

TERMS OF REFERENCE FOR THE 9th GOU/DEVELOPMENT PARTNERS JOINT REVIEW OF THE WATER AND ENVIRONMENT SECTOR 26th to 28th of September 2017 at Speke Resort Munyoyo, Kampala

Theme “Exploring financing opportunities for water and environment sector investment”

1. Background

The GOU together with Sector Development Partners and other stakeholders agreed to conduct annual Joint Sector Reviews as one of the consultative arrangements for implementation of a Sector Wide Approach to Planning (SWAP) in the Water and Environment sector. The ToR at hand describes the 9th Joint Sector Review for the Water and Environment and will be held from 26th to 28th of September 2017 at Speke Resort Munyoyo, Kampala.

The Government of Uganda (GoU) supported by its Development Partners (DPs) implements a Joint Water and Environment Sector Support Programme (JWESSP) 2013-2018. The JSR is reviewing all programmes and activities of the sector.

The Water and Environment Sector Working Group is the formal decision-making body for the sector. The working group represents decisions of the two sub-sector working groups, namely the Environment and Natural Resources Sub Sector Working Group (ENRS-WG) and Water and Sanitation Sub-sector Working Group (WSS-WG).

These working groups are fed by the different subgroups as seen below;

- Climate Change Subgroup
- Finance Subgroup
- Capacity Development Subgroup
- Good Governance Subgroup

Other ad-hoc Committees under them can be created as and when decided by the respective Subsector Working Group or Subgroup it should report to.

The **Joint Sector Review (JSR)** is a forum for performance assessment, planning and policy guidance, allowing a broad spectrum of stakeholders to get an insight into, discuss and influence sector developments. It is held annually in September.

The process of developing the new **undertakings for 2017-2018** will begin with analysing and compilation of the Sector Performance Report and identifying pertinent sector issues. Out of these issues, undertakings will be drawn most preferably to be implemented over a period of one year. To implement them, subgroups and committees are formed and charged with the responsibility for their implementation.

The 9th JSR will formulate the undertakings for the coming year and this will be reviewed at the **Joint Technical Review (JTR)** 6 months after the JSR. Formal decisions during JTRs, such as revision of the JSR undertakings, if it is found necessary, will be taken by the Water and Environment Sector Working Group.

The **timing of the JSR 2017** is also linked to the start of the preparations for the budgeting process for the next financial year and the JSR outcomes feed into the Government of Uganda Annual Performance Assessment (GAPA) of the overall government development programmes, which is undertaken by the Office of the Prime Minister.

The developments in the Water and Environment sector were last assessed during the Joint Sector Review (JSR) in October 2016. During the Review¹² new undertakings agreed divided into one undertaking for Environment and Natural Resources subsector, two undertakings for Water Resources Management and nine undertakings for water supply and sanitation. The undertakings were endorsed by the Water and Environment Sector Working Group (WESWG). An assessment of progress of the undertakings was made by the Joint Technical Review (JTR), held in Mable in April 2017. The list of undertakings is attached in Appendix 1.

2. THEME AND OBJECTIVES

Each year presentations and contributions are guided by a pre-determined theme originating from recent sector policies and implementation experiences. The theme for the JSR 2017 is:

“Exploring financing opportunities for water and environment sector investment”

The overall aim of the 2017 Joint Sector Review in conformity with the above theme is:

“To provide policy and strategic guidance on key sector issues for the sector”

The specific objectives are:

1. To Review and strengthen approaches on how to overcome the challenges of financing the water and environment sector
2. To assess progress and performance of the sector in relation to the agreed key sector performance indicators and the 2017 JSR undertakings.
3. To provide policy and strategic guidance to key emerging sector issues.
4. Develop undertakings for the forth-coming twelve-month period.
5. To provide a forum for sharing experiences and lessons learnt from the regions, districts and Local Governments.

3. METHODOLOGY

The Review will be based on the Water and Environment Sector performance Report FY2016/17(SCR 2017), which has been prepared in a participatory process involving all relevant Water and Environment institutions. The Final version of the SCR report will be produced before the JSR will be uploaded on the ministry website and hard copies will be available at the review. An abridged version will be prepared and provided in the printed media on the first day of the review.

The JSR will:

- Review sector performance for FY2016/2017(SCR 2017) guided by the sector performance measurement framework and SCR 2017.
- Review the status of last year's water and environment sector undertakings.
- Generally discuss other key policy issues emerging from the Sector Performance Report and provide recommendations as appropriate.

The undertakings, conclusions and recommendations of the JSR shall be documented in draft Agreed Minutes to be approved in the first Water and Environment Sector Working Group meeting two weeks after the JSR.

Undertakings will be agreed upon with focus areas of work that are considered to address the challenges / emerging issues.

The responsibility for cross-cutting undertakings and undertakings that require action at both, the MWE and the DP side, needs to be specifically agreed upon. Committees and subgroups can be formed around undertakings e.g. Capacity Development.

Since undertakings are at the centre of change processes that are initiated at the JSR a few guiding principles are outlined in the following:

- It's important to note that unless a DP is pledging support an undertaking is not necessarily connected to additional funding.
- The scope of work should be ambitious but feasible within one year.
- An undertaking should rather be streamlined into routine working procedures but trigger innovation through the specific and well defined activities.
- Undertakings can include consultancies but mustn't be limited to outsourced activities only.
- Undertakings will be formulated in existing subgroups.

The process of developing new undertakings can be summarised as follows.

1. Suggestions for undertakings will be brought up prior to the JSR in the last WESWG held before the date of the JSR. In addition undertakings will also be suggested at the JSR because not all stakeholders are involved in the WESWG.
2. During the JSR's second day the **status of last years and suggestions for new undertakings** including a responsible person (commissioner, chair of subgroup, Charis of sub group) will be discussed and minuted.
3. The responsible person will be charge of holding a first meeting including interested development partners to **draft an action plan**.
4. The status of the implementation progress of the action plan will be reviewed in the frame of the subsequent JTR.

Overall JSR Programme: The JSR will be held over a three days event whereby the **first day** is dedicated to presentations from the three Directorates as well as the Agencies. The presentations include a summary of last year's performance with focus on the golden indicators . These technical presentations are supplemented with reports on financial performance from the Policy and Planning as well as from the MoFPED's point of view.

Day two will cover presentations from NGOs and DPs including both, their own performance as well as comments of the overall sector performance. In the case of NGOs “own performance” refers to a summary of what all NGOs in the respective sub-sector are doing in the country.

This will be followed by a big focus on the theme of JSR 2017 and formulation of new undertakings. Day three of JSR will be dedicated to share and discuss innovations and the status of processes that are relevant to a wider audience.

4. OUTPUT

The outputs of the 2017 JSR will comprise of Joint Sector Review Agreed Minutes approved by a Water and Environment Sector Working Group meeting to be convened within one week after the JSR. The Agreed Minutes will contain an assessment of sector performance and conclusions and recommendations for further action and also include binding sector undertakings.

5. REFERENCE DOCUMENTATION

The various documents/papers forming the basic documentation of the 2017 SPR and JSR include:

- Water and Environment Sector Performance Report for FY 2016/17
- Water and Sanitation NGO Performance Report for 2016/17
- ENR/CSO Performance Report for 2016/17
- Agreed minutes for the Joint Sector Review 2016
- Agreed minutes for the Joint Technical Review April 2017

Note: Additional background information and sector documents can be found on the Ministry Website as well as links to the other institutions and authorities under the Ministry at www.mwe.go.ug

ANNEX

Undertakings for FY 2016/17

Undertaking 1: Address the drivers of environmental degradation by mainstreaming ENR considerations across government sectors by focusing mainstreaming actions on the high profile/high impact sectors of agriculture, infrastructure, lands, energy and water in order to achieve reduced contributions to degradation by these sectors by the end of FY 2017/18.

Water Resources Management

Undertaking 2: Operationalise the proposed coordination, implementation and funding mechanisms for catchment-based IWRM by the end of FY2016/17.

Undertaking 3: Finalise the National Framework for Drinking Water Quality Management and Regulation by the end of FY 2016/17.

Water Supply and Sanitation

Undertaking 4: Develop a strategy for providing at least one safe water source per village in line with the Presidential Directive by the end of FY 2016/17.

Undertaking 5: Re-organise Umbrella Organisations focusing on financing mechanisms and management responsibilities for piped water systems by the end of FY 2016/17.

Undertaking 6: Strengthen utilisation of Water for Production storage by end of FY 2016/17.

Undertaking 7: Review the water tariff regime to strengthen pro-poor provisions with respect to public institutions, rural areas and water vending by the end of FY 2016/17.

Undertaking 8: Develop a strategy on Cholera elimination in the 16 cholera-prone districts by end of FY 2016/17.

Undertaking 9: Develop a strategy to scaling up Town Sanitation Planning (TSP) in a phased approach, harmonised with District Investment Plans, by the end of FY 2016/17.

Policy and Institutional Issues

Undertaking 10: Review Sector Performance monitoring framework – to incorporate the water quality monitoring, good governance, human right to water, climate change, Sustainable Development Goals (SDGs), and the National Development Plan (NDP II).

Undertaking 11: Finalise the development of costed CD plans for the departments of the MWE + agencies and embark on implementation by the end of FY2016/17.

Undertaking 12: Develop a strategy to systematically build the capacity of middle and lower level sector personnel in leadership and managerial skills to address emerging sector demands by the end of FY2016/17.

Carried forward undertakings include:

Undertaking 1 Address the drivers of environmental degradation by mainstreaming ENR considerations across government sectors by focusing mainstreaming actions on the high profile/high impact sectors of agriculture, infrastructure, lands, energy and water in order to achieve reduced contributions to degradation by these sectors by the end of FY 2017/2018:

Undertakings 2 Complete demarcation of 6 new wetlands and commence the opening up of boundaries of 3 local forest reserves/finalise and implement the management framework of these ecosystems by the end of FY 2015/16:.

Undertakings 5 Develop a national drinking water quality framework taking into account the World Health Organization (WHO) drinking water guidelines, national water quality management strategy, and the Uganda Standard (2008) on drinking (potable) water..