Surveyors and Valuers will be doing the identification process tomorrow, based on the design that MWE extended to us. All those facts will be provided in the RAP report ad will be a basis for the implementation phase		
				Can I connect water to my home if I have money?	Yes, water will be available to whoever wants to connect to their homes but a subsidised fee,		
06/10/2022	Kahumuza Kinaga	18	49	Will there be compensation for our People?	Yes, all PAPs will be compensated before the project commences.		
	Kabamba Nyakarambi			Are the water pipes both sides of the road	The water pipes are on one side of the road		
	Kasiimbi			Will compensation be done before the digging start?	Compensation will be done before digging the trenches to enable smooth project works		
				The project should consider employing the locals who are able when civil works commence.	Priority is always given to the locals especially the casual labourers.		
				In case the water source is faulty, who will be responsible for repairing and maintaining it?	The Water committee in alliance with the local leader and the body that MWE will put in place to manage, maintain and monitor the		

# Table 37: Findings from the community stakeholder engagements

Venue C		Target Community / Villages	No. of participants		Views/Concerns/Questions	Response	
	Female		Male				
						system, will be responsible for repairs.	

All the stakeholders consulted supported the project on the basis that it would induce development in their area/district and lead to the establishment of more related projects. However, it was mentioned that the developer should be able mitigate all project related negative impacts such as waste generation, noise, destruction of crops during trench digging and pipe installations and any other negative impact as would be realized as seen in the Minutes from the stakeholder meetings above. Stakeholder engagements will continue throughout the implementation and operational stage with different stakeholders. It is likely that more relevant agencies and stakeholders will be identified during these phases, and will be engaged accordingly.

# 7.6 Public Disclosure and Consultation Plan

Public Consultation and Disclosure Plan (PCDP) is a key element in the engagement and essential for collective involvement of stakeholders in the proposed development. Disclosure refers to the provision of relevant and adequate project information to enable stakeholders understand risks, impacts and opportunities of the project. Consultation is an inclusive and appropriate process that provides stakeholders with opportunities to express their views which should be considered, responded to and incorporated into the decision-making process. In the context of the proposed development, stakeholder consultation aimed at:

- Generating good understanding of the project;
- Enabling stakeholders to engage and participate in proposed project design;
- Understanding what local community expect throughout the life of the project;
- Optimizing local benefits of the project;
- Developing effective mitigation measures and management plan;
- Characterizing environmental, health and socio-economic impacts of the project.

Like stakeholder identification, public consultations and information disclosure is a continuous process throughout the ESIA exercise. KIIs and FGDs were utilized for PCDP. A scoping exercise was undertaken on 7<sup>th</sup> February and then the consultative meetings on 24<sup>th</sup> March 2022 at Kabamba Sub County and were aimed at disclosing key project information (such as changes in the water source etc.) and to generate a master list of Stakeholders to be consulted. Key stakeholder concerns were also identified so that they could be considered in the implementation of the project. Key issues identified are outlined above.

## **Grievance Redress Mechanism**

Grievance Redress Mechanism (GRM) as a key element of the PCDP to actively identify, manage and follow up grievances received to ensure that appropriate resolutions and actions are taken by relevant authorities especially MWE, Kagadi District Local Government and Kabamba Sub County.

In order to ensure transparency and accountability, a GRM shall be established by the Project Support Team in line with the guidance provided in the ESMF. The GRM shall have a clear set of goals and objectives and a well-defined scope for its interventions, especially geographical area coverage to ensure its accessibility and effectiveness. A set of procedures for receiving, recording, and handling complaints shall be available in the GRM. This will be managed by a National Grievance Redress Committee (GRC) consisting of a MWE Chair, the IWMDP Project Coordinator, the assigned Resettlement Social Development Specialist, the Project's Environmental Focal Point, the chair of the community mediation board, a member of a recognized non-government organization, and a community leader. The GRC members shall be qualified, experienced, and competent personnel who can win the respect and confidence of the affected communities.

**Community Grievance Redress Mechanisms**GRCs shall also be established at District and Lower Local Government Levels as appropriate. For easy accessibility, GRCs shall also be formed at or closer to project implementation site at Kagadi District. Grievances shall be first reported and handled at the lowest level or project site, and referred to the next level if not resolved. The GRM shall include procedures for:

- recording, registering, and sorting grievances;
- conducting an initial assessment of grievances;
- referring grievances to appropriate units or persons;
- determining the resolution process;
- making decisions, including parameters and standards for accurate and consistent decision making;
- directing relevant agencies responsible for implementing decisions;
- notifying complainants and other affected parties of eligibility, the resolution process, and outcomes;
- tracking, monitoring, documentation, and evaluation; and
- a Grievance Log, that shall summarize all grievances registered, resolution reached, and feedback provided.

Depending on the nature and the severity of the complaint/s, the GRC in consultation with the Project Affected Persons (PAPs) or Complainant, shall identify and decide on an approach for grievance resolution. Where appropriate, complainants shall be given the choice of selecting an affordable approach with which they are comfortable and confident and that is beneficial to them. For construction-related complaints, it will be the Contractor's responsibility to address them. Usually, these kinds of complaints are described as environmental and social impacts and include issues related to dust, flooding, blasting (noise, vibration, and evacuation), lost access, and dangers to life, damage caused to public roads from heavy machinery, deteriorating water quality and quantity, damage to property and crops, soil erosion, workers' misbehavior, defilement/child abuse, and others.

The project GRMs will have other measures in place to handle sensitive and confidential complaints, including those related to Gender based violence, Sexual Exploitation and Abuse/Harassment (GBV, SEA/SH), Referral pathways based on the survivor centred approach will be incorporated in the GRM processes and disseminated in the stakeholder engagement plan. Existing legal and administrative structures will be contacted to resolve grievances of a criminal nature.

# Workers Grievance Redress Mechanism

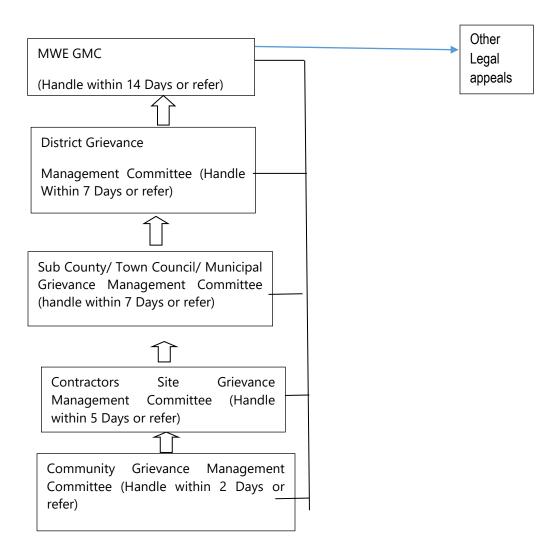
In accordance with the Employment Act (2006), the MWE/RWSSD shall ensure that the Contractor has provided contracts to all workers and has established a GRM and grievance redress committee with workers' representation. It is the responsibility of the Contractor(s) to ensure that Workers GRMs and with redress and appeal processes and institutions is in place and shared with MWE/RWSSD before the commencement of the Construction Phase. The steps in grievance handling for PAPs and community in

general are outlined in the Table below and once received, all grievances will be responded to in a maximum of 19 days.

	Responsibility
e Grievances and Provide PAPS with a Grievance	MWE, RAP Implementation Consultant,
wledgement Form	and GMCs
nce Registration and Acknowledgement	MWE, RAP Implementation Consultant,
	and GMCs
nce Sorting and Logging in database and tracking	MWE, and RAP Implementation
1	Consultant
nce Assignment	MWE
nce Processing and Feedback (30 days)	MWE, RAP Implementation Consultant,
-	and GMCs
tive Actions, Grievance Follow Up and Closure	MWE
	wledgement Form nce Registration and Acknowledgement nce Sorting and Logging in database and tracking n nce Assignment nce Processing and Feedback (30 days)

# Table 38<sup>.</sup> Grievance handling stens

### Flow of Appeals or Referral of Grievances and Timelines



# 8 ANTICIPATED ENVIRONMENTAL AND \$OCIAL IMPACT\$

# 8.1 Introduction

Key potential E&S impacts of the project for each stage of the project cycle are assessed in this chapter and an Environmental and Social Management Plan (ESMP) is provided in the Chapter 9. Prediction and analysis of possible positive and negative impacts of construction works for the water system are discussed. Impact analysis involved determination of nature of impact, its magnitude, extent, duration of potential impacts. For the proposed development, potential positive and negative impacts were identified both for the construction phase and operation phases. Throughout this report, impacts have been characterized as:

- a) "Positive" when they;
  - Enhance socio-economic welfare e.g. health, employment,
  - Enhance quality of existing environment.
- b) "Negative" when they;
  - Reduce socio-economic welfare of people,
  - Reduce quality of existing environment,
  - Reduce economic value e.g. of surrounding property.

An improvement and increase in potable water supplies and sanitation may generate interrelated improvements in health, economic and social welfare of the community. However, in addition to the many possible beneficial impacts, adverse impacts may arise from these improvements. The impact of potable water supply and sanitation on health depends on the quality and quantity of the piped water supply; the proportion of population covered; and the utilization of the water and sanitation facilities by the population. In this chapter, prediction and analysis of possible positive and negative impacts of construction and operation of the water pump station, Sanitation facilities, Office block and the reservoirs is presented, with main focus on the proposed construction of the pump station at the motorized borehole. Table 36 below provides a summary of the Positive benefits that will be realised as a result of implementation of this project.

No.	Impact	Remarks		
1.	Increased access to clean water	<ul> <li>Reduction in the current water shortages.</li> <li>Improvement of water quality.</li> <li>Reduction of the time spent and distance travelled to fetch water, which would signify an improvement in the general living conditions of the people.</li> <li>Improvements in public and household sanitation.</li> <li>Awareness of personal hygiene.</li> <li>Overall improved health conditions for the beneficiary population.</li> <li>Income generating activities for the poor will increase as result of availability of reliable supply of water in public places e.g. commercial water service providers.</li> </ul>		
2.	Employment opportunities and	<ul> <li>The use of appropriate labour-intensive methods for some of the construction activities (e.g. construction of the pump station, office</li> </ul>		

	increased household incomes and revenues	<ul> <li>block and Reservoir) would present employment opportunities for local people and generate direct income benefits to local households.</li> <li>Some people will be employed in the digging of the transmission and distribution networks, sand and stone quarries, and sale of earth materials to the proposed project and in the service sector around the project site.</li> </ul>
3.	Income to material/ equipment suppliers and contractors	<ul> <li>Earth materials needed for construction, for example, aggregate (stones and sand) will be obtained from quarry operations.</li> <li>Number of equipment and materials (such as gravel, bricks, plumber, steel reinforcement and cement for civil works) will be sourced locally within Kagadi district and the neighbouring districts.</li> </ul>
4.	Increased Public Revenue / Taxes	<ul> <li>People who have never worked on such projects would acquire such skills, which they would use to seek employment in future.</li> <li>The Project would provide grassroots management opportunities for the local people to both be involved in the management of the water supply and protect their local environment.</li> </ul>
5.	Boost to the local Economy	<ul> <li>Provision for direct employment opportunities to the workforce thus contributing towards alleviation of poverty and income generation for the local community;</li> <li>Stimulation of business activities related to contracting works for local entrepreneurs (sub-contractors);</li> <li>Providing trading opportunities for local communities and other small enterprises in the area;</li> <li>Providing opportunities for provision of basic and other services for the contractors and immediate community. The project will consider employment of locals.</li> </ul>
6.	Gender Benefits	<ul> <li>The expected reduction in water collection distances and times would lead to a reduction of Gender-Based Violence (GBV) that women and girls experience while walking long distances to fetch water. It may also reduce conflict/fights that often occurs at water sources due to big crowds. It will mean more opportunities for girls to attend schools and more time for women to engage in other economically and educational beneficial activities and also more time for women to take care of their families including caring for the sick and elderly.</li> </ul>
7.	Health Benefits	<ul> <li>Direct health benefits of the project to the affected population will result in a reduction in the incidence of water-related diseases particularly diarrhoea, typhoid, intestinal worms, skin and eye problems, and dysentery and cholera.</li> <li>Loss of productivity resulting from sickness related to water-borne diseases and expenditure on related medical care will therefore reduce.</li> </ul>
8.	Improved service delivery	• The proposed project would result in bringing improved water and sanitation services closer to the people.
9.	Eradication of poverty and improved livelihoods of the local people	<ul> <li>The proposed project would result in an increase in the volume of water for production which could result in improved livelihoods of the local people.</li> <li>Water is indispensable for survival and improving the quality of life – for health (drinking, eating and bathing) and for economic development (agro-processing and business). The project would,</li> </ul>

		therefore increase productive activities through reduced sick days and time saved in fetching water.		
10.	Combat HIV/AIDS, malaria, typhoid, and other diseases	<ul> <li>The awareness campaigns for public health, hygiene and sanitation particularly targeted at women and girls would be widened to include measures for tackling HIV/AIDS and other diseases such as schistosomiasis and diseases related to excreta contaminated water and poor hygiene (cholera, typhoid, and diarrhoeal diseases).</li> </ul>		
11.	Ensure environmental sustainability	<ul> <li>Implementation of catchment and water source protection measures would ensure reliability to the water source.</li> </ul>		
12.	Develop a global partnership for development	<ul> <li>The Project would provide opportunities for the GoU through MWE/DWD to aim at achieving the Sustainable Development Goals (SDG) specifically SDG 6.</li> </ul>		
13.	Increase in investment in the area standard of living	<ul> <li>MWE/DWD will invest heavily in the construction and operation of the Kabamba RGC water supply system which would involve use of locally available materials.</li> <li>The business community could take advantage of the proposed development to establish businesses that would otherwise be impossible without safe piped water.</li> </ul>		
14.	Develop a global partnership for development	<ul> <li>The project will provide opportunities for the GoU and in particular the Ministry of Water and Environment to work together to achieve the Sustainable Development Goals (SDGs) specifically SDG 6 and 12</li> </ul>		

# **8.2 Positive Impacts during Construction Phase**

Anticipated positive impacts of the construction phase are elaborated below.

# a) Employment opportunities

The design, feasibility and planning phase provided financial benefit and employment for local consultants. This is a positive but short-term and reversible socio-economic impact. Contract provisions for the construction works require most of the labour force (at least 50%) to be drawn from the local population with particular emphasis on youth and women. Since construction is estimated to take a certain number of months, this phase will provide short-term job opportunities for local people. The project is estimated to employ around 70 workers during the construction phase.

Furthermore, indirect opportunities for employment will be stimulated in the other sectors related to construction, such as manufacturers of local raw materials and finished products and providers of services. It is also anticipated that indirect employment opportunities will be created within local communities through the provision of services to the construction teams, such as the sale of food and beverages.

#### Impact Enhancement

The contractor should involve local leaders in recruitment process to ensure full and fair participation of local communities. Wherever feasible, local people should be considered for job opportunities commensurate with their level of skills. Adequate occupational health and safety standards should be provided to ensure the work environment is conducive. A training programme for artisans (builders, plumbers) in the project area could be facilitated by the project to ensure skills transfer during the construction period.

# b) Income to material/ equipment suppliers and contractors

The scale of construction works is moderate in the proposed project area. Although some of the equipment and materials required for the project will be sourced nationally or even internationally to ensure quality is achieved, a number of equipment and materials (such as gravel, bricks, plumber, steel reinforcement and cement for civil works) can be sourced locally within Kagadi district and the neighbouring districts. Local suppliers of materials and equipment involved in the project will benefit financially. This is a positive but short-term and reversible impact.

# Enhancement measure

Earth materials needed for construction, for example, aggregate (stones and sand) will be obtained from quarry operations. Conscious or unwitting purchase of these materials from unlicensed operations indirectly promotes environmental degradation at illegal quarry sites and can cause medium to long-term negative impacts. It should therefore be a contractual obligation for contractors to procure construction materials from quarries legitimately licensed by the respective district authorities.

# c) Acquisition/improvement of skills

People who have never worked on such projects would acquire such skills, which they would use to seek employment in future, and as a benefit from the capacity building incorporated in the program, the implementing authorities would have adequate capacity for managing the environmental and social assessment and permitting processes. The Project would provide grassroots management opportunities for the local people to both be involved in the management of the water supply and protect their local environment.

# Enhancement measures

The Local leaders will play a vital role in screening and recommending those seeking for employment to weed out wrong elements who may instead cause serious setbacks to the project in terms of offering labour both skilled and unskilled.

# d) Increased Public Revenue / Taxes

The implementation of the project will increase revenue and taxes for both the central and local authorities. This includes indirect taxes resulting from the construction project such as Value Added Tax (VAT) on materials and services, Pay As You Earn (PAYE) for construction workers and other formally employed persons who will form by far the majority of created employment opportunities) as well as revenue to pension funds such as National Social Security Fund (NSSF).

# e) Impacts on Local Capacity

The scale of the construction of the project with the logistics involved and speeds of construction that will be required, while maintaining construction, health and safety standards will involve considerable management and planning skills and will contribute to capacity building within the country's engineering and construction sector. Co-operation between international suppliers of specialized equipment and contractors and local contractors and sub-contractors and companies will result in the transfer of skills and will also build additional local capacity.

# f) Boost to the Local Economy

The workforce will get most of their food and other necessities from the surrounding area and this will provide a market for the local agricultural producers, and craft producers and other small businesses (local

shops). This will in turn increase the incomes of the local people, which can be invested in other (productive) activities and be used for paying school fees, medical expenses and other domestic needs. The project will stimulate local economic activities by:

- Provision for direct employment opportunities to the workforce thus contributing towards alleviation of poverty and income generation for the local community;
- Stimulation of business activities related to contracting works for local entrepreneurs (sub-contractors);
- Providing trading opportunities for local communities and other small enterprises in the area;
- Providing opportunities for provision of basic and other services for the contractors and immediate community. The project will consider employment of locals.

# g) Capacity Building

It is expected that for the construction of the water source points, some degree of capacity building will be provided (organised and un-organised) through the transfer of new technologies and new skills to (un-skilled) labour. This will happen through on-the-job training as well as through exposure to modern water quality practices, management and logistics procedures. Local sub-contractors and companies will also benefit from the transfer of skills and will also build additional local capacity.

#### Enhancement Measures

To maximise capacity building for local communities, programs and technical training courses as well as on-the- job training will be provided in specific skills areas for suitable candidates from local communities to enhance minimum levels of education and the possibility of being employed during operational phase.

# 8.3 Positive Impacts during Operational Phase

# a) Improved health status of households the host communities

The provision of an adequate, safe water supply and sanitation has positive impacts on the health of users by greatly reducing the incidence of communicable enteric and infectious related diseases, which, in many instances occur in communities due to lack of adequate sanitation and potable water supply. Both potable water supplies as well as safe disposal of human excreta are needed to break the chain of transmission diseases. Changes in water supply may affect different groups of disease in different ways; one group may depend on changes in water quality, another on water quantity and availability and another on indirect effects of standing water which is related to sanitation. Therefore, improvement in water supply in several of the poor informal settlements will directly contribute to improved public health within the project communities.

<u>Enhancement measures</u>: Educate users on the proper use, regular cleaning and effective maintenance of both the household and public facilities.

# b) Educational enrolment and attendance

Construction and Operation of the water system will lead to considerably increased and consistent access to safe water for the project host communities. In relation to increased provision of potable water supply, time savings are the most immediate and easily measured benefits although its magnitude will depend on the conditions prevailing before the construction of the piped water supply. Consequently, time spent on searching and waiting for water by women and children will be saved. This will enable children, especially the girl child to attend school regularly., while mothers will get more

time to prepare their children for school. Assuming other factors are available (such a scholastic material, teachers) school attendance and performance will improve.

# c) Acquisition of new skills

Most water supply and sanitation projects are built through the labour of local residents who are directed by a small cadre of sub-professional or supervisory personnel from outside the community. Community participation can also have a great impact on the effectiveness and sustainability of water supply and sanitation programs. It can also help to minimize many of the potential negative environmental impacts associated with them.

<u>Enhancement measures</u>: Where the required skills are available locally, the local people should be given first priority commensurate to their level of training.

#### d) Improvement in household economic status

The increased provision of potable water supply and sanitation has positive beneficial impact on health and ultimately directly and indirectly on productive and economic benefits.

- *Livestock and poultry keeping:* Improved water supply would lead to an increase in poultry and livestock keeping in homesteads. A permanent water source near or on the farm will permit an increase in cattle and improve the production of milk and beef. Those farmers who previously felt water to be a crucial constraint preventing them from keeping such livestock as grade cows and pigs, poultry like chicken or expanding their activities in this regard, may find it feasible to do so.
- Small scale gardens: The increased provision of piped potable water supply may have positive beneficial impact on the irrigation of small-scale gardens around homes if there is excess water available and it can be used for irrigation of small-scale garden plots near each household or tap. This will have positive beneficial *impacts* on increasing agricultural productivity and perhaps also improving nutrition status of households.
- *Small scale industries:* The ample availability of piped potable water supply may lead to improvements in the small-scale industrial development and increased production.

<u>Enhancement measures</u>: Water supply should be set taking into consideration the different levels of users. The users should also be educated to avoid wasteful use of the resources.

#### e) Employment opportunities

Operation of the constructed water supply system will create additional long-term technical and nontechnical job opportunities for professionals, casual labourers, etc. Staffing will be required in the Sub County and Rural Growth Centre (RGC) to operate the constructed water supply system by: Operating the system in accordance with the service standards; Maintaining the system; Developing the system; Billing the consumers; Collecting revenue; Receiving applications for and making new connections; Making extensions to the system or assets; Attending to all customers; Keeping records of the operations of the system; and Writing status reports for the operations of the system.

<u>Enhancement measure</u>: Wherever feasible, local qualified people will be considered for job opportunities. Adequate occupational health and safety standards should be provided to ensure the work environment is conducive.

# f) Promotion of gender equality and empowerment of women and the girl child

The proposed project would free women and girls of the burden of having to spend a lot of their time collecting and carrying water almost on a daily basis often from sources distant from their houses. This reduction in burden would allow women and girls time for other activities including involvement in economic ventures that could contribute to reducing poverty and furthering their education (thus increasing school enrolment).

# g) Attainment of the Sustainable Development Goals; SDGs

The effect of providing safe water and hygienic sanitation services would help in the attainment of all other Sustainable Development Goals (infant mortality, poverty reduction, improved health and increased school enrolment rate).

# *h)* Increase in investment in the area

Through the MWE/DWD investing heavily in the construction of the Kabamba RGC water system which would involve use of locally available materials, the business community will take advantage of the proposed development to establish businesses that would otherwise be impossible without piped water.

# *i)* Environmental sustainability

The skill for managing water supply and sanitation facilities would result in building social capital which could be extended to better manage the local environment and water resources. The project would include environmental awareness which could be deployed to manage the environment better.

# j) Combat HIV/AIDS, malaria, and other diseases

The Project would result in prevention of vector borne diseases related to water sources (such as guinea worms, Onchocerciasis, and schistosomiasis) and diseases related to excreta contaminated water and poor hygiene (cholera, typhoid, and diarrhoeal diseases) due to the increased provision of safe and clean water. Safe drinking water, personal/household hygiene and improved sanitation would reduce infant/child morbidity and mortality; improve their nutritional status and their ability to perform better in schools. The marginal price of improved hygiene and sanitation promotion would make them cost effective health interventions.

# 8.4 Negative Impacts during Construction

# a) Land acquisition for infrastructure and loss of structures/property

This will include permanent land acquisition for the construction of the water source intake (Motorized borehole), office block, pipeline network and trenching to the detriment of land owners. The land-take would be permanent where all the project components would be constructed and temporary along the pipeline network. However, both the transmission and distribution lines would be confined to the road reserves where possible.

The construction of the proposed Kabamba WSS is associated with:

- Construction of new water supply systems which include;
  - ✓ Construction of distribution line
  - ✓ Construction of transmission line
  - ✓ Construction of the reservoir
- Construction of public toilets at: Kabamba primary school and Kinaga COU primary school.

The Kabamba RGC Water Supply and Sanitation Project will require a permanent land take of 0.7853 acres and an Easement corridor of 2.9700 acres with a total of 133 PAPs.

The construction contractor may require land for construction of lay down areas, and camps during the construction phase. In addition, unintended damage to crops and structures may occur. This is likely to be a moderate impact of the proposed project. For the purposes of defining impacts, a distinction was drawn between households that will be both physically and economically displaced and those that will only economically displaced, as follows:

- **Physical Displacement:** Loss of shelter and assets resulting from land acquisition associated with a project that requires PAP to relocate.
- **Economic Displacement:** Loss of income streams or livelihood means resulting from land acquisition or obstructed access to resources (land, water, or forest) resulting from the construction or operation of a project or its associated facilities. For example, economic displacement can result from loss of access to farmland and can occur without physical displacement occurring.

Another important distinction in defining impacts is between permanent land acquisition and permanent land restrictions, which are defined as follows:

- **Permanent land acquisition** involves the project acquiring all land including land registration and title processing. This is the case for land required for the boreholes, and reservoirs.
- **Permanent land restriction** involves limitations imposed on the land under easement corridors for water pipes which prohibits building any structures or cultivating perennial crops and trees within the corridor. However, any existing PAH retains land use/ownership rights and cultivation of seasonal crops within the easement corridor, or any other land uses. Land use restrictions decrease land use potential which decreases the land value. It is this diminution (reduction in value) that is compensated. The table below shows the land take for Kabamba WSS.

#	Impact	Land Affected in Acres
1	Permanent Land Affected (Water Source Site, Reservoir Site, Access Roads, And Sanitation Facility Sites)	0.7853
2	Permanent Land Restriction (Easement for Transmission and Distribution Pipes)	2.9700
3	Total Land Affected in Acres	3.7553

# Table 38: Land take requirements for Kabamba WSS

#### Mitigation Measures

- The district and local authorities in Kabamba Sub County have already been engaged together with the local land lords and they agreed with communities whose land will be used for the proposed project construction (Consent forms were signed and they have been attached to this report; **see appendix IV).** No grievances were reported and are envisaged.
- MWE shall ensure that this land and any impacted assets are compensated for in accordance with the provisions of this RAP

• Land owners that require compensation (where possible) as project affected persons should be compensated before commencement of the project activities.

# b) Loss of crops and vegetation cover and top soil

The existing vegetation and top soil will be cleared to give way to the construction process on all sites. The study team discovered that the project area will cover 0.7853 acres of permanent land take and therefore limited flora of significant impact will be affected. However, clearing of this vegetation will lead to permanent loss of vegetation cover and likelihood of soil erosion due to removal of top soil. The project activities are likely to destroy vegetation with subsequent loss of some shrubs and grasses from the area of operation albeit on a small scale. This is likely to cause loss of habitat and disturbance to faunal communities in the affected sites but at an insignificant level. A corollary livelihood impact resulting from the loss of household land is the loss of crops and fruit trees planted on that land. There are also impacts related to loss of timber trees and woodland areas. The Project will impact 124 bananas, 90 coffees, 15 flowers, 13 cocoas, 6 castor oil, 3 sugarcanes and 7 vanillas at various stages of maturity. The Project land take will result in the loss of 20 fruit trees, 45.00% of which are mango, followed by avocado of 25.00%, jackfruit of 15.00% and pawpaw of 10.00%. The Project will impact 99 timber-productive trees, most of which (47.47%) are Eucalyptus followed by Acacia of 15.15%.

# Mitigation Measures

- After construction, there should be landscaping and re-vegetation. The premises will be planted with vegetation/grass and ornamental trees.
- The water source should be fenced off to reduce on going agricultural activities around the borehole to avoid pollution entering the boreholes especially when it rains heavily.
- Minimize vegetation clearance by clearly demarcating work areas.
- Provide environmental awareness training to all employees.
- Rehabilitate all disturbed areas
- MWE shall ensure that this land and any impacted assets are compensated for in accordance with the provisions of the RAP.

# c) Fauna

Disturbance or loss of protected/endangered animal species/communities and their habitat due to construction activities (noise, dust, fumes, pollution, vehicles).

# Mitigation / Enhancement Measures

- Minimize vegetation clearance to the project specific site.
- Protect water resources from pollution.
- Protect soils from contamination.
- Rehabilitate all disturbed areas.

# *d)* Increase susceptibility to Soil Erosion

Increased soil erosion is likely to occur in the vicinity of project sites during the construction of the water source points and other related construction works. The site earthworks will reduce soil stability and hence make the soils aggregated and more susceptible to erosion especially during the rainy season.

#### Mitigation / Enhancement Measures

- The sites will be hoarded off to intercept any eroded material and any soil material will remain within the site until it is taken away for proper disposal or used for backfilling to avoid loose soil being washed away by storm water.
- The project proponent will also ensure that proper landscaping and vegetation restoration is carried out to further reduce the possibility of soil erosion.
- The Project Contractor should backfill all trenches immediately after laying the pipes for the transmission and distribution networks and compact such areas as to near level prior to excavation.
- Pursuant to Section 23(1) of The National Environment (Wetlands, River Banks and Lake Shores Management) Regulations, No. 3/2000 (under section 53 of the National Environmental Act NO.5 of 2019), the 100m protection strip is administered by NEMA and the developer shall apply for a permit from NEMA in order to undertake planting, to reduce erosion; and improve the biodiversity of the area by re-establishing indigenous grass/ tree species on site especially at the water sources/boreholes. Any replanting will be undertaken in consultation with the District Environment Office (DEO) and District Forestry/Natural Resources Office.
- Use proper techniques for trenching and shoring.

After application of the above mitigations, the impact significance is anticipated to be of a minor ranking. Therefore, no further mitigations are proposed at this stage.

# e) Effects of Poor Solid Waste Management

Waste will be generated from the construction sites. The waste to stream from the construction sites will include Cement bags, timber and pipe cuttings empty water bottles, food remains from the construction workers and other forms of waste. If not well managed, the area could be prone to nuisance from foul smell, breeding of vermin and vectors, and lead to outbreak of diseases. Extent of this impact will be local to areas where waste is dumped or their immediate neighborhoods. The impact intensity is assigned low due to the lack of a well streamlined waste management system in Kagadi. The sensitivity of receptors is assessed as 'low' given that similar activities have and are taking place in the area and that an experienced contractor will be hired. This gives rise to minor impact significance.

# Mitigation Measures

- Waste collection bins will be provided at strategic positions at the construction sites for temporary waste storage.
- The waste collection bins should be provided with covers to avoid spillage by scavengers and clearly coded for sorting purposes.
- The contractor will hire a certified waste collection company to transport the waste for final disposal to designated waste dumping sites by NEMA/KDLG/Kabamba Sub County.
- Burning of waste on-site shall not be allowed.

# f) Generation of Noise

Due to the nature of the construction process, noise levels will fluctuate in line with the combination of machinery or equipment being used at any one time. Noise and vibrations will mainly result from use of equipment like excavators and including bulldozers, graders and dump trucks during site preparation and construction activities. However, noise levels will also vary depending on time and distance as the construction spread progresses along the pipeline route thus the local residents will not, therefore, be continually exposed to the noise levels for extended periods. Construction traffic associated with the pipeline construction will be routed via main roads and along the ROW as far as is possible. Some minor roads will have to be used for access to the pipeline spread itself and some new

#### access roads will be created.

The increase in traffic movements on minor roads may cause a noticeable increase in daytime noise levels through small villages; this effect will be localised and temporary, and will, for the most part, be restricted to the construction phase of the project. A number of roads will require repair prior to use for construction vehicle access. These repairs will help to reduce noise levels generated by such access, and other vehicular movements. Due to the intermittent and short-term nature of the activities, the intensity of impact is assessed as low and sensitivity of the receptors as medium, given that most of the proposed routes for the water pipelines are located in relatively noisy mixed residential and commercial areas of the project area and its neighborhood.

# Mitigation strategies:

- Contractor will ensure that equipment is properly maintained and fully functional in accordance with the manufacturer's recommendations.
- The contractor should ensure that noise levels emanating from machinery, vehicles and noisy excavation and construction activities are kept at a minimum for the safety, health and protection of people in the nearby areas. This will be done through regular monitoring of noise levels.
- Regular maintenance, monitoring and, where necessary, the use of silencing equipment will be employed with the aim of reducing noise emissions.
- The selected contractor will be required to submit detailed information on the noise levels which will be generated by the specific methods and equipment proposed and to identify actions required to minimise the noise impact.
- Pumps, generators and other mobile equipment will be sited as far as practicable from housing and other noise sensitive locations, work will not be carried out Sunday during service time or hours.
- During periods of inactivity, equipment will be switched off whenever possible. A limited number of construction activities may have to continue on a 24-hour basis. These include horizontal direction drilling, pipeline cleaning and hydrostatic pressure testing which are relatively low noise activities.

# g) Increased siltation of the aquatic habitats

Some of the excavated sediments from the project site and the construction spoils emanating from the excess excavated material and construction debris are likely to increase siltation especially in the nearby seasonal swamp ecology and therefore affecting the associated aquatic habitat.

#### Mitigation / Enhancement Measures

- Ensure that the site is at all times drained adequately and surface run off is directed appropriately to avoid water logging of adjacent area and of the undulating drainage channel in the Sub County.
- Pursuant to Section 23(1) of The National Environment (Wetlands, River Banks and Lake Shores Management) Regulations, No. 3/2000 (under section 53 of the National Environmental Act NO.5 of 2019), the 100m protection strip is administered by NEMA and the developer shall apply for a permit from NEMA in order to undertake planting, to reduce erosion; and improve the biodiversity of the area by re-establishing indigenous grass/ tree species on site especially at the water sources/boreholes. Any replanting will be undertaken in consultation with the District Environment Office (DEO) and District Forestry/Natural Resources Office.
- The project proponent will also ensure that proper landscaping and vegetation restoration is carried out to further reduce the possibility of soil erosion.

# h) Increased incidences of diseases

The increase of people involved in the project activities is likely to increase the incidences of diseases in the area. The above situation will be aggravated by the entry of commercial sex workers into the area following the commencement of the project activities. Consequently, there will be potential risk of contracting sexually transmitted diseases (STDs) especially the Human Immuno-Deficiency Virus/Acquired Immuno-Deficiency Syndrome (HIV/AIDS) among the program workers and the local communities. This will be increased due to influx of people seeking for employment.

# Mitigation / Enhancement Measures

- The contractor should liaise with the District and Sub County CDO to mobilise communities during the recruitment process to reduce on influx of people who are unskilled.
- The contractor should emphasise equal opportunities for both men and women.
- The Contractor should, in conjunction with local health authorities, undertake to educate and sensitise the workforce on communicable diseases such as cholera, STDs and HIV/AIDS. Condoms must be made available to the workforce.
- Promptly notifying serious and severe incidents and accidents (no later than 24 hours or immediately after learning of the incident or accident

# i) Visual intrusion

This will mainly arise from the erection of service reservoir tanks on the high altitude. In addition, visual intrusion will occur where project activities are likely to create disfigured landscapes in the project area especially where the construction activities will result in deposition of large spoils and digging of the trenches.

# Mitigation / Enhancement Measures

- The contractor should maintain as much as possible the existing landscapes and plant trees and vegetation to enhance the visual aspect.
- Rehabilitate all areas disturbed by construction and landscape with trees, grass and shrubs
- Keeping the site tidy including managing spoil/soil from excavations by spreading excavated soils

# *j)* Increased accidents and occupational hazards

Implementation of the project will definitely increase volume of human and motor traffic in the project area. The increase in human and motor traffic will be aggravated by the transportation of construction materials, water pipes and other equipment required in the construction of the water supply facilities. This is likely to result in a higher risk of accidents and occupational hazards occurring in the area of operation. Factors that may exacerbate this situation are inadequate appropriate working gears for project workers including the helmets, overalls, boots and gloves. Accidents could cause considerable ecological damage, financial loss and harm to human life. While largely reversible, some impacts such as loss of human life are irreversible. The receptor sensitivity is considered high given that such impacts may be irreversible once they occur. The impact intensity is considered to be low since MWE will procure a qualified contractor who is aware of OHS measures.

# Mitigation / Enhancement Measures

- •
- The primary measure to mitigate OHS impacts is prevention which entails identification of risks and instituting pro-active measures to avoid them. In part this can be achieved by following GIIP or

national guidelines. For unavoidable risks, personal protective equipment (PPE) should be provided to workers.

- Orient all staff on safe work practices and guidelines and ensure that they adhere to them.
- Training staff on how to prevent and manage incidences. This should involve proper handling of electricity, water etc. and sensitization on various modes of escape, conduct and responsibility during such incidences.
- Regular safety drills to constantly follow on various possible incidences.
- Use signage to warn staff and/ or visitors that are not involved in work of dangerous places.
- Develop evacuation procedures to handle emergency situations.
- Provide adequate OHS protective gear for all laboratory staff.
- Implement lock-out-tag-out (LOTO) procedures to address electrical safety risks
- Deploy only certified staff to undertake specialized tasks such as electrical work.
- Traffic guides and signs should be utilized to avoid accidents on busy roads and junctions especially with vehicles transporting materials.
- Promptly notifying serious and severe incidents and accidents (no later than 24 hours or immediately after learning of the incident or accident

#### *k*) Sourcing of Construction Materials

Sourcing of materials such as sand, gravel bricks/blocks and timber if not well regulated and controlled can have a significant impact in the points of sourcing.

#### Mitigation Measures

• The Contractor should liaise with local authorities to ensure that materials such as sand and gravel are only taken from quarries and borrow pits with the necessary environmental permits.

#### *I)* Archaeological / Historical Sites/cultural sites

Throughout the consultations with the locals and local leaders, no known archaeological or historical sites exist on the proposed project routes, and proposed construction sites. Therefore, no impacts on any features of importance to national heritage are expected. The Asset survey indicates that the Kabamba RGC Water Supply and Sanitation Project will not impact any graves. However, the activities of the Kabamba RGC Water Supply and Sanitation Project have the potential to trigger OP 4.11 Physical Cultural Resources. During excavation works for Project infrastructure, there might be chance finds. Any chance finds will be treated in line with the requirements of OP 4.11. The objective of OP 4.11 is to avoid, or mitigate, adverse impacts on cultural resources from World Bank Funded Development Projects. Annex VI provides a Chance Finds Procedure on Physical Cultural Resources Management.

#### Mitigation measures

 Although no archaeological features were observed or known to exist at the proposed project sites and on transmission routes & sites, the Contractor shall ensure that key members of his staff are briefed. Any such features that may be found that were not apparent on surface investigation will be reported by the project management and appropriate procedures followed to hand them over to the authority responsible for national heritage and antiquities. Refer to the Chance find procedures annexed in this report.

#### *m*) Groundwater Quality

Disturbance or loss of protected/endangered plant species or communities (terrestrial, wetland, aquatic) due to construction activities of the different project components.

## Mitigation measures

- The borehole should be covered and sealed so that dirt, flooded water, sand and other debris cannot fall in.
- The boreholes should have a raised concrete apron around its base to prevent dirty water seeping back into the hole.
- Do not develop pit latrines close boreholes. The recommended distance between a borehole and toilet is 50m and this ensures minimal chance of contamination of the ground water.
- Dispose of all waste in an approved disposal site.

# *n*) Risk of Accidents within the community

The water pipelines will have to be laid across existing roads that are used by motorist and cyclists in addition to pedestrians. The trenches created for the pipe crossing can lead to accidents if proper signage is not put in place. Construction traffic accidents would be a significant social impact and likely to affect public members like children, women, disabled, elderly people and livestock, etc. The duration of the risk will be short-term occurring only during the construction phase. Although some effects of the accidents (e.g. minor injuries) may be reversible, some, for example, loss of human life are irreversible. The receptor sensitivity is medium given the number of pedestrians and commercial activities along the roads while the intensity is medium given the temporary nature of the construction activities, however, some of the impacts may be irreversible.

#### Mitigation measures

- Best transport safety practices will be adopted with the goal of preventing traffic accidents and minimizing injuries suffered by project personnel and the public by: employing safe traffic control measures, including road signs and flagmen/traffic guides to warn of dangerous conditions and children crossings; and setting speed limits on all access roads in the project area will be 30km/h for light vehicles and 20km/h for heavy vehicles.
- Service ducts installed by the road contractor will be used where applicable to avoid cutting through roads that have just been upgraded.
- All workers, including sub-contractors and casual labour, will undergo an environmental, health and safety induction before commencing work on site. This will include a full briefing on site safety and rules.
- The affected communities will be informed of the timing and duration of the construction activities across access roads and any uncertainties or potential for change and also sensitised on the dangers of construction sites and the need to keep away.
- Identifying optimum routes from pipe storage areas to the ROW to avoid sensitive receptors such as schools and hospitals, wherever possible and putting in place journey management plans.
- Restrictions on hours of driving (including night time restrictions where sensitive receptors may be affected) and timing of vehicle movements to avoid busy periods in urban areas, particularly the start and end of school and the working day
- Control over routes used by vehicles to avoid construction traffic using inappropriate roads and other road users gaining access to the pipeline spread and access roads.
- Ensuring adequate vehicle maintenance to ensure that vehicles do not produce significant emissions and that all safety features including brakes, lights etc. are in good condition.

# *o)* Social Misdemeanour by Construction Workers

While most workers may originate from the local community where they have families, there might be others from distant places and working away from their families. With some disposable income to spend, this might induce illicit sexual relationships, with attendant risk for spread of HIV/AIDS. Irresponsible sexual relationships in project communities can break families and heighten risk of contracting HIV/AIDS. Illicit sexual relationships can be short-term but have long-term and irreversible effects.

The concentration of workers in the villages, in migration of people from different regions as well as occasional payment in wages may lead to behavioural influences which may increase the risk spread of diseases thus exposing the workers or other members of the surrounding community to the hazard of infections that include HIV-AIDS and sexually transmitted diseases. Similarly, labour influx of job seekers is associated with social vices which can disturb the social order and even lay the ground for escalation of HIV/AIDS cases whose impacts are likely to be prolonged in prevalence. The sensitivity is however very high as these poor communities would struggle to cope with the challenges of being HIV positive. The impact intensity is however low due to the low number of workers (about 40, with priority hiring of non and semi-skilled labour from project villages) expected on the project.

The Code of Conduct for Contractors shall be signed by contractor upon award of contract and copies displayed for workers to view. In addition, a Code of Conduct for workers must also be signed by each project worker, and adhered to by the contractors. It ought to be translated into predominant local language of the workforce. Labour influx in the project community is likely to increase HIV/AIDS due sexual relations between project workers and the local community; workers taking advantage of young girls in the community due to high poverty levels and vulnerability, teenage pregnancies and dropping out of school etc. Violence Against Children (VAC) such as potential use of child labour; sexual relationship with underage children, teenage pregnancies, school drop outs etc. Conflict in the community/families (social cohesion and disruption) due to project workers engaging in sexual relationships with married women in the community etc. is also anticipated.

Regarding GBV, may be experienced, for example, an increase in intimate partner violence (IPV) when compensation schemes that share funds equally among husband and wife at the household level do not provide adequate sensitization and safety measures to reduce potential for increased tensions due to females receiving funds. This also refers to other GBV-related risks incurred as a result of project implementation that do not adequately consult women and adolescent girls in the community about safety and security issues related to the delivery of water and sanitation services. However, the impact intensity is ranked as low because of the low number of workers who would be exposed to incomes that can encourage irresponsible behaviour. The overall significance is ranked as **Moderate**.

# Mitigation measures

- As a contractual obligation, contractors shall be required to have an HIV/AIDS policy and a framework (responsible staff, action plan, etc.) to implement during project execution.
- A sensitisation programme for the would-be affected local communities will be conducted prior to commencement of and during the project implementation and the following issues should be included i.e. HIV/AIDS, VAC, GRM in place and conflict management.
- A code of conduct (appropriate to behaviours in workplace and with respect to relations with local community) will be developed and approved by MWE which will be signed by all workers on the project.

- Local workers will preferentially be employed, paid directly through their banks and access to bars by workers from outside the project area in the local communities controlled.
- All construction workers shall be orientated and sensitized about responsible sexual behaviour in project communities.
- Ensure adequate referral mechanisms are in place if a case of GBV at the community level is reported related to project implementation.
- The Contractor should have a "**No sexual harassment**" policy and mainstream it to ensure strict adherence to established mechanisms to avoid the emergence of these challenges.
- MoWE should ensure that social safeguards personnel are recruited as part of the project implementation personnel to supervise contractors and to continuously engage communities.
- Report and follow up with Uganda Police on all matters of criminal including sexual offences.
- Contractor to prepare and implement a Gender Action plan to include at minimum, in conformance with local laws and customs, equal opportunity employment, gender sensitization.

# 8.5 Negative Impacts during the Operation Phase

# i) Water quality and pollution

The quality of water recommended is that which is physically, chemically and bacteriologically safe for human consumption. When not thoroughly treated, water could be a source of water related diseases which could affect the whole project communities, thereby causing an epidemic in the area. Transmission of water can also result into pollution entering the boreholes.

# Mitigation Measures

- The borehole should be covered and sealed so that dirt, water, sand and other debris cannot fall in. Transmission and distribution pipes should also be covered underground to reduce exposure.
- The boreholes should have raised concrete aprons around their bases to prevent dirty water seeping back into the hole.
- The drilled borehole areas should be raised well-head by building earthworks to prevent the flooded water, dirt and other debris to accumulate around them.
- Prepare a water source protection plan.

# ii) Water quantity and yield

This could be due to declining groundwater recharge and over pumping. The project sites are prone to suffering from rapid land use change (deforestation, soil erosion, etc.) thus the recharge of the ground water supplying the boreholes may be affected in the long run.

# Mitigation / Enhancement Measures

- Get involved with catchment management planning that could improve land management and restore groundwater recharge.
- Encourage contour ploughing, mulching and other agricultural practices that increases soil water retention and percolation into the underlying aquifer.
- Reduce the amount of water being taken if demand in the area is growing then look at developing new water sources.
- Keeping records of how much is being pumped (either volumes or number of hours for which the pump is being used per day). Find out if sudden drops in level correspond to pumping activity.
- Prepare a water source protection plan.

# iii) Water supply system failure

Insufficient cost/funding for operation and maintenance would lead to poor maintenance of the system which eventually could lead to frequent breakdowns of the water supply system and consequent shut down, which further could require major and costly rehabilitation. Other sources of failure in the water system could be due to sabotage (possibly by the water vendors who envisage loss of livelihoods), illegal connections which could result in decreased water pressure, and vandalism (theft of water system parts).

# Mitigation / Enhancement Measures

- Payment for water supply services is the only way to keep the service running continuously and therefore tariffs would be designed to ensure financial viability. Cost recovery would be achieved through service fee payments.
- Put in place a water user committee to oversee the operations of the water system.
- Fence off the areas like water abstraction points, pump houses, water storage reservoir tanks and other water supply structures like the community tap stands to mitigate trespass and sabotage.

# iv) Pollution of water due to cutting of pipes.

Digging and construction of water facilities within close vicinity/on the water transmission network could result in pollution and loss of water.

#### Mitigation / Enhancement Measures

- The developer should hire services of security guards to monitor and guard the water supply system facilities.
- Sensitization and awareness about the dangers of vandalising the water supply system facilities should be done especially by the local leaders and the developer (MWE/DWD).
- Legal and applicable punitive measures like arrests and prosecution should be taken to those caught vandalising the water system facilities in order to curtail and to serve as an example to those who would want to engage themselves in such acts.
- The developer should fence off all the premises of the different project components like the pumping stations, reservoir sites and any other erected structures.

# v) Noise from Generators

Operation of the generators to boost the pumping of water for some hours will generate moderate levels of noise which may be a nuisance to the neighbouring communities and this must be handled appropriately.

#### Mitigation / Enhancement Measures

- Installation of solar system instead of the generator
- Regular servicing, maintaining and monitoring of the generators
- Switching on the generators for few hours just to boost the pumping of water but to always use the solar systems.

# 8.6 Environmental Impacts of Decommissioning

After the water system infrastructure has attained its lifetime, it will either be rehabilitated or decommissioned to return the affected area to a natural environment similar to that which would have existed prior to construction. However, some of the structures/facilities may still have other beneficial uses such as: run-off control, recreation, and water supply among others. Therefore, prior to destroying the structure it is crucial to know whether the structure can be reused through refurbishment of the structures and equipment. Decommissioning of the water system can have negative impact on environment of the area from the release of built up sediments into the neighbouring ecosystems. There will be changes in the quality of the seasonal swamp (physical and chemical characteristics). These will include:

- Changes to aquatic ecology: The smaller animals like the macro-invertebrate's population distributions would be affected especially during the rainy season, as their digestions would become slower leading to unfavourable conditions for reproduction. When the levels of suspended solids are in excess, the non-organic sediments loading increases where the sediment particles are ingested and becomes hard to digest.
- *Pollution:* Decommissioning will lead to temporary increase in noise and vibration as well as air pollution due to emissions of dust. The removal of concrete and similar non-recyclable construction materials may cause land degradation.
- *Socio-economic impacts:* Removal of structure may impact the socio-economic conditions such as loss of employment thus reduced livelihoods damage of land use.

#### Mitigation / Enhancement Measures

The water system infrastructure can always be rehabilitated from time to time and might not necessarily have a life span and with the passage of time social and environmental scenario will change. Therefore, the decommissioning plan discussed above cannot be framed in present scenario however; the various mitigatory measures should meet the following requirement in addition to decommissioning plan to be developed before decommissioning:

- Decommissioning will be undertaken in accordance with the legislation prevailing at that time, in liaison with the relevant regulatory authorities and adhere to the health and safety guidelines to ensure that the decommissioned facilities do not deteriorate to the point where they become a hazard to the public or the environment.
- Safe disposal of waste and concrete and similar non-recyclable construction materials, restoration of all disturbed sites to pre-construction conditions through bioengineering measures.

Tables 39, 40, 41 and 42 below presents a summary of an evaluation of the above envisaged impacts as a result of the implementation of the project.

ltem	Environmental and social Component	Potential Negative Impacts	Potential Mitigation Measures	Impact Rating before Mitigation	Impact Rating after Mitigation
D1.	Groundwater Resources	Local lowering of water table levels, due to abstraction of groundwater for the water supply system.	<ul> <li>Undertake a hydrological study of boreholes to determine water table depths, borehole yields and local use of groundwater.</li> </ul>	Moderate	Minor
D2.	Groundwater Quality	The groundwater could become polluted as a result of pit latrines and indiscriminate waste disposal practices.	<ul> <li>Avoid prospecting in areas that are prone to flooding, waste disposal sites and pit latrines.</li> </ul>	Moderate	Minor
D3.	Soils	Soil erosion/damage due to survey activities and vehicle tracks. Soil contamination from oil and diesel spills.	<ul> <li>Minimize number of tracks.</li> <li>Use right angle intersections &amp; use bunding.</li> <li>Avoid seasonally marshy areas &amp; floodplains.</li> </ul>	Minor	Negligible
D4.	Flora	Disturbance or loss of endangered plant species or communities (terrestrial, wetland, aquatic) due to survey activities.	<ul> <li>Discourage any wanton destruction of vegetation and habitats beyond the designed project works.</li> </ul>	Minor	Negligible
D5.	Fauna	Disturbance or loss of protected/endangered animal species/communities and their habitat.	<ul> <li>Minimize vegetation clearance.</li> <li>Protect water &amp; soils from pollution.</li> </ul>	Minor	Negligible
D6.	Noise	Noise generated by survey activities, especially vehicles, pump testing activities	<ul> <li>Working hours should be restricted from 7am – 6pm.</li> </ul>	Moderate	Minor
D7.	Air quality	Dust from vehicle movements.	<ul> <li>Avoid excessive vehicle movements.</li> <li>Limit vehicle speeds on unsurfaced tracks to 20kph.</li> </ul>	Moderate	Minor
D8.	Health and safety	Risk of accidents and ill health as a result of the project.	<ul> <li>Hold safety talks with workers before work.</li> <li>Promptly notifying serious and severe incidents and accidents (no later than 24 hours or immediately after learning of the incident or</li> </ul>	Moderate	Minor

## Table 40: Identified Environmental and Social Impacts during Design Phase

				accident		
D9.	Public nuisance	General nuisance such as noise, waste and dust.	•	Minimize number of workers at site.	Moderate	Minor

ltem	Environmental and social Component	Potential Negative Impacts	Potential Mitigation Measures	Impact Rating before Mitigation	Impact Rating after Mitigation
C1.	Land acquisition for infrastructure	The land-take would be permanent where all the project components would be constructed and temporary along the pipeline network. However, both the transmission and distribution lines would be confined to the road reserves where possible	<ul> <li>The district and local authorities in Sub County have already been engaged together with the local land lords and they agreed with communities whose land will be used for the proposed project construction (MoUs/Consent forms signed as attached see <b>appendix</b> <b>III</b>). No grievances were reported and are envisaged.</li> <li>Compensation (where possible) to land owners as project affected persons.</li> </ul>	Moderate	Minor
C2.	Loss of vegetation cover and top soil	The existing vegetation and top soil will be cleared to give way to the construction process on all sites. This is likely to cause loss of habitat and disturbance to faunal communities in the affected sites but at an insignificant level.	<ul> <li>After construction, there should be landscaping and re-vegetation. The premises will be planted with vegetation/grass and ornamental trees.</li> <li>The water source should be fenced off to reduce on going agricultural activities around the borehole to avoid pollution entering it especially when it rains heavily.</li> <li>Minimize vegetation clearance by clearly demarcating work areas.</li> </ul>	Moderate	Minor

#### Table 40Environmental and Social adverse/negative impacts during Construction Phase

C3.	Increase susceptibility to Soil Erosion	Increased soil erosion is likely to occur in the vicinity of project sites during the construction of the water source points, pump stations, installation of the water pipe reticulation and other related construction works. The site earthworks will reduce soil stability and hence make the soils aggregated and more susceptible to erosion especially during the rainy season.	<ul> <li>Provide environmental awareness training to all employees.</li> <li>Rehabilitate all disturbed areas.</li> <li>The sites will be hoarded off to intercept any eroded material and any soil material will remain within the site.</li> <li>The project proponent will also ensure that proper landscaping and vegetation restoration is carried out to further reduce the possibility of soil erosion.</li> <li>Use proper techniques for trenching and shoring</li> </ul>	Moderate	Minor
C4.	Increased siltation of the aquatic habitats	Some of the excavated sediments from the project site and the construction spoils emanating from the excess excavated material and construction debris are likely to increase siltation especially in the nearby seasonal swamp to the motorized borehole and therefore affecting the associated aquatic habitat.	<ul> <li>Ensure that the site is at all times drained adequately and surface run off is directed appropriately to avoid water logging of adjacent area and the undulating drainage channel</li> </ul>	Moderate	Minor
C5.	Effects of Poor Solid Waste Management	Waste will be generated during the construction of the WSS. The waste stream from the construction will include cement bags, timber and pipe cuttings, empty water bottles, food remains from workers onsite and other forms of waste. If not well managed, the area could be prone to nuisance from foul smell, breeding of vermin and vectors, and lead to outbreak of diseases.	<ul> <li>Waste collection bins will be provided at strategic positions at the sites for temporary waste storage.</li> <li>The waste collection bins should be provided with covers to avoid spillage by scavengers and clearly coded for sorting purposes.</li> <li>The proponent will hire a certified waste collection company to transport the waste for final disposal to designated waste dumping sites by NEMA/KDLG/ Sub County.</li> <li>Burning of waste on-site shall not be</li> </ul>	Moderate	Minor

			allowed.		
C6.	Increased incidences of diseases.	The increase of people involved in the project activities is likely to increase the incidences of diseases in the area. Consequently, there will be potential risk of contracting sexually transmitted diseases (STDs) especially the Human Immuno- Deficiency Virus/Acquired Immuno- Deficiency Syndrome (HIV/AIDS) among the program workers and the local communities. This will be increased due to influx of people seeking for employment.	<ul> <li>The contractor should liaise with the District and Sub County CDO to mobilise communities during the recruitment process to reduce on influx of people who are unskilled.</li> <li>The contractor should emphasise equal opportunities for both men and women.</li> <li>The Contractor should, in conjunction with local health authorities, undertake to educate and sensitise the workforce on communicable diseases such as cholera, STDs and HIV/AIDS.</li> <li>Condoms must be made available to the workforce</li> </ul>	Moderate	Minor
C7.	Visual intrusion	This will mainly arise from the erection of service reservoir tanks on the high altitude (hills). In addition, visual intrusion will occur where project activities are likely to create disfigured landscapes in the project area especially where the construction activities will result in deposition of large spoils and digging of the trenches.	<ul> <li>The contractor should maintain as much as possible the existing landscapes and plant trees and vegetation to enhance the visual aspect.</li> <li>Rehabilitate all areas disturbed by construction and landscape with trees, grass and shrubs.</li> </ul>	Minor	Negligible
C8.	Increased accidents and occupational hazards	Implementation of the project will definitely increase volume of human and motor traffic in the project area. The increase in human and motor traffic will be aggravated by the transportation of construction materials, water pipes and other equipment required in constructing the water supply facilities. This is likely to result in a higher risk of accidents and occupational hazards occurring in the area of operation.	<ul> <li>The contractor should ensure that workers are provided with adequate personal protective wear to mitigate injuries such as gloves, helmets, overalls and gumboots.</li> <li>Traffic guides and signs should be utilized to avoid accidents on busy roads and junctions especially with vehicles transporting materials</li> <li>Fence all construction sites.</li> <li>Place warning signs.</li> </ul>	Moderate	Minor

С9.	Sourcing of Construction Materials	Sourcing of materials such as sand, gravel bricks/blocks and timber if not well regulated and controlled can have a significant impact in the points of sourcing.	<ul> <li>Enforce maximum traffic speeds to 20kph</li> <li>The Contractor should liaise with local authorities to ensure that materials such as sand and gravel are only taken from quarries and borrow pits with the necessary environmental permits.</li> </ul>
C10.	Occupational Health and Safety Risks for the Workforce	Construction traffic, excavation machinery, blasting of rocks and trenches may pose accident risk to workers either when equipment is operated by inexperienced workers or when in a poor mechanical condition or falls into the trenches.	<ul> <li>All construction workers will be oriented on safe work practices and guidelines and ensure that they adhere to them.</li> <li>Training will be conducted on how to prevent and manage incidences. This should involve proper handling of electricity, water etc. and sensitization on various modes of escape, conduct and responsibility during such incidences. All must fully be aware and mentally prepared for potential emergency.</li> <li>Regular drills will constantly follow on various possible incidences. This will test the response of the involved stakeholders. Such drills will keep them alert and they will become more responsive in the case of incidences.</li> <li>Signage will be used to warn staff and/ or visitors that are not involved in construction activities of dangerous places.</li> </ul>
C11.	Social Misdemeanor by Construction Workers	<ul> <li>While most workers may originate from the local community where they have families, there might be others from distant places and working away from their families. With some disposable income to</li> </ul>	<ul> <li>Framework (responsible staff, action plan, etc.) to implement during project execution.</li> <li>A sensitisation programme for the would-be affected local communities will be conducted prior to commencement</li> </ul>

		<ul> <li>spend, this might induce illicit sexual relationships, with attendant risk for spread of HIV/AIDS</li> <li>Labour influx in the project community such as increase in irresponsible activities that may increase HIV/AIDS due sexual relations between project workers and the local community; workers taking advantage of young girls in the community due to high poverty levels and vulnerability, teenage pregnancies and dropping out of school etc.</li> <li>Violence Against Children such as potential use of child labour; sexual relationship with underage children, teenage pregnancies, school drop outs etc.</li> <li>Conflict in the community/families (social cohesion and disruption) due to project workers engaging in sexual relationships with married women in the community etc.</li> </ul>	<ul> <li>of and during the project implementation.</li> <li>A code of conduct (appropriate to behaviours in workplace and with respect to relations with local community) will be developed and approved by MWE which will be signed by all workers on the project.</li> <li>Local workers will preferentially be employed, paid directly through their banks and access to bars by workers from outside the project area in the local communities controlled.</li> <li>All construction workers shall be orientated and sensitized about responsible sexual behaviour, GBV, Violence Against Children, HIV/AIDS etc in project communities</li> <li>Contractor(s) will maintain a complaints redress mechanism for all complaints that will arise from the interaction between construction workers and the communities within the project sites/areas including a record of how these complaints have been addressed</li> </ul>	
C12.	Archaeological / Historical Sites	Throughout the consultations with the locals and local leaders, no known archaeological or historical sites exist on the proposed project routes, and proposed construction sites. Therefore, no impacts on any features of importance to national heritage are expected.	<ul> <li>The Contractor shall ensure that key members of his staff are briefed. Any such features that may be found that were not apparent on surface investigation will be reported by the project management and appropriate procedures followed to hand them over to the authority responsible for national heritage and antiquities.</li> </ul>	gible
C13.	Groundwater	The groundwater could become polluted as	The borehole should be covered and     Moderate     Minor	r T

	Quality	a result of construction activities, pit latrines and indiscriminate waste disposal practices.	<ul> <li>sealed so that dirt, water, sand and other debris cannot fall in.</li> <li>The boreholes should have concrete aprons around their base to prevent dirty water seeping back into the hole.</li> <li>Do not develop pit latrines close to boreholes.</li> <li>Dispose of all wastes in an approved disposal site.</li> </ul>		
C14.	Fauna	Disturbance or loss of protected/endangered animal species/communities and their habitat due to construction activities (noise, dust, fumes, pollution, vehicles)	<ul> <li>Minimize vegetation clearance.</li> <li>Protect water resources from pollution.</li> <li>Protect soils from contamination.</li> <li>Rehabilitate all disturbed areas.</li> </ul>	Minor	Negligible

	Table 41: Oper	tion Phase Adverse/Negative Impacts
--	----------------	-------------------------------------

ltem	Environmental and social Component	Potential Negative Impacts	Potential Mitigation Measures	Impact Rating before Mitigation	Impact Rating after Mitigation
OP1	Water quality and pollution	The quality of water recommended is that which is physically, chemically and bacteriologically safe for human consumption. When not thoroughly treated, water could be a source of water related diseases which could affect the project communities, thereby causing an epidemic in the area. Transmission of water can also result into pollution and pollution entering the boreholes	<ul> <li>The borehole should be covered and sealed so that dirt, flooded water, sand and other debris cannot fall in. Transmission and distribution pipes should also be covered underground to reduce exposure.</li> <li>The boreholes should have raised concrete aprons around their bases to prevent dirty water seeping back into the holes.</li> <li>The drilled borehole areas should be raised well-head by building earthworks to prevent the flooded water, dirt and other debris to accumulate around it</li> </ul>	Moderate	Minor

OP2	Water quantity and yield	This could be due to declining groundwater recharge and over pumping. The project sites are prone to suffering from rapid land use change (deforestation, soil erosion, etc.) thus the recharge of the ground water supplying the borehole may be affected in the long run.	<ul> <li>Get involved with Water source catchment protection and management planning that could improve land management and restore groundwater recharge.</li> <li>Encourage contour ploughing, mulching and other agricultural practices that increases soil water retention and percolation into the underlying aquifer.</li> <li>Reduce the amount of water being taken – if demand in the area is growing then look at developing new water sources.</li> <li>Keeping records of how much is being pumped (either volumes or number of hours for which the pump is being used per day). Find out if sudden drops in level correspond to pumping activity.</li> </ul>	Severe	Minor
OP3	Water Supply System failure	Insufficient cost/funding for operation and maintenance would lead to poor maintenance of the system which eventually could lead to frequent breakdowns of the water supply system and consequent shut down, which further could require major and costly rehabilitation. Other sources of failure in the water system could be due to sabotage (possibly by the water vendors who envisage loss of livelihoods), illegal connections which could result in decreased water pressure, and vandalism (theft of water system parts)	<ul> <li>Payment for water supply services is the only way to keep the service running continuously and therefore tariffs would be designed to ensure financial viability. Cost recovery would be achieved through service fee payments.</li> <li>Put in place a water user committee to oversee the operations of the water system.</li> <li>Fence off the areas like water abstraction points, pump houses, water storage reservoir tanks and other water supply structures like the community taps like kiosks to mitigate trespass and sabotage</li> </ul>	Moderate	Minor
OP4	Water pollution due to cutting of the pipes	Digging and construction of water facilities within close vicinity/on the water transmission network could result in pollution and loss of water	<ul> <li>The developer should hire services of security guards to monitor and guard the water supply system facilities.</li> <li>Sensitization and awareness about the dangers of vandalizing the water supply</li> </ul>	Moderate	Minor

			<ul> <li>system facilities should be done especially by the local leaders.</li> <li>Legal and applicable punitive measures like arrests and prosecution should be taken to those caught vandalizing the water system facilities in order to curtail and to serve as an example to those who would want to engage themselves in such acts.</li> </ul>		
OP5	Noise levels from Generators	Using of generators to boost the pumping of the water at the pumping stations may lead to moderate noise levels around the project area	<ul> <li>Installation of solar system instead of generator</li> <li>Service the generators regularly to minimize on the noise.</li> <li>Switch on generators only for few hours to boost on the pumping hours but always use the solar systems</li> </ul>	Minor	Negligible

# Table 42: Decommissioning Phase Adverse Impacts

Environmental and social Component	Potential negative Impacts	Potential Mitigation Measures Impact Rating before Mitigat		Impact Rating after Mitigation
Surface Water Quality	Pollution of water bodies from erosion of unconsolidated materials, contaminated soil, wastes (solid and liquid), etc. As a result of demolition activities.	<ul> <li>Rehabilitate all areas e.g. grass/tree planting.</li> <li>Take samples of the runoff water into the receiving water body nearby and ensure free pollution.</li> <li>Remove all contaminated soil identified and dispose of it in an approved site.</li> <li>Close any waste disposal facility on site and make provision for drainage in such a way as to prevent future pollution.</li> </ul>	Moderate	Minor
Flora	Disturbance or loss of plant species or communities (terrestrial, aquatic) due to dust fall-out onto leaves and soil, dump erosion.	Rehabilitate or stabilize all cleared areas using indigenous vegetation until handover of the site.	Minor	Negligible

Fauna	Disturbance or loss of animal species/communities and their habitat due to the lack of rehabilitation etc.	Rehabilitate or stabilize all cleared areas using indigenous vegetation where possible.	Minor	Negligible
Soils	instatement of pre-project capability. equipment to replace topsoil because this can cause compaction.		Minor	Negligible
	Soil erosion from denuded areas and demolition activities.	<ul><li>Maintain erosion protection works.</li><li>Rehabilitate or stabilize all disturbed areas.</li></ul>	Minor	Negligible
Topography	Reinstate the topographic profile.	Backfill, contour and landscape.	Minor	Negligible
Air quality	Dust from un-rehabilitated sites and demolition activities.	<ul> <li>Avoid dusty activities e.g. loading and dumping on windy days &amp; monitor dust emissions.</li> </ul>	Minor	Negligible
	Odors from waste dump.	<ul> <li>Avoid activities that can lead to pilling of wastes in the project area.</li> <li>Dispose of all the wastes in gazetted sites</li> </ul>	Minor	Negligible
Noise and vibration	Noise generated by demolition equipment and earth moving equipment	<ul> <li>Prescribe noise reduction measures if appropriate e.g. restricted working and transport hours and noise buffering.</li> </ul>	Minor	Negligible
Health and safety	Risk of accidents and ill health as a result of the project	Fence all unsafe and dangerous areas & monitor environmental health (air quality, water quality).	Minor	Negligible
Aesthetic and amenity values	Improvement of the visual impact of the site on scenic views.	<ul> <li>Rehabilitate with trees, grass and shrubs where possible.</li> <li>Consult with the local community and tourist industry.</li> </ul>	Minor	Negligible

# Note:

- Mitigation measures were designed in order to avoid, reduce, mitigate, or compensate for adverse environmental and social impacts and inform the ESMP.
- Closure and decommissioning of the project was identified as a key issue. An environmental management plan is developed during the assessment and it prescribes procedures for closure and post-operation to ensure that the environment is restored as much as possible to its original state.

# 9 ENVIRONMENTAL AND SOCIAL MANAGEMENT AND MONITORING PLAN

# 9.1 Introduction

The objectives of the Environment and Social Management Plan (ESMP) include: compliance with applicable national E&S safeguards; propose mitigation, enhancing, management, consultative and institutional measures required to prevent, minimize, mitigate or compensate for adverse E&S impacts and; address capacity building requirements. The Plan includes details such as the issue to monitor, the indicators, responsibility for collecting and checking data and reporting, costs of implementation (where applicable), responsibility for implementing the action and training or orientation of responsible person (if applicable). It should, however, be noted that the costs indicated in the ESMP are indicative only and the responsible implementing parties such as Contractors, Supervising Consultants, and respective MWE teams and other agencies responsible for monitoring should prepare budgets to include the aspects covered in this ESMP. The proposed project may have minimal adverse environmental effects, provided that recommendations and mitigation measures identified in this Chapter are incorporated into all project phases and are implemented by the developer and the contractors.

Table 43 presents management plan with specific mitigation measures to be implemented during Design, construction, operation and Decommissioning phases of the proposed project.

# 9.2 Role of the Ministry of Water and Environment

The developer will be responsible for:

- Disclosing and adopting the ESIA to guide project implementation. Implement approval conditions provided by NEMA (approval certificate), and permits from lead agencies including DWRM (Ground Water Abstraction Permit), MoGLSD, (Workplace Inspection Certification), MWE (River bank), and NEMA (Environmental Management).
- Costs related to complying with the Environmental and social Safeguards as applicable to the construction and operation of the Water Supply System will be met by the developer.
- Implementing and complying with the conditions of the ESMMP forms part of the conditions of appointment of all Consultants and Contractors throughout the life of the project;
- Appointing Independent environmental experts to audit the implementation of, and compliance with, the ESMMP and monitoring plan, as well as the NEMA Approval conditions on an annual basis; and the independent environmental Compliance audits, together with other relevant monitoring information made available to the public, throughout the life of the project, summarized in lay person's terms and in a culturally accessible manner.
- Training and awareness creation in environmental and social management and the mitigation of impacts are provided to MWE Project staff, to ensure they are aware of their responsibilities and are competent to carry out their work in an environmentally and socially responsible manner

# 9.3 Role of Relevant Lead Agencies

Agencies such as NEMA, WMD, MGLSD, KDLG, Office of CGV, will be involved in the various phases through the life of the Project as proposed in the ESMMP. The responsibilities of each respective agency will be those that are within their mandate, and as such, no extra costing has been included in

the ESMMP since it is expected that their annual operational budgets will be made to include the required works for this Project. For this reason, MWE should regularly update the respective lead agencies with the Project progress, and challenges and opportunities presented during the implementation of the project.

# 9.4 Role of Construction Supervision Consultants

The Consultants to whom supervision work is outsourced during project implementation will be responsible for the following:

- Reviewing project design, contractor's contract, BOQs and all other project documents like the ESMP, ESIA report, NEMA project certificate conditions, RAP so as to familiarize with the documents in order to build up an additional mechanism for enforcing compliance as per those in contract.
- Ensuring that contractors familiarize themselves with the environmental and social management framework for the project sites and activities.
- Reviewing and approving Contractor's plans as required in the above documents like; EHS Plans, Waste Management Plan, Traffic Management Plan, Emergency Response Plan, Gender Management Plan, Erosion and sediment control plan, Decommissioning and Restoration Plans of the site; among others.
- Following up on Contractor's obligation in acquiring the various permits in relation to the project works which then will be verified like; permit for excavation, permit for hoarding and scaffolding, Work registration permit.
- Monitoring the Contractor's performance in EHS aspects, particularly in regard to the abovementioned plans; using the safeguards documents provided by MWE and NEMA, as well as permits from other Lead Agencies, using the safeguards documents provided.
- Ensuring that all the contractors and their subcontractors receive basic training or are sensitized on E&S matters, including acceptable conduct, storage and handling of potentially hazardous substances, waste management, and prevention of pollution of natural resources.
- Receiving daily, weekly and monthly reports from the Contractor on EHS aspects, and furnishing MWE with the information during monthly meetings or site visits. Any urgent issues will have to be reported to MWE immediately to allow appropriate timely action to be implemented.
- Preparing the environmental and social supervision statement and also approving of invoices or payments with consideration of ESMP performance.
- Regularly engaging with the local communities to ensure continued social acceptance in the areas where the Contractor is in operation, and also to ensure that Contractor adheres to the recommendations made in this ESMMP.
- Instructing the contractor to correct within the timeframe determined as per contract in case of any corrective actions. If there is breach of contract or strong public complaints on contractor's environmental performance, the Supervision Consultants is obligated to order the contractor to correct, change or stop the work, reporting to relevant agencies and the MWE.

# 9.5 The Role of the Contractor.

- During sites preparation and construction, the contractor will be responsible for ensuring compliance with all relevant national legislation and World Bank Safeguard OPs including adhering to environmental and socio-economic mitigation measures specified in this ESIA.
- The contractor will also be responsible for managing the potential environmental, socioeconomic, safety and health impacts of all contract activities whether these will be undertaken by themselves or by their subcontractors.
- The Contractor should prepare Environmental, Social, Health and Safety Action Plans to comply with the above requirements.

• Conduct day-to-day implementation of the ESMP.

# 9.6 The Monitoring Team

It is recommended that a core team of people preferably headed by the Kagadi District Natural Resources/Environment Office, District Water Office and composed of other officials from DWD and respective local environment committees will carry out monitoring activities. The monitoring team will start its work during the site preparation and construction process and continue throughout the operation phase and should ensure that the proposed mitigation measures are implemented as suggested and recommended in this EIA study. The monitoring team will most particularly check for the following issues among others:

- Changes in the water quality and quantity.
- Compliance with the conditions set out on the water abstraction permit.
- Compliance with the conditions on the issued Certificate of Approval from NEMA.
- The activities of this team are not a substitute to the obligations of the Contractor and Supervision Consultant.

# 9.7 Environmental and Social Monitoring Plan.

A monitoring process will be established to check/assess the implementation progress and effectiveness of the mitigation measures suggested and the resulting effects of the proposed project on the environment. The process will begin during site preparations, construction stage and continue throughout the operation phase. It also includes regular reviews of the impacts that cannot be adequately assessed before the beginning of the project, or which arise unexpectedly. In such cases, appropriate new actions to mitigate any adverse effects will be undertaken.

The recommendations will provide a basis for tracking progress of the proposed project activities with regard to sound environmental and social practices and mitigation measures. This will be done with the support of supplementary documents such as specific architectural and engineering plans and designs for civil and mechanical works to be undertaken on the site.

Environmental Management and Monitoring Plan is presented below under Table 43.

Def	Affected		ironmental Manager				Decreation	Free	Batting	Maritaring
Ref. No	Affected Environment	Mitigation Measures	Objective to Address	Indicators	Monitoring Activity	Project Phase	Responsi bility	Freq.	Mitigation Cost	Monitoring Budget
NO	Environment		Impact		Activity	Phase	Dility		(UGX)	(UGX)
M1.	Ground water Resources	<ul> <li>Water source catchment protection and management planning that could improve land management and restore groundwater recharge.</li> <li>Encourage contour ploughing, mulching and other agricultural practices that increases soil water retention and percolation into</li> </ul>	To minimise on the Local impact of lowering water table levels, due to abstraction of groundwater for the system	Changes in Ground water level	Hydrological study of boreholes to determine water table depths, borehole yields and local use of groundwate	Pre and post construction	MWE	Quarterly	-	5,000,000
		<ul> <li>the underlying aquifer.</li> <li>Reduce the amount of water being taken – if demand in the area is growing then look at developing new water sources.</li> <li>Keeping records of how much is being pumped (either volumes or number of hours for which the pump is being used per day). Find out if sudden drops in level correspond to pumping activity.</li> </ul>			r					
M2.	Ground water quality	<ul> <li>The borehole should be covered and sealed so that dirt, water, sand and other debris cannot fall in.</li> <li>The boreholes should have concrete aprons around their base to prevent dirty water seeping back into the hole.</li> <li>Do not develop pit latrines close to boreholes.</li> <li>Dispose of all wastes in an</li> </ul>	To minimise on the impact of ground water pollution	% of water tests parameters that meet the water quality standards	Water Quality Testing	Throughout project period	MWE	Quarterly	-	4,000,0000

Page | 117

Ref.	Affected	Mitigation Measures	Objective to	Indicators	Monitoring	Project	Responsi	Freq.	Mitigation	Monitoring
No	Environment		Address Impact		Activity	Phase	bility		Cost (UGX)	Budget (UGX)
		approved disposal site.								
МЗ.	Soils	<ul> <li>Use right angle intersections &amp; use bunding and avoid seasonally marshy areas &amp; floodplains</li> <li>Replace subsoil and overburden first and then cover with saved topsoil.</li> <li>Do not use heavy equipment to replace topsoil because this can cause compaction.</li> </ul>	To minimise on the Soil erosion/damag e due to survey activities and vehicle tracks.	% of soil cover replaced	Field observations	Decommissi oning	Contractor and MWE	Quarterly	-	2,000,000
M4.	Flora	<ul> <li>Mark endangered tree species and avoid cutting</li> <li>Minimize vegetation clearance and protect water &amp; soils from pollution</li> <li>Rehabilitate or stabilize all cleared areas using indigenous vegetation until handover of the site.</li> </ul>	To prevent disturbance or loss of endangered plant species or communities due to survey activities	% of trees conserved and restored	Field surveys	Pre- construction and Decommissi oning	Contractor /MWE	Quarterly	-	3,000,000
M5.	Land acquisition	<ul> <li>Prepared and sign MoUs/Consent forms with land owners before construction activities</li> <li>Compensation (where possible) to land owners as project affected persons.</li> </ul>	The land-take would be permanent where the water intakes, office block, pump stations and reservoirs would be constructed and temporary along the pipeline network	% of RoW aquired % of PAPs compensat ed	Review of RAP implementat ion reports and field engagement s with PAPs	Pre and Construction	MWE	Daily	Presented in the RAP	3,000,000
M6.	Water quantity	<ul> <li>Implementation of a water</li> </ul>	To improve on	-Water	Water	Construction	MWE	Lump	60,000,000	3,000,000
	and yield	source protection plan	the water	level	quantity and			sum		

Page | 118

Ref.	Affected	Mitigation Measures	Objective to	Indicators	Monitoring	Project	Responsi	Freq.	Mitigation	Monitoring
No	Environment		Address Impact		Activity	Phase	bility		Cost (UGX)	Budget (UGX)
		(WSPP)	quality from the water source	changes -% of water tests parameters that meet the water quality standards	quality monitoring					
M7.	Loss of vegetation cover and top soil	<ul> <li>After construction, there should be landscaping and revegetation. The premises will be planted with vegetation/grass and ornamental trees.</li> <li>The water source should be fenced off to reduce on going agricultural activities around the borehole to avoid pollution entering it especially when it rains heavily.</li> <li>Minimize vegetation clearance by clearly demarcating work areas.</li> <li>Provide environmental awareness training to all employees.</li> <li>Landscaping and revegetation after construction and fencing off all the sites.</li> </ul>	To minimize on the loss of vegetation cover and top soils along the project sites	% of vegetation cover conserved % of vegetation cover restored	Review of reports, field verification and observation	Construction	Contractor	Daily	-	2,000,000
M8.	Increase susceptibility to soil erosion	<ul> <li>The sites will be hoarded off to intercept any eroded material and any soil material will remain within the site.</li> <li>The project proponent will also ensure that proper landscaping and vegetation</li> </ul>	To reduce on incidences of soil erosion at project sites	Level of stability of the soil	Soil conservation reports and field observation/ verification	Construction	Contractor / MWE	Quarterly	-	2,000,000

Page | 119

Ref. No	Affected Environment	Mitigation Measures	Objective to Address Impact	Indicators	Monitoring Activity	Project Phase	Responsi bility	Freq.	Mitigation Cost (UGX)	Monitoring Budget (UGX)
		<ul> <li>restoration is carried out to further reduce the possibility of soil erosion.</li> <li>Use proper techniques for trenching and shoring</li> <li>Soil conservation measures</li> </ul>								
M9.	Increased siltation of aquatic habitats	<ul> <li>Draining sites adequately and directing surface run off appropriately to avoid water logging of adjacent area</li> </ul>	To reduce on the impact of siltation in the nearby seasonal aquatic habitats	Turbidity level of water	Water quality tests	Construction	Contractor	quarterly	-	covered
M10.	Incidences of communicable diseases	<ul> <li>Educating and sensitising the workforce on communicable diseases such as cholera, STDs and HIV/AIDS and provision of Condoms to the workforce.</li> </ul>	To prevent cases of potential disease risks within the project area	Number of Incidents of communica ble diseases reported	Review of Clinical records	Construction	Contractor / MWE	Daily	5,000,000	1,000,000
M11.	Air Quality	<ul> <li>Provision of adequate and appropriate personal protective equipment (PPE) and air quality monitoring.</li> <li>Dust suppression by water, observe 30km/hr and covering of construction materials in transit</li> </ul>	To minimise dust nuisance and exhaust pollution	% of air parameters that meet standards	Air quality tests	Construction	Contractor	Monthly	6,000,000	1,000.000
M12.	Construction Material Sourcing	<ul> <li>Liaise with local authorities to only source materials from legally registered suppliers</li> </ul>	To regulate and control the impact in the points of sourcing materials.	No of legally authorized material	Review of documents and field inspections	Construction and decomission ing	Contractor	quarterly	-2,000,000	2,000,000
M13.	Noise Levels	<ul> <li>Proper scheduling of work</li> <li>Provision of PPE, Equipment and vehicle servicing and noise barriers</li> </ul>	To minimise noise disturbance to communities	% of sites with permissible noise levels	Noise measureme nt	Construction	Contractor	Monthly	6,000,000	1,000,000

Ref. No	Affected Environment	Mitigation Measures	Objective to Address Impact	Indicators	Monitoring Activity	Project Phase	Responsi bility	Freq.	Mitigation Cost (UGX)	Monitoring Budget (UGX)
M14.	Occupation Safety & Health	<ul> <li>Inspect all equipment to ensure that they are in good working condition.</li> <li>Barrier tape and warning signs will be used, install safety signage, fence off the area.</li> <li>First aid services in place</li> <li>PPE usage enforced</li> <li>Promptly notifying serious and severe incidents and accidents (no later than 24 hours or immediately after learning of the incident or accident</li> </ul>	To ensure Health and Safety at the site / Premises	No of OSH incidents recorded and managed	Review of reports and field observations	construction	Contractor / MWE	Daily	4,000,000	1,000,000
M15.	Community Health	<ul> <li>Implement community health awareness and service provision</li> <li>Promptly notifying serious and severe incidents and accidents (no later than 24 hours or immediately after learning of the incident or accident</li> </ul>	To prevent spread of diseases and occurrence negative incidents	No of health programs implement ed and no of incidents recorded	Review of reports, incident tracking and field visits	Construction	Contractor	Quarterly	5,000,0000	2,000,000
M16.	Misinformatio n of the project	<ul> <li>Prepare a comprehensive Stakeholder Engagement Plan (SEP</li> </ul>	To minimize the risk of misinformation due to failure to engage stakeholders	No of stakeholder s engaged	Review of reports, minutes and field visits	Construction	Contractor	Monthly	5,000,000	3,000,000
	Risk of GBV and violence against children (VAC)	<ul> <li>Implement GBV and child protection action plan and enforce codes of conduct for SEA, SH, GBV and VAC</li> </ul>	To prevent GBV abd VAC cases on the project	and VAC cases recorded and managed	Review of reports and field visits	Construction	Contractor and consultant s	Monthly	4,000,000	3,000,000
M18.	Risk of delayed	Implement grievance	To mitigate	% of	Review o	construction	Contractor	Monthly	4,000,000	3,000,00

	Address Impact		Activity	Phase	bility		Cost (UGX)	Budget (UGX)
management, mechanisms for workers and community	project induced grievances	grievances managed for workers and	reports and field visits		and consultant s			
	management, mechanisms for	Impact           management, mechanisms for         project induced	Impact       management, mechanisms for workers and community       project induced grievances       project induced for workers	Impact     Impact       management, mechanisms for workers and community     project induced grievances     grievances     reports and field visits       for workers and community     and     for workers     for workers	Impact     Impact       management, mechanisms for workers and community     project induced grievances     grievances       for workers and community     grievances     for workers and	Impact     Impact       management, mechanisms for workers and community     project induced grievances     grievances     reports and field visits     and consultant s       for workers and community     and     consultant s	ImpactImpactImpactImpactmanagement, mechanisms for workers and communityproject induced grievancesgrievancesreports and field visitsand consultant s	ImpactImpac

# Note:

External Monitor can be a lead Agency and or Authorities like NEMA, DNRO/DEO/DWO or a NEMA Certified Consultant whom the developer and Contractor will contact on matters arising like noise, biodiversity, air and water quality monitoring. Lead Agencies will make their own arrangements on inspections on site to ensure compliance with set guidelines and standards.

# **CONCLUSION**

Kabamba RGC Piped Water System is being proposed by MWE/DWD for Kabamba Sub County in Kagadi district. This is envisaged to bring an end to water stress and overreliance on a few low yielding boreholes within the project area of Kabamba Rural Growth Centre and neighbouring community. It is also envisaged that, the area experiences scarcity of safe clean water and high growing population. Further still, the project will also address the focal area of access to clean water as stipulated under the Uganda Vision 2040 and the NDPIII. The project also contributes towards achieving SDG (*specifically SDG 6 on clean water and sanitation*). Several beneficial impacts envisaged will include:

- Improved quality of water supplied to communities.
- Improved quality of water supplied to communities.
- Provision of employment opportunities during construction and operation phases.
- Improved health and sanitation due to improved water quality and quantity.
- Improved local economies and induced development especially sourcing of raw materials for construction activities and tree seedling growing business boost during operation phase.
- Small scale irrigation farming especially in vegetables and flowers since most household heads are involved in subsistence agriculture.
- An increase in revenue for the sub county from water project collections.
- Initiate the move away from the status quo of rural women and children's perpetual carrying of water on their heads from unprotected and distant point water source and allow them to engage in income generating activities and to improve the image of the woman and children.
- Improved image of the Sub County and parishes in terms of providing good services to its people hence more funding from potential funders.

However, the ESIA findings indicate that direct impacts will be fairly compassionate and limited to the project area where construction works will be undertaken. Direct negative impacts will include:

- Soil erosion
- Destruction of vegetation and crops
- increased noise nuisance by construction works and equipment
- Increased sediment loads into the downstream beyond water sources
- Improper disposal of wastes
- Improper management of construction waste,
- Land loss and damage to property
- Land pollution, waste and drainage problems,
- Landscape and land use impacts
- Loss of vegetation and soil degradation especially at the intake point and trenching activities for the pipelines,
- Occupational health and safety risks for the workforce,
- Risk of accidents
- Social misdemeanor by construction workers (e.g. conflicts due to influx of labour, in the project community such as increase in irresponsible activities that may increase HIV/AIDS due sexual relations between project workers and the local community; workers taking advantage of young girls in the community due to high poverty levels and vulnerability, teenage pregnancies and dropping out of school etc.; Violence Against Children such as potential use of child labour; sexual relationship with underage children, teenage pregnancies, school drop outs etc. Conflict in the community/families (social cohesion and disruption) due to project workers engaging in sexual relationships with married women in the community etc.

A RAP has been undertaken and elaborated to address all compensation issues that are anticipated and an ESMP has also been presented in the preceding Chapter to ensure positive impacts are enhanced while negative impacts are mitigated. Physical Resettlement issues are not anticipated.

During this ESIA study, comprehensive stakeholder consultations were conducted with relevant stakeholders and MWE/DWD will liaise with them to ensure effective implementation of the proposed mitigation measures for the anticipated negative impacts as indicated in the ESMP. MWE/DWD should work closely with the local leaders and Local Government to ensure smooth implementation of the ESMP and if impacts not contemplated during this ESIA arise, the management of DWD should immediately address them in consultation with NEMA. If any other structures/ expansion not described in this report takes place, it will be considered separately and an ESIA Report/Project brief will be prepared by DWD or the Contractor and submitted to NEMA for approval before implementation.

The following mitigation measures should be considered as conditions of approval as they are regarded as being essential in so far as rendering potentially significant impacts acceptable. Implement the EMMP for all provided project phases with special attention being given on:

- Undertake Annual Environmental Audits and submit reports to NEMA.
- Maintaining good house-keeping through the duration of the construction phase.
- Screening unsightly aspects from public view including excavations (where practical), construction material storage areas, waste storage areas and ablutions.
- Erect fencing around construction sites to act as screens minimizing the effect of wind in generating dust emissions.
- The re-vegetation of all areas of natural vegetation with indigenous species that have been disturbed as a result of construction activities and maintain the 200m buffer zone.
- Designation of construction materials and fuel storage areas.
- Effective control of waste and containment of storm water especially during rainy season.
- Implement dust suppression measures (use of water) when appropriate.
- Train workers on issues of HIV/AIDS, social cohesion and disruption and Violence Against Children (VAC) should not be permitted.
- Adhere to Occupational Health and Safety Act, 2006 provisions e.g. monitoring noise levels and provision of protective equipment to staff.
- At least 75 % (subject to availability) local labour from Kagadi district should be used and 95% (subject to availability and skills levels) local contractors should be used.
- The Developer (DWD) monitors compliance together with stakeholder wide monitoring group comprising technical staff from local government institutions.
- Fencing is recommended in order to prevent contamination of the water source and for security of hydraulic structures and installations for the pump station.
- Prepare a water source protection plan for the catchment area of the water sources.

Therefore, the proposed Kabamba RGC Water Supply System is environmentally and socially feasible for implementation provided the recommended mitigation and monitoring measures are implemented, and the proposed implementation arrangements are upheld.

# **REFERENCE**

- Akite, P (2008) Effects of anthropogenic disturbances on the diversity and composition of the butterfly fauna of sites in the Sango Bay and Iriiri areas, Uganda: implications for conservation, Afr. J. Ecol., 46 (Suppl. 1), 3–13
- 2. Detail Engineering Design Report for Kabamba RGC Water Supply and Sanitation System, March 2022.
- 3. GoU (1995). The Constitution of the Republic of Uganda.
- 4. IUCN (2015). The IUCN Red List of Threatened Species. Version 2015.1. www.iucnredlist.org.
- 5. Ministry of Water and Environment (2011). Environmental Impact Assessment Guidelines for water resources related projects in Uganda.
- 6. MWE (2018). Environmental and Social Management Framework for the Integrated Water Resources Management and Development Project (IWMDP-P163782), February 2018.
- 7. MWE (2018). Resettlement Policy Framework for the Irrigation Development and Climate Resilience Project, February 2019
- 8. NEMA (1997). Environment Impact Assessment Guidelines, 1997
- 9. NEMA (2020). The National Environment (Waste Management) Regulations, 2020
- 10. NEMA (2002). The National Environment (Noise) Regulations for Uganda.
- 11. NEMA (2019). The National Environment Act, No.5 of 2019.
- 12. NEMA (2020). Environment and Social Impact Assessment Regulations, 2020
- 13. Rodda, G. H., Campbell, E. W, Fritts, T. H. & Clark, C. S. (2007). The predictive power of visual searching. Herpetological Review, 36, 259–64. Russell, Dick. "Health Problem at the Health Care Industry." The Amicus Journal Winter 2000: 34-39.
- 14. Spawls, S., Howell, K. M. & Drewes, R. C (2006). Pocket guide to the Reptiles and Amphibians of East Africa. A & C Black, London.
- 15. Stevenson T. and Fanshawe J. (2002) Birds of East Africa. T & A D Poyser Ltd.
- 16. Taylor, R.G., and Howard, K.W.F., 1999a. The influence of tectonic setting on the hydrological characteristics of deeply weathered terrains: evidence from Uganda: Journal of Hydrology, v. 218, p. 44-71. Journal of Hydrology, v. 218, p. 44-71.
- 17. WB/IFC (2007). Environmental, Health, and Safety Guidelines for Water and Sanitation.
- 18. WB (2015). World Bank Safeguard Policies. Retrieved May 25, 2015, from Environmental and Social Safeguard Policies.
- 19. IFC. (2006). Performance Standard 4 Community Health, Safety and Security. Washington, D.C: World Bank Group.
- 20. IFC. (2012). IFC Performance Standards on Environmental and Social Sustainability. Washington, D. C: World Bank Group.

# **ANNEXES**

#### Annex I: NEMA Approved Letter for Terms of Reference



#### NATIONAL ENVIRONMENT MANAGEMENT AUTHORITY (NEMA)

NEMA House

Plot 17,19 & 21, Jinja Road. P.O.Box 22255, Kampala, UGANDA.

Tel: 256-414- 251064, 251065, 251068

Fax: 256-414-257521 / 232680

E-mail: info@nemaug.org

Website: www.nemaug.org

342758, 342759, 342717

#### **NEMA/4.5**

25th April, 2022

The Permanent Secretary Ministry of water and Environment, Plot 3-7, Kabalega Crescent, Luzira, P. O. BOX 20026 KAMPALA.

Tel : +256 041 4505945 Email: <u>mwe@mwe.go.ug</u>

RE: REVIEW OF SCOPING REPORT AND TERMS OF REFERENCE FOR ENVIRONMENTAL AND SOCIAL IMPACT ASSESSMENT FOR THE PROPOSED CONSTRUCTION OF THE PIPED WATER SUPPLY AND SANITATION SYSTEM FOR KABAMBA RURAL GROWTH CENTER, IN KABAMBA SUB COUNTY, KAGADI DISTRICT (EIATOR 8460)

The above subject matter refers.

We have finalized the review of the document in reference and hereby grant you formal **approval** to proceed with the environmental and social impact assessment of the pump house and auxiliary components, and distribution network. While doing the assessment, take the following into consideration:

- Provide a detailed description of the proposed project components and activities, covering both the construction and operational phases of the project.
- (ii). Make use of the Physical Development Plan of the area, if available, and be sure the project is compatible with this Plan.
- (iii). Attach a legible site layout or area action plan of the project, showing the project infrastructure and GPS coordinates of project components and transmission networks, and ensure to include any encumbered land which will be excluded from use.
- (iv). Include in the ESIA report, a comprehensive analysis of alternatives to the proposed location of project components and routing network, project design, and technology or equipment, among others.
- (v). Undertake a comprehensive evaluation of the potential environmental impacts and risks associated with the proposed project activities, and include exhaustive environmental management and monitoring plans, discussing ways in which the potential impacts will be mitigated during construction and project implementation.

Page 1 of 2



- (vi). Consider any other critical environmental concerns that were not initially foreseen during the preparation of the TOR, and include an evaluation of such concerns in the ESIA report, in accordance with the National Environment Act, No.5, 2019.
- (vii). Carry out comprehensive stakeholder consultations involving among others, Kagadi District Local Government and the local communities neighboring the proposed project sites, and ensure evidence of stakeholder consultation is appended to the ESIA report.
- (viii). Append to the ESIA report authentic and legible copies of land acquisition documents.
- (ix). Be sure to contract practitioners are registered with this Authority.
- (x). Include the cost of the project based on estimates from a certified valuer, in accordance with Regulation 18 (1) of the National Environment (Environment and Social Assessment) Regulations, S.I 143/2020.
- (xi). Accompany the ESIA submission with evidence of payment of the 30% ESIA fees, in accordance with Regulation 49 of the National Environment (Environment and Social Assessment) Regulations, S.I No. 143 of 2020.

We look forward to your cooperation and receipt of copies of the ESIA report for further consideration.

er. Jerome S. Lugumira

For: EXECUTIVE DIRECTOR

Page 2 of 2

# Annex II: Stakeholders Consulted

	ACTIVITY	m Repet Prov	TION SHEET 	AN New Markey
S/N	Name	Title	Contact/Email Address	Signatur
1	Ers David Batarous	PE	Integensel Consil lim	Bish
2	TUMUSIANE JOHNALOSCO	Esophien cint	tumusume John baco 12 @ greet con	THRE
3	Eng. Carolyn Kasura	SE	chasisin @ amail.com	Channie
-	mageise kato	Semi Soc	chasism @ ameril.com muguigo tento @ Smail.com	-the
- 1-	Cate Manyulo	SETTO	crempto Quemitica	- Allango
-	Collienine Motomose		Cally Moza @ toliso convik	ding
2	Nalpageon Joursh		Unal og an of com.	1 Immen
1		1	backline nationing - agouit an	Thurlin .
9	Samuel Senfina	Hidropouloniet	marksomulas equal com	tato
10	Jonan Kayma	Social Supposed	Janenkayine Cycoline com	26
H	and the second s	-proposed	Listerson in	The france
	Eng collins - Lebazamite	mal Server Engines	10	Incel

S/N	Name	Title	Contact/Email Address	Signature
1	MARASHEN BRIDGET	SOCIOLOGIST	D780003764	A
2.	Eng Nennett Misdoe	Englan - Reight	Konvisabeegonullua	n toda
<i>3</i> ,	Obvayon W MATEVU	58 + RL- 853	10/ sources 0392545546	- ha
42	Alozue andra	Vitland Rite		- COD
5	Dr. Eng. Alex Kahulis	Vidne Bire Engliser Bight John Dine	D772 435 72 Count	a low resource
	Interit Baliauddentise	Sociologist	DAMESTER 6	Ma
	Edvida Musungizi	Principal St	bolacmidents jb Bgmaiten	milie
8.	End Oliver Lamu	AC-28D	0772-453395 101wery	
9.	Plus KAHANGIRUE	TLESAN	August Quality	ENVIR MANAGE
10. 4	D II DAI	WSPPE D	87463685 April Cg	ial.con to
	tog. Ronald Katal	Tring and Ectimen	0704345485 middladdagyala	p. Con Sta

# STAKEHOLDER CONSULTATION AND ENGAGEMENT

# NAME OF THE PROJECT: CONSULTANCY SERVICES FOR ENVIRONMENT AND SOCIAL IMPACT ASSESSMENT (ESIA) AND WATER SOURCE PROTECTION PLAN FOR KABAMBA RGC PIPED WATER SUPPLY SYSTEM IN KABAMBA SUB COUNTY; KAGADI DISTRICT

Date: 24/05/2022

NO.	NAMES	DESIGNATION	CONTACT	SIGNATURE
1.	BUNCEIMA COBERS	Agre	0787629742	Sound my
2.	KIKABI AGGREY EDGOARD	Water Griber Trainee	0789-078291 2	Jayer
3.	Mugume Francis Robert	Assistant Engineing	Fries 0774115123_	Juno-
4.	Kypong Crevard	SNR D	0773531125	far
5.	Abiasba PhErick	SFO	0993 32 0323	Say
6.	Alueburg Omasi	SAR	07817500	Site
7.	MAGEL JAMADA	Deconneellor	079608529	Habbedell
8.	Ayo Samson	H XJST	0786032669	Attente
9.	norroméni Mathias	CAO	07-03-502739	portigo
10.	TUMUHEISE EVAS	council	0779706163	PS

# STAKEHOLDER CONSULTATION AND ENGAGEMENT

# NAME OF THE PROJECT: CONSULTANCY SERVICES FOR ENVIRONMENT AND SOCIAL IMPACT ASSESSMENT (ESIA) AND WATER SOURCE PROTECTION PLAN FOR KABAMBA RGC PIPED WATER SUPPLY SYSTEM IN KABAMBA SUB COUNTY; KAGADI DISTRICT

Date: 24/03/2022

NO.	NAMES	DESIGNATION	CONTACT	SIGNATURE
1	TURYASHEMERERUYA Levelion		0788366942	Eng
2.	BIGITWA Karoli			etof
3.	TWAHR SA ASDEMER?			Cuto!
4.	Sanya maria		0760225169	82
5.	NIDUERSHE		07861769	94 Huc
6.	KUBUSINGE		-	Cars
7.	1003051.100			1
8.	MKAHIRWALINNG STONE	VICIPLOI	0772205493	to mar al
9.		1		
10.				



Consultancy services for preparation of environmental and social impact assessment (ESIA), environmental and social project brief (EPB), resettlement action

	DER CONSULTATIONS - ATTENDANCE LIST	PLACE KANKON	Date	
BEE	NAME	DESIGNATION	TELEPHONE NO / E-MAIL	SIGNATURE
21	Guyye kanta Gioga	KBLG Communitation office	07510211(4)E	Hange
OR.	BANAKODA STEPHENI	SPSUE FILDERS	\$779353619	) (hini)
03	RAKEHYA KABERT	DUM-YAPADA SPEAKER	0752529742	\$Tro
OP	MARGER MUWOAKE	KOL Ca	0790510900	Attany
20	Pasangatasaya Edin	Fel GAD	0772392191	
6	Mageri Jamada	Die Wabamberste	0779603524	-History
1	TUSIANE T. Samp	MWE/AWSRE-5	OTTESCONT	tt
8-	Edrida Muscipizi	MWE (PLUTED	070/075528	Elkin



#### BRIGHT TECHNICAL SERVICES LTD Civil Engineers and Project Managers



MINISTRY OF WATER AND ENVIRONMENT

Consultancy services for preparation of anvironmental and social impact assessment (ESIA), environmental and social project brief (EPB), resettlement action plan (RAP) and source protection plans (SPP) for (i) large solar powered piped water supply systems and sanitation facilities in Bugwara and Kabamba in Kagadi district, Kikoora and Mwitazinge in Kakumiro district, Kasese and Lwentulogu in Rakal District and (ii) Bitsys and Nyamugasani water supply systems in Buhweju and Kasese districts respectively Mathematical Section 2022

BEE	NAME	DESIGNATION	TELEPHONE NO / E-MAIL	SIGNATURE
9	CHIE NAMOLALD	SEHO - RIDISD	OTTO-ITISON Crampah (Bymlin	Mary
¢	KAMISTIME CATTER	the Ruser 5	0700/160 25 - Landsimon for Stogund	
15	Makale Tall	Soundonat Ross	p 07517456 66	Mission
2	Emmanuel Oburnt	E110/0-18	0701 850328	guest.
3	SAGINA EVA	Sociole AND RULE		<b>ASTERN</b>
4	KARGAMBIA ALGUSTING	CIPLETH	NHAMMIR952 0747701675	Jeliniz.
5	NAMEWAM B JOSNA	Helfonoso	9995-004050	Alfrag
3	Mugume & Robert	Aza Klater	Mugune pourt (0)	plain The

BRIGHT TECHNICAL SERVICES LTD Civil Engineers and Project Managers A

5



#### MINISTRY OF WATER AND ENVIRONMENT

Consultancy services for preparation of environmental and social impact assessment (ESIA), environmental and social project brief (EPB), resettlement action plan (RAP) and source protection plans (SPP) for (i) large solar powered piped writer supply systems and social infolities in Bugwara and Kabamba in Kagedi district, Kikoora and Mwitzsinge in Kakumiro district, Kasese and Lwentulege in Rakai District and (ii) Bitsya and Nyamugasani water supply systems in Buhweju and Kasese districts respectively

REF	NAME	DESIGNATION	TELEPHONE NO / E-MAIL	SIGNATURE
17-	THUINDONU JUNI JOASH	BISTRICT COUNDA	0752957829 0785899637	THE
18	Mulungu Gregory Amideo	KICGEWUF SIC	0775273455	NEizzo
19	BHENDAIMIRA EVERSE	North Action in the second second	0784684777	14H4
20	MARY MARY	UCCIPLI		themen
24	NEEZENDORE JULIUS	Chrow Ruser	0777682540	Jugh -
22	DAVID SEMPACIO	Ufluor BB	0702 480793	jis .
23	JONATHAN KAVUMA -	SOCIALOGUST /BTJ	0753 603235	the



#### BRIGHT TECHNICAL SERVICES LTD Civil Engineers and Project Managers

# PROJECT CONSULTATION AND MEETING ATTENDANCE LIST

PROJECT NAME: KAGADA RGC

9	NAME	988 1000	ORGANIZATION	DEMONICTION	TEL CONTACT	EMAIL	BRINATURE
1	Kiza Xavar Josep		KDLG	PACAO	0772849306	KibaxamAR	mail Stel
2	Byardhang chiles	M	KOL6		1 0784811527	cladeb a ulman	in a call
3	gaangabasaji s	Eng.	KALG	DICAR	07723924	education	Conned Con-
5	Mullime Senjamm	M	1CAME presidentisoffice	DWN/AgE	0782162974 0776645114	2	Ster France
+	NBIBWAMI YOSIA Kamukama Nicholas	m	16366-	Lev ele		adliburgioi tos is	Semail Cr /
	MIKICA DOBORAN	₽ T	KAL9- Bis	Rocheciely	0775-004290 0772-365/11	KEMUKAMRO	Charsh Heg
1-	DAVID SSEMPALA	M	Brs	HUDER	0701545903	davidesempula 3430 ganut non	ARMO
to	hager Jamael	m	BIS	Service Sterologist	907859666		R.C.
1			1242040	N conneillor Vo	1000 bit 1110085	1-0180210642	
1			1				

PROJECT NAME: KARADA KARATENDANCE		9		(
VENUE: KAGADA KAS	LIST .			1
STRATTER V				
NAME	DATE:			
1 KAKANDE SANGUEL M MAR	State Street Str	foolaz		
2 BUTCOBTINEL M HAR		TEL CONTACT	EMAL	SIGNATURE
	And service	RCART AL	III Renear to the	2.14 C 2020200 1
3 NIZETI VALENCE M Kalend	5 00	0788GHOGY	Blanderson alle	2 granil.com
La L	10		-	mone
	a Peasa (	I THE THE TOTAL		tudez.
- ASTRAMENTER PATE	Beds Sele	1 S S S S S S S S S S S S S S S S S S S		Stert
13006/05 500	Rasani	0786374726		8-4
A AND AND AND AND A AND AND AND AND AND	Pensonat.	0782715485	Alexin and the	Masso
	Daniver	- D783908314	200	gree
111111111111111111111111111111111111111		0761036782		Hoon-
11 W KREELSEJOANN KREEMA	totion and	0783240290		
1 Kyomukance John N. KoBAMDE	omunimi	078107387		HAPON
and the second s	ARMI			Ma.
3 Themales aye Bosco m KABAMIBA	Server	075647500	Gunnagerin Co	a la la
11 Care In Including	1	0774169836	- Fari	100 month Com

SONSULTATION AND MEETING ATTENDANCE LIST PROJECT NAME: KASARL VENUE: KARASMEN RGG Vincer <u>\</u>@. Å A.

MICHE O B SHO	Ko M	ORGANIZATION	DESIGNATION	TEL CONTACT	EMAIL	SIGNATURE
Platongale Tol	- 11	Kasamora	Burnastin	07772-11020	the second second	Twa
Mundri Elu	8 m	Kabamba	pea Ramit	0792112191		autori
Massergeona Ditti	F	Kabuba	Peasant	0773748933		RAWSON
Kobyshe she manocur	Ne F	Kabamba	Presat	e777526524		refer
Akanywasa unia	7	KABMMBA	Nurse	0782896002		Breed
Disionanta RI	m	KINBAMBI		01412802.09		100
THE ALE KILL	in m	10 0	The second se	078389753		Theian
The A I NIA (PAG) /	Ŧ	Rebarba	Person 1	07-82-664777		Broute
- And sconse have	m	Bis	Sanit Sangu	1 of Francisco		23
DAVID CEMARANINA	M	873	Driver			125
DAVID SEMPALA	M	1 BTS	Value	0703198095	1	-
DECOBATH DUK,	F	BTS	Soadagist	0701575903		The state

~~~

PROJECT CONSULTATION AND MEETING ATTENDANCE LIST 11

| #    | NAME                                                                                                            | SEX   | ORGANIZATION | The second states | BISS BERNE  | HE LOW MAN | a abstract in the |
|------|-----------------------------------------------------------------------------------------------------------------|-------|--------------|-------------------|-------------|------------|-------------------|
| nig4 | the second se | (FIM) | SROAMIZATION | DESIGNATION       | TEL CONTACT | EMAIL      | SIGNATURE         |
|      | MUREMAJIAMASTO                                                                                                  | m     | Kabonso      | Peasant           | 078791678   |            | MUREMy            |
| 2    | No participanti - Gronnes                                                                                       | m     | KABAMBA      | TEAKHEP           | 0720222265  | -          | Catter and S      |
| 3    | Nunwekwase Adoff                                                                                                | m     | Kabamba      | pooland           | 0788250979  | -          | Alauturse ;       |
| 4    | muhaming Allan                                                                                                  | m     | Versminb9    | Youth dp          | 0780180817  |            | muhum             |
| 5    | berungi tosin                                                                                                   | m     | Kabamba      | Self employed     | 0783354029  |            | And.              |
| 6    | Alfowin mir fageloo U.                                                                                          | M     | Kobembo      |                   | 0788191547  | -          | Mary              |
| 7    | Onupo Thinking                                                                                                  | m     | habant       | pecsant           | 0775800Ld   | •          | 100 min           |
| 8    | BYIDAUHANSPA                                                                                                    | no    | Kabunba      | Peasand           |             | _          | -                 |
| 9    | Hamara Francis                                                                                                  | m     | Kabarba      | Preasont          | 077753050   |            | Ma                |
| 10   |                                                                                                                 |       |              |                   |             |            |                   |
| 11   |                                                                                                                 |       |              |                   |             |            | 1                 |
| 12   |                                                                                                                 |       |              | 1                 |             |            |                   |
| 13   |                                                                                                                 |       |              |                   |             | 1          |                   |

RECORD OF ATTENDACE

MEETING REFERENCE: KAGADI - KABAMBA RGC VENUE: KIMAGA TRADING COMPRE

\_ DATE: \_\_\_\_\_\_

| L | # NAM         | 0                | SEX<br>(M/F) |          | DESIGNATION     | EMAIL | CONTACT      | SIGNATURE                                                                                                       |
|---|---------------|------------------|--------------|----------|-----------------|-------|--------------|-----------------------------------------------------------------------------------------------------------------|
| Ľ | 1 NZANAMED    | Connerval.       | m            | Lahenzeg | Peacont         | -     | 078054100    | Buch                                                                                                            |
| 2 | Magbuculel    | a Juliet         | F            | Kingga   | Peccant         |       |              | Texphiculela                                                                                                    |
| 3 | KATTARESE     | FIA F            | F            | KINAGA   | Peacat          |       | 0772027587   | Contraction of the second s |
| 4 | SANSUMIR      | 2012 CALL 1 1 1  |              | KAHUMUZA | Persont         |       | 072 2653 633 |                                                                                                                 |
| 5 | MAMAZAFORO    | RA E             |              | KINAGA   | Pecsat          |       |              | RAMA BA                                                                                                         |
| 1 | MUSIMEN       | TAK              |              | KINAGA   | pesset          |       | 0 730244211  | 22-                                                                                                             |
| _ | HSABIMANA C   | ronder F         |              | KINA GA  | Peret           | ~     | 073064844    | ore                                                                                                             |
|   | NGABIZANO AN  |                  | 1            | KANAGA   | peasand         | -     | 0762382923   | ast                                                                                                             |
| ŀ | Ndoon         | 1. monte         | 1            | tinogo   |                 | _     | 0 48169499   | 1. Eg                                                                                                           |
| 1 | badiandlein   | 3                | E            | 355      | Serie Scielans) | -     | Stat SEA 660 | S                                                                                                               |
|   | David Ssemaul | a M              | ,            | BIS      | Yelen           |       | 070157990    | 3 Dan                                                                                                           |
| 7 | TUKAH RUALST  | NO. 10 10 10 200 | 1/4          | Attumos  | VEPLUI          |       | 07722a54     | 1) stong                                                                                                        |

|     | MEETING REFERENCE:<br>VENUE: | TRADI        | NG CONTRE |               | DA    | 1E: 03/10/23  |                           |
|-----|------------------------------|--------------|-----------|---------------|-------|---------------|---------------------------|
| #   | NAME                         | SEX<br>(M/E) | VILLAGE   | DESIGNATION   | EMAIL | CONTACT       | SIGNATURE                 |
| 2   | KASAHDA BONEFENIC            | m            | KINAGA    | CONNICALLAL   | 10.00 | 078486754     | and the second second     |
| 3   | Sambusha vertion             | M            | Kahumuza  | Ciman         |       | 6792846789    | Show                      |
| 4   | BAFAKY: GADI                 | 21           | linaga    | C/P           |       | 077103052     | TACAL                     |
| 5   | 0.011                        | M            | KINAGA-   | (multimi      |       | 17776457123   | - Protocol                |
| 6   | BANYENZAK NAPOL              |              |           | emulini       |       | 07622219      | 6 some                    |
| 7   | Myezima Loto                 | m            | KINA GA   | omution       |       |               | Bruco                     |
| B   | MICHER SEM                   | m            | 4.        | omusie.       |       | 6760326       | -                         |
| 9   | AJUNA TUMUSIM                | M            | Kasamibio | and the state |       | 0 7/8/0 3/4/8 | Rome                      |
| 10  | Junusimekon                  |              | kinaigá   | Mechanic      |       | 0782341       | 31 0 6205                 |
| 2 - | KYARIKUNDA JUNAS             |              | MINAGA    | bookbod       |       | 09.86049      | ich Kans                  |
|     | TU Siimie Binedya            | m            | KiNAGIA   | bookbook      |       | 07790100      | Contraction of the second |

RECORD OF ATTENDACE

KAGADI - KABAMBA AGE

| VENUE: | SINIAGA TEADING | Central | estalso sta |  |
|--------|-----------------|---------|-------------|--|
|        |                 |         |             |  |

| # | NAME                | SEX<br>(M/F) | VILLAGE  | DESIGNATION | EMAL | CONTACT    | SIGNATURE |
|---|---------------------|--------------|----------|-------------|------|------------|-----------|
| 1 | ASUMER Rember       | -            | KEINDE   | องหมุญอา    |      | 07828202   | riles     |
| 2 | BEATRICE KNINTENEME | Ŧ            | KINAGA   | Omalinai    |      | -          | -         |
| 3 | MUSIMENTA OLIVER    | Ŧ            | KINDAGOA | ameri       |      | + FRAMINO  | der       |
| 4 | KASIENA OLIVER      | F            | KINAGA   | Bruntini    |      |            | . All     |
| 5 | KASMUE NJERIKA      | Ŧ            | Kinnaa   | Omiting i   |      | _          | R         |
| в | ENATUHA VIRINYA     | Ŧ            | 0        | Barlini     |      | -          | Alers     |
|   | TUMURUNDE TROVINE   | F            | Kingga   | Banetani    |      |            | res       |
|   | BURAKAR HAPATISAMAK | 10000        | Kinaga   | Durationi   |      | OTEPHOON + | ABUBAKA   |
| - | ZERA MAENALA        | M            | kingga   | Omitimi     |      | -          | CB        |
|   | serviz-sendo MATHA  | M            | Kinaga   | MASSION     |      | -          | Hand      |
|   | Twing muling not    | 14           | KINDER   |             |      | -          | all       |
| 1 | CIZA 605/40         | n            | K' MAYA  |             |      | -          | and       |

PROJECT CONSULTATION AND MEETING ATTENDANCE LIST

| NAME                               | 35x | ORGANIZATION      |          | TEL CONTACT | EMAL  | SIGNATURE |
|------------------------------------|-----|-------------------|----------|-------------|-------|-----------|
| 1 ATLINEITUR FORMER<br>2 Seducoito | M   | KASMAG P          | reacher- | C'778274371 |       | Alling    |
| ALLAGIZIEROR - BRIAN               | A   | KINAGA            | Persond. | 07882(324)  |       | -         |
| MATHERE POMUSER                    | m   | KINDLA<br>MORLOND | persont. | *779222013  |       | Manjato   |
| Numphulson Togen                   | M   | NARARAMON         | Presient | 079774U232  |       |           |
| BAGARAGIZA 3.5<br>MULLELLE PENIMA  | 19  | NYAKALASA         | el PERSO | 078670517   | 1     | Gand      |
| NSenga Daviz                       | 100 | Millaga           | CIP      | 078E95510   | 29    | Blue      |
| Semallom.                          | m   | llinago           | Onusmi   |             |       | Berg      |
| RUAMUKAMO<br>Romad mahija          | m   | KINAGA            | OMUHWGI  |             |       | -         |
| Milsing 21                         | m   | KINAGA            | Persent  | 0783717     | 7672. | Cash      |

| Ŧ  | VENUE: KITA                               | ca 11   | LADING GO    | KABAMBA        | auc   |             |           |
|----|-------------------------------------------|---------|--------------|----------------|-------|-------------|-----------|
|    | # NAME                                    | - RE    | VI           |                | DA    | TE 03/10/:  | 12        |
| 12 | NATUKYNER I<br>Karagana T                 | 160     | F) VILLAGE   | DESIGNATION    | EMAIL | CONTACT     | SIGNATURE |
| 3  | Kajaana John<br>Tushoube Imp<br>Busan and | B M     | Kalimbi      | · · · · · ·    |       | 07-59732930 |           |
| 4  | p                                         | nuel my | Locardy as   |                |       | 0774076150  | phe 3     |
| 5  | Contraction Someway                       | en m    | NYAKARAMBI   | 9 <del>)</del> | _     | 0786126826  | 26        |
| 6  | flast anda                                | . M     | Kaunouza     |                |       | OPEZISZY    |           |
| 7  | James Bangamua                            | home    | Vinner       | -              |       |             | Kabandi   |
| 3  | 1B JAMUKAING T                            | HIN: M  | 1 tintamal   |                |       |             | ku-       |
|    | KANYABEMANU                               | ER M    | KiNAGA       |                |       | 076232656   | Jank.     |
|    | HYIRABAGANZI                              | EF      | A CO HOTA    |                |       |             | -         |
| 1  | Eicho NINI                                | TEI     | N HANGH      |                |       | 001         | 10-       |
| 1  | Terezi Hajenimana                         | 10      | T I II       |                |       | 0771810     | 351       |
| 1- | - 10                                      | H.      | Yakarambi    |                |       |             | fer       |
| 1  | 1urinalbarrynip                           | MI      | Tire Karamon |                |       |             | 195       |

| NAME            | SEX                                                       | SING CENT                                                                                              | E LOC                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | -                           |                                                                                                                                                                                                               |
|-----------------|-----------------------------------------------------------|--------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| A ILA I         | (M/F)                                                     | VILLAGE                                                                                                |                                                                                                                                         | and the second se |                             |                                                                                                                                                                                                               |
|                 | 5                                                         | Kingan                                                                                                 |                                                                                                                                         | CIMAL                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                             | SIGNATURE                                                                                                                                                                                                     |
| sarah kemigicha | F                                                         | Mul. In.                                                                                               |                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 0775175029                  |                                                                                                                                                                                                               |
| Maraika This    | H                                                         |                                                                                                        |                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                             | Sart                                                                                                                                                                                                          |
| mulereza mosos  |                                                           | 1 1 2 3                                                                                                |                                                                                                                                         | -                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | AP & 2015                   |                                                                                                                                                                                                               |
| Tullahuring     | 14                                                        |                                                                                                        | -                                                                                                                                       | -                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 078509830                   | 75                                                                                                                                                                                                            |
| 1 and the it    | • M                                                       |                                                                                                        |                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Leenerd .                   | Tellalineal                                                                                                                                                                                                   |
| RWABIC'         |                                                           | BAtil-a.                                                                                               | -                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 100 101                     |                                                                                                                                                                                                               |
|                 |                                                           |                                                                                                        |                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | -                           |                                                                                                                                                                                                               |
|                 | -                                                         |                                                                                                        |                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | -                           | 1 2                                                                                                                                                                                                           |
|                 | 1                                                         |                                                                                                        |                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                             | 1                                                                                                                                                                                                             |
|                 |                                                           |                                                                                                        |                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                             |                                                                                                                                                                                                               |
|                 |                                                           |                                                                                                        |                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                             |                                                                                                                                                                                                               |
|                 |                                                           |                                                                                                        |                                                                                                                                         | 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 1.00                        |                                                                                                                                                                                                               |
|                 | AnethlAnet Tushabe<br>Sarah Kemigicha<br>Manufereza moses | AnethlAnet Tushabe x<br>Sarah Kemigicha F<br>Manster Tus n<br>multereza moses m<br>Tukaturwo change. M | AnehlAnet Tushabe & Kingga<br>Sarah Kemigicha & Kingga<br>Manufertus n Kinaga<br>muhereza moses m Kahamuza<br>Tukaturwo champ. M Kinago | NAME SEX VILLAGE DESIGNATION<br>Anch (Anet Tushabe & Kinggo -<br>Sorah Kemigisha & Mukutake<br>Mange This n Kinggo -<br>multereza moses m Kahanaza -<br>Tukaturwo chumpo M Kinggo -                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | AnetalAnet Tushabe & Kinggo | Anet Anet Tushabe & Kingliga - OFFS17502<br>Sarah Remigicha & Mulutiele - OFFS17502<br>Manse The Mulutiele - OFFS17502<br>multereza moses m Kahamaza - OT85078535<br>Tulkaliirwo change M Kinggo - OT85078537 |

Annex III: Land Ownership Documents

Annex IV: General Layout, Layouts of the Transmission and Distribution System and Structural Drawings

# Annex V: Chance Finds Procedure on Physical Cultural Resources Management

The Physical Cultural Resources Policy (PCRs) i.e. OP 4.11 should be triggered because of the excavation works that may encounter PCRs. To meet the requirements of this policy, a Chance Finds Procedure has been developed to indicate a real risk of causing undesirable adverse environmental and social effects on the physical and intangible cultural resources, and that more substantial planning may be required to adequately avoid, mitigate or manage potential effects. Chance find procedures will be used as follows:

- i. Stop the construction activities in the area of the chance find;
- ii. Delineate the discovered site or area;
- iii. Secure the site to prevent any damage or loss of removable objects. In cases of removable antiquities or sensitive remains, a night guard shall be present until the responsible local authorities and the Directorate of Museums and Monuments (DMM) take over;
- iv. Notify the site / supervisory Engineer who in turn will notify the responsible local authorities and the Directorate of Museums and Monuments under the Ministry of Tourism, Wildlife and Antiquities (within 24 hours or less);
- v. The Directorate of Museums and Monuments would be in charge of protecting and preserving the site before deciding on subsequent appropriate procedures. This would require a preliminary evaluation of the findings to be performed by the archaeologists of the Directorate of Museums and Monuments (within 24 hours). The significance and importance of the findings should be assessed according to the various criteria relevant to cultural heritage; those include the aesthetic, historic, scientific or research, social and economic values;
- vi. Decisions on how to handle the finding shall be taken by the Directorate of Museums and Monuments. This could include changes in the layout (such as when finding an irremovable remain of cultural or archaeological importance) conservation, preservation, restoration and salvage;
- vii. Implementation for the authority decision concerning the management of the finding shall be communicated in writing by the DMM;
- viii. Construction work could resume only after permission is given from the responsible local authorities and the Directorate of Museums and Monuments concerning safeguard of the heritage;
- ix. These procedures must be referred to as standard provisions in construction contracts, when applicable. During project supervision, the Site Engineer shall monitor the above regulations relating to the treatment of any chance find encountered are observed;
- x. Construction work will resume only after authorization is given by the responsible local authorities and the National Museum concerning the safeguard of the heritage.
- xi. Relevant findings will be recorded in World Bank Implementation Supervision Reports (ISRs), and Implementation Completion Reports (ICRs) will assess the overall effectiveness of the project's cultural property mitigation, management, and activities, as appropriate.

# **Annex VI: Grievance Redress Mechanism**

There will be a necessity to resolve conflicts swiftly in order to expedite the project's planning and construction phase and for the smooth eventual operational activities. Therefore, a grievance redressing mechanism is essential for Recycling Facility. This procedure will address this need in detail. The objectives of the grievance process as explained in the subsequent chapter of these guidelines will be as follows:

- Provide affected people with avenues for making a complaint or resolving any dispute that may arise;
- Ensure that appropriate and mutually acceptable corrective actions are identified and implemented to address complaints;
- Verify that complaints are satisfied with outcomes of corrective actions;
- Avoid the need to resort to judicial proceedings.

Grievance management is an important step in community engagement. There had been and will be community grievances throughout the project's various development stages. It is expected that all such grievances be amicably resolved if the developer is to abide by the global and country specific Social Safeguard guidelines. In practice, in similar compensation and resettlement activities, many grievances arise from misunderstandings of the Project policy, or result from conflicts between neighbours, which can usually be solved through adequate mediation using customary rules or local administration at the lowest level. Most grievances can be settled with additional explanation efforts and some mediation using customary dispute settlement mechanisms.

The purpose of Grievance management shall be to provide opportunity for the aggrieved parties to resolve issues through arbitration and negotiation based on transparent and fair hearing. It will allow the parties in the dispute to arrive at a win -win solution. Final outcome thus be that the extra judicial systems will work smoothly and that number of disputes seeking interventions at the country judiciary will be made minimal. The functioning a proper grievance management mechanism is a requirement in view of the above. The overall management of grievances is the responsibility of the developer or/and the contractor. The Project, thus, will put in place an amicable, extra-judicial mechanism for managing grievances and disputes based on explanation and mediation by third parties. Procedures relevant to this amicable mechanism are detailed below. It will include three different levels:

- Registration by project of the complaint, grievance or dispute;
- Processing by project of the grievance or dispute until closure is established based on evidence that acceptable action was taken; and
- In the event where the complainant is not satisfied with action taken by project as a result of the complaint, an amicable mediation can be triggered involving a mediation committee independent from the Project.

Managing grievances needs a clear and transparent procedure well instituted within the management structure of the project. At minimum, such a procedure should consist of the following steps:

- a) to receive the grievances,
- b) to acknowledgement the receipt,
- c) investigation and resolution,
- d) Closeout and follow-up.

# I. The need for maintain a Grievance Register

There should be Grievance Register which would record all the grievances, complaints and issues the stakeholders would wish to bring to the attention of the Developer or the Contractor. It should be kept at a place where all will have easy access; preferably this should be placed at the office (allocated for the Grievance Committee (GC)). It should contain the date of the entry, name and contact details of the complainant; nature of grievance, Signature (on one side of the Register) and actions taken to address or reasons the grievance was not acted on, the signature of the GC and Complainant as to how the grievance was closed and date (on the other side of the Register.

# II. Recording of the complaints into the Grievance Register

The following steps are to be followed when the complaints will be received: Receipt of complaint (a verbal or in written) will be received by the Community Liaison Officer or any other officer (a member of the Grievance committee).

- The complainant can obtain the assistance from a member of the grievance committee or the Site welfare officer to lodge such an entry in to the Grievance Register.
- The Officer Responsible or the GC member, who is at present, will communicate with the complaint in a language acceptable to the complainant.
- Since the site working is carried out in English Language, the Site welfare officer or the member of the Grievance committee may lodge the entry in English language
- After lodging the complaint in the register, the officer recorded such complain shall read to the complaint what is recorded and sign the entry made into the Grievance Register

# *III.* Formation of a Grievance Committee

In Uganda at the local level, the village leaders and the LC (1) play a key role in managing disputes. The Parish level committees formed for the management of disputes is the lowest level of accepted forms of reconciliation board at which the complainants can have access to for justice if issues will not be resolved at the village level. However, in order to strengthen the village level reconciliation of disputes specially over the issues arising from the project related matters, appointing of a Grievance Committee has been considered a viable option according to the accepted practices. It is expected that grievances depending on the complexity and nature can be resolved either at the site level, at the grievance committee level or at the project developer's top management level or at the judiciary level. It means that if a complainant is not satisfied with the site level solution offered by the site manager or the project's administration manager, the matter can be taken up by the Grievance Committee (GC).

The constituency of the grievance committee and its role is explained in the following section. This GC is to be considered the vital body which prevents any grievances to be heard at higher levels. In parallel and where necessary, the GC holds meetings or other appropriate communication with the complainant, with the aim of reducing any tensions and preventing them from escalating. During closeout, the GC seeks to confirm that its actions have satisfied the complainant. During follow-up, the GC, with the assistance of the Site Construction Manager investigates the causes of grievances, where necessary, to ensure that the grievance does not recur.

The composition of Grievance Committee is depicted below:

- a) Representative from area 02 Members (preferably from each Sub County)
- b) Representative of Women 02 Members
- c) Representative of the Local Government 02 Community Development Officers
- d) Representative from the developer 01 Member
- e) Representative from the contractor 01 Member

Members of the Grievance will be provided training on conflict resolution and given more exposure on procedures of managing grievances.

# *IV.* Performance Indicators in respect of the functioning of the Grievance Committee

Key interventions include:

- Setting up of a Functional Grievance Committee;
- Addressing employee's and affected persons (PAPs) grievances in all project phases.

# V. Grievance Redress Procedure

The Grievance Redress Committee will receive a written grievance or complaint. Preferably these should be those, which the Reconciliatory Committee has failed to handle. This Committee will dispense grievances/complaints as described below;

# Legal Redress

If the complainant feels dissatisfied with the administrative arbitration decision by the Grievance Redress Committee (GRC), the complainant will then seek legal redress in courts of law. If the complainant is not satisfied with the decision made above, he or she may lodge an appeal to the civil court.

# VI. Proposed Process of Grievance Management

The ESMP recommends the following process, which should be adopted by the project support team:

# a) Lodging Complaint

The Grievance Management Coordinator/Officer will receive complaint from the PAP in the local language and complete a Grievance Form, which will be signed by the leader of the Local Grievance Management Committee and the PAP/complainant. This will then be lodged in the Grievance Log/Register provided by the Grievance Management Coordinator/Officer.

# b) Determining Corrective Action

If in their judgment, the grievance can be solved at this stage and the Grievance Management Coordinator/Officer and a representative of an NGO/CBO will determine a corrective action in consultation with the aggrieved person. A description of the action; the time frame in which the action is to take place; and the party responsible for implementing the action will be recorded in the grievance database.

Grievances will be resolved and status reported back to complainants within 30 days. If more time is required this will be communicated clearly and in advance to the aggrieved person. For cases that are not

resolved within the stipulated time, detailed investigations will be undertaken and results discussed in the monthly meetings with affected persons. In some instances, it may be appropriate to appoint independent third parties to undertake the investigations.

# c) Meeting the Complainant

The proposed corrective action and the time frame in which it is to be implemented will be discussed with the complainant within 30 days of receipt of the grievance. Written agreement to proceed with the corrective action will be sought from the complainant (e.g. by use of an appropriate consent form). If no agreement is reached, the above step will be re-visited.

# d) Implementation of corrective Action

The Project or its Contractors/Operators within the agreed timeframe will undertake agreed corrective actions. The date of the completed action will be recorded in the grievance database.

# e) Verification of the Corrective Action

To verify satisfaction, the aggrieved person will be approached by the Grievance Officer to verify that the corrective action has been implemented. A signature of the complainant will be obtained and recorded in the log and/or on the consent form. If the complainant is not satisfied with the outcome of the corrective action additional steps may be undertaken to reach agreement between the parties. If additional corrective action is not possible alternative avenues maybe pursued.

# f) Action by Local leaders and Contractor(s).

If the Grievance Co-ordinator and NGO/CBO representative cannot solve the grievance, it will be referred to relevant parties such as local leaders, District Officers, NEMA, Valuer and MWE, for consultation and relevant feedback provided.

# g) Action by Grievance Redress Committee (GRC).

If the complainant remains dissatisfied and a satisfactory resolution cannot be reached, the complaint will be handled by the Grievance Redress Committee. A dedicated Grievance Committee will be established to assess grievances that arise from disputes. This will include the following members: -

- a. MWE Chair,
- b. IWMDP Project Coordinator,
- c. Resettlement Officer/Social Scientist Secretary,
- d. Project's Environmental Focal Point,
- e. The Chair of the local community (LC I Chairman),
- f. A member of a recognized non-government organization, A Community Leader.

This committee must have a quorum of at least two thirds persons. Decisions will be reached by simple majority. The Grievance Committee should be constituted for as long as no more grievances are lodged. Once the Grievance Committee has determined its approach to the lodged grievance, this will be communicated to the Grievance officer, who will communicate this to the complainant. If satisfied, the complainant signs to acknowledge that the issue has been resolved satisfactorily. If the

complainant is not satisfied however, the complainant notes the outstanding issues, which may be relodged with the Grievance Committee or the complainant may proceed with judicial proceedings. The effectiveness of the GRM will be evaluated during the periodical performance reporting and as part of the Environmental Audits.

The GRM should be assessed on the following parameters: -

- a. Number of complaints:
- b. Grievance issues by type and how they were resolved:
- c. Total received, total justified,
- d. Total resolved at various levels including the type of agreement reached,
- e. Total referred to legal system/courts of law, including clarification on who initiated (local leaders, PAP or MWE) the referral and subject matter.

# VII. Proposed Terms of Reference for the Grievance Management Coordinator/Officer

In line with MWE's resettlement policy framework, projects need to adopt appropriate measures that minimize the risks relating to constructing the water supply and sanitation project. Based on consultations with stakeholders in both districts, effective management of grievances strongly enhances the performance of projects through elimination of construction delays, proper expectation management and increasing community support for the project the current situation suggests that community members incur high transaction costs to ensure that their grievances are handled.

Therefore, MWE will seek the services of a grievance management coordinator to support the existing framework in documenting, analysing and engaging stakeholders on how to manage project related grievances as a way of minimizing to delays in works related to unresolved grievances. The roles and responsibilities of the grievance management coordinator will include: -

- a. to coordinate the work of the Grievance Committee, including calling and chairing scheduled meetings;
- **b.** help train Community and Local Government staff engaged in grievance management for land and crops;
- c. provide advice and assistance to such persons;
- d. monitor progress of grievances;
- e. inform Members of outcome of vote on whether or not to proceed to grievance;
- f. act as primary Association contact with lawyers and liaise with legal counsel regarding on going grievance issues;
- g. And report on informal disputes and grievances to MWE Project Implementation Unit on a regular basis.

**Training and Qualifications:** Minimum of a relevant university degree with 5 years' experience in grievance handling in rural communities with solid working knowledge of environment, resettlement and compensation issues in Uganda.

# **Annex VII: Water Quality Analysis Results**

| NATIONA<br>CI                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | P.O. Box 7053.<br>Tel. 041257548<br>E-mail: wuterp                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | AD SEWERAGE CORPC<br>ORATORY-BUGOLOB<br>Kampala/<br>(4114) Fax: 041 235441<br>istry/on-use co.us<br>ATE OF ANALYSIS                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | PRATION<br>I.                                                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|
| CLIENT: Sumadhurah Teel                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | mologies ltd                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Seri                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | al No:ES /2018/2151                                                                                             |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | San                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | npled by: Client                                                                                                |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Typ                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | e of container: plastic                                                                                         |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | ple source: Borchole water.                                                                                     |
| Date Sample received: 19- De                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | c-2018                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | The set of the state of the second                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | of Report:21-Dec-2018                                                                                           |
| arameters                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Units                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Location: Kabamba<br>Parish: Kabamba<br>S/C: Kabamba<br>District: Kagadi<br>DWD ::\$3796                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | National Standards for<br>Natural portable water                                                                |
| 181                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | K2099/2018/C                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | the second s  |
| Bi-Carbonates:as CaCO1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Mg/i                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 82.0                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 500                                                                                                             |
| Calciem (Ca2+                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | mg/l                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 14.80                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 150                                                                                                             |
| the second | mg/l                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 12.0                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 250                                                                                                             |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | mer                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | and the second se |                                                                                                                 |
| Colour                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Ptco                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 22                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Contraction of the second s |
| Colour<br>Conductivity                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | The provide the state of the second sec | and the second se | 50                                                                                                              |
| Colour<br>Conductivity<br>Fluoride (F                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Pteo                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 22                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 50<br>2500                                                                                                      |
| Coloue<br>Conductivity<br>Haoride (F<br>Hardness: total as CaCO <sub>4</sub> )                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Ptco<br>µs/cm                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 22<br>198<br>0.14                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 50<br>2500<br>1.5                                                                                               |
| Coloue<br>Conductivity<br>Haoride (F<br>Hardness: total as CaCO <sub>3</sub> )<br>ron:total                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Ptco<br>µs/cm<br>Mg/I                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 22<br>198<br>0.14<br>60.0                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 50<br>2500<br>1.5<br>600                                                                                        |
| Colouc<br>onductivity<br>Jaoride :F<br>Jardness: total as CaCO <sub>3</sub> )<br>ron:total<br>*gnesium : Mg2*                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Ptco<br>µs/cm<br>Mg/I<br>mg/                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 22<br>198<br>0.14<br>60.0<br>0.037                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 50<br>2500<br>1.5<br>600<br>0.3                                                                                 |
| Colour<br>onductivity<br>Jaoride : F<br>Jardness: total as CaCO <sub>3</sub> )<br>ron:total<br>*gnesium : Mg2*<br>atrate-N                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Ptco<br>µs/cm<br>Mg/l<br>mg/<br>· mg/l                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 22<br>198<br>0.14<br>60.0<br>0.037<br>6.60                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | 50<br>2500<br>1.5<br>. 600<br>0.3<br>100                                                                        |
| Coloue<br>Conductivity<br>Haoride :F<br>Hardness: total as CaCCy)<br>rom:total<br>"ognesium : Mg2"<br>amate-N                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Ptco<br>µs/cm<br>Mg/1<br>mg/<br>mg/1<br>mg/1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 22<br>198<br>0.14<br>60.0<br>0.037<br>6.60<br>0.0                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 50<br>2500<br>1.5<br>600<br>0.3<br>100<br>45                                                                    |
| Colour<br>Conductivity<br>Fluoride :F<br>Hardness: total as CaCO <sub>3</sub> )<br>ron:total<br>Sensesium : Mg2<br>strate-N<br>art<br>supplicates: SO <sub>4</sub> <sup>2</sup>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Ptco<br>µs/cm<br>Mg/1<br>mg/1<br>mg/1<br>mg/1<br>mg/1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 22<br>198<br>0.14<br>60.0<br>0.037<br>6.60                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | 50<br>2500<br>1.5<br>600<br>0.3<br>100<br>45<br>55-9.5                                                          |
| Colour<br>Conductivity<br>Fluoride :F<br>Hardness: total as CaCO <sub>3</sub> )<br>ron:total<br>Supersium : Mg2<br>strate-N<br>off<br>supphates: SO <sub>4</sub> <sup>2</sup><br>Total Alkalinity: (as CaCO <sub>3</sub> )                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Ptco<br>µs/cm<br>Mg/1<br>mg/1<br>mg/1<br>mg/1<br>mg/1<br>mg/1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 22<br>198<br>0.14<br>60.0<br>0.037<br>6.60<br>0.0<br>6.84                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 50<br>2500<br>1.5<br>600<br>0.3<br>100<br>45<br>5595<br>400                                                     |
| Colour<br>Conductivity<br>Fluoride (F<br>Hardness: total as CaCO <sub>3</sub> )<br>frontiotal<br>* "gnesium : Mg2"<br>* atrate-N<br>ard<br>Sulphates: SO <sub>4</sub> "<br>Total Alkalinity; (as CaCO <sub>3</sub> )<br>Fotal Alkalinity; (as CaCO <sub>3</sub> )                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Ptco<br>µs/cm<br>Mg/1<br>mg/1<br>mg/1<br>mg/1<br>mg/1<br>mg/1<br>mg/1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 22<br>198<br>0.14<br>60.0<br>0.037<br>6.60<br>0.0<br>6.84<br>0                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 50<br>2500<br>1.5<br>600<br>0.3<br>100<br>45<br>550<br>400<br>500                                               |
| Chierraes Cl<br>Colour<br>Conductivity<br>Fluoride (F)<br>Hardness: total as CaCC <sub>3</sub> )<br>from total<br>b <sup>*</sup> -spassium : Mg2<br><sup>*</sup> strate-N<br>prf<br>Sulphates (SO <sub>4</sub> ) <sup>*</sup><br>Total Alkalinity; (as CaCO <sub>3</sub> )<br>Total Alkalinity; (as CaCO <sub>3</sub> )<br>Total suspended solids<br>Total suspended solids<br>Total suspended solids<br>Total suspended solids                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Ptco<br>µs/cm<br>Mg/I<br>mg/I<br>mg/I<br>mg/I<br>mg/I<br>mg/I<br>mg/I                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 22<br>198<br>0.14<br>60.0<br>0.037<br>6.60<br>0.0<br>6.84<br>0<br>80.0<br>80.0                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 50<br>2500<br>1.5<br>600<br>0.3<br>100<br>45<br>5595<br>400                                                     |

Remarks;

The sample showed satisfactory physics-chemical characteristics of the source , which was commensurate with the stational Standards for natural portable water.

St. ٩,

ODATE CERMAL 积.8.

MALYSED BY: Robinah Muheirwe

M AUTHORISED BY.. ......MANAGER, Central Laboratory Survices 2 P.o. Box 103 Roweds

SENIOR MANAGER, Water Quality Management Department B. The NWSC certificate of analysis by no means constitutes a permit to any person or undertaining to and the the

# Annex VIII: RAP Executive Summary

The Government of Uganda received credit from the World Bank towards implementation of the Integrated Water Management and Development Project (IWMDP). The Project Development Objective (PDO) is to improve access to water supply and sanitation services, capacity for integrated water resources management and the operational performance of service providers in project areas. The project will also contribute to the achievement of National Development Plan III objectives, Vision 2040 and Sustainable Development Goals. Under the IWMDP, funds have been provided for Environmental and Social Impact Assessment (ESIA), Resettlement Action Plan (RAP) and Source Protection Plans (SPP).

The support to Small Towns and Rural Growth Centres sub-component covers activities designed to improve the sustainable provision of water supply and sanitation services in small towns and RGCs in Uganda. The sub-component targets the districts of Rakai in Central Uganda; and Buhweju, Kagadi, Kakumiro and Kasese in Western Uganda. In order to address the water supply and sanitation gap in the above districts, six solar powered piped water supply systems (in the districts of Rakai, Kagadi, and Kakumiro) and two piped water supply systems of Bitsya and Nyamugasani GFS in Buhweju and Kasese have been proposed. These water supply and sanitation infrastructure will be implemented as part of the strategy to improve access to clean water, improved sanitation and hygiene in the selected Rural Growth Centres.

A sustainable piped water supply and sanitation system have beneficial impacts on the social economic status of communities especially in terms of improving outcomes in the areas of health, poverty reduction and education.

The MWE therefore contracted, M/S Bright Technical Services LTD (BTS) to carry out the Environmental and Social Impact Assessment (ESIA), Resettlement Action Plan (RAP) and Preparation of Source Protection Plans for the six large solar powered piped water supply systems, Bitsya water supply system and Nyamugasani Gravity Flow Scheme.

This document presents the Resettlement Action Plan (RAP) for Kabamba Water Supply and Sanitation Project. According to best practice, a project that will require land acquisition must prepare a RAP to guide these activities. This RAP shall be a living document throughout its implementation.

The water supply area of the proposed Kabamba water supply system is located in Kabamba Sub County (with an LC III administrative status) in Kagadi district. The Project location map is shown in

#### Institutional, Legal, and Policy Framework

The Project is guided by both the applicable Ugandan laws and regulations related to land acquisition and involuntary resettlement as well as the applicable international standards.

Key Ugandan legislation and policies that will govern the Project include:

- The Constitution of the Republic of Uganda
- Land Acquisition Act (1965)
- The Land Act, Cap 227
- The Land Regulations, 2004
- Water Act Cap, 152

- The Roads Act, 2019
- The Access Roads Act, CAP 350
- Local Government Act (1997)

The key International RAP Implementation Standards and Guidelines (Applicable Standards) that guide this RAP and its implementation are:

- The World Bank's safeguard policy on involuntary resettlement, OP 4.12
- United Nations (UN) Basic Principles and Guidelines on Development-based Evictions and Displacement
- Voluntary Guidelines on Responsible Governance of Tenure of Land, Forests, and Fisheries (VGGT)

Where national legislation falls short of meeting the conditions prescribed by the Applicable Standards the later will apply. The gap-filling measures proposed by the Project are also detailed.

# Stakeholder Engagement

The overall goal of stakeholder engagement is to establish an ongoing, accessible, and constructive dialogue with PAPs and other interested individuals and organisations, so that – in accordance with International Best Practice – their views and concerns can be considered in project decisions.

Stakeholder engagement is an inclusive process that should be conducted throughout the project life cycle, where properly planned and guided information is relayed to specific stakeholders to help in smooth implementation of a given project. This helps to communicate the purpose and objective of a given project. If executed well, it helps to support the development of strong, constructive and responsive relationships that are important for successful management of a project's environmental and social risks. Stakeholder engagement is most effective when initiated at an early stage of the project development process, and is an integral part of early project decisions and the assessment, management and monitoring of the project's environmental and social risks and impacts.

The Project has conducted a series of community sensitisation meetings, Focus Group discussions (FGs) Key Informant Interviews (KIIs) with PAPs to ensure strong participation and a comprehensive understanding of the entitlement framework. Comprehensive participation of displaced PAPs will be achieved using a variety of methods including smaller meetings to enhance participation levels.

Consultations were carried out with PAPs during preparation of this RAP between 9 – 10 February Reconnaissance stage, and 4 October – 7 October 2022 RAP studies and detailed stakeholder engagements.

# Identified Stakeholders

Primary stakeholders for consultation and disclosure are directly affected stakeholders with the most to lose or gain from the Project. Secondary stakeholders are government agencies at the National, district, sub-county and local level. Tertiary stakeholders include non-government organisations.

# Information Disclosure

Disclosure entails making information accessible to interested and affected parties. Communicating information in an understandable manner to the relevant and interested stakeholders is an important factor in the stakeholder engagement process. Specific measures will be undertaken to ensure that Project commitments -- and specifically, the compensation entitlement framework and grievance mechanism information -- is accessible to all relevant parties, including those with disabilities preventing them from reading the documentation. The steps taken to ensure accessibility include:

- Oral communication in relevant local languages via community meetings and household-level meetings
- Development of a non-technical summary RAP version in both English and relevant local languages by the MWE during RAP Implementation phase
- Supporting vulnerable or illiterate PAPs that require additional assistance to ensure comprehension of agreements and the sign-off process.
- Some of the information that has been disclosed to stakeholders includes the following:
- Project Design/Foot print and pipeline routing
- The affected assets and interest in the affected assets were disclosed and signed off on by PAPs during the cadastral and asset surveys
- Entitlement Cut-off Dates were disclosed to PAPs during one-on-one discussions as well as at community meetings

Key stakeholder concerns were: whether the communities on the opposite side of the distribution pies will also be connected to service lines; the payments for service lines connection and options for household connections; hiring local labour during project construction phase; involvement of local leaders including PAPs, women and other vulnerable groups; continuing use of land; fear of not receiving any compensation and; delayed and unfair compensation.

- Consultation and Disclosure Phases
- Stakeholder engagement is an ongoing process. It involves two major phases:
- Phase I covered the RAP preparation. It focused on the following:
- Creating Project and RAP process awareness
- Stakeholder mobilization to participate in RAP activities including cadastral survey, asset survey, socio-economic surveys, and vulnerability assessments
- Management of grievances and concerns

Phase II shall cover the RAP implementation. It will focus on land and property compensation packages, grievance management, livelihood restoration program implementation, and clearing the acquired infrastructure sites after the expiry of the 6 months' notice to vacate period.

In order to mitigate gender-based violence, specific, deliberate approaches have been embedded in the Disclosure to PAPs and Compensation Agreement Sign-offs specifically requiring spousal consents and joint sign-offs and a grievance mechanism thoroughly addressing gender-related grievances.

Engagements in Phase II will be a continuation of the engagements conducted in Phase I. The activities will be tailored to specific stakeholders including PAPs, and local leaders

Planned Stakeholder Engagements During RAP Implementation

Stakeholder engagements will be continuous throughout RAP implementation phase. More than one topic, described in Table **0-1**, are to be addressed within the planned engagements.

The RAP Implementation Consultant will be responsible for the overall execution of stakeholder engagement activities, and MWE is responsible for ensuring these engagements are carried out.

The teams shall work with local government Technical Officials and elected leaders to ensure seamless implementation of planned stakeholder engagement activities.

| # | Торіс                                                      | Stakehold                                                            | Format                                                                                    | Lead                                             | Date/                                                        | Project                                         |
|---|------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------|--------------------------------------------------------------|-------------------------------------------------|
|   |                                                            | er Group                                                             |                                                                                           |                                                  | Frequency                                                    | Stage                                           |
| 1 | Project<br>Coordinat<br>ion<br>Meetings                    | MWE, WB                                                              | Project<br>Meetings                                                                       | MWE                                              | Bi Monthly                                                   | RAP<br>Preparation<br>and<br>Implementa<br>tion |
| 2 | RAP<br>Disclosur<br>e                                      | District<br>Local<br>Governm<br>ents,<br>Affected<br>Communi<br>ties | Debrief<br>Workshop<br>,<br>Communi<br>ty<br>Meetings                                     | RAP<br>Implementati<br>on<br>Consultant &<br>MWE | Monthly<br>and<br>Quarterly                                  | RAP<br>Implementa<br>tion                       |
| 3 | Follow-up<br>Surveys                                       | Affected<br>Communi<br>ties                                          | Field<br>Surveys                                                                          | RAP<br>Implementati<br>on<br>Consultant &<br>MWE | Monthly<br>and<br>Quarterly,<br>or as<br>needed              | RAP<br>Implementa<br>tion                       |
| 4 | Househol<br>d Sign-<br>off/<br>Valuation<br>Disclosur<br>e | PAPs                                                                 | Group<br>Disclosure<br>s at<br>Communi<br>ty<br>Meetings<br>Individual<br>Disclosure<br>s | RAP<br>Implementati<br>on<br>Consultant &<br>MWE | Regularly,<br>after CGV<br>approves<br>Valuation<br>Report   | RAP<br>Implementa<br>tion                       |
| 5 | Compens<br>ation<br>Payment                                | District<br>Local<br>Governm<br>ents, PAPs                           | Small<br>Group<br>PAP<br>Consultati<br>ons                                                | RAP<br>Implementati<br>on<br>Consultant &<br>MWE | Regularly,<br>after CGV<br>approves<br>Valuation<br>Report   | RAP<br>Implementa<br>tion                       |
| 6 | Livelihoo<br>d and<br>Vulnerabl<br>es<br>Programs          | PAPs                                                                 | Communi<br>ty<br>Meetings                                                                 | RAP<br>Implementati<br>on<br>Consultant &<br>MWE | Regularly,<br>after<br>completio<br>n of<br>compensat<br>ion | RAP<br>Implementa<br>tion                       |

Table 0-1:Schedule of Planned Stakeholder Engagements

| # | Topic | Stakehold<br>er Group | Format | Lead | Date/<br>Frequency | Project<br>Stage |
|---|-------|-----------------------|--------|------|--------------------|------------------|
|   |       |                       |        |      | payment            |                  |

#### **Baseline Data Collection and Analysis**

Socioeconomic surveys were conducted to define impacts and to provide a monitoring baseline following an initial desktop data review. Effective resettlement planning entails conducting a displaced persons' census and an inventory of affected land and assets at the household, enterprise, and community levels.

A total of 255 households were surveyed with the vast majority (87.84% of the survey respondents) being head of their households. The majority of the respondents were male at 75.69% and with female at 24.31%.

In Uganda, even though there are more female than male in terms of population, most of the land and property assets are owned by male. This could explain why there are more male respondents than female respondents. Whereas the male own land, women will mostly farm on the land. Women who owned land in the project area either had purchased it with their own money or were widows.

#### Water Sources

According to the RAP household surveys, protected springs are the only main water source for 66%, followed by the ponds/dams (26%), river (5%) and tap (2%)

# Cooking Fuel

97% Households in the project area use firewood as a source of energy for cooking. This is supplemented with charcoal (at 3%). The use of firewood is mainly due to its cost effectiveness and availability.

#### Forms of Sanitation

The overwhelming majority of survey participants (99%) have access to a pit latrine only, 1% has a flushing toilet.

The percentage of survey respondents with access to a flush toilet is aligned with the national rural averages according to the 2016 UDHS. Only 19% of Ugandan households use improved sanitation. Urban households are more likely than rural households to use improved sanitation (27% versus 16%). Eight in ten households use unimproved sanitation: 20% use a shared facility, 55% use an unimproved facility, and 7% have no facility.

Households without any form of sanitation and using communal pit latrines was mainly due to the heavy rains in the area destroying their form of sanitation such that they have to share or share with other members in the community.

Therefore, the Project will supplement sanitation efforts by constructing 7 public toilet facilities

#### **Project Perceptions**

The majority of households surveyed are very supportive of the Project at 95% whereas the remaining 5% of the households are somewhat in support of the Project.

The very high support of the project implies that water is very much needed in the project and surrounding areas and that there will be minimal disturbances during the construction phase of the water pipelines. However, more sensitization is needed to bring the 5% to support the project so that there is full support for the project

#### **Project Impacts Identification**

#### **Project Impact Minimisation Efforts**

This RAP has been prepared based on the MWE approved Feasibility and Detailed Engineering Design Report of October 2021. During the RAP surveys, efforts have made to avoid physical displacements as much as possible by avoiding impacting residential houses, public and institutional infrastructure buildings as much as possible.

In addition, the Project water pipes (transmission and distribution pipes) are routed along the existing roads reserves. The easement corridors for pipes have been proposed at 3 metres wide (1.5 metre on either side of the centreline). Furthermore, the sites for permanent land acquisition -- Water Treatment Plant, Booster Station, Reservoir Sites, Access Roads, and Sanitation Facility Sites -- are of minimal land take or located on land parcels with minimal impacts on economic and livelihood activities of affected persons. For example, the Water Treatment Plant, Reservoir Sites, Booster Pumping Stations and Sanitation Facilities sites measure approximately 2.5452, 0.2965, 0.2965 and 0.0247 acres respectively.

# Identifying Project Impacts

For the purposes of defining impacts, a distinction is drawn between households that are both physically and economically displaced and those that are only economically displaced, as follows:

Physical Displacement: Loss of shelter and assets resulting from land acquisition associated with a project that requires PAP to relocate.

Economic Displacement: Loss of income streams or livelihood means resulting from land acquisition or obstructed access to resources (land, water, or forest) resulting from the construction or operation of a project or its associated facilities. For example, economic displacement can result from loss of access to farmland and can occur without physical displacement occurring.

Another important distinction in defining impacts is between permanent land acquisition and permanent land restrictions, which are defined as follows:

Permanent land acquisition involves the project acquiring all land including land registration and title processing. This is the case for land required for the water intake, water treatment plant, borehole sites, and reservoirs.

Permanent land restriction involves limitations imposed on the land under easement corridors for water pipes which prohibits building any structures or cultivating perennial crops and trees within the corridor. However, any existing PAH retains land use/ownership rights and cultivation of seasonal crops within the easement corridor, or any other land uses. Land use restrictions decrease land use potential which decreases the land value. It is this diminution (reduction in value) that is compensated

Lastly, impacts have been disaggregated by land tenure status in accordance with Article 237 of the Constitution of the Republic of Uganda (1995) and land tenure systems found in the Project Area including:

Customary: Applicable to a specific area of land and characterized by local customary regulation which applies local customary regulation and management to individual and household ownership, use and occupation of, and transactions in, land. Providing for communal ownership and use of land in which land parcels may be recognized as subdivisions belonging to a person, a family, or a traditional institution. Land is considered as owned in perpetuity.

Licensees: Licensees are persons granted authority to use land for agricultural production. Traditionally, such production would be limited to seasonal crops. Licensees have no legal security of tenure or any propriety right to the land. For this Project, these include cases where a relative has been given rights to carry out agricultural production as well as to build a temporary structure but without necessarily having legal rights to the land. Licensees are not entitled to land compensation but they are entitled to compensation for crops, trees, and structures on land they would lose to the project and other resettlement assistance.

# The PAHs by land tenure type is presented in the Table 0-2 below.

| Land Tenure | No. of<br>PAPs | Total %<br>age |
|-------------|----------------|----------------|
| Customary   | 133            | 100.00%        |
| Total       | 133            | 100.00%        |

# Table 0-2: PAH by Land Tenure Type

#### Table 0-3: Project Impacts Based on Socio-economic and Asset Surveys

| Impacts                                                                                                  | Total       |
|----------------------------------------------------------------------------------------------------------|-------------|
| Total Land Affected (Permanent Acquisition & Restriction)                                                | 3.7553Acres |
| Permanent Land Affected (Water Source Site, Reservoir Site, Access Roads, and Sanitation Facility Sites) | 0.7853Acres |
| Permanent Land Restriction (Easement for Transmission and                                                | 2.9700Acres |

| Impacts<br>Distribution Pipes)                                                                                                                                                 | Total       |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| Total Number of Customary Landowners Affected                                                                                                                                  | 133         |
| Permanent Land Affected (Water Source Site, Reservoir Site, Access<br>Roads, and Sanitation Facility Sites) of Customary<br>Landowners Affected                                | 0.7853Acres |
| Permanent Land Restriction (Easement for Transmission and Distribution Pipes) of Customary Landowners Affected                                                                 | 2.9700Acres |
| Total Number of Licensees (households) Affected                                                                                                                                | 0           |
| Physically Displaced Households (PAHs)                                                                                                                                         | 1           |
| Physically Displaced Persons (PAPs)                                                                                                                                            | 0           |
| Number of Affected Residential House Structures                                                                                                                                | 2           |
| Number of Affected Auxiliary Structures (i.e., Kitchen and bath enclosure)                                                                                                     | 1           |
| Number of Affected Agricultural Structures (i.e. Kraal, Pig-sty and nursery bed)                                                                                               | 0           |
| Number of other Affected Fixtures (i.e. Plate rack, benches, retaining<br>walls, compound yard, walkways, permanent staircase, fences<br>,permanent perimeter walls and gates) | 2           |
| Number of Affected Graves                                                                                                                                                      | 0           |
| Economically Displaced Households (PAHs)                                                                                                                                       | 0           |
| Economically Displaced Persons (PAPs)                                                                                                                                          | 0           |
| Number of Affected Crops and Trees                                                                                                                                             | 379         |
| Number of Affected Commercial Structures                                                                                                                                       | 0           |
| Number of Affected Public Institutional Properties                                                                                                                             | 11          |

Source: Valuation Report

# **Compensation Framework**

Under the applicable standards, the Project Proponent is required to compensate and/or assist physically or economically displaced PAPs.

Affected persons includes:

- Those who have formal legal land or asset rights.
- Those who do not have formal legal land or asset rights, but have a claim to land or assets that is recognized or recognizable under national law.
- Those who have no recognizable legal right or claim to the land or assets they occupy or use.

Compensation for assets should be at full replacement value which includes:

- Agricultural Land: The market value of land of equal productive use or potential -- which must be located in the vicinity of the affected land -- plus the cost of preparation to levels similar to or better than those of the affected land plus the cost of any registration and transfer taxes.
- Residential and Urban Land: The market value of land of equal size and use, with similar or improved public infrastructure facilities and services -- preferably located in the vicinity of the affected land -- plus the cost of any registration and transfer taxes.
- Perennial Crops and Trees: Equivalent to current market prices given the type, age, and productive value of the plants and/or trees, including lost future productivity.
- Household and Public Structures: The cost of purchasing or building a new structure with an area and quality similar to or better than those of the affected structure, or the cost of repairing a partially affected structure, including labour and contractor fees and any registration and transfer taxes.

In determining replacement costs, neither asset depreciation nor the value of salvage materials are taken

#### Compensation Eligibility

PAHs are eligible for compensation and other assistance if they have a "legitimate interest" in Project Area "immoveable assets" that are in place (i.e. established, in the case of crops; or constructed, in the case of buildings and other structures) at the time of the Entitlement Cut-off Date.

"Legitimate interest" in household-level immoveable assets is usually held by a single member: The Hohm. Through traditional and family practice, the Hohm is typically the most senior male household member. In some instances, the legitimate interest may be held jointly, i.e. by the household head and his/her spouse, or with other extended family members. In accordance with the applicable standards, the compensation framework includes gender-specific components to ensure that documentation of ownership or occupancy and compensation payments will be issued in the names of both spouses and single heads of households as relevant.

Note that "legitimate interest" is not synonymous with ownership. Even those Project-affected persons/households/communities with no recognisable legal right or claim to assets they are occupying should be considered eligible for resettlement assistance, in accordance with the applicable.

Immoveable assets comprise:

## Land

Perennial crops and trees fully or partly established at the Entitlement Cut-off Date.

Buildings and Other Structures including residential houses, stores, kitchen blocks, latrines, wells, commercial structures and other structures such as animal pens and graves. These must have been fully or partly constructed.

Immoveable Assets that are planted (in the case of crops and trees) or constructed (in the case of buildings) after the Entitlement Cut-off Date are not included in compensation calculations. Therefore, eligibility derives from association with the land, based on the results of the asset and socio-economic surveys. Categories of eligible persons will include --but not limited to -- the following:

Households whose temporary and semi-permanent structures are affected by the Project Permanent Land Restrictions (Easement for Distribution Pipes)

Households that will be economically displaced, as they have assets or crops/trees to be affected by the Project, so will lose access to their means of production (including rights to unrestricted use of agricultural land or other natural resources);

Public institutions such as educational institutions, health institutions and administrative centres affected by the Project Permanent Land acquisition (especially sanitation facility sites) and Permanent Land Restrictions (Easement for Transmission and Distribution Pipes) that will lose auxiliary structures (such as gate house), fixtures (such as fences, perimeter walls and gates) and crops

Households experiencing loss of, or restrictions of access to some or all of their common resources (for example fuel wood)

## Entitlement Cut-off Date

The date of cadastral and asset surveys is the entitlement cut-off date. PAPs were informed of entitlement cut-off dates during the stakeholder consultations as well as during the PAH surveys. Each PAH was provided with a copy of the Asset Survey Form that was dated and signed off by the Valuer, PAP, and the Local Council Chairperson. Cadastral and asset surveys were carried out from 3 October – 7 October 2022.

#### **Entitlement Matrix and Payment Options**

## All entitlements associated with the defined eligibility are presented in the Entitlement Matrix below.

|                               |                               | Entitlements |            |                                                       |   |
|-------------------------------|-------------------------------|--------------|------------|-------------------------------------------------------|---|
| Affected<br>Asset or<br>Right | Eligibility<br>Considerations | Compensation | Allowances | Livelihood<br>Restoration<br>Vulnerable<br>Assistance | + |

## Table 0-4: Detailed Entitlement Matrix

|                                                     |                                                                                             | Entitlements                                                                            |                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                           |
|-----------------------------------------------------|---------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Affected<br>Asset or<br>Right                       | Eligibility<br>Considerations                                                               | Compensation                                                                            | Allowances                                                                                           | Livelihood<br>Restoration +<br>Vulnerable<br>Assistance                                                                                                                                                                                                                                                                                                                                                   |
| Loss of<br>Fruit Trees<br>and<br>Perennial<br>Crops | Crops in place at<br>Entitlement Cut-off<br>Date and identified<br>during asset<br>surveys. | Cash<br>compensation<br>at district rates<br>based on size<br>(height and<br>maturity)  | 15%<br>disturbance<br>allowance<br>based on cash<br>compensation<br>value.<br>Salvaging<br>permitted | Access to financial<br>management<br>training                                                                                                                                                                                                                                                                                                                                                             |
| Loss of<br>Non-<br>economic<br>Trees and<br>Bushes  | Non-economic<br>trees and bushes in<br>place at Entitlement<br>Cut-off Date<br>declaration. | Cash<br>compensation<br>at district rates<br>based on size<br>(height and<br>maturity). | 15%<br>disturbance<br>allowance<br>based on cash<br>compensation<br>value.<br>Salvaging              | Access to financial<br>management<br>training                                                                                                                                                                                                                                                                                                                                                             |
| Loss of<br>Seasonal<br>or Annual<br>Crops           | Crops in place at<br>Entitlement Cut-off<br>Date declaration                                | Not eligible for<br>cash<br>compensation.                                               | permitted<br>Harvesting<br>permitted                                                                 | Timing of Project<br>aligned with<br>harvesting<br>seasons to ensure<br>no loss of annual<br>crops. However, if<br>Project schedule<br>impinges on PAPs<br>ability to harvest,<br>cash<br>compensation at<br>district rates<br>based on size<br>(height and<br>maturity) + 15%<br>disturbance<br>allowance based<br>on cash<br>compensation<br>value.<br>The seasonal<br>assets will be<br>assessed and a |

Page | 158

|                                                                                                                                                         |                                                           | Entitlements                                                                                                                                                                                                                                                                                       |                                                                            |                                                                                                  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|
| Affected<br>Asset or<br>Right                                                                                                                           | Eligibility<br>Considerations                             | Compensation                                                                                                                                                                                                                                                                                       | Allowances                                                                 | Livelihood<br>Restoration +<br>Vulnerable<br>Assistance                                          |
|                                                                                                                                                         |                                                           |                                                                                                                                                                                                                                                                                                    |                                                                            | valuation report<br>prepared and<br>approved<br>accordingly                                      |
| Permanen<br>t Loss of<br>Land<br>(Water<br>Treatment<br>Plant,<br>Reservoir<br>Sites,<br>Booster<br>Station,<br>and<br>Sanitation<br>Facility<br>Sites) | Customary<br>Landowners at<br>Entitlement Cut-off<br>Date | Non-<br>vulnerable<br>households:<br>Cash<br>compensation<br>at 100% of full<br>replacement<br>value.<br>Vulnerable<br>households: In<br>kind<br>compensation<br>with a standard<br>plot size. Land<br>Title Certificate<br>or Certificate of<br>Customary<br>Ownership to<br>HoH and<br>spouse(s) | 15%<br>disturbance<br>allowance<br>based on cash<br>compensation<br>value. | Access to financial<br>management<br>training<br>Vulnerable<br>Persons<br>Assistance<br>Programs |
| Permanen<br>t Land Use<br>Restriction<br>s<br>(Easement<br>)                                                                                            | Customary<br>Landowners at<br>Entitlement Cut-off<br>Date | Non-<br>vulnerable<br>households:<br>Cash<br>compensation<br>at 100% land<br>interest and 80<br>-100%<br>diminution of<br>full<br>replacement<br>value<br>Vulnerable<br>households: In<br>kind                                                                                                     | 15%<br>disturbance<br>allowance<br>based on cash<br>compensation<br>value. | Access to financial<br>management<br>training<br>Vulnerable<br>Persons<br>Assistance<br>Programs |

|                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Entitlements                                                                                                                                                                 |                                                                                          |                                                                                                                                                                                      |
|-------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Affected<br>Asset or<br>Right | Eligibility<br>Considerations                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Compensation                                                                                                                                                                 | Allowances                                                                               | Livelihood<br>Restoration +<br>Vulnerable<br>Assistance                                                                                                                              |
|                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | compensation<br>with a standard<br>plot size. Land<br>Title Certificate<br>or Certificate of<br>Customary<br>Ownership to<br>HoH and<br>spouse(s)                            |                                                                                          |                                                                                                                                                                                      |
| Loss of<br>Dwellings          | Primary residential<br>structure owners<br>(i.e. residential and<br>sleeping structures)<br>occupied by<br>Physically Displaced<br>Households present<br>at Entitlement Cut-<br>off Date<br>declaration, and as<br>quantitatively<br>defined by the asset<br>survey.<br>Wives in<br>polygamous<br>households residing<br>in separate<br>structures at<br>Entitlement Cut-off<br>Date declaration<br>and are considered<br>distinct households<br>with entitlement to<br>compensation for<br>loss of dwelling. | Cash<br>compensation<br>at full<br>Replacement<br>Cost (based on<br>size,<br>construction<br>materials,<br>higher-end<br>finishes with<br>no<br>depreciation<br>considered). | 15%<br>disturbance<br>allowance on<br>cash<br>compensation<br>Salvaging<br>permitted.    | PAPs capacity<br>building program<br>of construction<br>training<br>Access to<br>livelihood<br>restoration<br>programs<br>including access<br>to financial<br>management<br>training |
|                               | Primary residential<br>structure tenants<br>(i.e. residential and<br>sleeping structures)<br>occupied by<br>Physically Displaced                                                                                                                                                                                                                                                                                                                                                                              | Not eligible for<br>cash or in-kind<br>compensation.<br>Provided 6-<br>month notice                                                                                          | Accommodati<br>on allowance<br>based on 50%<br>rental income<br>of the space<br>they are |                                                                                                                                                                                      |

|                                        |                                                                                                                                                                                 | Entitlements                                                                                                                                                                                           |                                                                                                                                                                              |                                                         |
|----------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|
| Affected<br>Asset or<br>Right          | Eligibility<br>Considerations                                                                                                                                                   | Compensation                                                                                                                                                                                           | Allowances                                                                                                                                                                   | Livelihood<br>Restoration +<br>Vulnerable<br>Assistance |
|                                        | Households                                                                                                                                                                      | to secure<br>alternative<br>rental housing.                                                                                                                                                            | renting for 6<br>months.<br>Salvaging<br>permitted                                                                                                                           |                                                         |
| Loss of<br>Commerci<br>al<br>Buildings | Commercial<br>structures owners<br>present at<br>Entitlement Cut-off<br>Date declaration,<br>and as<br>quantitatively<br>defined by the asset<br>survey.                        | Cash<br>compensation<br>at full<br>replacement<br>cost (based on<br>size, level of<br>completeness,<br>construction<br>materials,<br>higher-end<br>finishes with<br>no<br>depreciation<br>considered). | 15%<br>disturbance<br>allowance on<br>cash<br>compensation<br>Transitional<br>assistance<br>equal to 50%<br>of the<br>monthly<br>income from<br>the business<br>for 6 months | Access to financial<br>management<br>training           |
|                                        | Tenants in<br>Commercial<br>structures                                                                                                                                          | Not eligible for<br>cash or in-kind<br>compensation.<br>Provided 6-<br>month notice<br>to secure<br>alternative<br>rental housing                                                                      | Transitional<br>assistance<br>equal to 50%<br>of the<br>monthly<br>income from<br>the business<br>for 6 months.                                                              | Access to financial<br>management<br>training           |
| Loss of<br>Rental<br>Income            | Residential or<br>commercial<br>structure landlords<br>identified at<br>Entitlement Cut-off<br>Date declaration,<br>and as<br>quantitatively<br>defined by the asset<br>survey. | Not eligible for<br>cash or in-kind<br>compensation.                                                                                                                                                   | Transitional<br>assistance<br>equal to 50%<br>of the<br>monthly<br>income from<br>affected<br>property for 6<br>months                                                       | Access to financial<br>management<br>training           |
| Loss of                                | Other structures                                                                                                                                                                | Cash                                                                                                                                                                                                   | 15%                                                                                                                                                                          | Access to financial                                     |

|                                                     |                                                                                                                              | Entitlements                                                                                                                                                                                                                 |                                                                                                                                                                      |                                                         |
|-----------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|
| Affected<br>Asset or<br>Right                       | Eligibility<br>Considerations                                                                                                | Compensation                                                                                                                                                                                                                 | Allowances                                                                                                                                                           | Livelihood<br>Restoration +<br>Vulnerable<br>Assistance |
| Other<br>Structures                                 | (perimeter walls,<br>fences, etc.) or<br>incomplete<br>structures present<br>at Entitlement Cut-<br>off Date<br>declaration. | compensation<br>at full<br>replacement<br>cost (based on<br>size, level of<br>completeness,<br>construction<br>materials, and<br>finishes with<br>no<br>depreciation<br>considered).                                         | disturbance<br>allowance on<br>cash<br>compensation<br>Salvaging<br>permitted                                                                                        | management<br>training                                  |
| Loss of<br>Public<br>Institution<br>s<br>structures | Public institutions<br>structures present<br>at Entitlement Cut-<br>off Date<br>declaration.                                 | Cash<br>compensation<br>at full<br>replacement<br>cost (based on<br>size, level of<br>completeness,<br>construction<br>materials,<br>higher-end<br>finishes with<br>no<br>depreciation<br>considered)                        | 15%<br>disturbance<br>allowance on<br>cash<br>compensation<br>Salvaging<br>permitted                                                                                 |                                                         |
| Loss of<br>Graves                                   | Graves identified<br>before Entitlement<br>Cut-off Date<br>declaration.                                                      | Cash<br>compensation<br>for grave<br>disturbance at<br>district rates.<br>Exhuming and<br>relocation by<br>the Project by<br>a qualified<br>contractor in<br>accordance<br>with GoU legal<br>requirements.<br>All costs (UGX | 15%<br>Disturbance<br>allowance on<br>all cash<br>compensation<br>value.<br>Funds for<br>spiritual<br>appeasement<br>and rituals<br>(UGX<br>1,200,000 per<br>grave). |                                                         |

|                               |                                                                  | Entitlements                                                                 |                                                                                          |                                                                                                                                            |
|-------------------------------|------------------------------------------------------------------|------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|
| Affected<br>Asset or<br>Right | Eligibility<br>Considerations                                    | Compensation                                                                 | Allowances                                                                               | Livelihood<br>Restoration +<br>Vulnerable<br>Assistance                                                                                    |
|                               |                                                                  | 1,565,000 per<br>grave) covered<br>by the Project.                           |                                                                                          |                                                                                                                                            |
| Other<br>Allowance<br>s       | All affected<br>households and<br>entities                       |                                                                              | Harvesting<br>permitted<br>Salvaging<br>permitted<br>Support<br>opening bank<br>accounts | Access to financial<br>management<br>training                                                                                              |
| Vulnerabl<br>e Persons        | Identified Existing &<br>Potentially<br>Vulnerable<br>Households | Eligible for in<br>kind<br>compensation<br>for loss of land<br>or dwellings. | Prioritisation<br>for<br>compensation<br>and moving<br>assistance.                       | Support: All<br>vulnerables will be<br>eligible for<br>vulnerable<br>support program<br>(legal,<br>psychological, and<br>mobility support) |

## Livelihood Restoration Plan

The Project LRP aims to restore and improve PAPs affected livelihoods. Livelihood restoration encapsulates specific measures necessary to mitigate any harmful or negative Project impacts on PAPs economic assets or activities.

The LRP objectives are to:

- Improve the quality of life of affected families by building their capacity in managing, cash compensation
- Meet the compensation commitments and support the effective management of compensation commitments as negotiated with affected households, such that they receive compensation and other assistance in a manner enabling them to create new income sources
- Ensure that displaced households can equally access and benefit from other community, district, and regional development programs and initiatives such as government programs and community development activities.

The LRP program is a Financial Management Support Program (FMSP) package for all PAPs

## Vulnerable Persons

Vulnerables refers to those who may be more likely to be adversely affected by the project impacts and/or more limited than others in their ability to take advantage of a project's benefits.

In preparing this RAP, vulnerable PAPs have been identified and consulted. Assistance measures have been developed to prevent disproportionate impacts among such groups.

The completed socio-economic survey and vulnerability assessments indicate that the categories of Project-affected vulnerable persons shown in **Error! Reference source not found.** 

## The identified vulnerabilities are described in detail as below:

Female-headed households with limited resources. These households may be impoverished as the labour required for certain farming activities normally performed by men may limit the household's productive capacity. However, sometimes female-headed households are less vulnerable because women often manage the household more effectively than men. Female-headed households may not necessarily be 'vulnerable', particularly if they have access to land and other resources and have been able to sustain adequate living standards over a period of time. However, given the WB's description of vulnerable people as those who "may be limited in ability to claim or take advantage of resettlement assistance", it is appropriate to focus special attention on female households to ensure they are not disadvantaged in the compensation process.

Elderly with limited support. Such households are overstretched in terms of feeding and healthcare. The Project shall prioritize these household members through disclosing to them from their households or providing transport means for them to come at disclosure centres

Physically Disabled. Such households need mobility support and assistance during the compensation. Best if the Project discloses compensation packages to them from their homesteads.

#### Vulnerability Support Programs

Identified vulnerable households and individuals will be monitored and provided with the following assistance:

- Assistance with understanding of agreements and signing and additional time and independent support to ensure their agreement is properly informed
- Assistance with collection of compensation and priority access to mitigation and development
- Legal assistance (if required) for establishing powers of attorney and guardianship orders
- Transport assistance to designated Project meeting venues
- Increased number of monitoring visits

#### Cultural Heritage Protection

The Cadastral and Asset surveys indicate that the Project will not impact any graves, however, the activities of the Kabamba Water Supply and Sanitation Project have the potential to trigger OP 4.11 Physical Cultural Resources. During excavation works for Project infrastructure, there might be chance finds.

## **Chance Finds**

The Project has developed a Chance Finds Procedure for when previously unknown cultural heritage is encountered during Project activities. This procedure will be included in all construction-related contracts for this Project.

All MWE and contractor personnel involved in Project construction shall be responsible for following the Chance Finds Procedure.

## Household Sign-offs and Moves

Where resettlement is confirmed and unavoidable, projects need to develop strategies for household sign-off and moves.

There are two key household sign-off phases:

1) Phase 1: Household Verification – This process involves households verifying that assets have been properly surveyed and the records fully reflect their interest in the asset.

2) Phase 2: Sign-off – Where households confirm the compensation as applied to their household are acceptable and they agree to allow the Project to proceed and take over ownership of the land for Project components that require permanent land acquisition.

#### **Group Disclosure**

Together with the RAP Implementation Consultant, MWE is responsible for overall RAP implementation. Once the RAP and the Valuation Report are approved, MWE shall undertake group disclosures with affected Project Area communities and their leaders. These shall take place in the districts and sub-counties and all PAPs shall be invited to attend. Information on key RAP findings and impact mitigation measures for minimizing displacement will be shared at the meetings. Importantly, the group disclosure meetings will be held at a time that takes into consideration local context, ensuring that women and youth are able to attend.

PAPs will be informed of compensation procedures, modes of compensation, eligibility criteria, livelihood programs, vulnerable support programs, and the process for signing compensation agreements.

The schedules for individual PAP verification and compensation package disclosure shall also be communicated to stakeholders.

#### **PAH Verification**

Each household asset survey included sign off by the relevant LC1, BTS, and the Project affected head of household. A copy of the captured assets was handed to head of household to support a smooth verification process. This provided the PAH an opportunity to verify that all their assets have been recorded properly and that they agree to use the recorded assets as the basis for their RAP entitlements. As part of the verification process, PAHs will be presented with:

• Demographic information including name, ID number, recorded affected assets, contact information and photos.

- Table for each main asset type (land, crops, structures) outlining survey date, survey code, and asset interest.
- Record of grievances lodged by the PAH to help the Project assess any outstanding issues.
- Photos of assets taken during the surveys.

Agreement with relevant signatures (LC1 chairperson, Area Land Committee Chairperson, MWE Officer, and the RAP Implementation Consultant, PAPs) that the household accepts the information on the form. The statement should include agreement to abide by any relevant land use restrictions (e.g. plant height restrictions under the wayleaves).

Household verification will be undertaken by the head of household and spouse(s) to ensure they both agree to the survey findings and to protect the interests of the spouse(s). MWE (together with the RAP Implementation Consultant) to obtain PAP bank details or support PAHs in setting up accounts. A spousal consent and joint account shall be required where applicable

## Sign-off Process

Upon completion of the verification exercise, the RAP Implementation Consultant and MWE, shall disclose the individual compensation packages in one-on-one meeting with PAHs timed to not impact livelihoods as well as cultural or religious functions or duties. For the sign off process, the process will be presented in the form of a household dossier.

PAPs who agree with the entitlements shall sign off on the compensation agreements. For couples, a spousal consent and joint account shall be required. The agreements shall be witnessed by an LC1 chairperson, Area Land Committee Chairperson, MWE Project Officer, and the RAP Implementation Consultant.

PAHs who disagree with the compensation package shall notify the RAP Implementation Disclosing Officer and register their concerns in the area designated for grievances on the disclosure document. PAHs are also free to provide additional information and register their grievance in accordance with the RAP's grievance mechanism.

## Grievance Mechanism

The project is required to propose and implement a grievance mechanism to receive concerns and grievances and facilitate their resolution.

The grievance mechanism's goal is to deploy a reliable and effective method for project stakeholders to voice and address land acquisition and resettlement-related concerns.

## Grievance Management Committees (GMCs)

Prior to RAP implementation, GMCs shall be established and trained by the RAP Implementation Consultant in grievance handling with clear responsibilities including the following:

• Facilitating access to information and attending to complaints that may be resolved by providing information

- Providing a free and accessible method to PAPs to report their grievances and complaints as the established GMCs. In addition, any aggrieved stakeholder will be free to submit their grievance through their LC1 chairpersons.
- Maintaining records of all grievances brought before the committee by PAPs
- Establish a forum and a structure to report grievances with dignity
- Providing a forum for resolving grievances and disputes at the lowest level
- Providing access to a fair hearing and remedy
- Verifying facts presented at grievance hearings using their community knowledge and experience and providing MWE with meeting minutes from each hearing
- Providing access to negotiate and influence project decisions that may adversely affect them
- Resolving disputes quickly before they escalate to unmanageable levels
- Referring any unresolved grievances to higher levels for action and further follow up
- Liaising with local leaders to ensure health, safety and security of the communities, workers and construction materials during the project implementation

The GMCs shall be established at three different levels as below:

- Village Level
- Subcounty Council GMC
- District GMC
- Grievance Mechanism Publicizing

The grievance mechanism shall be widely publicised within the Project Area through sensitization and community meetings.

The grievance mechanism shall be publicised as part of consultation and disclosure activities. It will be communicated verbally at community and public meetings and will also be included in all communication materials such as sub-county noticeboards. Specific reference to the grievance mechanism shall be included in all compensation and sign-off agreements.

The grievance-handling steps are outlined below. Once received, all grievances will be responded to within a maximum of 30 days.

| # | Step                                        | Responsibility              |
|---|---------------------------------------------|-----------------------------|
| 1 | Receive Grievances and Provide PAPS with a  | MWE, RAP Implementation     |
|   | Grievance Acknowledgement Form              | Consultant, and GMCs        |
| 2 | Grievance Registration and Acknowledgement  | MWE, RAP Implementation     |
|   |                                             | Consultant, and GMCs        |
| 3 | Grievance Sorting and Logging in database   | MWE, and RAP Implementation |
|   | and tracking system                         | Consultant                  |
| 4 | Grievance Assignment                        | MWE                         |
| 5 | Grievance Processing and Feedback (30 days) | MWE, RAP Implementation     |
|   |                                             | Consultant, and GMCs        |
| 6 | Corrective Actions, Grievance Follow Up and | MWE                         |
|   | Closure                                     |                             |

Table 0-5: Grievance Handling Steps

A grievance shall be submitted either verbally or in writing at the complaints and grievance desk which will be the secretariat for grievances management. The desk shall be at the Sub county, town

council, and MWE. This desk will be assigned with the responsibility of receiving, registering, and screening, assessing and following up complaints and grievances to their conclusion. The desk will be hosted by the following officers who shall serve as Grievance Officer (GO) at different levels.

| Tuble 0 |                           |                               |  |
|---------|---------------------------|-------------------------------|--|
| No.     | Grievance Committee Level | Responsibility/ Host office   |  |
| 1       | Sub County/ Town Council  | CDO Subcounty or Town Council |  |
| 2       | District                  | CDO District level            |  |
| 3       | MWE                       | Principal Sociologist         |  |

#### Table 0-6: Grievance Officers at Different Levels

Grievances may, in addition, be submitted through any of the following channels:

| $\square$ | Letter to: The Permanent Secretary<br>Ministry of Water and Environment<br>Plot 3-7 Kabalega Crescent<br>P.O. Box 20026, Kampala |
|-----------|----------------------------------------------------------------------------------------------------------------------------------|
| R         | Email: <u>mwe@mwe.go.ug</u>                                                                                                      |
| 0         | Telephone: + 256 800 200 977                                                                                                     |
| 大         | Walk in to: MWE Offices<br>GMC Offices at Subcounty HQs or District HQs                                                          |
| У         | Social Media: @min_waterUg                                                                                                       |
|           | Through stakeholder consultation and engagement meetings                                                                         |

Complainants identified as recognised vulnerable persons, per the Vulnerables Program, will be provided with adapted grievance procedures to ensure their interests are protected. These grievances will be handled with utmost importance and special considerations (document support and legal advice) will be upheld.

#### **Grievance Database Management and Tracking**

All received grievances shall be registered and logged into the grievance register for further management and tracking. An acknowledgement receipt shall be issued to the complainant. MWE shall keep written records of all complaints for effective grievance management.

All decisions reached at the different resolution levels shall be communicated to the complainant and other stakeholders by the Chairperson of the respective GMC. Evidence of communication of decisions to complainants shall be acknowledged by way of signing a dispatch form or acknowledgement of a file copy.

Agreed corrective action will be undertaken by the responsible agency/ part for example Kagadi District Local Government, MWE, contractor or authorized sub-contractors in close consultation with the complainant within the agreed timeframe and completed action recorded in the grievance database. To verify satisfaction, the Grievance Committee will upon receipt of a completion report from the GO verify that corrective actions have been implemented. A signature of the complainant will be obtained on the consent form. If the complainant is not satisfied with the outcome of corrective action, additional steps may be undertaken to reach agreement or an appeal will be lodged by the complainant.

As part of the broader community engagement process, MWE shall also report back periodically to communities and other stakeholder groups as to how the company has been responding to the grievances it has received (i.e. time to respond, percentage of closed/resolved cases, number of complaints monthly).

## Monitoring, Evaluation, and Reporting Framework

## Monitoring Framework

Monitoring is an internal management function that measures RAP implementation progress and performance including key procedure progress such as compensation and resettlement. Specific consideration will be given to:

- Monitoring the use of RAP inputs and outputs according to established cost and time schedules.
- Any emerging social or economic difficulties encountered by PAPs during the compensation process
- Compensation program compliance and completeness
- Monitoring community consultation and grievance participation

#### Performance Monitoring

Performance monitoring is also an internal management function allowing MWE and the RAP Implementation Consultant to measure the results of the delivered inputs.

RAP performance monitoring will be integrated into the overall project management to ensure RAP activities are synchronized with all project implementation activities. Performance Monitoring Reports shall be prepared every month throughout the RAP implementation schedule.

#### Internal Monitoring Process

The Internal Monitoring Process includes establishing M&E systems and databases, ongoing monitoring, monthly reporting, and vulnerability assessments. Internal evaluation shall be based on the following criteria:

Project Effectiveness: Have the planned purpose, objectives, and results been achieved? Was the intervention logic correct? Were the resources applied appropriately in relation to the expected outcome? Were the means commensurate with the goal(s)?

Project Efficiency: Were resources (human, financial, material, time) used satisfactorily to achieve outcomes? What could be done differently to maximize impacts within acceptable and sustainable resource structures?

Project Impacts: To what extent has the program contributed toward its longer-term goals? Why or why not? What unanticipated positive and negative consequences did it have? To what extent has the Project achieved the central resettlement objective that affected communities and households have opportunities to improve their pre-Project livelihoods and living standard levels? Why or why not?

Results Sustainability: Are positive impacts resulting from the program continuing? Will they continue once the program has been completed? Why or why not?

The monthly internal monitoring process will entail the following:

- To-date accomplishments
- Objectives attained and not attained during specific periods
- Problems and challenges encountered
- Suggestions for corrective actions

MWE has the overall responsibility for conducting regular internal project implementation monitoring with tasks including the following:

- Tracking RAP implementation progress
- Indicator measurements at appropriate intervals

Implementation of a system to regularly respond to monitoring findings by adapting existing measures or modifying implementation processes.

This monitoring process will be used to analyse progress and change at regular intervals and shall be linked to the various RAP implementation activities.

#### **Evaluation Framework**

Evaluation considers resettlement program outcomes through an impact assessment of affected household income, living standards, and environmental issues. RAP implementation focus is on household baseline data compilation to enable comparison during evaluation missions.

Impact monitoring gauges RAP implementation and its effectiveness in meeting the affected population's needs. Impact monitoring for this project will be conducted by the MWE and RAP implementation consultant Team. It will provide MWE and the funders with an assessment of resettlement effects, verification of internal performance monitoring, and identification of any necessary RAP implementation adjustments. PAPs should be included in all impact monitoring phases.

Project-related land acquisition will be tracked against the population's pre-land acquisition baseline conditions. This baseline has already been established through cadastral surveys, assets surveys, land use assessments, and socio-economic surveys of the affected population and the Project-affected area.

This RAP has established objectively verifiable indicators for measuring resettlement impacts on the health and welfare of the affected population and the effectiveness of impact mitigation measures including livelihood restoration and community development initiatives.

## Implementation

This RAP has established objective, verifiable indicators for measuring resettlement impacts on the health and welfare of the affected population and the effectiveness of impact mitigation measures including livelihood restoration and community development initiatives.

## **Organisational Framework**

The specific roles and responsibilities of MWE and RAP Implementation consultant are shown in the table below.

# Table 0-7: RAP Implementation Roles and Responsibilities of MWE and RAP Implementation Consultant

| Organization   | Polos and Posponsibilitios                                             |  |  |
|----------------|------------------------------------------------------------------------|--|--|
| Organization   | Roles and Responsibilities                                             |  |  |
| MWE            | Lead RAP Implementation agency                                         |  |  |
|                | Reviewing and approving the RAP and all other reports                  |  |  |
|                | Overall planning, co-ordination, and management of RAP                 |  |  |
|                | implementation activities                                              |  |  |
|                | Liaising and coordinating with all RAP participants and contributors   |  |  |
|                | RAP activity budgeting                                                 |  |  |
|                | Compensation Payment, including resettlement assistance                |  |  |
|                | Internal monitoring and evaluation                                     |  |  |
| RAP            | Stakeholder Engagement                                                 |  |  |
| Implementation | PAP Verification                                                       |  |  |
| Consultant     | PAP disclosure and Compensation Agreement sign-offs                    |  |  |
|                | Grievance Management including preparation of supplementary            |  |  |
|                | valuation reports                                                      |  |  |
|                | Management of Livelihood Restoration Programs, Community               |  |  |
|                | Development Programs, and Vulnerability Assistance Programs            |  |  |
|                | including:                                                             |  |  |
|                | Implementation of Financial Management Support programs                |  |  |
|                |                                                                        |  |  |
|                | Implementation of Construction Training                                |  |  |
|                | Implementation of LC1 Capacity-building Training                       |  |  |
|                | Provision of legal services to PAPs where necessary in the course of   |  |  |
|                | compensation payment                                                   |  |  |
|                | Internal monitoring and evaluation                                     |  |  |
|                | Survey and Titling of acquired land for the water source and reservoir |  |  |
|                | sites.                                                                 |  |  |

## **Other RAP Implementation Parties**

Other government departments and agencies play different but complementary roles in land acquisition, compensation, resettlement, and livelihood restoration. Each government department and agency bear institutional responsibilities and mandates as indicated below:

- Valuation: Office of the Chief Government Valuer
- Compensation Payment: MWE
- Livelihood Restoration: MWE, District and Kakumiro District Local Governments
- Grievance Mechanism: LCs, Local Governments, and Courts of Law.
- Land Titling: Department of Surveys and Mapping, Department of Land Registration, and District Land Boards

The overall RAP implementation organizational structure is shown in the figure below.

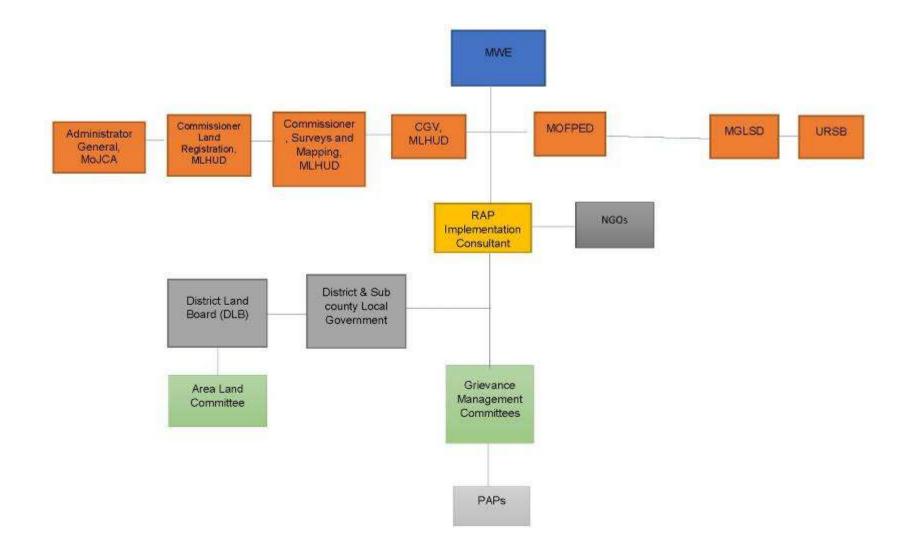


Figure 0.1: RAP Implementation Organizational Structure

## **RAP Implementation Schedule, and Budget**

MWE has committed that this RAP shall be implemented within a 12 months' period from July 2022 - June 2023. Project construction activities are expected to commence by the end of October 2022.

The overall RAP Budget is estimated at **UGX 617,138,278.** 

## Change Management

This RAP is a living document that will be periodically updated as the Project progresses. This RAP should be regarded as a key management tool and Project document to serve as the basis for any future sub project RAPs.

The construction contractor may require land for lay down areas, and camps. In addition, unintended damage to land, crops, and structures may occur. MWE shall ensure that this land any impacted assets are compensated for in accordance with the provisions of this RAP.